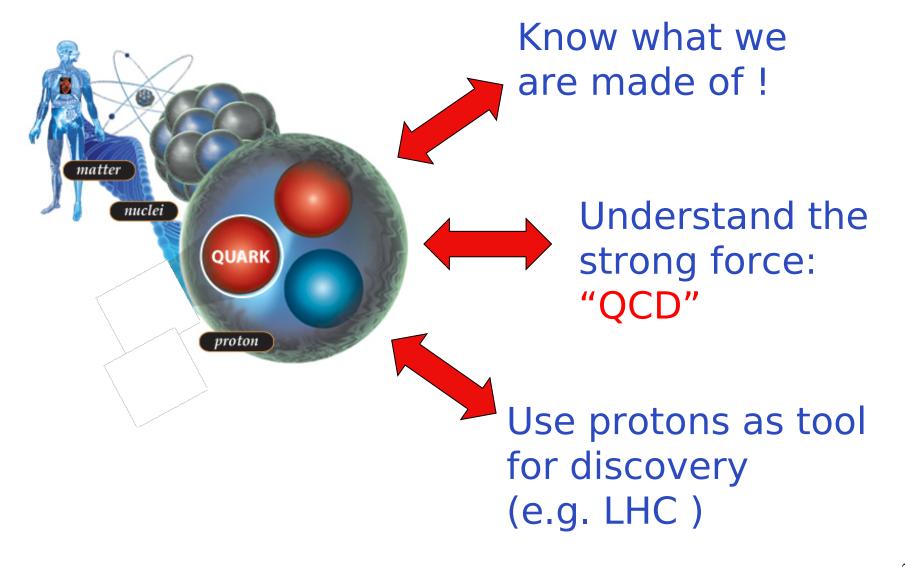


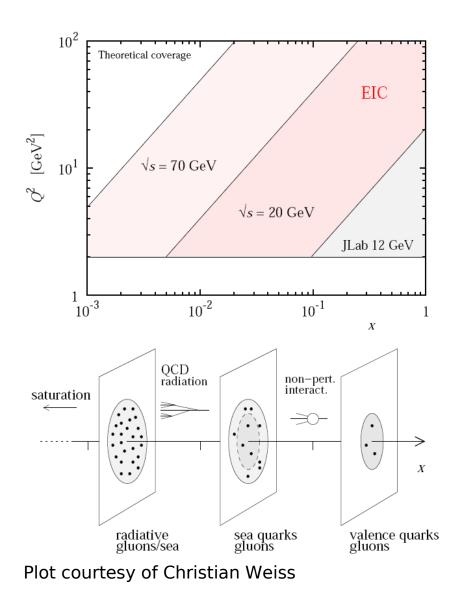
SPIN 2012, Dubna, Russia, September 17-22, 2012

# QCD and Spin Effects



### Exploring the nucleon: a fundamental quest





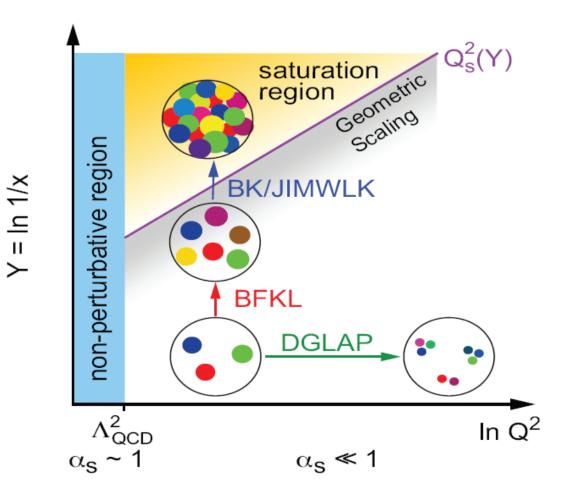
Nucleon is a many body dynamical system of quarks and gluons

Changing x we probe different aspects of nucleon wave function

How partons move and how they are distributed in space is one of the future directions of development of nuclear physics

Technically such information is encoded into Generalised Parton Distributions and Transverse Momentum Dependent distributions See talk by Peter Kroll

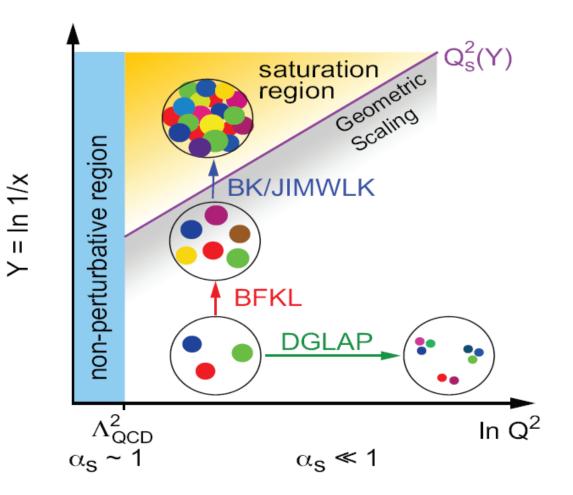
These distributions are also referred to as 3D (three-dimensional) distributions



Virtual photon serves as a microscopic probe of the nucleon:

Larger  $Q^2$  probe smaller distances – DGLAP evolution

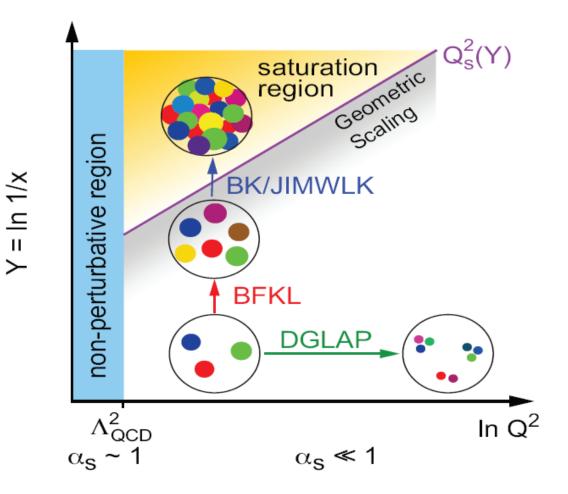
Plot from EIC whitepaper



Virtual photon serves as a microscopic probe of the nucleon:

Fixing  $Q^2$  and changing the energy we probe  $\ensuremath{\mathsf{BFKL}}$  evolution

Plot from EIC whitepaper



Virtual photon serves as a microscopic probe of the nucleon:

Recombination of gluons leads to non linear effects – BK/JIMWLK evolution and phenomenon of saturation. Dilute vs dense regime of QCD.

Plot from EIC whitepaper

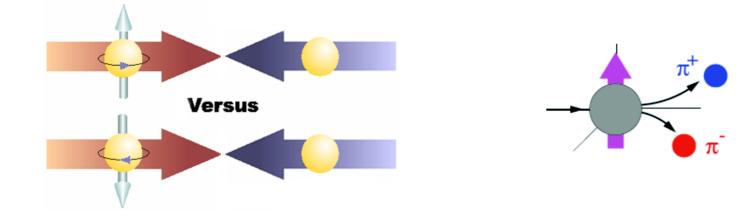
"Experiments with spin have killed more theories than any other single physical parameter"

Elliot Leader, Spin in Particle Physics, Cambridge U. Press (2001)

"Polarisation data has often been the graveyard of fashionable theories. If theorists had their way they might well ban such measurements altogether out of selfprotection."

