

# Transverse Spin Physics at HERMES

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- Spin Puzzle
- HERMES Experiment
- TTSA in semi-inclusive meson production
- TTSA in exclusive reactions
- Summary

# Spin Puzzle

$$\frac{1}{2} = J_q + J_G$$

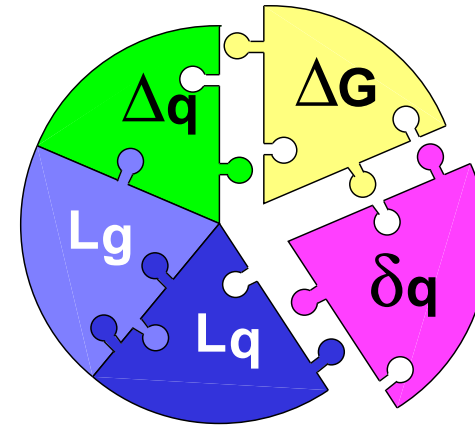
$$J_q = \frac{1}{2}(\Delta u + \Delta d + \Delta s) + L_q$$

$$J_G = \Delta G + L_G$$

$\Delta q$  - known from DIS (contribute about 30% to the nucleon spin only!)

$\Delta G$  - there are first measurements (small?)

$L_q$  and  $L_G$  - are unknown.

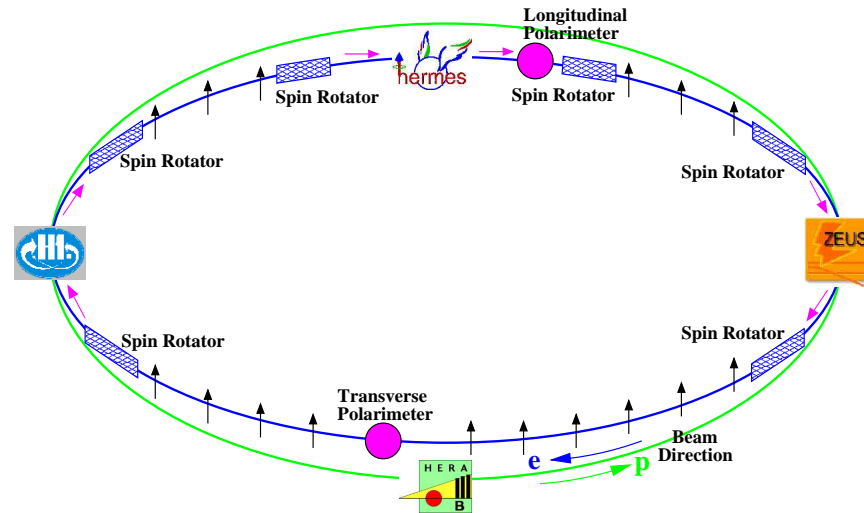


To clarify the nucleon spin structure one need to measure:

- orbital angular momenta  $L_q, L_G$
- transversity distribution function  $\delta q(x)$

