

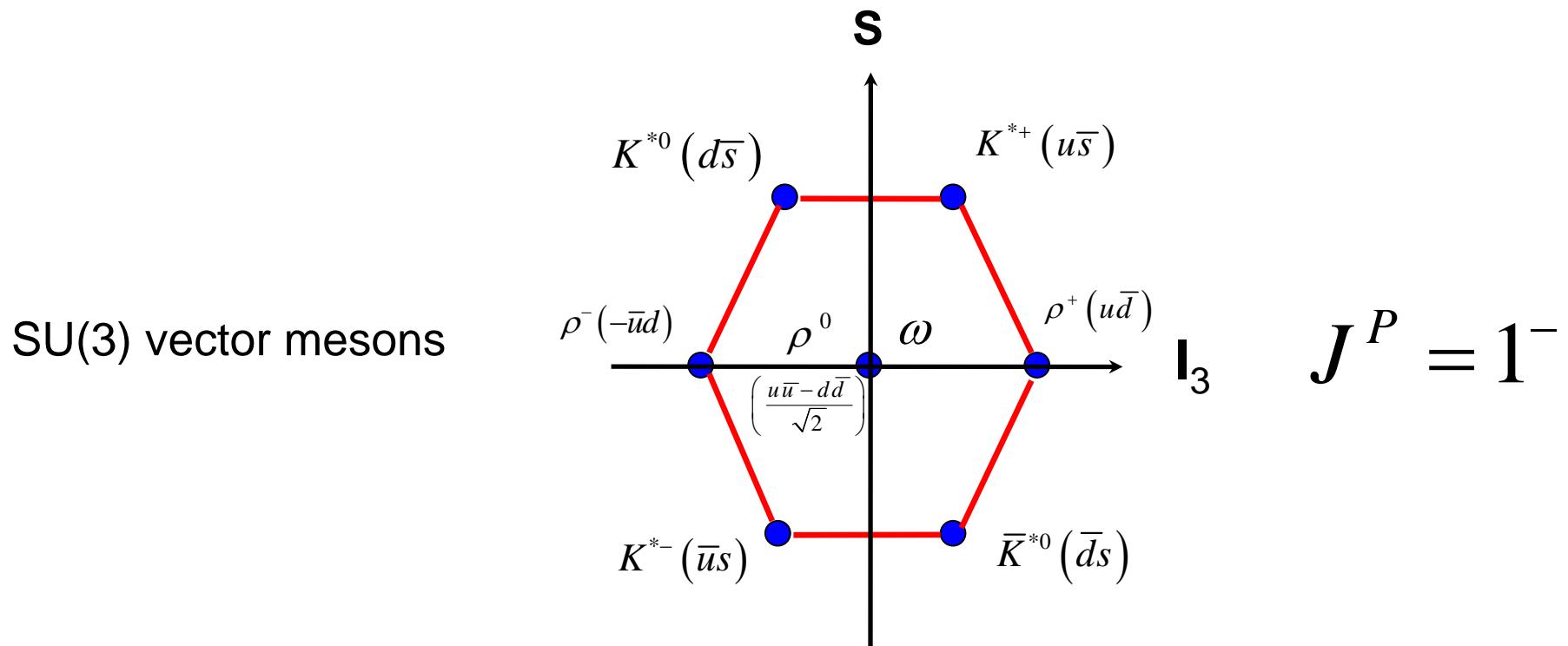
## High Energy Electron Scattering from Nuclei

- Motivation
- eN-scattering, kinematics, structure functions..
- $A(e,e'X)$ , PWIA, Spectral Function, 2NC..
- y-scalning, momentum distribution
- FSI
- Semi – Inclusive quasi-elastic  $A(e,e'p)X$
- DIS  $A(e,e'X)$  (A-1). Hadronization
- Summary

# Mesons & baryons; static properties

Gel-Man, Okubo... (u,d,s..)  $\xrightarrow{\text{SU(3)}}$  mesons  $\xrightarrow{\text{baryons}}$

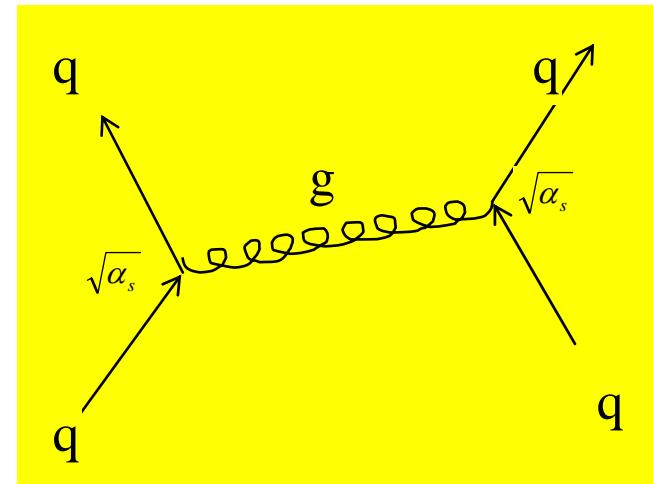
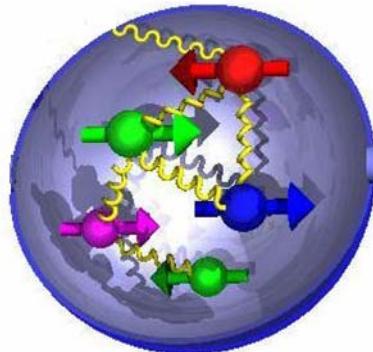
The diagram illustrates the classification of hadrons. It starts with a list of quarks: u, d, s, etc. An arrow points to the SU(3) symmetry group. Another arrow points to a diagram of a meson (two quarks) and a baryon (three quarks). Below this, the word "mesons" is written next to the meson icon, and "baryons" is written next to the baryon icon.



$$M_8^2 = \frac{1}{3} \left( 4M_{K^*}^2 - M_\rho^2 \right) = (926 \text{ MeV})^2 \neq M_\omega^2 \neq M_\phi^2$$

# Mesons & baryons; QCD

- Field theory for strong interaction:
  - quarks interact by gluon exchange
  - quarks carry a ‘colour’ charge (**R,B,G**)
  - exchange bosons (gluons) carry colour



# The QCD Lagrangian

$$\mathcal{L}_{QCD} = i \sum_q \bar{\psi}_q^j \gamma^\mu (\mathbf{D}_\mu)_{jk} \psi_q^k - \sum_q m_q \bar{\psi}_q^j \psi_q^k - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu}$$

( $j, k = 1, 2, 3$  refer to colour;  $q = u, d, s$  refers to flavour;  $a = 1, \dots, 8$  to gluon fields)

Covariant derivative:

$$\mathbf{D}_\mu = \partial_\mu + i \frac{1}{2} g_s \lambda_a G_\mu^a$$

Free quarks

$$G_{\mu\nu}^a = \underbrace{\partial_\mu G_\nu^a - \partial_\nu G_\mu^a}_{\text{Gluon kinetic energy term}} - \underbrace{g_s f_{abc} G_\mu^a G_\nu^b}_{\text{Gluon self-interaction}}$$

qg-interactions

SU(3) generators:

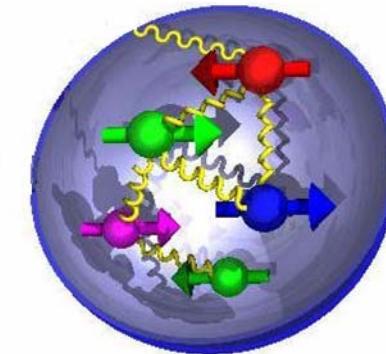
$$[\lambda_a, \lambda_b] = i \frac{1}{2} f_{abc} \lambda_c$$

$$\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$\lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \quad \lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \quad \lambda_8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

# Mesons & baryons; QCD

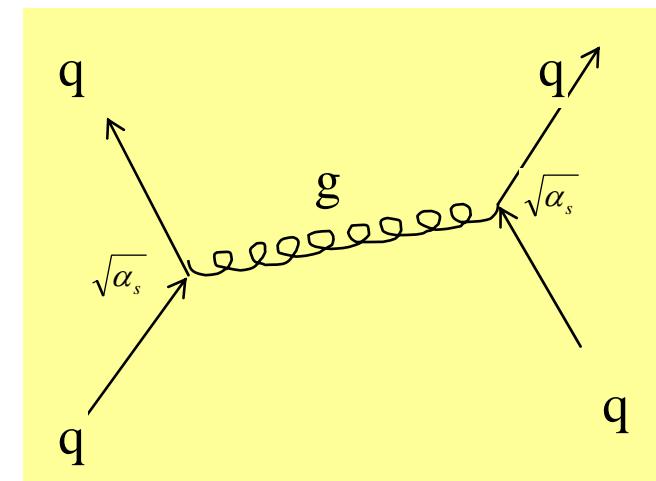
- Field theory for strong interaction:
  - quarks interact by gluon exchange
  - quarks carry a '**colour**' charge (**R,B,G**)
  - exchange bosons (gluons) carry **colour**  $\Rightarrow$  self-interactions (cf. QED!)



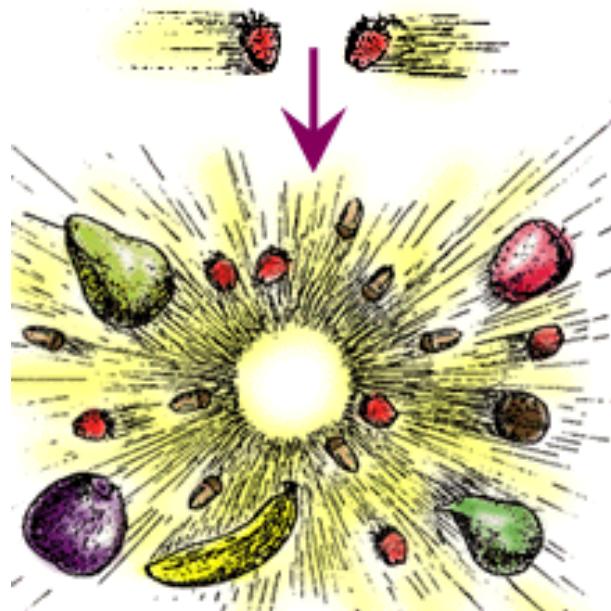
- Hadrons are colour neutral:
  - **RR**, **BB**, **GG** or **RGB**
  - leads to **confinement**:

$|q\rangle$ ,  $|qq\rangle$  or  $|qq\bar{q}\rangle$  forbidden

- Effective strength  $\sim$  gluons exch.
  - i) low  $Q^2$ : more  $g$ 's: **large** eff. coupling
  - ii) high  $Q^2$ : few  $g$ 's: **small** eff. coupling  
**( $\alpha_s \sim 0$ , asymp. freedom, pQCD)**



# How to probe the quarks?



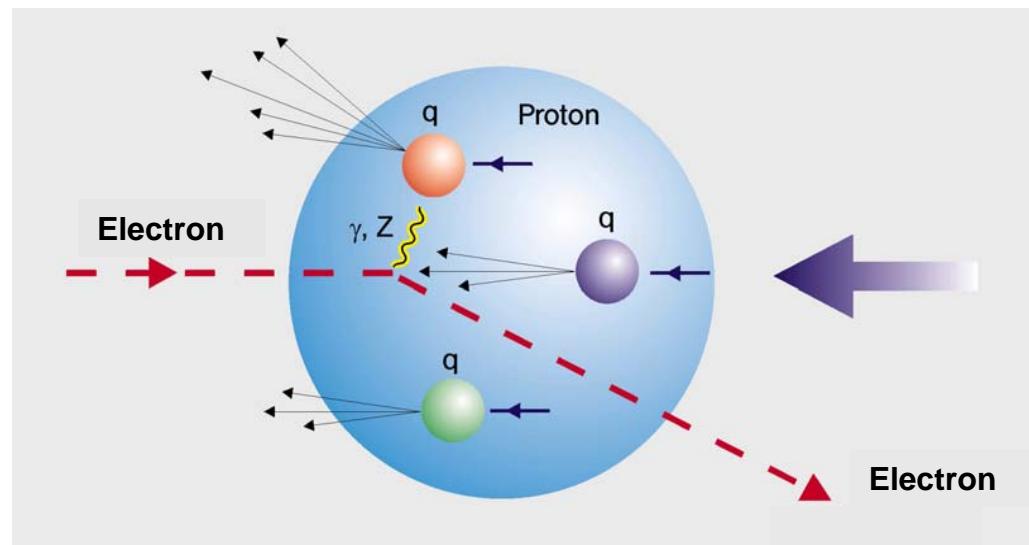
Energy → Matter  
 $E=mc^2$

**Bang two particles together and observe the types of particles that fly out (and their directions). In this way we can deduce the existence of new types of particles, investigate the properties of the known particles, and study the fundamental forces.**



# How to probe the quarks? (Con't)

Probes – particles with well established structure and well known interaction with quarks – e.w. quark-lepton interaction



Most experiments operate at the energy frontier

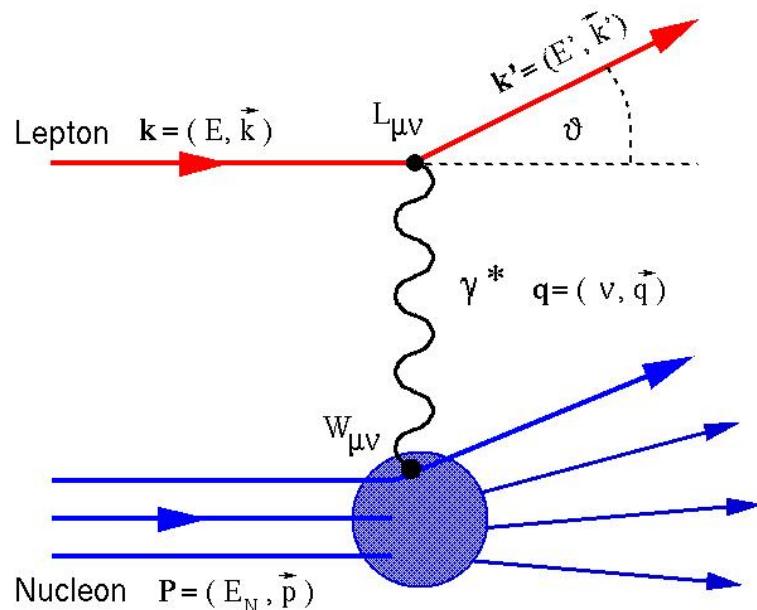


Highest energy  $e$ - $p$  collider: HERA at DESY in Hamburg:  $\sim 300$  GeV

$$d_{\text{probed}} \propto \lambda = \frac{\hbar}{p} \approx 10^{-18} \text{ m}$$