J. D. Bjorken, Proc. Adv. Research Workshop on QCD Hadronic Processes, St. Croix, Virgin Islands (1987).

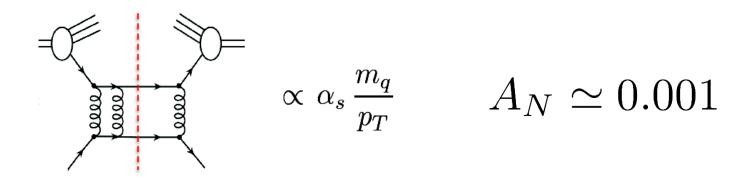
#### Consider AN in hadron hadron collision:



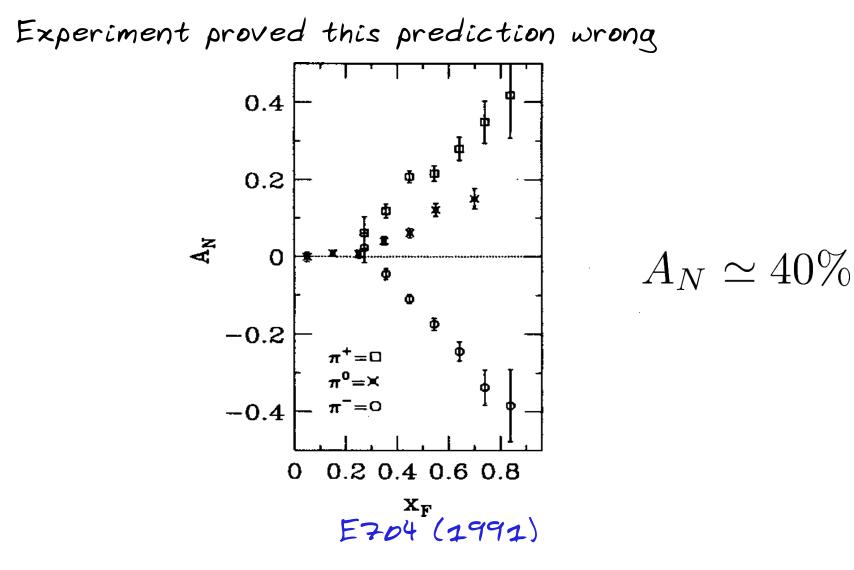
$$A_N = \frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}}$$

QCD had a very simple prediction:

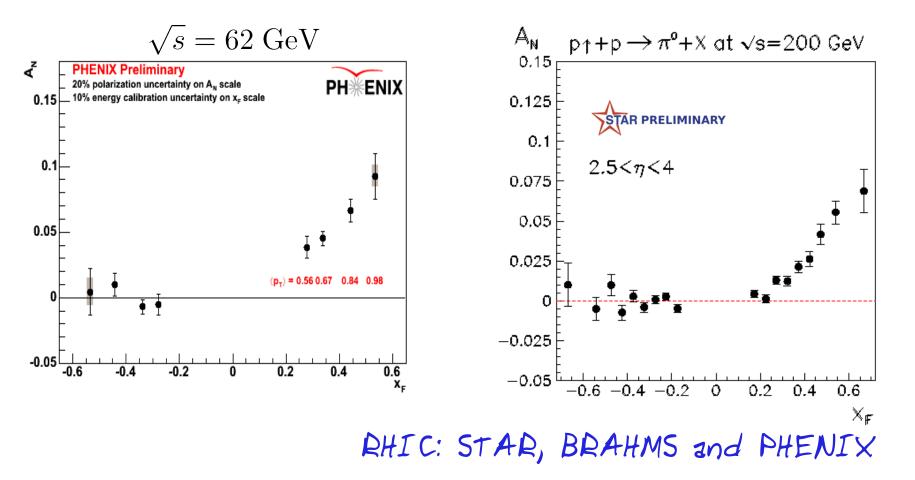
Helicity flip is proportional to the small mass of the quark, thus



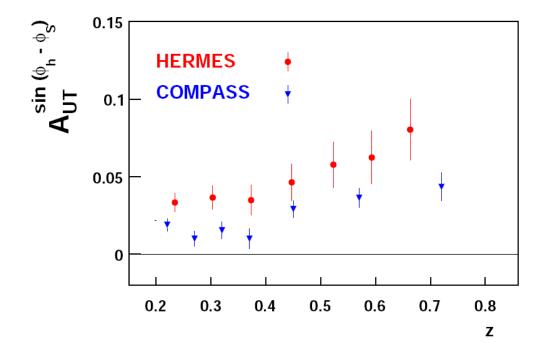
Kane, Pumplin and Repko (1978)



#### Asymmetry survives with energy



Asymmetry survives with energy



HERMES and COMPASS

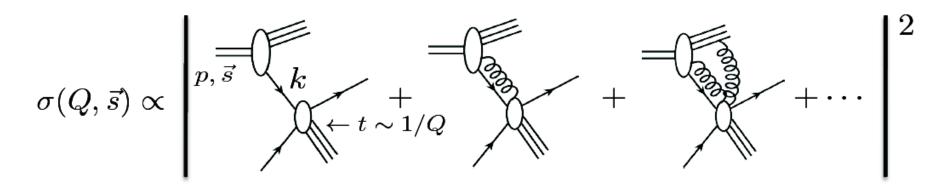
# Failure of QCD?



### Not at all: better understanding of QCD



### Better understanding of QCD



Multy parton correlations contribute to the cross section.

These correlations are called Efremov-Teryaev-Qiu-Sterman matrix elements, They appear at twist-3 level in cross section.

$$\sigma = \sigma^{LT} + \frac{Q_s}{Q} \sigma^{HT} + \dots$$
$$= H^{LT} \otimes f_2 \otimes f_2 + \frac{Q_s}{Q} H^{HT} \otimes f_3 \otimes f_2 + \dots$$

### Better understanding of QCD

If only one large scale is present in the process, then

 $\begin{array}{rcl} A_N & \propto & \sigma(p_T, S_{\perp}) - \sigma(p_T, -S_{\perp}) \\ & \propto & T^{(3)}(x, x, S_{\perp}) \otimes \hat{\sigma}_T \otimes D(z) + \delta q(x, S_{\perp}) \otimes \hat{\sigma}_D \otimes D^{(3)}(z, z) + \dots \end{array}$ Leading power cancels

Twist-3 parton correlation functions

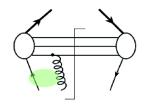
$$T^{(3)}(x,x,S_{\perp}) \propto$$

Qiu-Sterman 1991

Twist-3 parton fragmentation functions

Kang, Yuan, Zhou 2010

$$D^{(3)}(z,z) \propto$$



No probability interpretation!

### Evolution of twist-3 matrix elements

One starts from factorization

$$\Delta \sigma = 1/QH(Q/\mu_F, \alpha_s)f_2(\mu_F)f_3(\mu_F)$$

• Calculate directly

Kang, Qiu 2009 Yuan, Zhou 2009

• Calculate from scale dependence of hard part

Yuan, Vogelsang 2009

• Renormalization of twist-3 operators

Braun et al 2009

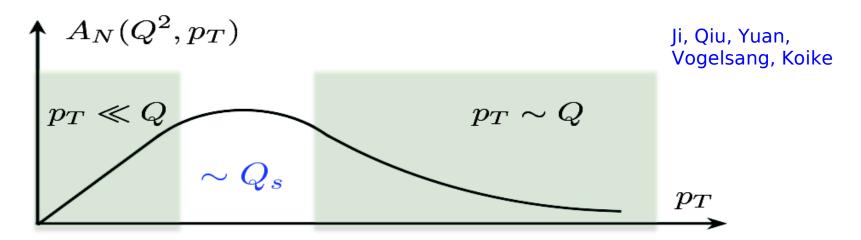
#### Good agreement of results!