# Electron Nucleon Scattering

- kinematics:



$L_{\mu\nu}$  : lepton tensor

$W_{\mu\nu}$  : hadron tensor

- Four-momentum transfer:

$$\begin{aligned} q^2 &= (E - E')^2 - (\vec{k} - \vec{k}') \cdot (\vec{k} - \vec{k}') = \\ &= m_e^2 + m_{e'}^2 - 2(EE' - |\vec{k}| |\vec{k}'| \cos \theta) = \\ &\approx -4EE' \sin^2 \frac{\theta}{2} \equiv -Q^2 \end{aligned}$$

- Mott Cross Section ( $\hbar c=1$ ):

$$\begin{aligned} \left( \frac{d\sigma}{d\Omega} \right)_{Mott} &= \frac{4\alpha^2 E'^2}{Q^4} \cos^2 \frac{\theta}{2} \cdot \frac{E'}{E} \\ &= \frac{4\alpha^2 E'^2}{16E^2 E'^2 \sin^4 \frac{\theta}{2}} \cos^2 \frac{\theta}{2} \cdot \frac{1}{1 + \frac{E}{M}(1 - \cos \theta)} \\ &= \frac{\alpha^2 \cos^2 \frac{\theta}{2}}{4E^2 \sin^4 \frac{\theta}{2}} \cdot \frac{1}{1 + \frac{E}{M}(2 \sin^2 \frac{\theta}{2})} \end{aligned}$$

Electron scattering of a spinless point particle

# Cross Section for $N(e,e')X$ in OPEA

$$d\sigma_{\text{lab}} = \frac{\delta^4(p + Q - p_f)}{2\sqrt{\lambda(k, p)}} \sum_{if} |M_{fi}|^2 \left[ \frac{d^3 k'}{(2\pi)^3} \right] d\tau_f$$

## Current-Current Interaction

$$M_{fi} = \frac{4\pi\alpha}{Q^2} \langle k' \lambda' | j_\mu | k \lambda \rangle \langle p | J^\mu | p_f \rangle$$

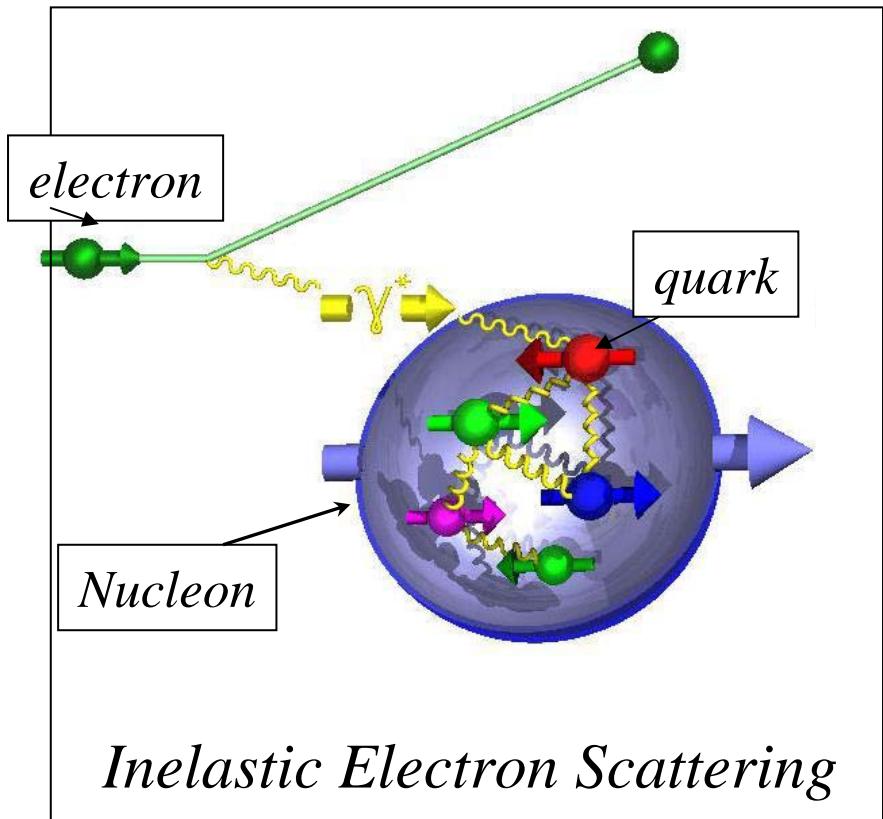
$$\sum_{if} |M_{fi}|^2 \propto \underbrace{\sum_{if} \langle k' \lambda' | j_\mu | k \lambda \rangle^* \langle k' \lambda' | j_\nu | k \lambda \rangle}_{\text{Leptonic tensor } \eta_{\mu\nu}} \frac{\alpha^2}{Q^4} \underbrace{\sum_{if} \langle p | J^\mu | p_f \rangle^* \langle p | J^\nu | p_f \rangle}_{\text{Hadronic tensor } W^{\mu\nu}}$$

○

$$Tr [\hat{k} \gamma_\mu \hat{k}' \gamma_\nu]$$

○

$$\propto g_{\mu\nu} W_1(p, Q^2) + p_\mu p_\nu W_2(p, Q^2)$$



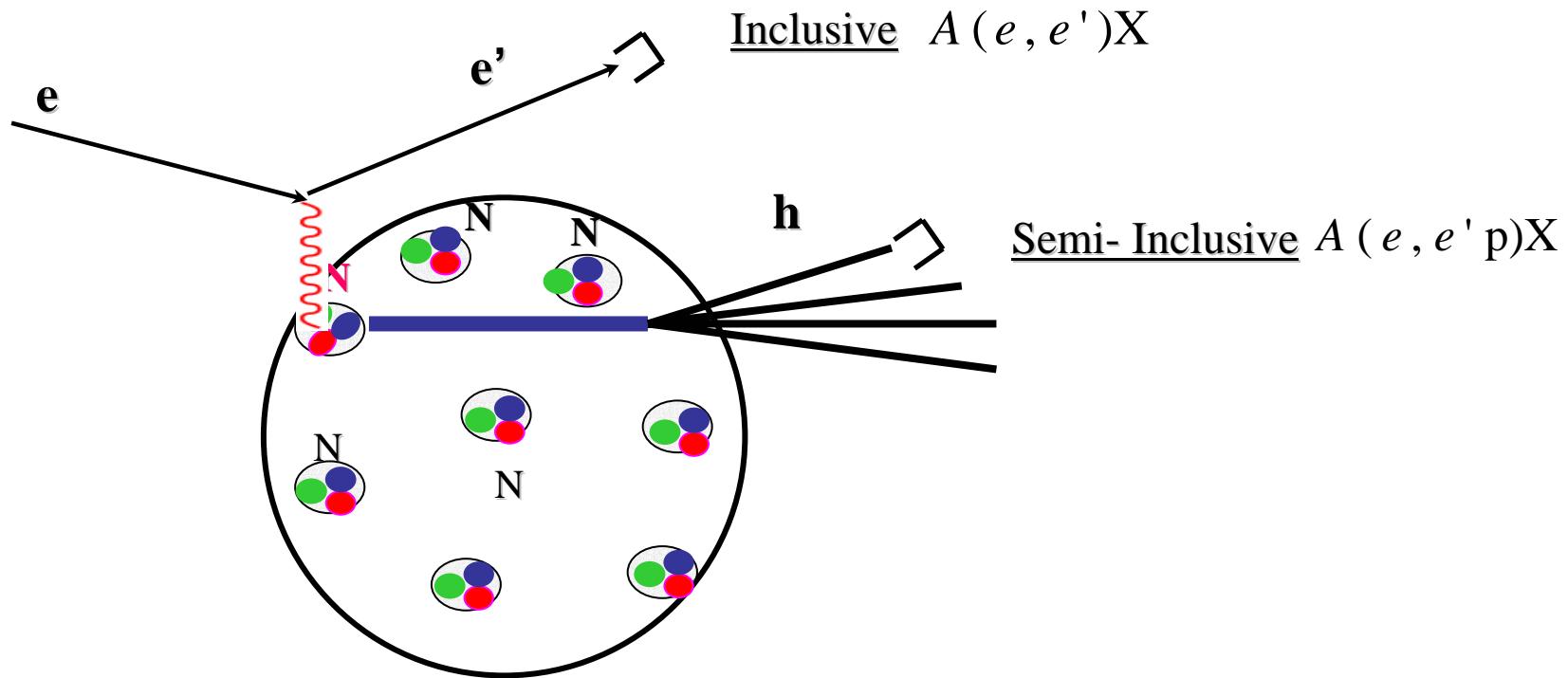
- Cross section:

$$\frac{d\sigma}{dE \cdot d\Omega} = \sigma_{Mott} \left( W_2(\nu) + 2W_1(\nu) \tan^2(\theta/2) \right)$$

- with
  - Mott cross section  $\sigma_{Mott}$  : scattering off point charge
  - Structure functions  $W_1$ ,  $W_2$  with dimension [GeV] $^{-1}$
  - Key issue: *if quark is not a fermion we will find  $W_1=0$*



## Neutron Structure; electron scattering from nuclei



Inclusive  $A(e, e')X$

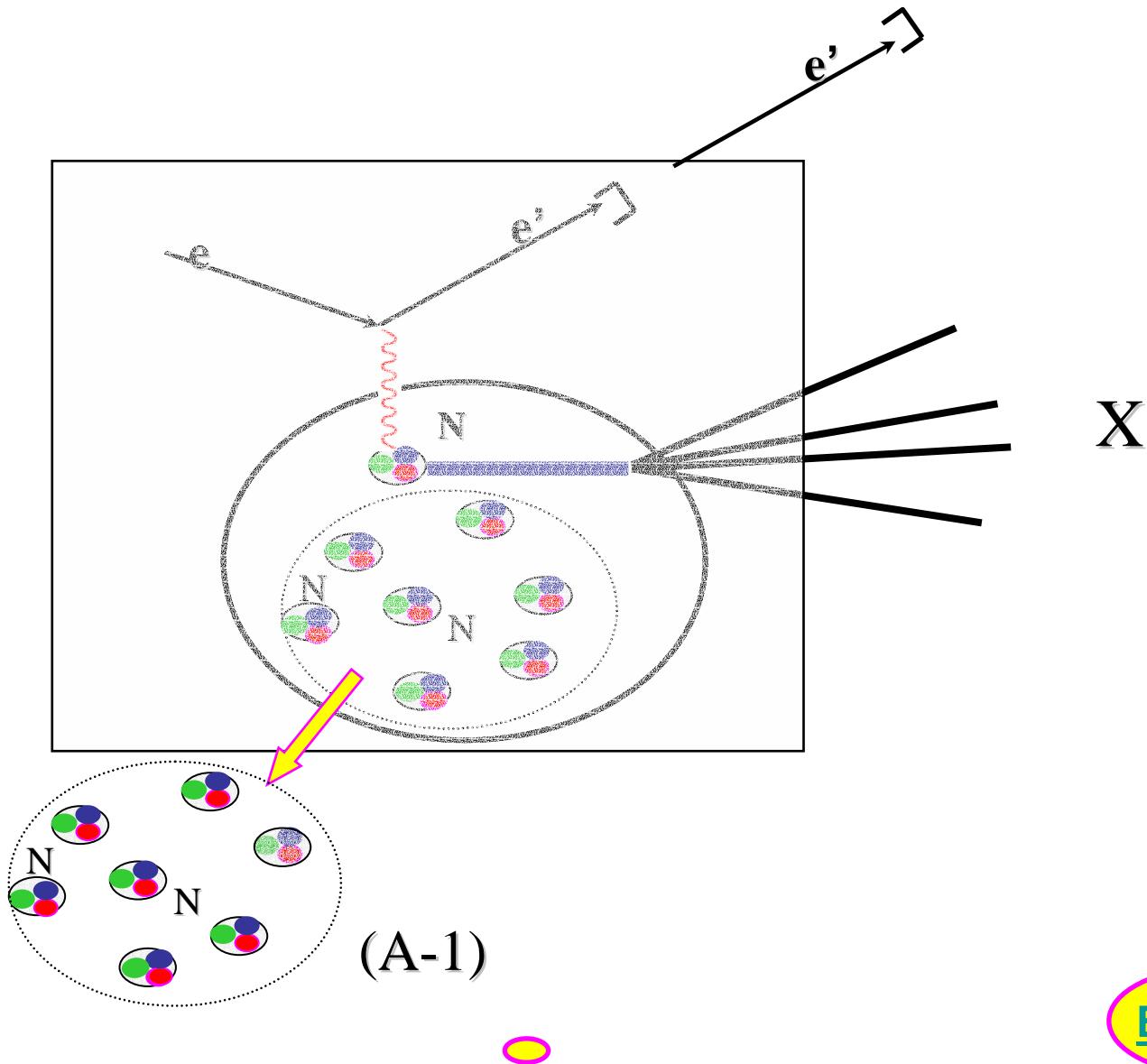
Semi-Inclusive  $A(e, e' p)X$

Semi- Exclusive  $A(e, e' A - 1)X$  (detection of spectators)



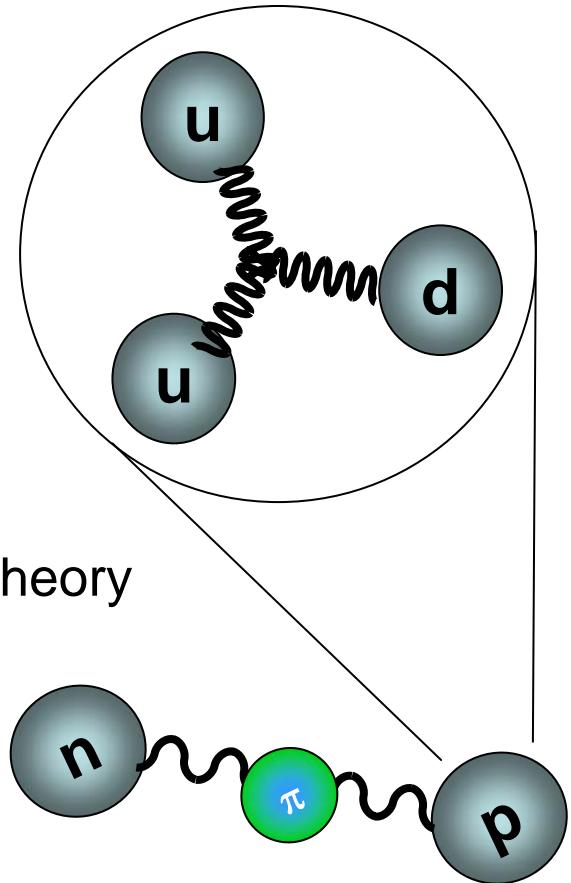
## Semi-Exclusive

$A(e, e' (A - 1))X$



**BONUS**

- Short distances ( $r \ll r_N$ ) - pQCD and/or experimental eN-scattering

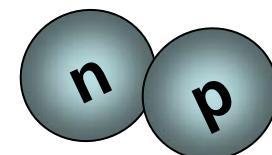


- Large distances ( $r \gg r_N$ ) – effective Meson-Nucleon Theory

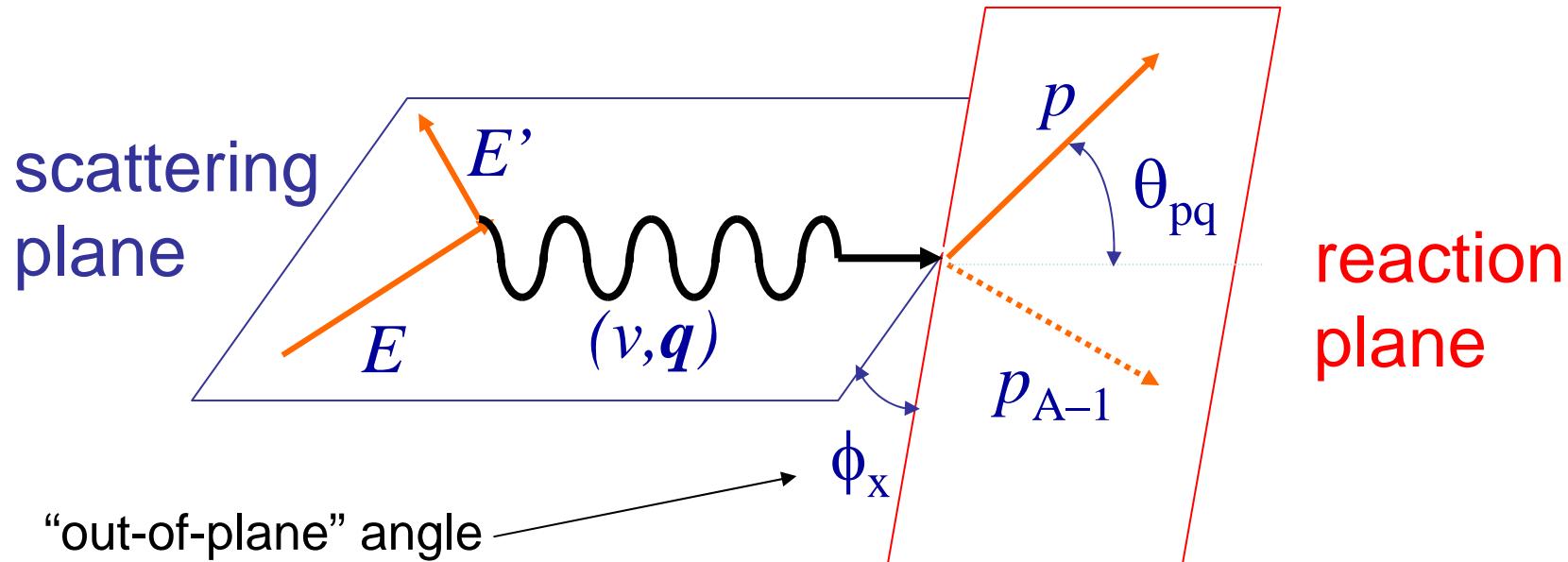
$$\hat{H}_A \psi_A = E_A \psi_A$$

$$\hat{H}_A = \hat{H}_0 + V, \quad V - \text{realistic (OBE) potential}$$

- “Intermediate” distances ( $r \sim r_N$ ) - NN-correlations?



# Kinematics A(e,e'p)(A-1)



$$Q^2 \equiv -q_\mu q^\mu = \mathbf{q}^2 - \nu^2 = 4EE \sin^2\theta/2$$

Missing momentum:  $\mathbf{p}_m = \mathbf{q} - \mathbf{p} = \mathbf{p}_{A-1}$

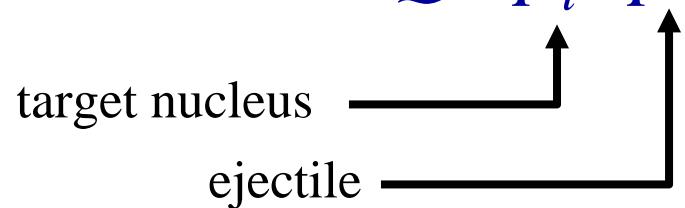
Missing energy:  $E_m = \nu - T_p - T_{A-1}$

$$d\sigma_{\text{lab}} = \frac{\delta^4(p + Q - p_f)}{2\sqrt{\lambda(k, p)}} \mathbf{n}_{\mu\nu} \frac{\alpha^2}{Q^4} W^{\mu\nu} \left[ \frac{d^3 k'}{(2\pi)^3} \right] d\tau_f$$

# Consider Unpolarized Case

## Lorentz Vectors/Scalars

3 indep. momenta:  $Q, p_i, p$  ( $P_{A-1} = Q + p_i - p$ )



6 indep. scalars:  $\cancel{p_i^2}, \cancel{p^2}, Q^2, Q \cdot p_i, Q \cdot p, p \cdot p_i$

The diagram shows a horizontal line with a sharp right-angle turn. On the left side of the turn, there are two vertical arrows pointing upwards. On the right side, there is one vertical arrow pointing downwards. Below this diagram is the mathematical equation  $= M_A^2 = m^2$ , where the first  $=$  sign is positioned above the first part of the equation and the second  $=$  sign is positioned below the second part.

# Nuclear Response Tensor

$$\begin{aligned} W^{\mu\nu} = & X_1 g_{\mu\nu} + X_2 p_i^\mu p_i^\nu + X_3 p^\mu p^\nu + X_4 p^\mu p_i^\nu + X_5 p_i^\mu p^\nu \\ & + X_6 q^\mu p^\nu + X_7 p^\mu q^\nu + X_8 q^\mu q^\nu + X_9 q^\mu p_i^\nu + X_{10} p_i^\mu q^\nu \\ & + (\text{PV terms like } \epsilon_{\mu\nu\rho\sigma} q_\rho p_\sigma) \end{aligned}$$

$X_i$  are the response functions  
Gauge invariance (current conservation  $\partial^\mu j_\mu = 0$ ;  $\eta_{\mu\nu} \propto j_\mu j_\nu$ )

$$q^\mu \eta_{\mu\nu} = q^\nu \eta_{\mu\nu} = 0 \longrightarrow X_{6..10} = 0$$

$$\eta_{\mu\nu} = \eta_{\nu\mu} \longrightarrow W_{\mu\nu} = W_{\nu\mu} \longrightarrow X_4 = X_5 ; \text{PV}=0$$

4 independent responses

$R_L, R_T, R_{LT}, R_{TT}$

# Putting all together ...

$$\left( \frac{d^6\sigma}{d\Omega_e d\Omega_p dp dv} \right)_{LAB} = \frac{pE_p}{(2\pi)^3} \sigma_M [\rho_L R_L^A + \rho_T R_T^A + \rho_{LT} R_{LT}^A \cos \varphi_x + \rho_{TT} R_{TT}^A \cos 2\varphi_x]$$

with

$$\sigma_M = \frac{\alpha^2 \cos^2 \theta / 2}{4e^2 \sin^4 \theta / 2}$$

$$\rho_L = \left( \frac{Q^2}{q^2} \right)^2 \quad \rho_T = \frac{Q^2}{2q^2} + \tan^2 \theta / 2$$

$$\rho_{TT} = \frac{Q^2}{2q^2} \quad \rho_{LT} = \frac{Q^2}{q^2} \sqrt{\frac{Q^2}{q^2} + \tan^2 \theta / 2}$$

How to calculate the response functions?



## PWIA

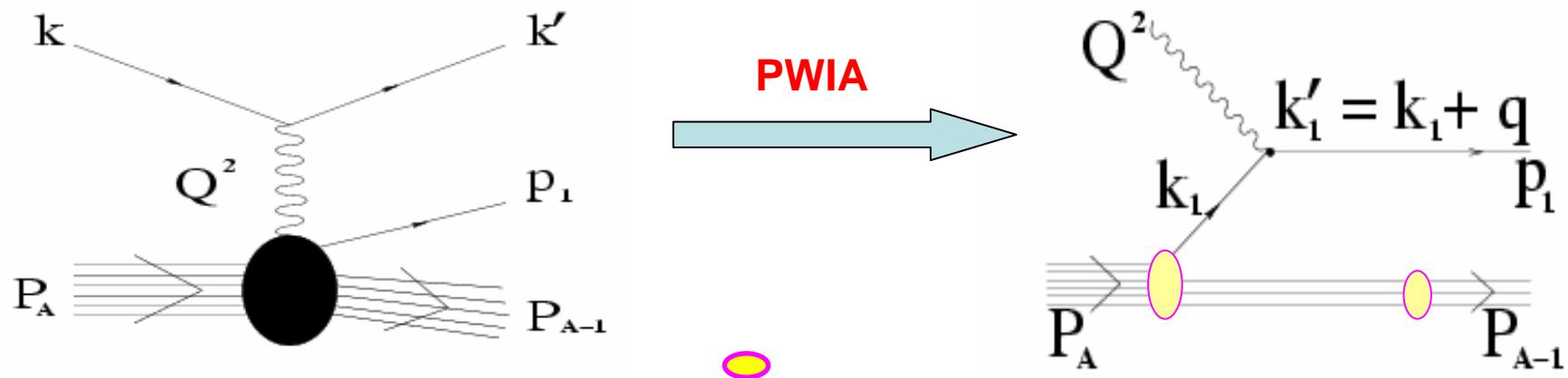
- The nuclear ( $A$ ) current operator is the sum of one—body nucleon current operators, i.e the sum of currents for Dirac particles treated within an effective quantum field theory

$$\hat{J}_\mu^A(Q^2) = \sum_{N=1}^A \hat{J}_\mu^N(Q^2)$$

- The final hadronic state asymptotically consists of two non interacting systems

$$|\alpha_N p_1; \alpha_{A-1} P_{A-1} E_{A-1}^f\rangle = \hat{A}\left\{ |\alpha_N p_1\rangle | \alpha_{A-1} P_{A-1} E_{A-1}^f\rangle \right\}$$

- The incoherent contributions leading to the emission of nucleon  $N$ , due to the interaction of  $\gamma$  with  $A-1$ , are disregarded ( well justified at high  $Q^2$ ).