### Collinear vs TMD factorization

We can consider two different kinematical regions

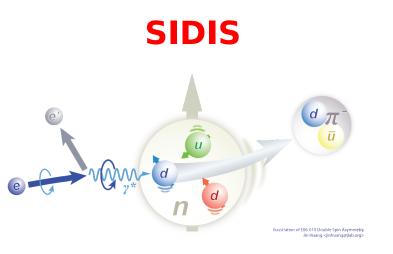
$$Q_1,Q_2,...\gg \Lambda_{QCD}$$
 Collinear $Q_1\gg Q_2>\Lambda_{QCD}$  TMD

- Twist-3 integration over parton momenta
- TMD direct information on partonic transverse motion



#### Consistent in the overlap region!

### Transverse Momentum Dependent distributions



 $\mathbf{l} + \mathbf{P} \rightarrow \mathbf{l}' + \mathbf{h} + \mathbf{X}$ 

If produced hadron has low transverse momentum  $P_{hT} \sim \Lambda_{QCD} << Q$ 

it will be sensitive to quark transverse momentum  $\,k_\perp$ 

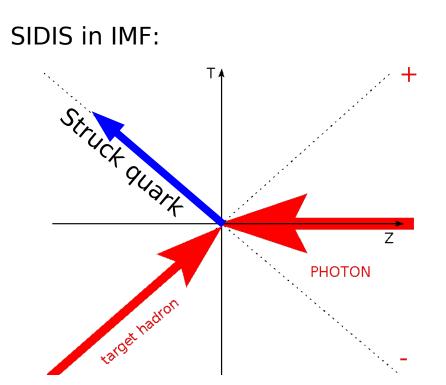
TMD factorization proven in QCD Ji, Ma, Yuan (2002) Collins (2011) For processes with two hadrons: SIDIS, DY, e+e-

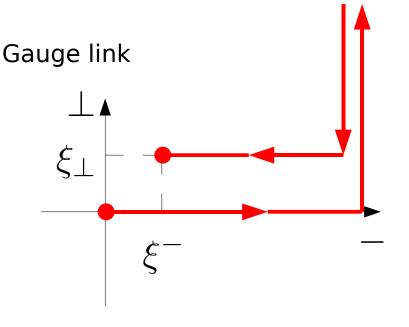


$$\Phi_{ij}(x,\mathbf{k}_{\perp}) = \int \frac{d\xi^{-}}{(2\pi)} \, \frac{d^{2}\xi_{\perp}}{(2\pi)^{2}} \, e^{ixP^{+}\xi^{-} - i\mathbf{k}_{\perp}\xi_{\perp}} \, \langle P, S_{P} | \bar{\psi}_{j}(0)\mathcal{U}(\mathbf{0},\xi)\psi_{i}(\xi) | P, S_{P} \rangle$$

### Transverse Momentum Dependent distributions

$$\Phi_{ij}(x,\mathbf{k}_{\perp}) = \int \frac{d\xi^{-}}{(2\pi)} \frac{d^{2}\xi_{\perp}}{(2\pi)^{2}} e^{ixP^{+}\xi^{-} - i\mathbf{k}_{\perp}\xi_{\perp}} \langle P, S_{P} | \bar{\psi}_{j}(0) \mathcal{U}(\mathbf{0},\xi) \psi_{i}(\xi) | P, S_{P} \rangle |_{\xi^{+} = 0}$$

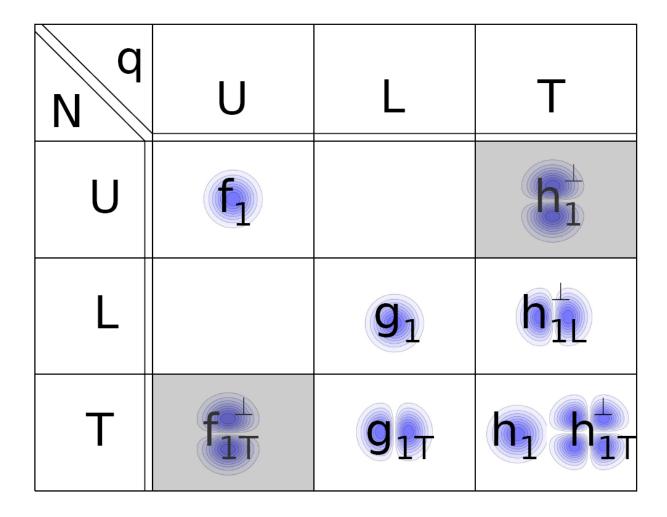




 $\mathcal{U}(a,b;n) = e^{-ig \int_a^b d\lambda n \cdot A_\alpha(\lambda n) t_\alpha}$ 

Ensures gauge invariance of the distribution, cannot be canceled by gauge choice

# TMDs



8 functions in total (at leading Twist)

Each represents different aspects of partonic structure

Each function is to be studied

Kotzinian (1995), Mulders, Tangerman (1995), Boer, Mulders (1998)

#### **Correlation of transverse quark motion and the nucleon spin – Sivers function**

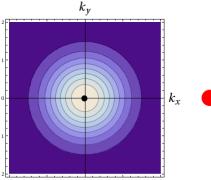
$$f(x, \mathbf{k_T}, \mathbf{S_T}) = f_1(x, \mathbf{k_T^2}) - f_{1T}^{\perp}(x, \mathbf{k_T^2}) \frac{\mathbf{k_x}}{M}$$
  
This function gives access to 3D imaging

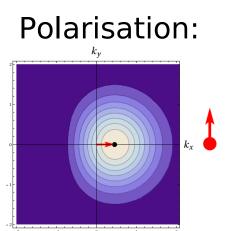
Spin-orbit correlation

Physics of gauge links is represented

**Requires Orbital Angular Momentum** 

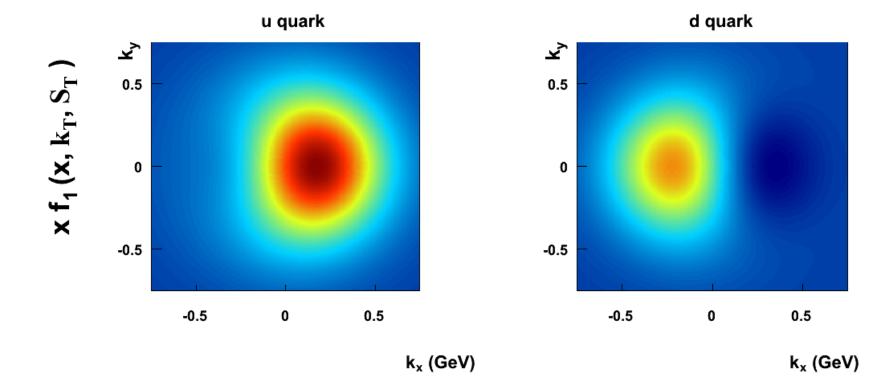
No polarisation:





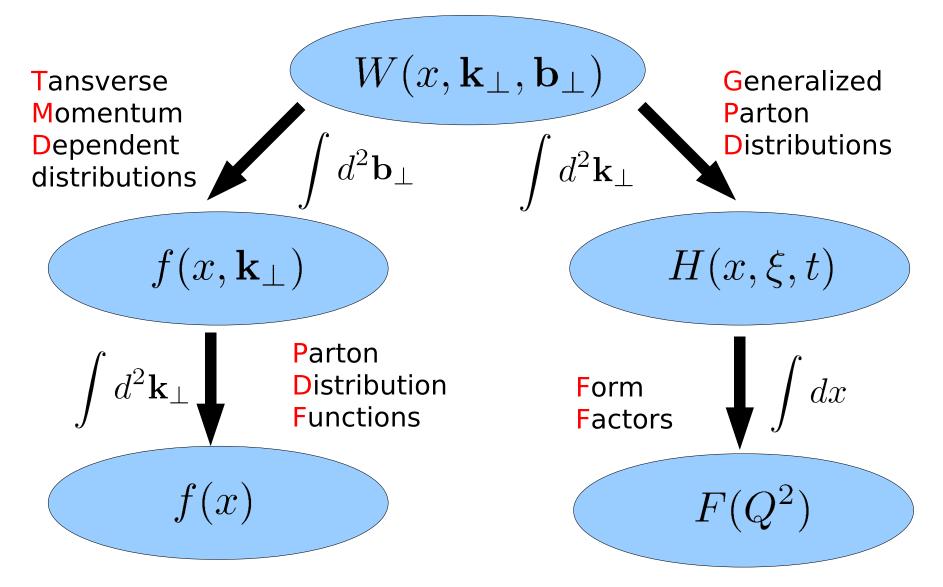
EIC report, Boer, Diehl, Milner, Venugopalan, Vogelsang et al , 2011; Duke workshop report: Anselmino et al Eur.Phys.J.A47:35,2011 EIC Whitepaper Alexei Prokudin

## Tomographic scan of the nucleon





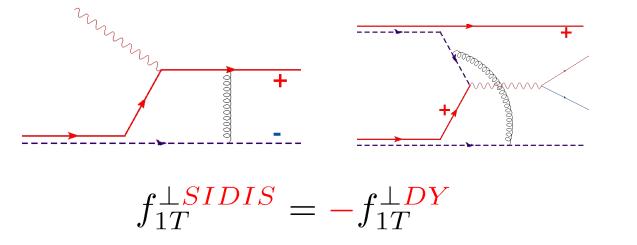
# Wigner distribution



### Physics of gauge links

Colored objects are surrounded by gluons, profound consequence of gauge invariance.

Sivers function has opposite sign when gluon couple after quark scatters (SIDIS) or before quark annihilates (Drell-Yan)



Brodsky,Hwang, Schmidt Belitsky,Ji,Yuan Collins Boer,Mulders,Pijlman, etc

See talk by Michela Chiosso

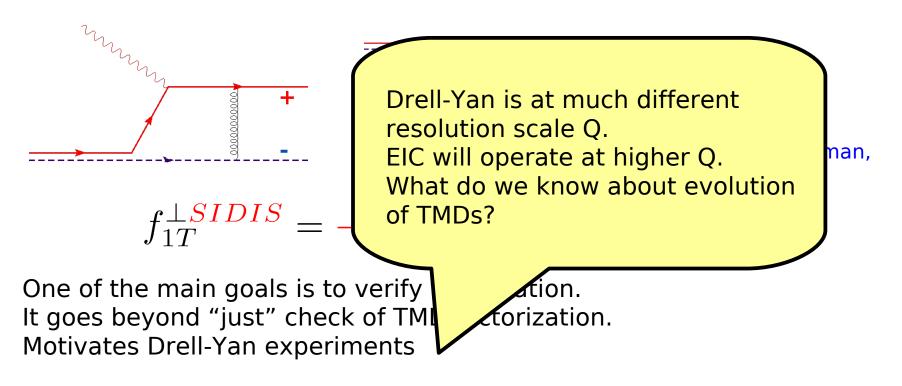
One of the main goals is to verify this relation. It goes beyond "just" check of TMD factorization. Motivates Drell-Yan experiments

AnDY, COMPASS, JPARC, PAX etc Barone et al., Anselmino et al., Yuan, Vogelsang, Schlegel et al., Kang, Qiu, Metz, Zhou

### Physics of gauge links

Colored objects are surrounded by gluons, profound consequence of gauge invariance.

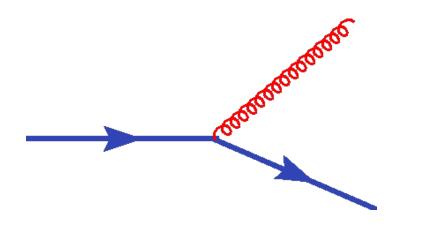
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AnDY, COMPASS, JPARC, PAX etc Barone et al., Anselmino et al., Yuan, Vogelsang, Schlegel et al., Kang, Qiu, Metz, Zhou

### SIDIS and parton model

"QCD improved" parton model:



Radiation of gluons create transverse momenta

Terms like this appear

 $\left(\alpha_s\right)^n \left(\ln\frac{Q^2}{P_{\tau}^2}\right)^m$ 

Result needs to be resummed for small  $\ P_T$ 

Dokshitzer, Dyakonov, Troyan 1980 Parizi, Petronzio 1979 Collins, Soper 1982 Collins, Soper, Sterman 1985 Koike, Nagashima,Vogelsang Kang,Xiao,Yuan

Implementation of resummation In QCD

### Resummation

Dokshitzer, Dyakonov, Troyan 1980 Parizi, Petronzio 1979 Collins, Soper 1982 Collins, Soper, Sterman 1985 Koike, Nagashima,Vogelsang Kang,Xiao,Yuan

Resummation (CSS) is in configuration space Fourier transform is needed for observables

For Drell-Yan

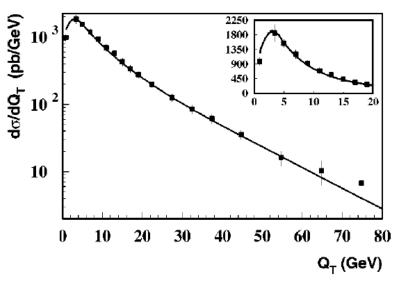
$$\frac{d\sigma}{dq_T} \sim \int d^2 b_T e^{iq_T \cdot b_T} \hat{W}(x_1, x_2, b_T) e^{-S(b_T, Q)} + Y(q_T, Q)$$

A lot of phenomenology done. Energies from 20 GeV to 2 TeV.