In PWIA:  $\mathbf{R}_i^A = \underbrace{\mathbf{R}_i^N \mathbf{S}(p_m, E_m)}_{\text{exact factorization !!!}} \quad (i = L, T, TT, LT)$

$$\frac{d^6\sigma}{dvd\Omega_e dpd\Omega_N} = K \begin{array}{|c|c|} \hline \color{cyan} \sigma_{eN} & S(p_m, E_m) \\ \hline \end{array}$$

For bound state of recoil system:

$$\rightarrow \frac{d^5\sigma}{dvd\Omega_e d\Omega_N} = K \begin{array}{|c|c|} \hline \color{cyan} \sigma_{eN} & |\Phi(p_m)|^2 \\ \hline \end{array}$$

nucleon momentum distribution

# The Spectral Function

$$S(\vec{k}_1, E_m) = \sum_f \left| \left\langle (A-1)_f | a(\vec{k}_1) | A \right\rangle \right|^2 \delta(E_m - \sqrt{P_{A-1}^2} - M_N + M_A)$$

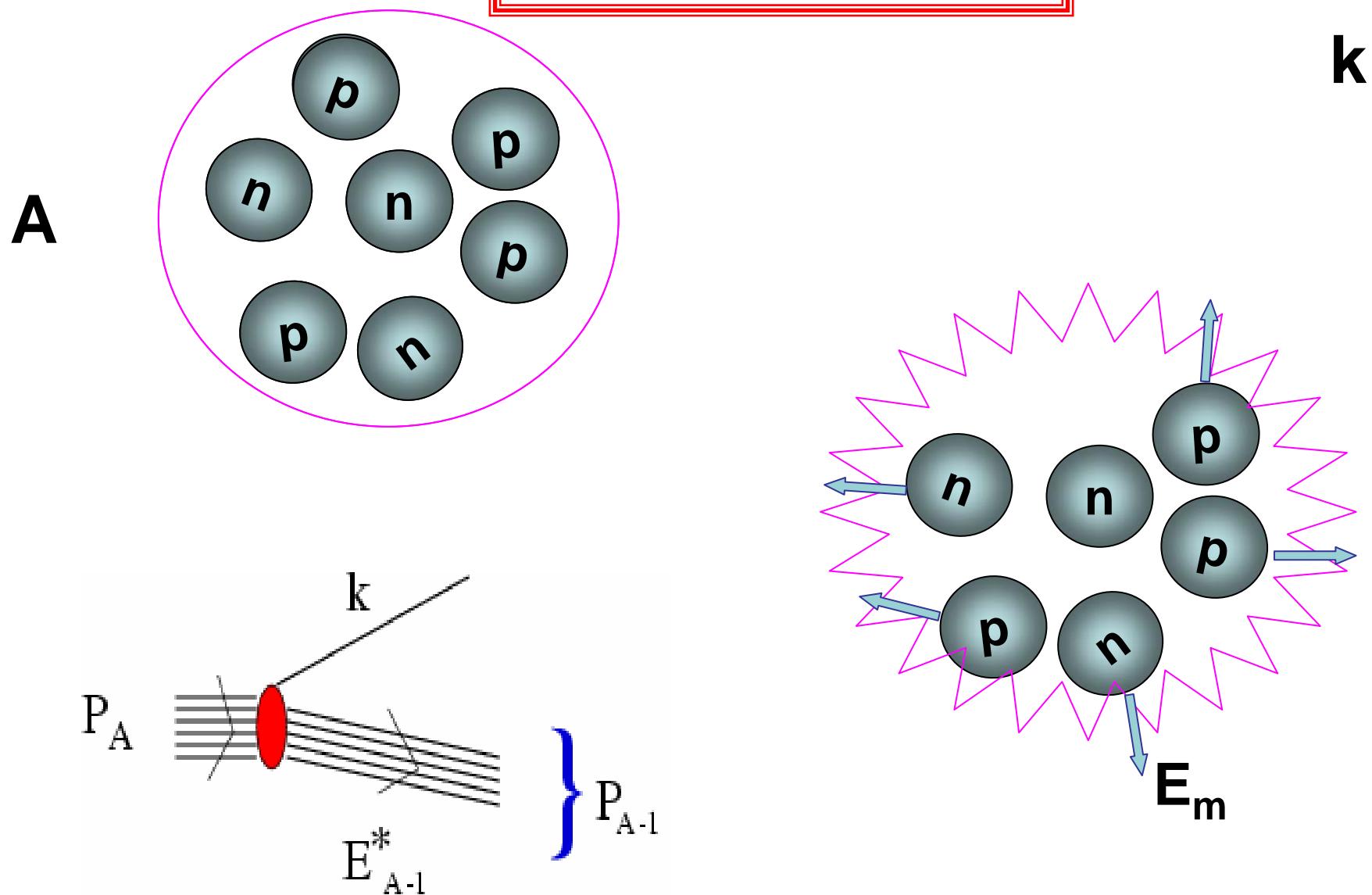
where  $\vec{k}_1 = -\vec{p}_m$  = initial momentum

$E_m = E_{\min} + E_{A-1}^* = \nu - T_{k_1} - T_{A-1}$  = missing (removal) energy

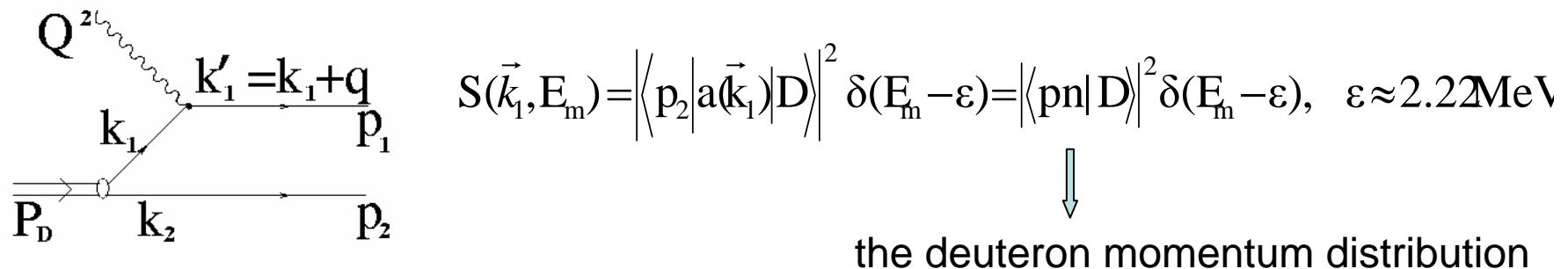
Note:  $S$  is not an observable!

$$n(\vec{k}_1) = \int_{E_{\min}}^{\infty} dE_m S(\vec{k}_1, E_m) \quad \text{- nuclear momentum distribution}$$

The physical meaning of **S**



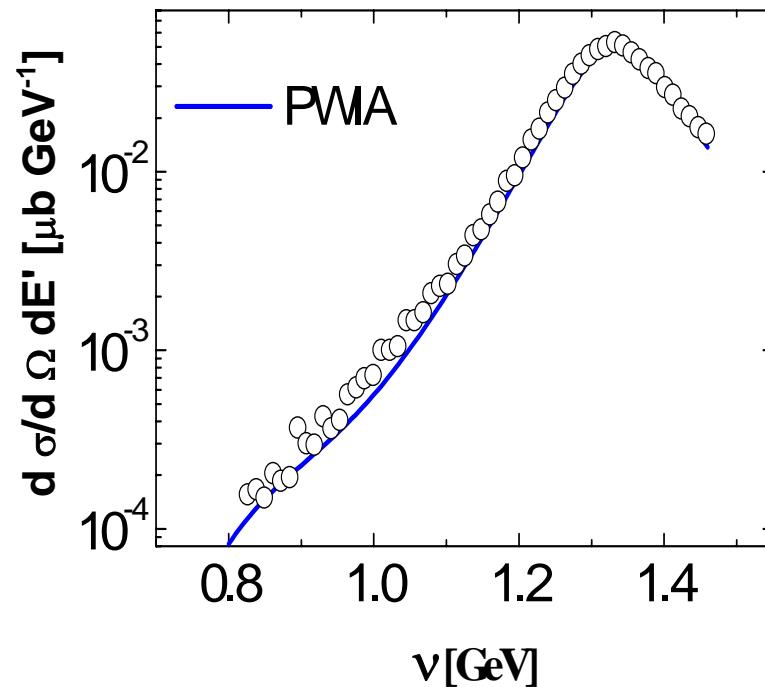
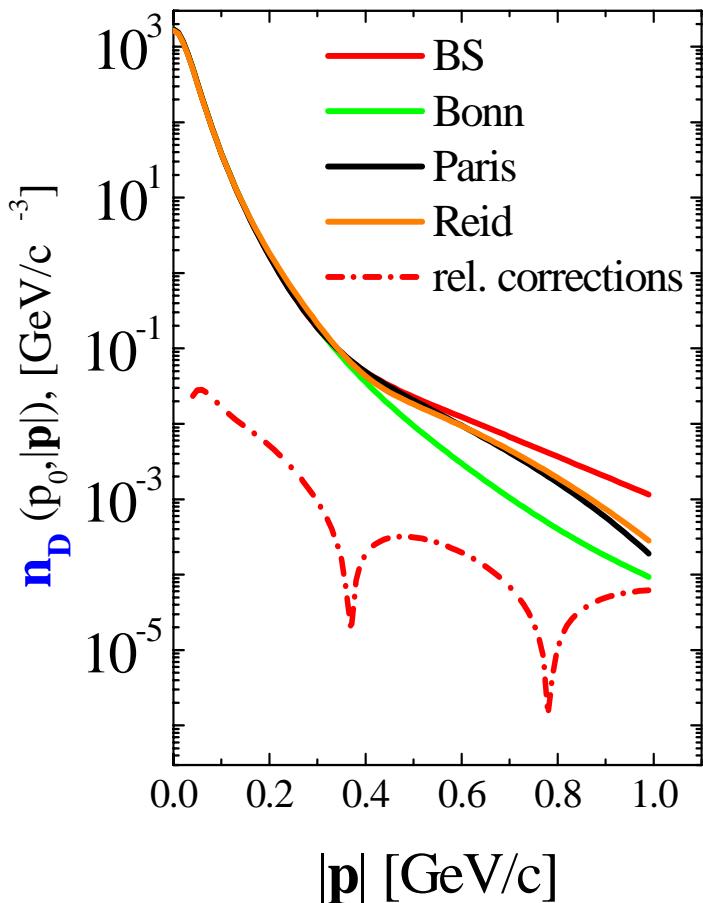
## The simplest nucleus: the deuteron



### BS formalism

$$\begin{aligned} \boldsymbol{\Psi}_{\mathcal{M}_d}^{S^{++}}(p'_1, p'_2) &= \mathcal{N}(\hat{k}_1 + m) \frac{1 + \gamma_0}{2} \hat{\xi}_{\mathcal{M}_d}(\hat{k}_2 - m) \boldsymbol{\phi}_S(p_0, |\mathbf{p}|), \\ \boldsymbol{\Psi}_{\mathcal{M}_d}^{D^{++}}(p'_1, p'_2) &= -\frac{\mathcal{N}}{\sqrt{2}} (\hat{k}_1 + m) \frac{1 + \gamma_0}{2} \left( \hat{\xi}_{\mathcal{M}_d} + \frac{3}{2|\mathbf{p}|^2} (\hat{k}_1 - \hat{k}_2)(p \xi_M) \right) \\ &\times (\hat{k}_2 - m) \boldsymbol{\phi}_D(p_0, |\mathbf{p}|), \end{aligned}$$

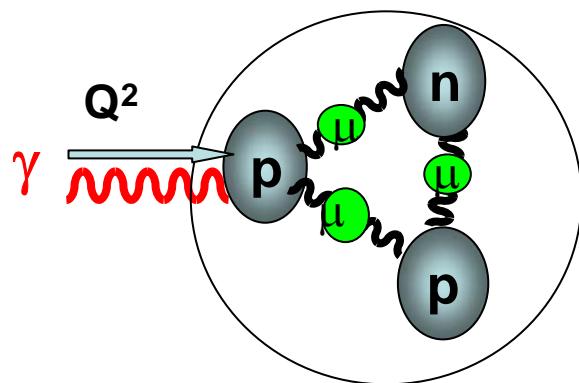
$$n_D(p) \approx |\boldsymbol{\varphi}_S(\bar{p}_0, \mathbf{p})|^2 + |\boldsymbol{\varphi}_D(\bar{p}_0, \mathbf{p})|^2, \quad \bar{p}_0 = M_D / 2 - E_p$$



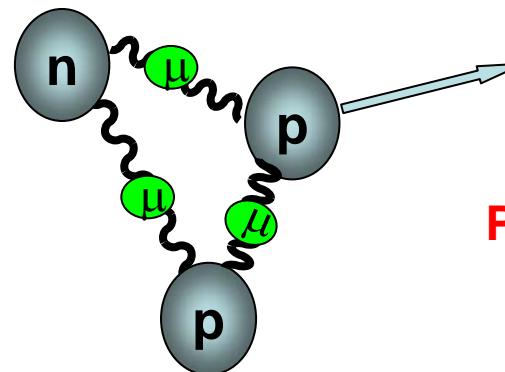
- Relativistic corrections are negligibly small
- Further calculations – nonrelativistic Schroedinger approach

$^3He$

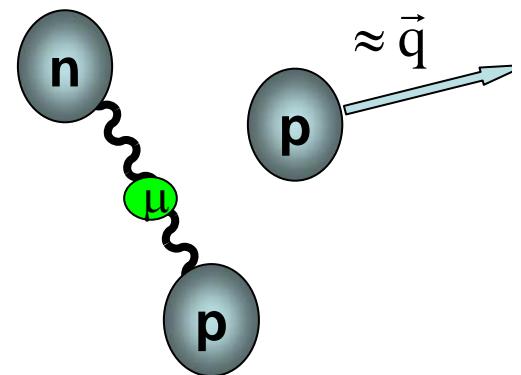
Low momenta  $|k_i| \sim (0-50)$  MeV/c (uncorrelated):



Before

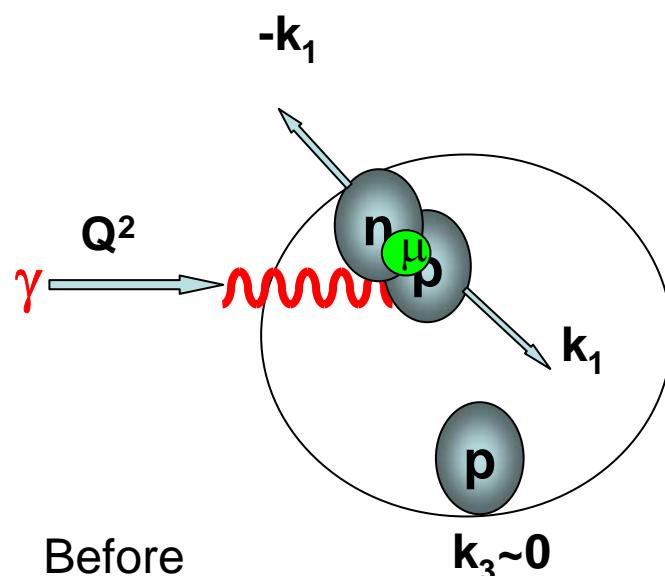


PWIA

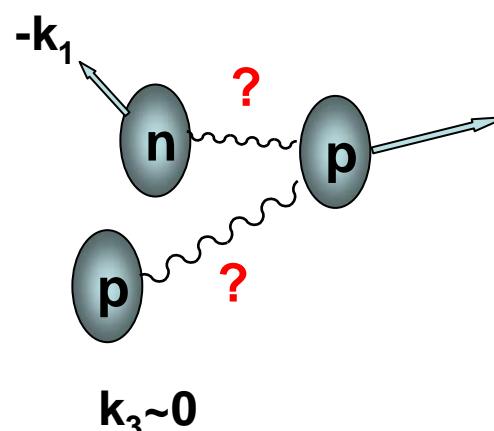


After

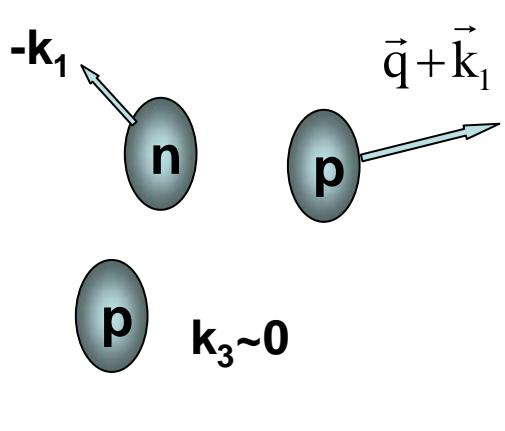
High momenta  $|k_{1,2}| \sim (100-500)$  Mev/c  
(correlated 1,2), low  $|k_3| \sim 0$



Before



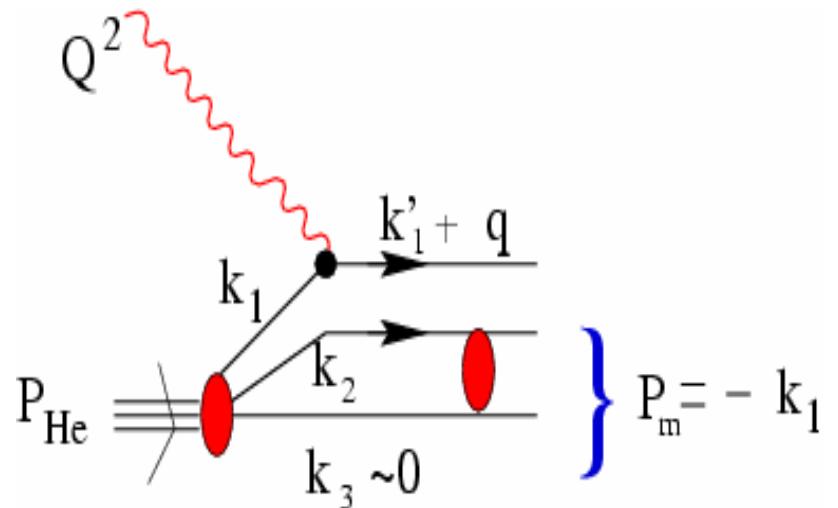
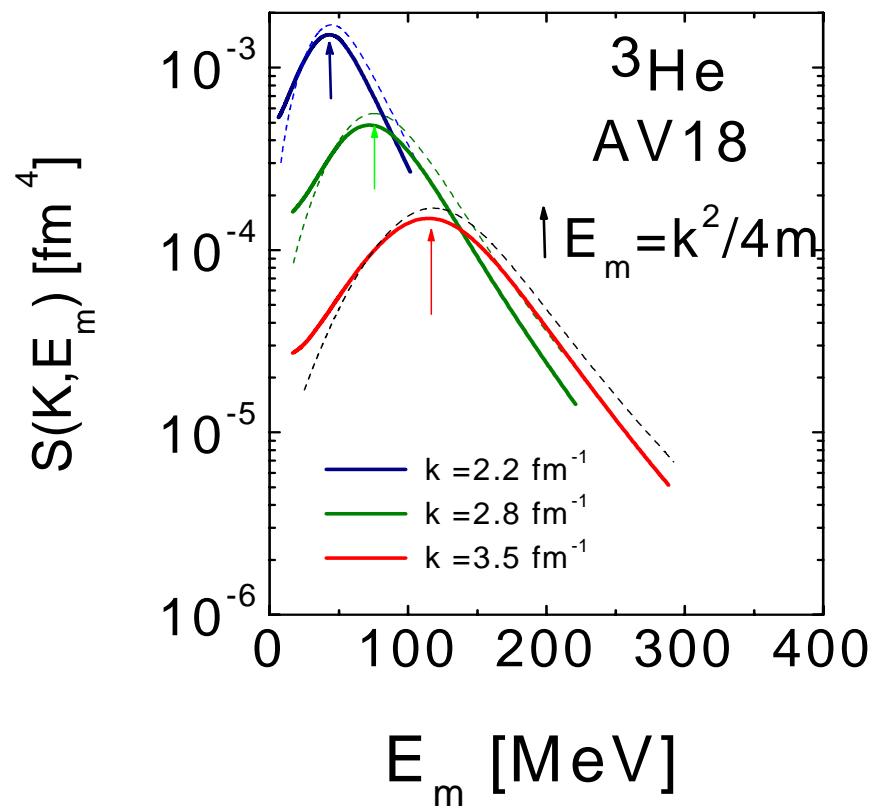
PWIA



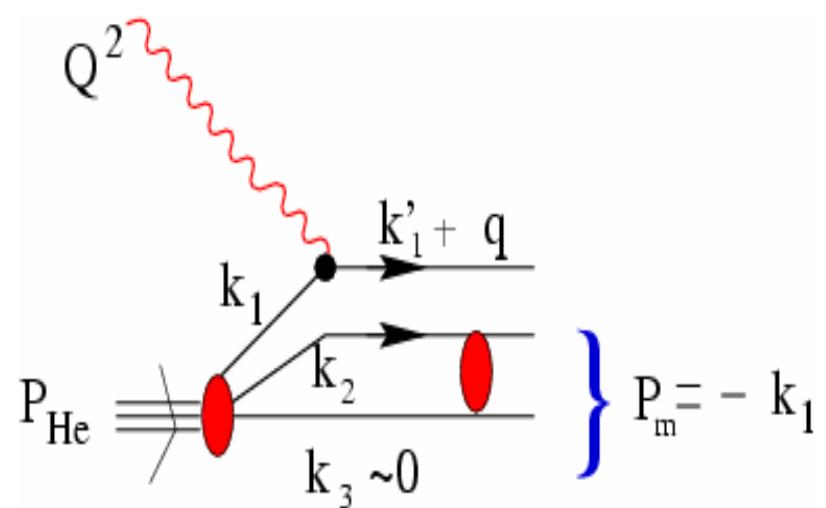
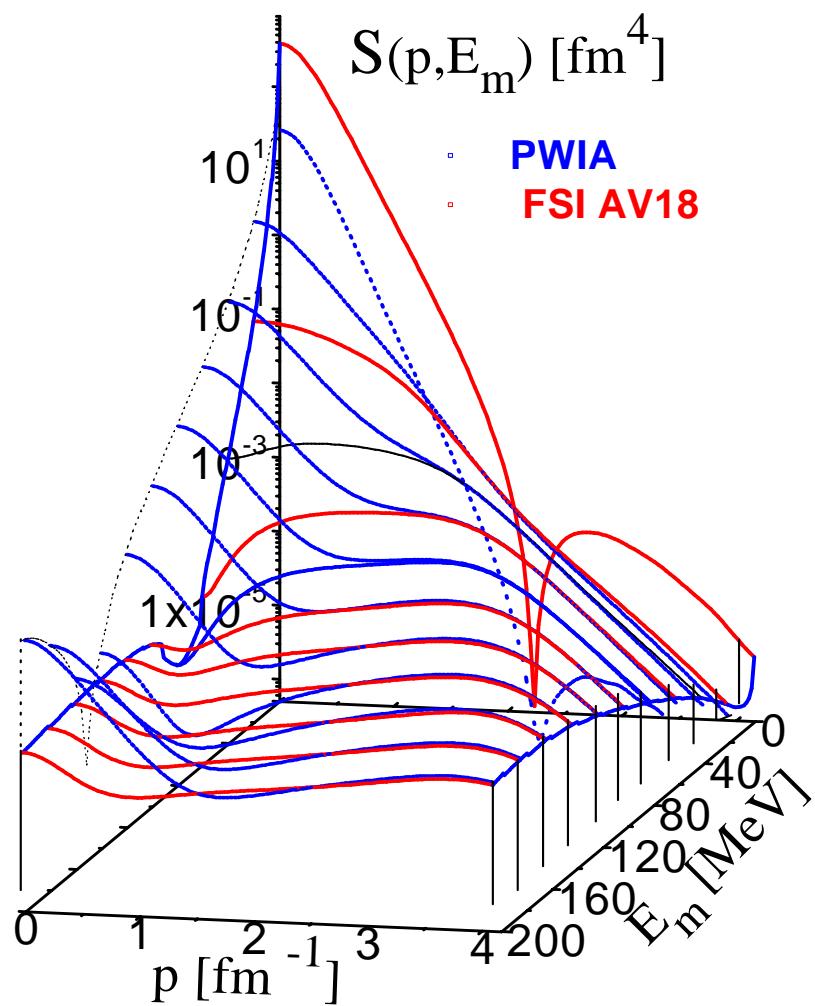
After

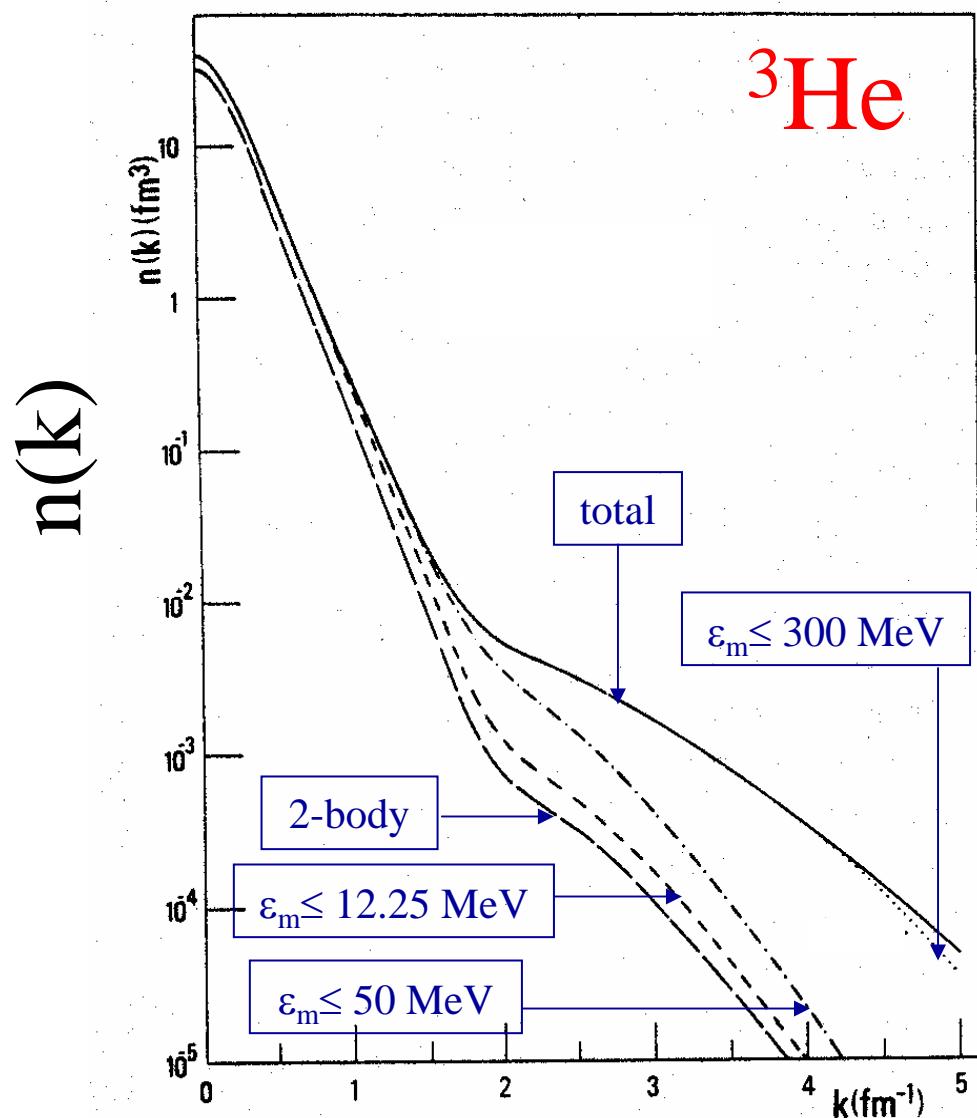
## 2NN-correlation condition

$$E_m \approx E_{rel} = \frac{(\vec{k}_2 - \vec{k}_3)^2}{4m} = \frac{\vec{k}_1^2}{4m}$$