Brock, Landry, Nadolsky, Yuan 2003 Qiu, Zhang 2001

Drawbacks:

- Process dependent fits
- No direct connection to TMDs
- Designed for large energies



## Evolution of TMDs

#### One needs a unique definition of TMDs

Foundations of perturbative QCD Collins 2011

$$W^{\mu\nu} = \sum_{f} |H_{f}(Q^{2}, \mu)|^{\mu\nu}$$
  
 
$$\times \int d^{2}\mathbf{k}_{1T} d^{2}\mathbf{k}_{2T} F_{f/P_{1}}(x_{1}, \mathbf{k}_{1T}; \mu, \zeta_{F}) F_{\bar{f}/P_{1}}(x_{2}, \mathbf{k}_{2T}; \mu, \zeta_{F})$$
  
 
$$\times \delta^{(2)}(\mathbf{k}_{1T} + \mathbf{k}_{2T} - \mathbf{q}_{T}) + Y(\mathbf{q}_{T}, Q)$$

 $F_{f/P_1}(x_1, \mathbf{k}_{1T}; \mu, \zeta_F)$  **TMD distribution of partons in a hadron** Report group (RG) renormalization

# Evolution of TMDs

Evolution of TMDs is done in coordinate space  $\, {f b}_T \,$ 

$$F_{f/P}(x, \mathbf{k}_T; \mu, \zeta_F) = \frac{1}{(2\pi)^2} \int d^2 \mathbf{b}_T e^{i\mathbf{k}_T \cdot \mathbf{b}_T} \tilde{F}_{f/P}(x, \mathbf{b}_T; \mu, \zeta_F)$$

Foundations of perturbative QCD Collins 2011

Why coordinate space?

Convolutions become simple products:

$$W^{\mu\nu} = \sum_{f} |H_{f}(Q^{2},\mu)|^{\mu\nu}$$

$$K^{\mu\nu} = \sum_{f} |$$

In principle experimental study of functions in coordinate space Is possible

Boer, Gamberg, Musch, AP 2011

Collins, Soper 1982

**Energy evolution** 

$$\frac{\partial \ln \tilde{F}(x, b_T, \mu, \zeta)}{\partial \ln \sqrt{\zeta}} = \tilde{K}(b_T, \mu) \xrightarrow{} \text{Collins-Soper kernel in coordinate space}$$

Renormalization group equations

coordinate space

$$\frac{d\tilde{K}(b_T,\mu)}{d\ln\mu} = -\gamma_K(g(\mu))$$
$$\frac{d\ln\tilde{F}(x,b_T,\mu,\zeta)}{d\ln\mu} = -\gamma_F(g(\mu),\zeta)$$

Energy evolution

$$\frac{\partial \ln \tilde{F}(x, b_T, \mu, \zeta)}{\partial \ln \sqrt{\zeta}} = \tilde{K}(b_T, \mu) \qquad \qquad \blacktriangleright \quad \text{Collins-Soper kernel in coordinate space}$$

At small  $\mathbf{b}_T$  perturbative treatment is possible

TMD: Collins 2011 Rogers, Aybat 2011 Aybat, Collins, Qiu, Rogers 2011

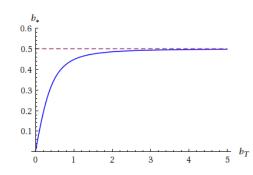
$$\tilde{K}(b_T,\mu) = -\frac{\alpha_s C_F}{\pi} \Big( \ln(\mu^2 b_T^2) - \ln 4 + 2\gamma_E \Big) + \mathcal{O}(\alpha_s^2)$$

Large  $\mathbf{b}_T$  nonperturbative – matching via  $\mathbf{b}_*$  Collins Soper 1982

$$b_*(b_T) = \frac{b_T}{\sqrt{1 + b_T^2/b_{max}^2}}$$

Brock, Landry, Nadolsky, Yuan 2003

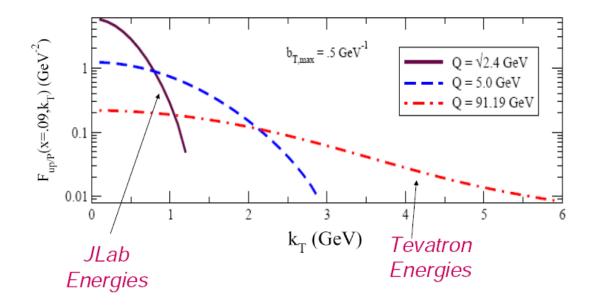
Other methods of matching are available i.e. Vogelsang et al



Solution Rogers, Aybat 2011 Aybat, Collins, Qiu, Rogers 2011

$$\tilde{F}_{f/P}(x,b_T;Q,\zeta_F) = F_{f/P}(x;Q_0) \exp\left(-\left[\frac{\langle k_T^2 \rangle}{4} + \frac{g_2}{2}\ln\frac{Q}{Q_0}\right]b_T^2\right)$$

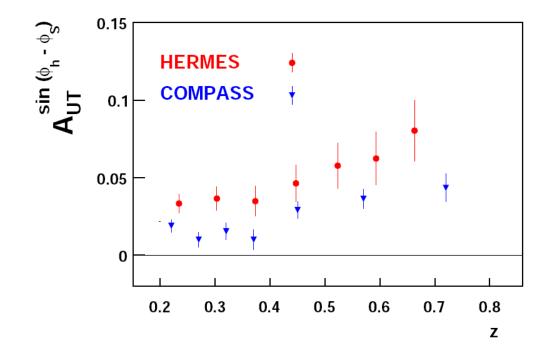
Non perturbative



Gaussian behaviour is appropriate only in a limited range

TMDs change with energy and resolution scale

Can we see signs of evolution in the experimental data?



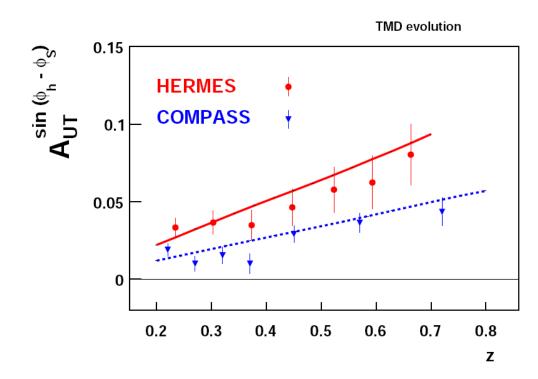
Aybat, AP, Rogers 2011

COMPASS data is at  $\langle Q^2 \rangle \simeq 3.6 \; (GeV^2)$ 

HERMES data is at

$$\langle Q^2 \rangle \simeq 2.4 \; (GeV^2)$$

#### Can we explain the experimental data? Full TMD evolution is needed!



Aybat, AP, Rogers 2011

COMPASS dashed line  $\langle Q^2 \rangle \simeq 3.6 \; (GeV^2)$ 

HERMES solid line

$$\langle Q^2 \rangle \simeq 2.4 \; (GeV^2)$$

### **TMD evolution** This is the first implementation of TMD evolution for

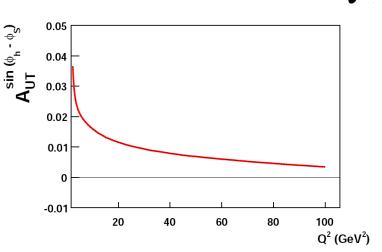
observable -x  $f_{1T}^{\perp}$  (x,  $p_{L}$ )  $Q^2 = 2.4$  (GeV<sup>2</sup>)  $Q^2 = 3.6 (GeV^2)$  ..... 10 10<sup>-2</sup> 10<sup>-3</sup> 0 0.2 0.4 0.6 0.8 1 1.2 1.4 p<sub>I</sub>(GeV)

Functions change with energy

COMPASS PROTON

0.1 h<sup>+</sup> 0.05 A<sub>UT</sub><sup>sin(\ph.-\ph.S)</sup> 0 1.55 1.83 2.17 2.82 4.34 7.75 10.5 TMD -0.05 DGLAP TMD Analytical 0.01 0.1 Х<sub>В</sub>