# $^3He$ Spectral Function AV18

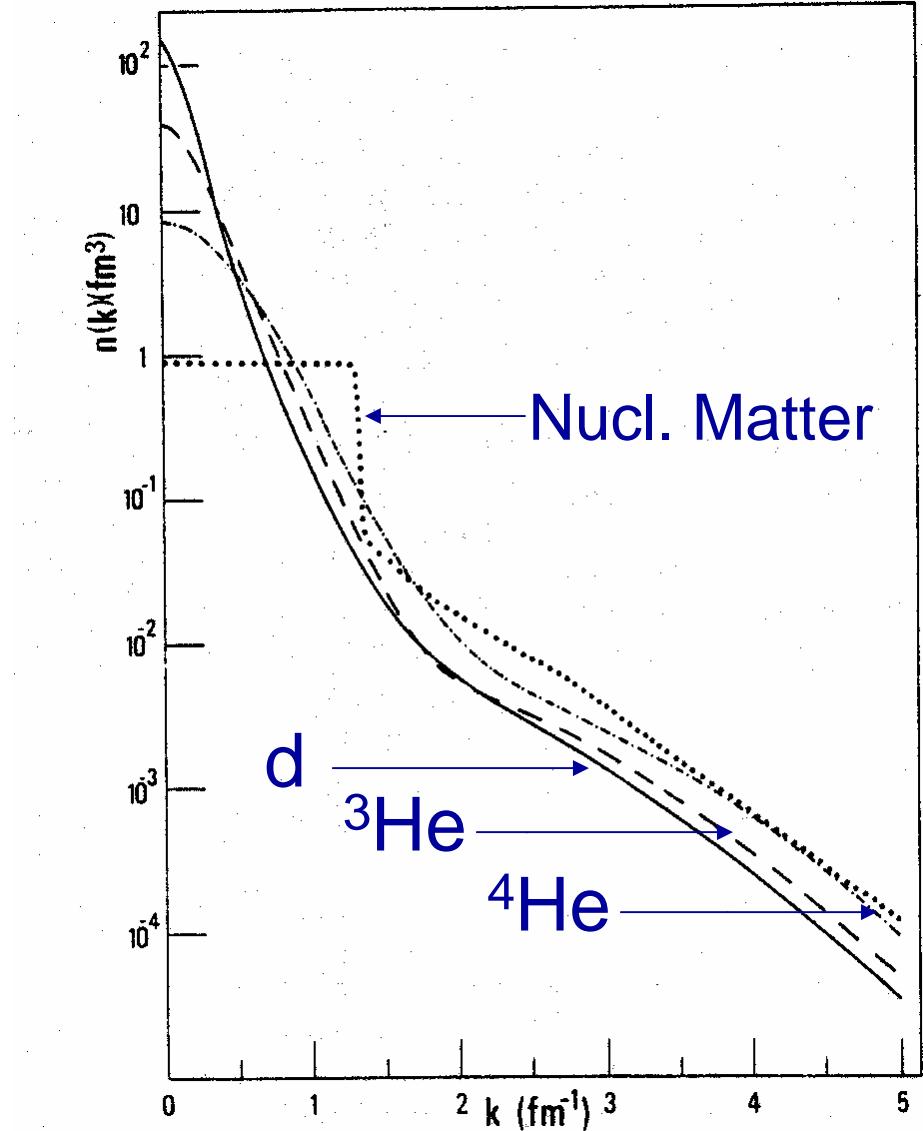




$$n(\vec{k}) = \int_{E_{\min}}^{\varepsilon_m} dE_m S(\vec{k}, E_m)$$

Short Range  
Corr. dominate  
at high  $k (=p_m)$   
and are related  
to large values  
of  $E_m$ .

C. Ciofi degli Atti, E. Pace and G. Salmè, Phys. Lett. **141B**, 14 (1984).



Similar shapes for  
few-body nuclei  
and nuclear matter  
at high  $k (=p_m)$ .

C. Ciofi degli Atti, E. Pace and G. Salmè,  
Phys. Lett. **141B**, 14 (1984).

# Some applications ....

Inclusive D(e,e')X process; y-scaling

$$\frac{d^3\sigma}{d\omega d\Omega_e} \cong \sigma_{eN} \frac{m}{|\vec{q}|} \int_{|p_{\min}|}^{\infty} pdp \ n_D(p)$$

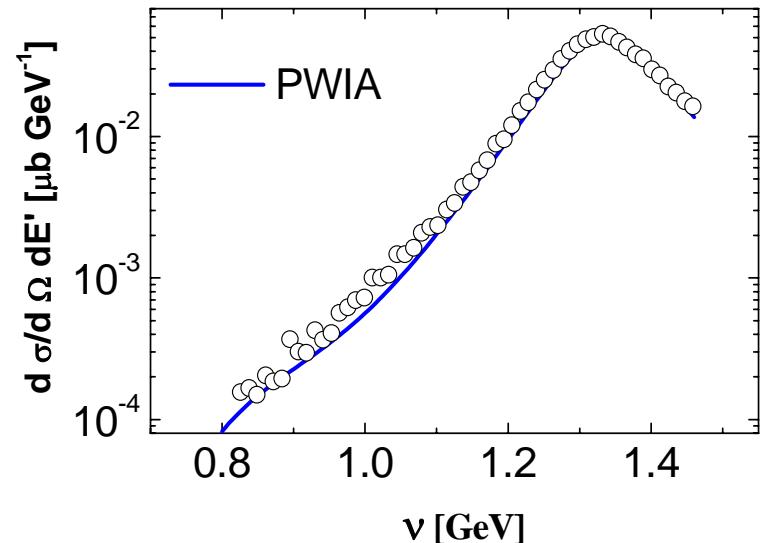
$$y \equiv p_{\min}(\vec{q}, v)$$

$$F(y) \equiv \frac{d^3\sigma}{d\omega d\Omega_e} / \sigma_{eN} \frac{m}{|\vec{q}|} = \int_{|y|}^{\infty} pdp \ n_D(p)$$

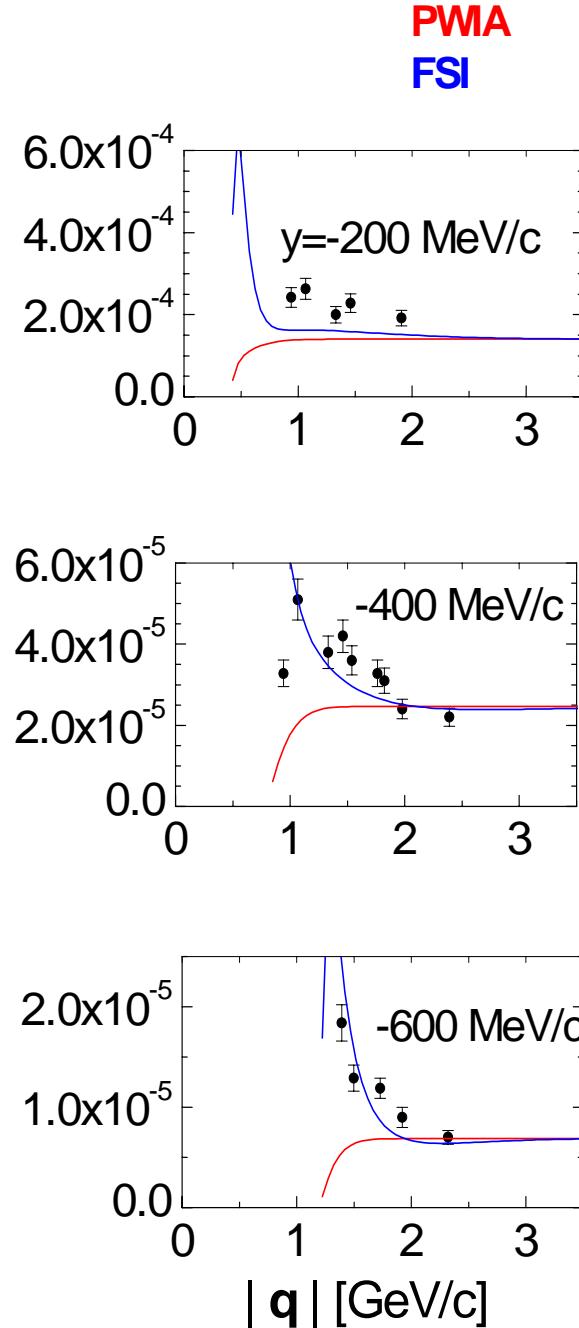
$$F'(y)/p = n_D(p)$$



C. Ciofi degli Atti, L.P.K. D. Treleani  
 Phys. Rev. C63 044601 (2001)

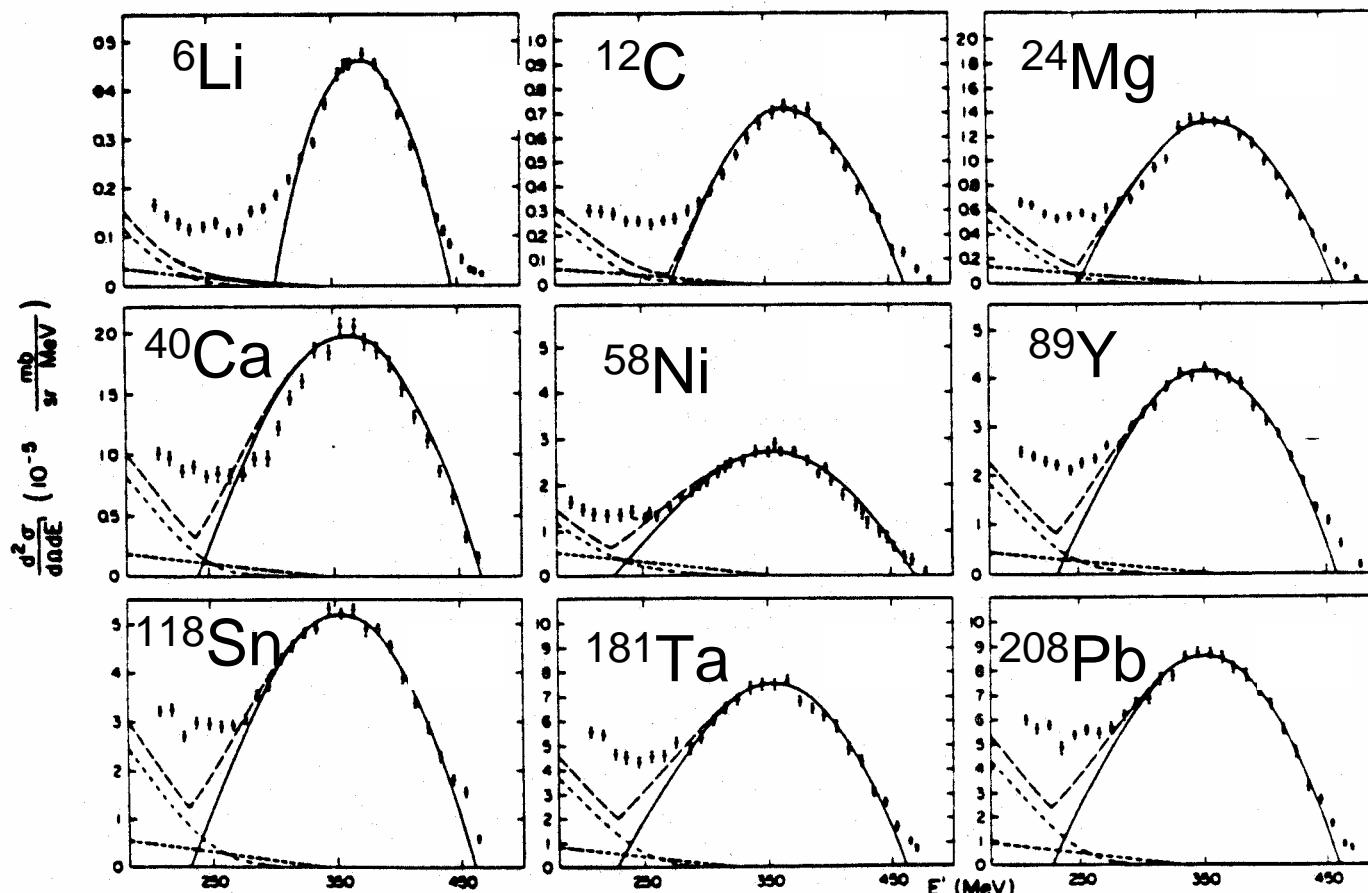


$$F(|\mathbf{q}|, y) [\text{MeV}^{-1}]$$



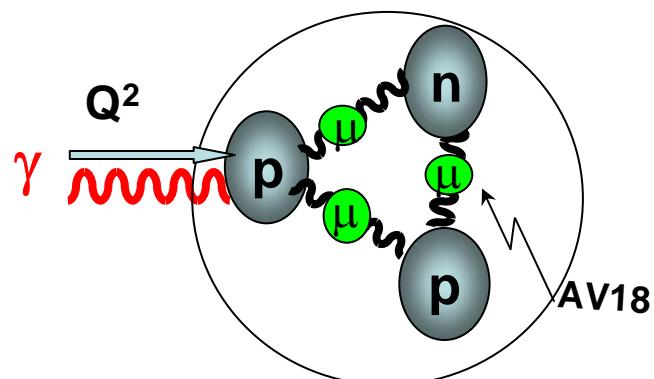
$$F'(y)/p = n_D(p)$$

# Quasielastic Electron Scattering

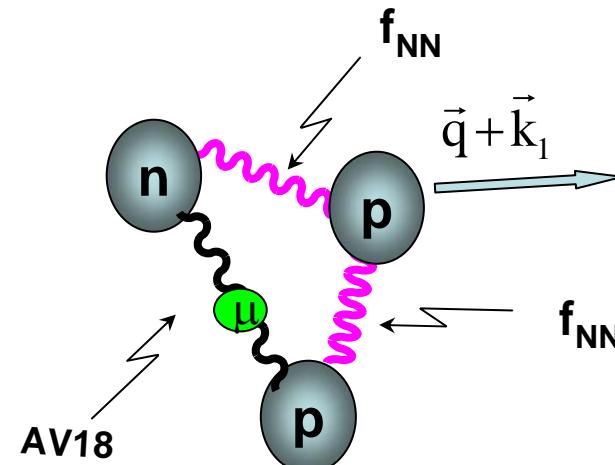


R.R. Whitney *et al.*, Phys. Rev. C 9, 2230 (1974).