Aybat, AP, Rogers 2011 Asymmetry changes with  $\zeta_{a}$ 



Anselmino, Boglione, Melis 2012

Solid line – TMD evolution fit Dashed line – DGLAP fit

Phenomenological analysis with evolution is now possible Alexei Prokudin

# Helicity structure

$$\Delta q(x) = \left| \stackrel{P,+}{\Longrightarrow} \stackrel{xP}{\longrightarrow} \right|^{2} - \left| \stackrel{P,+}{\Longrightarrow} \stackrel{xP}{\longrightarrow} \right|^{2} X \right|^{2}$$

$$\Delta g(x) = \left| \stackrel{P,+}{\Longrightarrow} \stackrel{xP}{\longrightarrow} \right|^{2} - \left| \stackrel{P,+}{\Longrightarrow} \stackrel{xP}{\longrightarrow} \right|^{2} X \right|^{2}$$

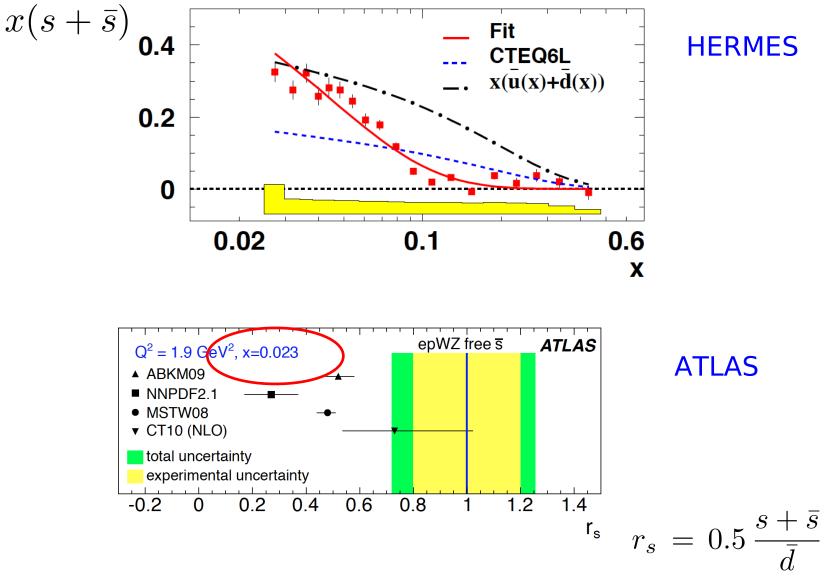
$$\frac{1}{2} = \frac{1}{2} \Delta \Sigma + L_{q} + \Delta G + L_{g}$$

$$\Delta \Sigma(Q^{2}) = \int_{0}^{1} dx \left[ \Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s} \right] (x, Q^{2})$$

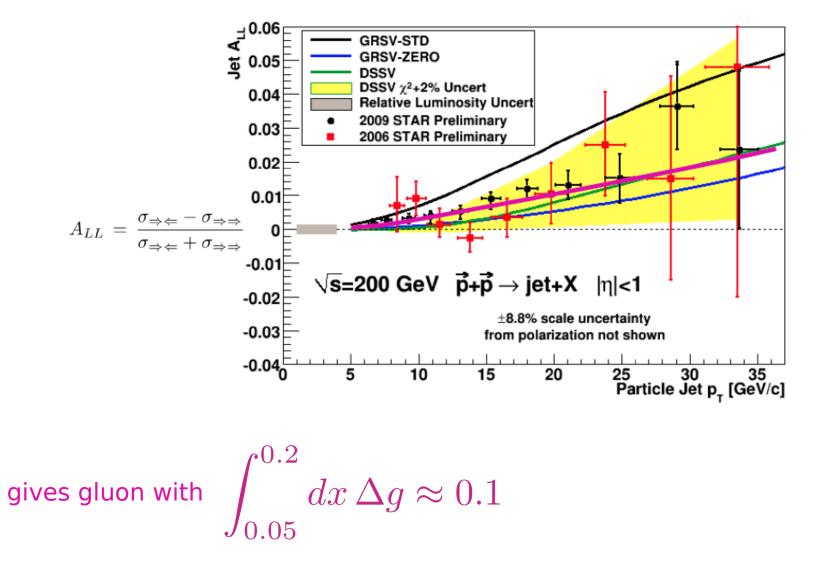
$$\Delta G(Q^{2}) = \int_{0}^{1} dx \Delta g(x, Q^{2})$$
Alexei Prokudin

 $\Delta$ 

We still have a lot to learn about strangeness:



# New devlopments on $\Delta g$ : de Florian, Sassot, Stratmann, Vogelsang



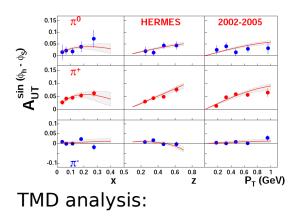
# TMDs and twist-3 are related

At operator level:

$$T_F(x,x) = -\int d^2 \vec{k}_\perp \frac{k_\perp^2}{M} (f_{1T}^\perp(x,k_\perp))_{SIDIS}$$
Boer,Mulders,Pijlma

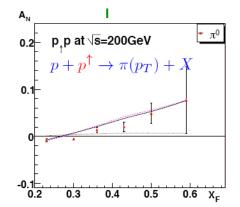
Boer,Mulders,Pijlman, Ji, Qiu,Vogelsang,Yuan, Koike,Vogelsang,Yuan Zhou,Yuan,Liang Bacchetta,Boer,Diehl,Mulders

We can compare results from SIDIS and PP:



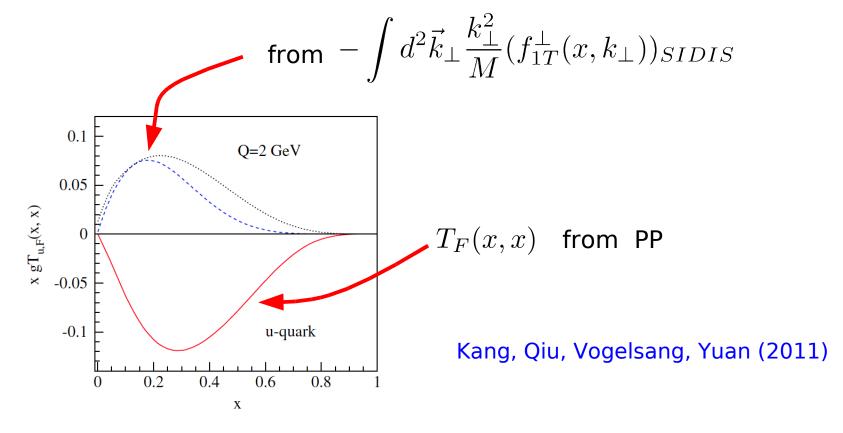
Anselmino et al (2008)





Collinear analysis: Kouvaris, Qiu, Vogelsang, Yuan (2006)

Kang, Qiu, Vogelsang, Yuan (2011)