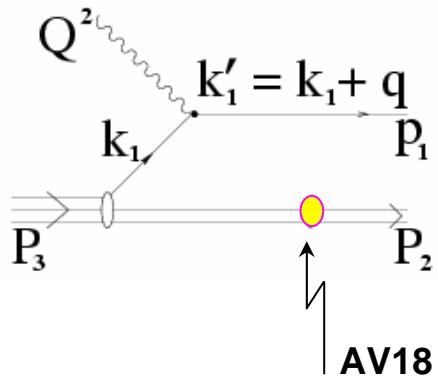
## FSI



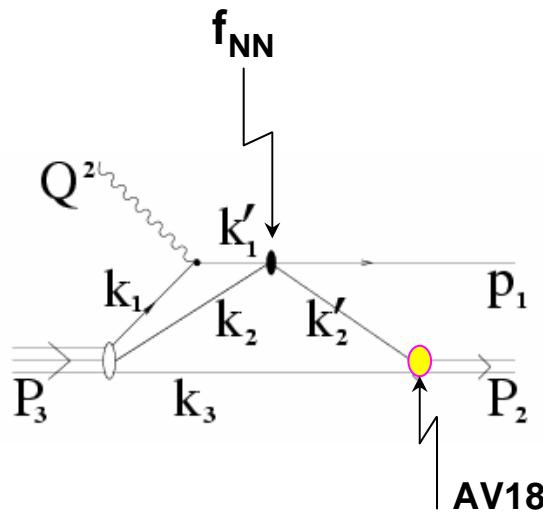
Before



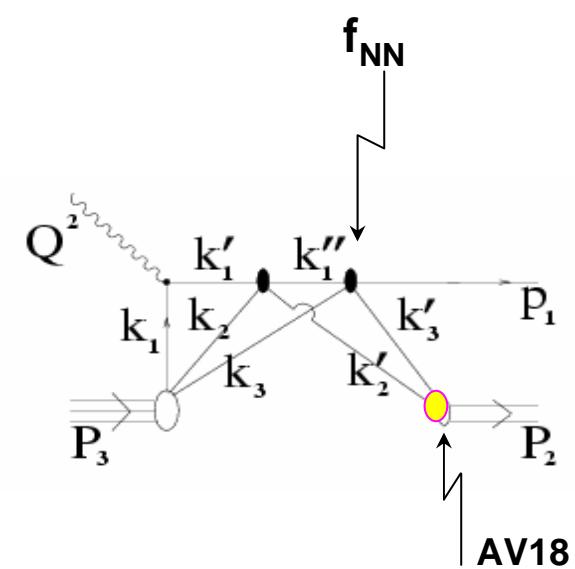
After



PWIA



Single resc.



Double resc.

# FSI

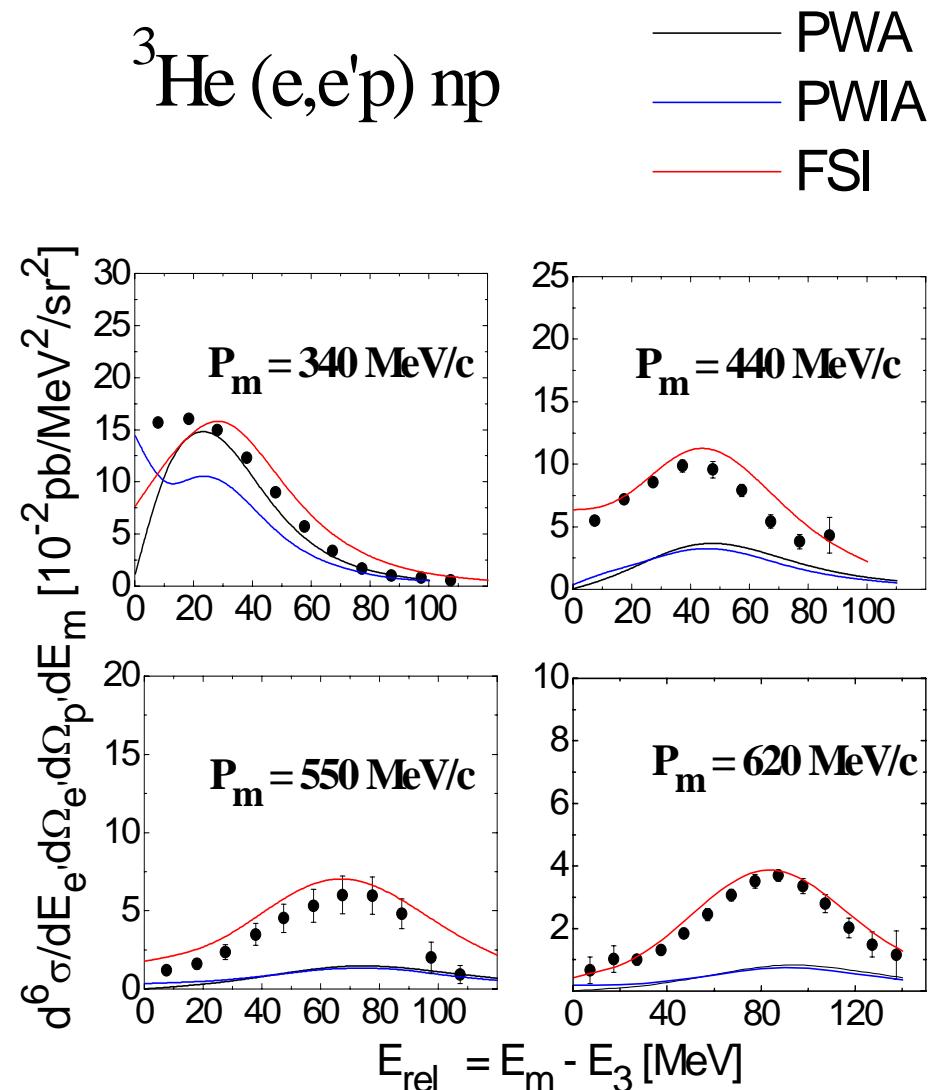
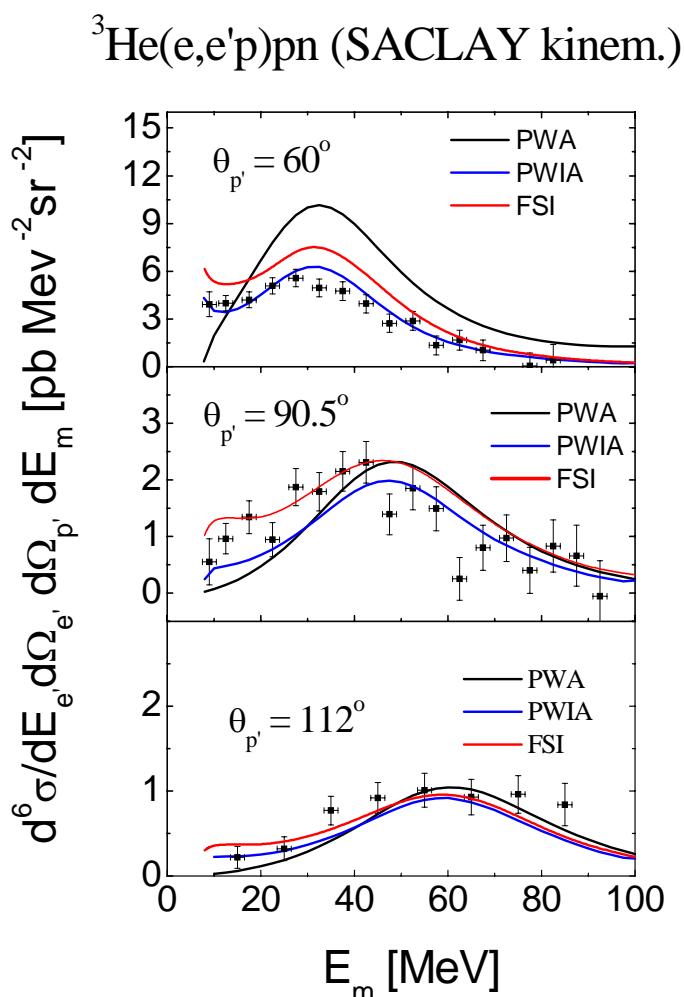
$$S_A^{FSI}(\underline{p}_m, E_m) = \frac{1}{2J_A + 1} \sum_f \left| \sum_{n=0}^{A-1} \mathcal{T}_A^{(n)}(\mathcal{M}_f, s_1) \right|^2 \delta \left( E_m - (E_{A-1}^f + E_{min}) \right)$$

$$\mathcal{T}_3^{(1)} = \int d\tau_{23} \underbrace{\frac{G_{He \rightarrow 1(23)}(k_1, k_2, k_3, s_1, s_2, s_3)}{(k_1^2 - M_N^2)}}_{\langle s_1, s_2, s_3 | \Psi_{He}^{M_3}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \rangle} \frac{\cancel{f_{NN}(p_1 - k'_1)}}{k_1^2 - M_N^2} \underbrace{\frac{G_{(23) \rightarrow f}^+(k'_2, k_3, s_2, s_3)}{(k'_2^2 - M_N^2)}}_{\langle s_2, s_3 | \Psi_{23}^{M_{23}}(\mathbf{k}_2, \mathbf{k}_3) \rangle}$$

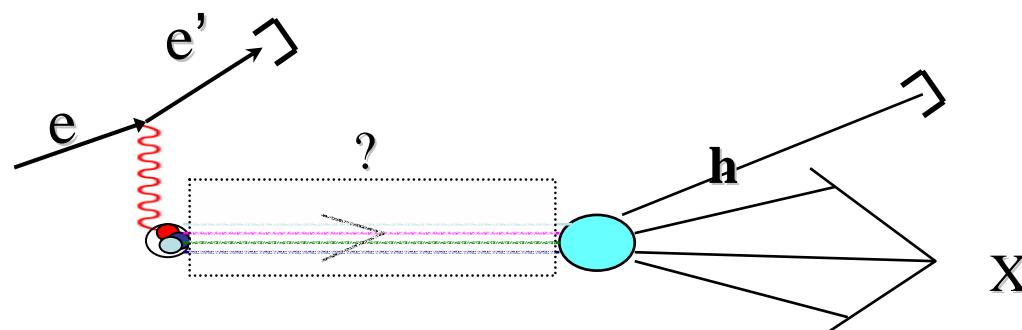
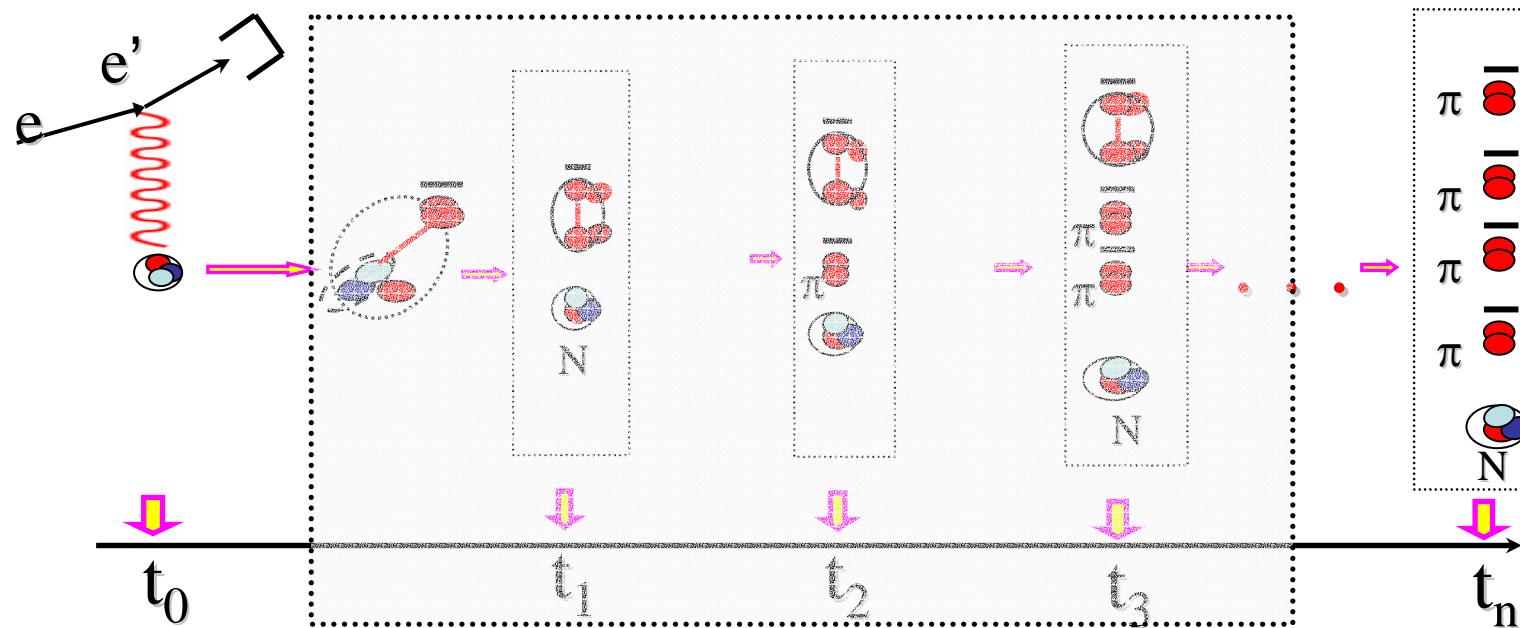
$$\mathcal{T}_3^{(1)} \approx \int \frac{d^3 \kappa}{(2\pi)^3} \Psi_{(23)}^f(\mathbf{k}_3, \mathbf{k}'_2; S_{23}) \frac{\cancel{f_{NN}(\kappa_\perp)/4M_N|\mathbf{p}_1|}}{(\kappa_z + \Delta_z + i\epsilon)} \langle s_1 | \Psi_{He}^{M_3}(\mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \rangle \quad \quad \Delta_z = \frac{E_{\mathbf{k}_1 + \mathbf{q}} + E_{\mathbf{p}_1}}{2|\mathbf{p}_1|} (E_m - E_3)$$

$$S_A^{FSI}(\underline{p}_m, E_m) = \sum_f \int \frac{d^3 \underline{t}}{(2\pi)^3} \left| \int e^{i\rho \underline{P}_m} \chi_{\frac{1}{2}s_1}^\dagger \Psi_{np}^{\underline{t}\dagger}(\underline{r}) \mathcal{S}_{\Delta}^{FSI}(\rho, \mathbf{r}) \Psi_{He}^{M_3}(\underline{r}\rho) d\rho d\mathbf{r} \right|^2 \delta \left( E - \frac{\underline{t}^2}{M_N} - E_3 \right)$$