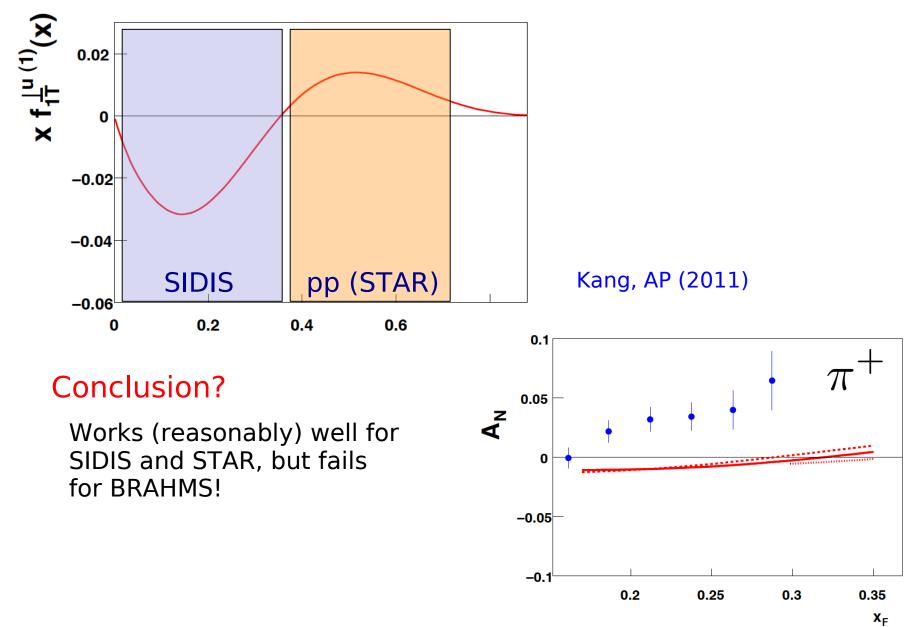
#### Conclusion?

Inconsistency in QCD formalism for single-spin ?

Collins-type effect dominant in  $pp \to \pi X$ ?

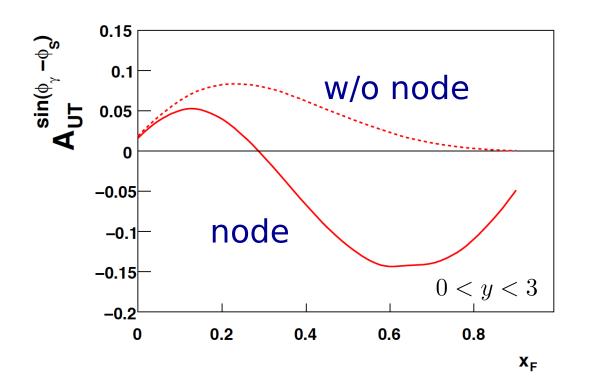
Can one describes it with nodes in x or kt?

#### Joint fit to SIDIS and pp data:



Has ramifications for DY spin asymmetry:

Kang, AP (2011)

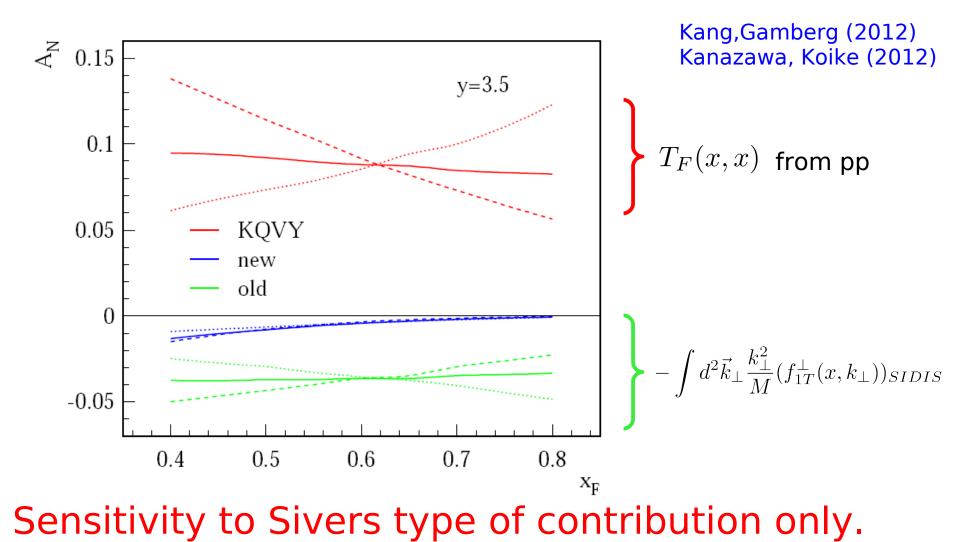


Strengthens case for study of DY "sign change" !

AnDY, COMPASS, E906,W bosons at RHIC

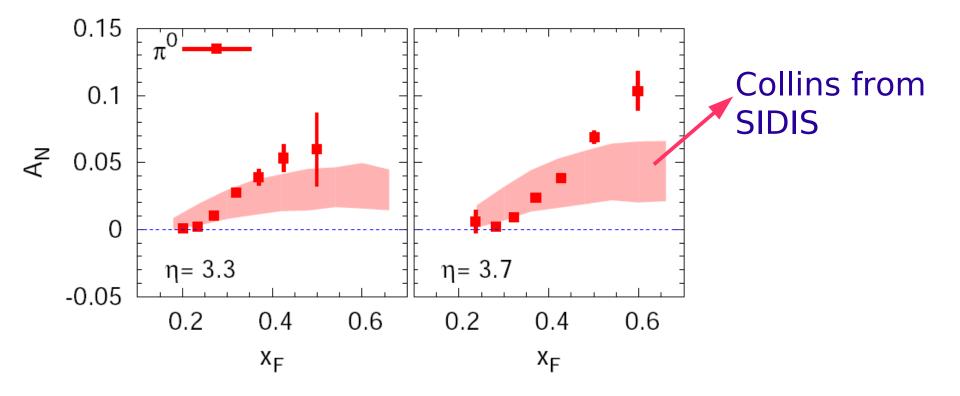
### Direct (prompt) photon $A_N$





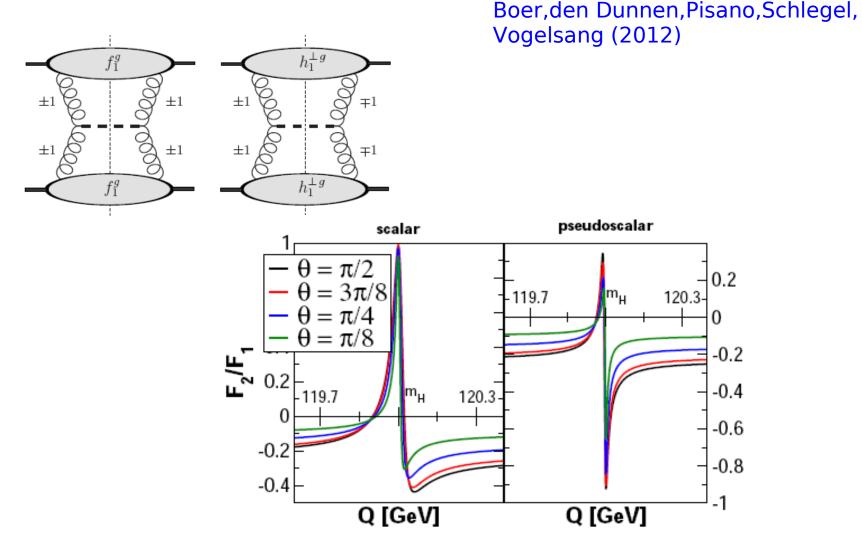
# **Collins contribution**

Anselmnino, Boglione, D'Alesio, Leader (5), Melis, Murgia, AP (2012)



Collins contribution can be substantial

# TMDs for LHC



Parity of the Higgs!