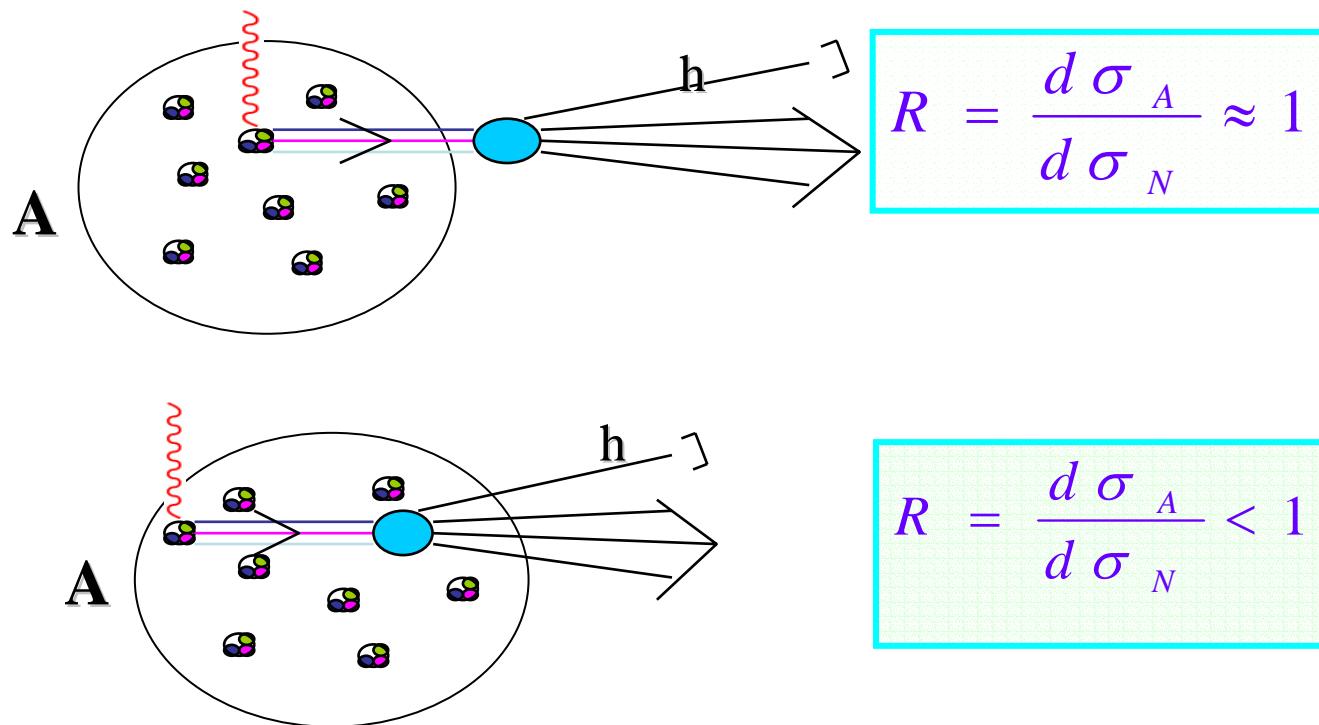
$$\mathcal{S}_{(1)}^{FSI}(\rho, \mathbf{r}) = 1 - \sum_{i=2}^3 \theta(z_i - z_1) e^{i\Delta_z(z_i - z_1)} \Gamma(\underline{b}_1 - \underline{b}_i)$$



## Hadronization in Deep Inelastic N(e,e')X processes



## Nuclear size as “time filter” for hadronization

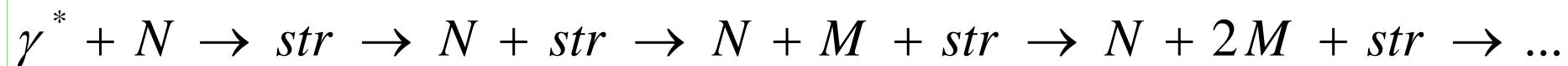


## Color string model

Energy loss  $\longrightarrow \frac{dE}{dz} = -\kappa$  (string strength)

### Time evolution

After  $\Delta t \approx k \approx 1 fm$  the string breaks into a qq-pair (meson) and another, less strengthened and (approx.) twice shorter string.

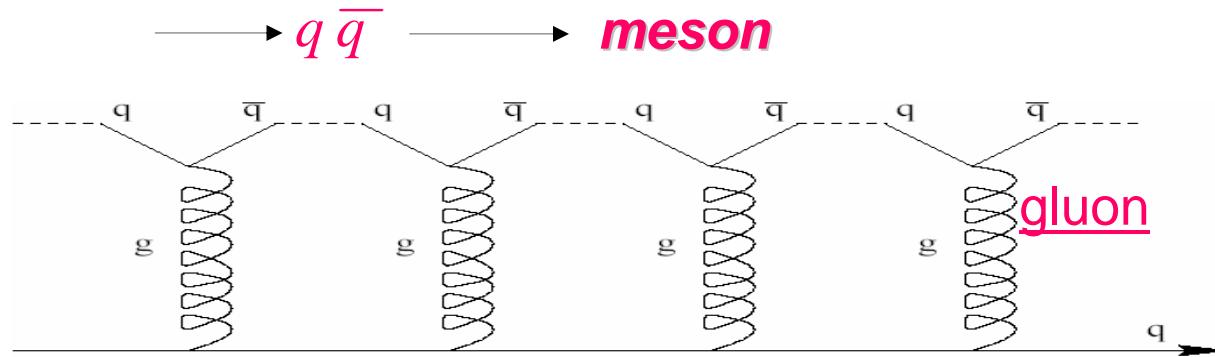


The break time  $\rightarrow t = \Delta t, 3\Delta t, 7\Delta t, \dots$   $t = \Delta t \sum_{j=0}^n 2^j = \Delta t(2^{n+1} - 1)$

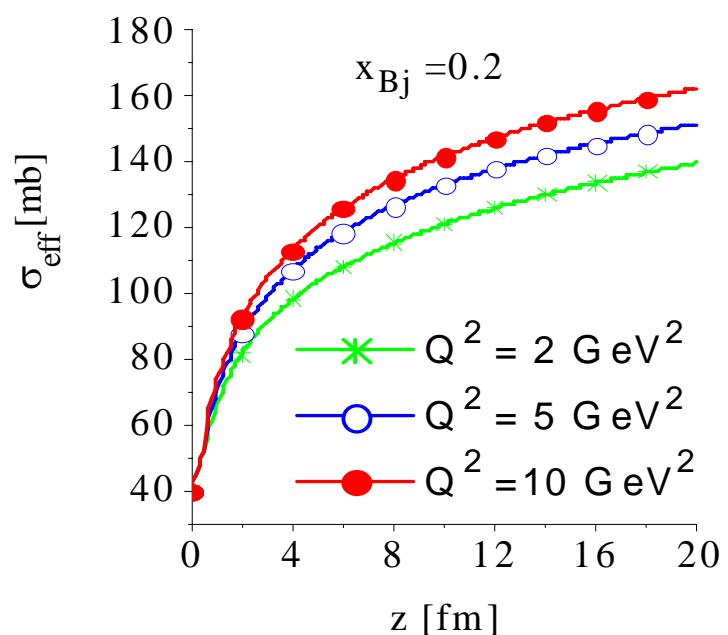
$$n+1 = \text{the total number of produced mesons} = n_M(t) = \frac{\ln(1 + t / \Delta t)}{\ln 2}$$

### The effective nucleon-debris cross section

$$\sigma_{eff} = \sigma_{tot}^{NN} + \sigma_{tot}^{MN} n_M(t)$$

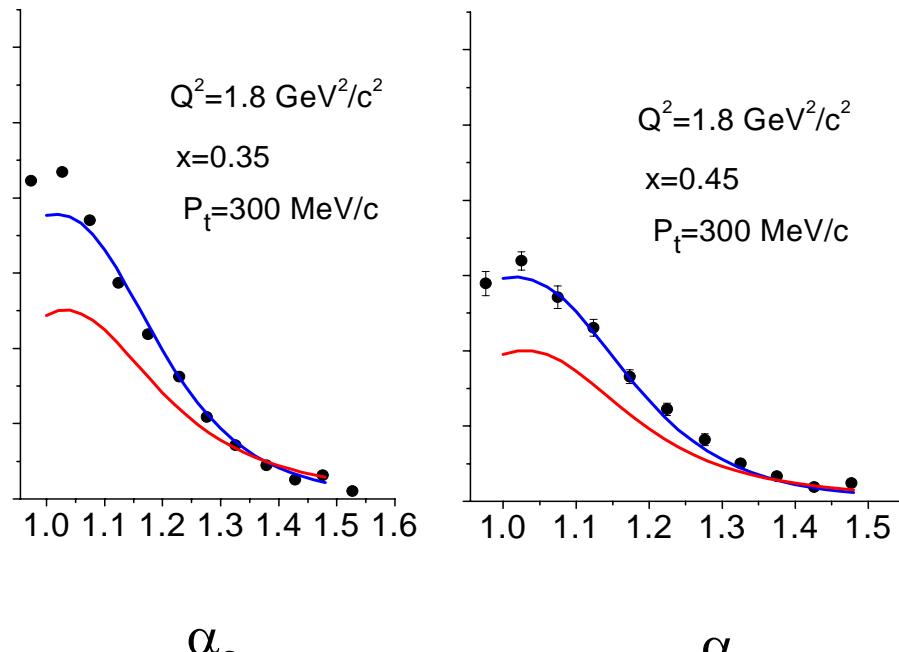
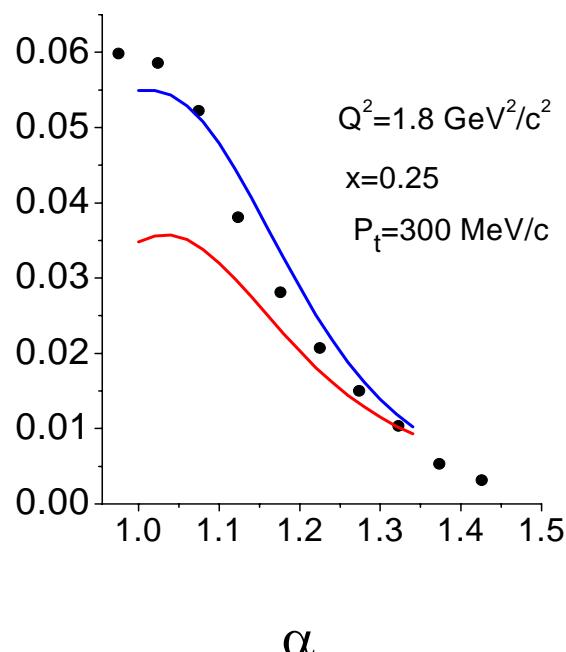
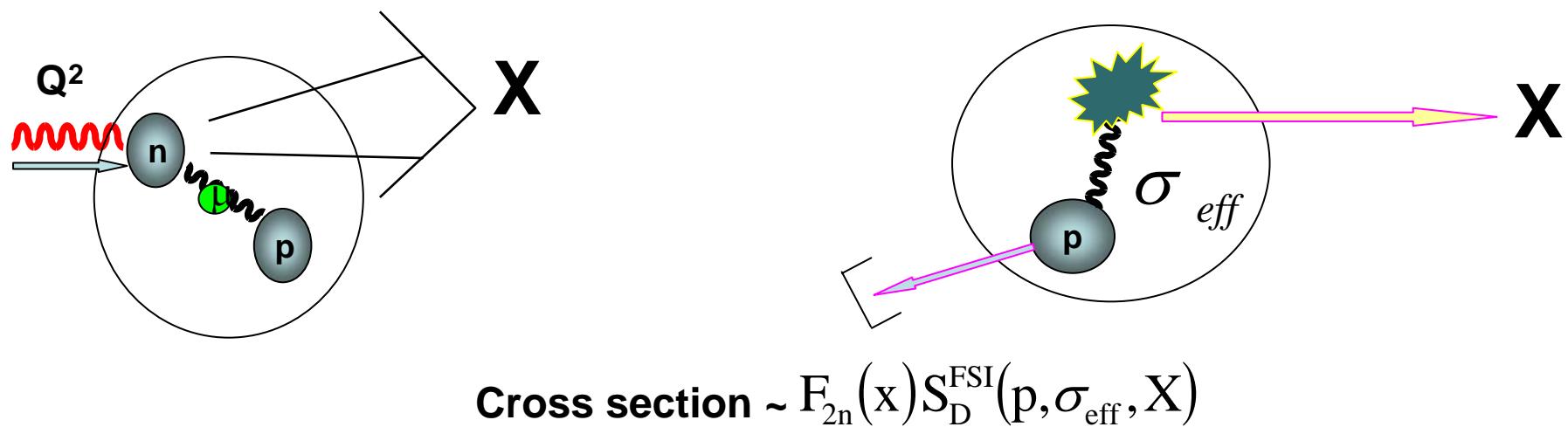


$$\sigma_{eff} = \sigma_{tot}^{NN} + \sigma_{tot}^{\pi N} [n_M(t) + n_G(t)]$$



C.Ciofi degli Atti, L.P.K. B.Z. Kopeliovich  
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## Semi Exclusive DIS BONUS: D( $e, e' p$ )X



A-1

# SUMMARY



## ➤ PWIA

➤ Inclusive  $y$ -scaling: direct experimental investigation of nuclear momentum distributions

➤  $NN$  short range correlations via investigation of nuclear spectral function

➤ reaction mechanism and the neutron structure

## ➤ FSI in quasi-elastic $A(e,e'p)$ reactions

➤ Feynman diagrammatic approach and Generalized Glauber approximation

## ➤ Semi-Exclusive DIS $A(e,e'X)(A-1)$

➤ Neutron Structure Function, binding effects

➤ Mechanism of Hadronization

➤ Color transparency etc.....

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