# CONCLUSIONS

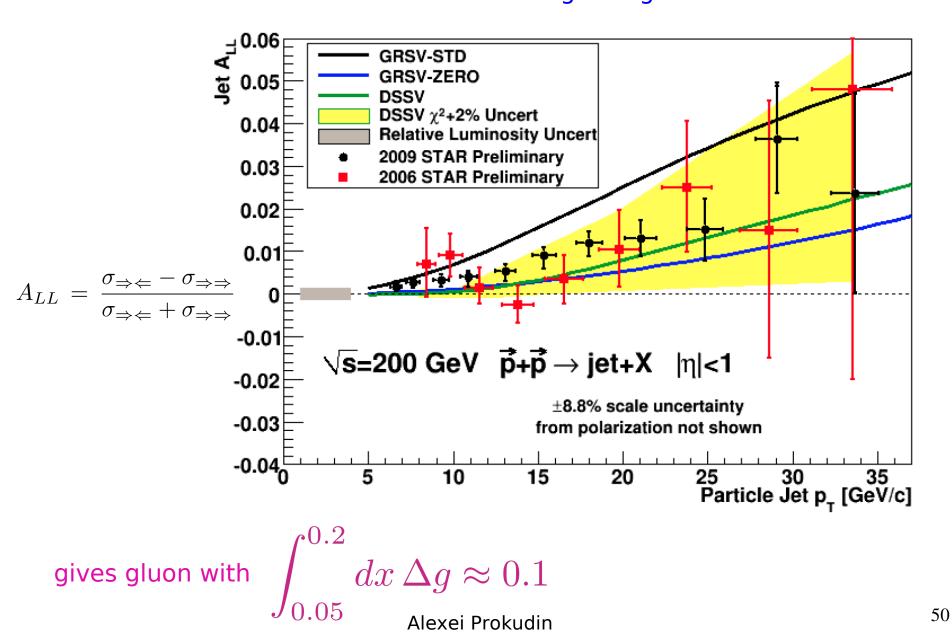
## CONCLUSIONS

# A lot of new results will be presented this week!

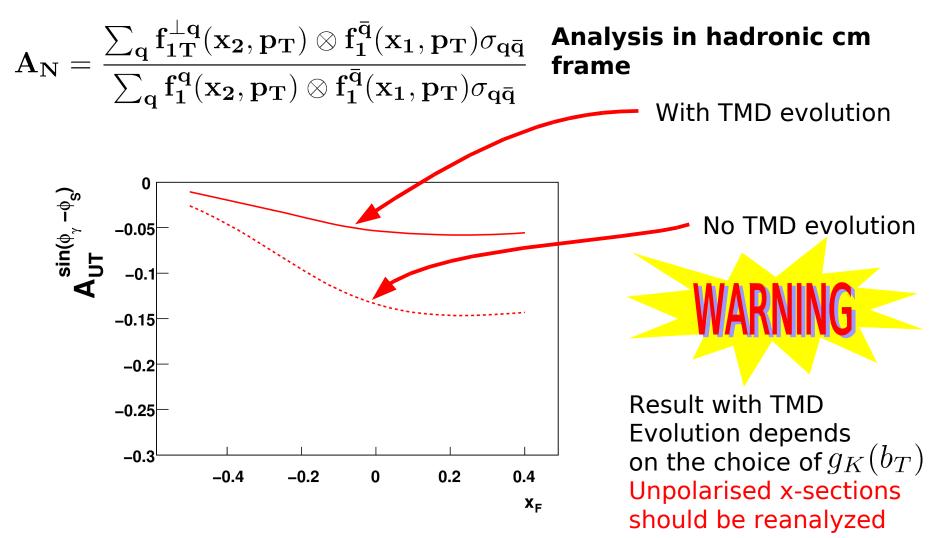
# Enjoy SPIN 2012!

# Spares to follow

# New devlopments on $\Delta g$ : de Florian, Sassot, Stratmann, Vogelsang

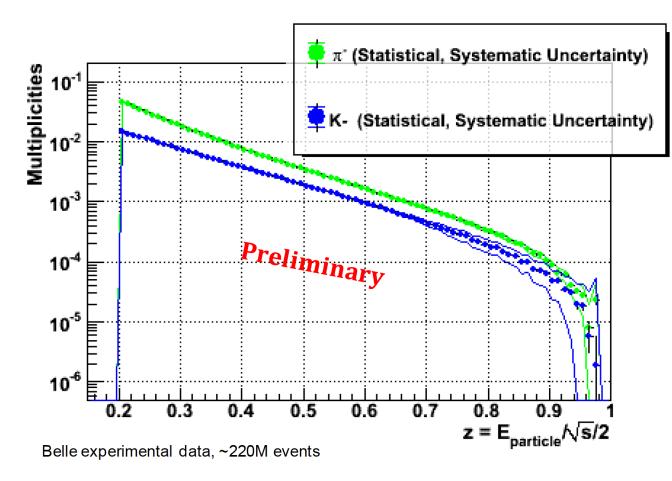


# Drell Yan



Asymmetry is suppesed with respect to LO analysis

## Fragmentation FF: BELLE (M. Leitgab at DIS 2012)



- marks new era of precision fragmentation functions. Evolution  $\rightarrow~D_g^h~{\rm e^+e^-}$  vs. RHIC(pp)

# TMD evolution

Relation to collinear treatment:

$$\tilde{F}_f(x, b_T, \mu, \zeta) = \sum_j \int_x^1 \frac{d\hat{x}}{\hat{x}} \tilde{C}_{j/f}\left(\frac{x}{\hat{x}}, b_T, \mu, \zeta\right) f_j(\hat{x}, \mu) + \mathcal{O}(\Lambda_{QCD}b_T)$$
Colling. Soper 1982

Valid at small  $\, {f b}_T$  , lowest order:

$$\tilde{C}_{j/f}(\frac{x}{\hat{x}}, b_T, \mu, \zeta) = \delta_{jf}\delta\left(\frac{x}{\hat{x}} - 1\right) + \mathcal{O}(\alpha_s)$$

Higher order for TMD PDFs

Aybat Rogers 2011

Higher order for Sivers function

Kang, Xiao, Yuan 2011

# TMD evolution

$$\begin{aligned} & \text{Solution} \quad \begin{array}{l} & \text{Rogers, Aybat 2011} \\ & \text{Aybat, Collins, Qiu, Rogers 2011} \\ & \tilde{F}_{f/P}(x, b_T; Q, \zeta_F) = \tilde{F}_{f/P}(x, b_T; Q_0, Q_0^2) \\ & \times \exp\left[ -g_K(b_T) \ln \frac{Q}{Q_0} \right] \\ & \times \exp\left[ \ln \frac{Q}{Q_0} \tilde{K}(b_*; \mu_b) + \int_{Q_0}^Q \frac{d\mu'}{\mu'} \left[ \gamma_F(g(\mu'); 1) - \ln \frac{Q}{\mu'} \gamma_K(g(\mu')) \right] \right] \\ & + \int_{Q_0}^{\mu_b} \frac{d\mu'}{\mu'} \ln \frac{Q}{Q_0} \gamma_K(g(\mu')) \right] \end{aligned}$$

Perturbative

Typically for TMDs:

$$\tilde{F}_{f/P}(x, b_T; Q_0, Q_0^2) = F_{f/P}(x; Q_0) \exp\left(-\frac{\langle k_T^2 \rangle}{4} b_T^2\right)$$