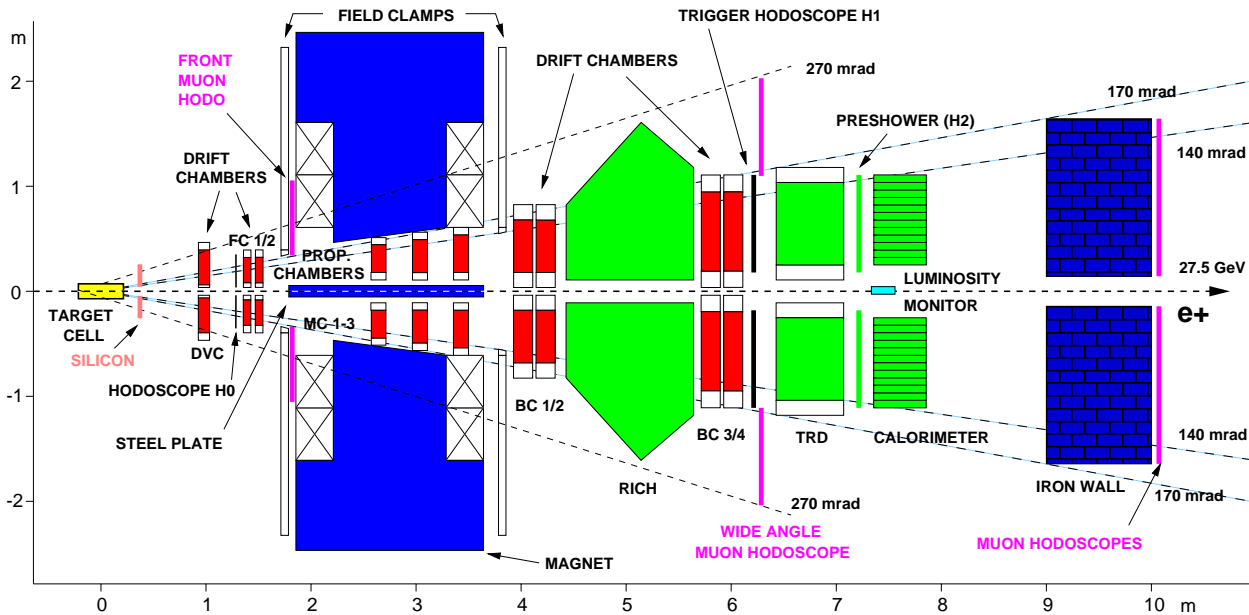
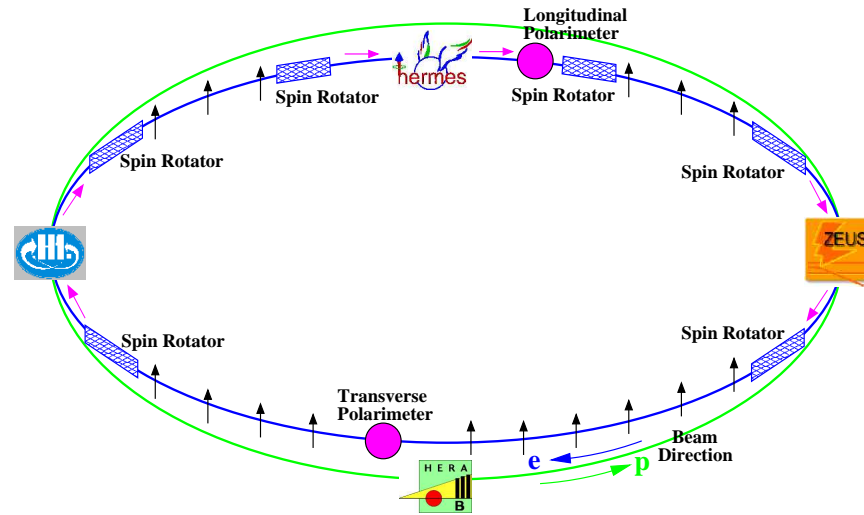
# HERMES Experiment

27.5 GeV polarized  $e^+/e^-$   
beam of HERA



# HERMES Experiment

27.5 GeV polarized  $e^+/e^-$  beam of HERA



Internal gas Target:  
polarized -  $H^\uparrow$

Angular acceptance:

$$40 < \theta < 220 \text{ mrad}$$

RICH:  $\pi / K / p$

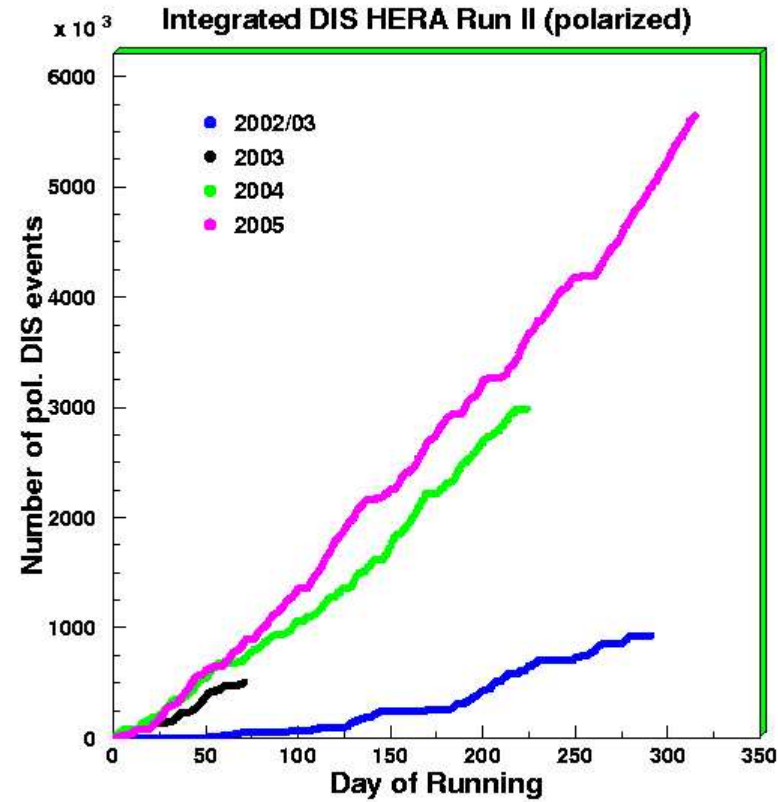
- $e/h$  rejection: TRD, Preshower, Calorimeter, RICH
- magnetic spectrometer:  $\Delta p/p < 2.5\%$  and  $\Delta\theta < 0.6 \text{ mrad}$



# HERMES Experiment

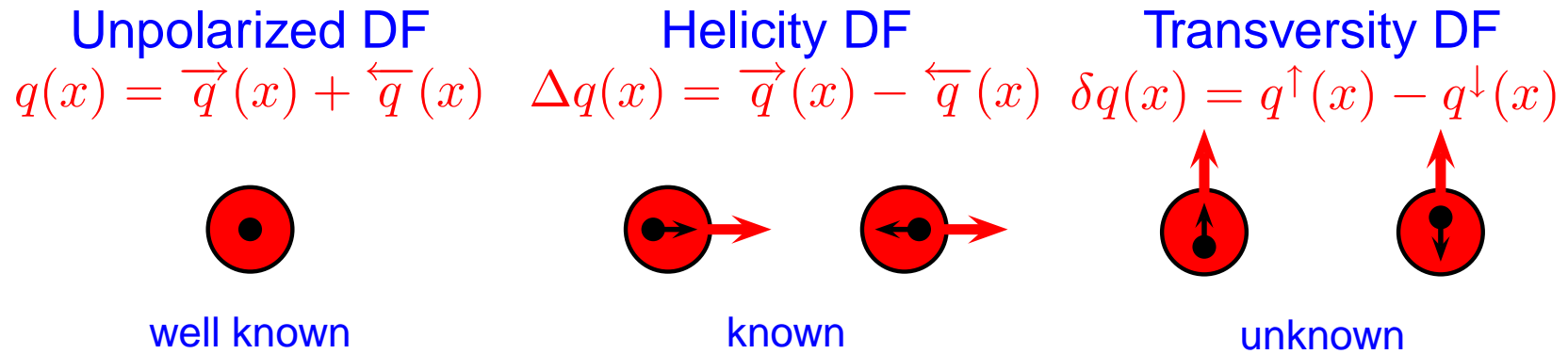
2002 – 2005 data taking years:

- transversely polarized atomic hydrogen ( $P \sim 75\%$ );
- flip of the polarisation direction every 90 sec in 0.5 sec;
- integrated luminosity about  $170 \text{ pb}^{-1}$






# Motivation: Transversity Distribution Function

Leading Twist: three quark distribution functions.



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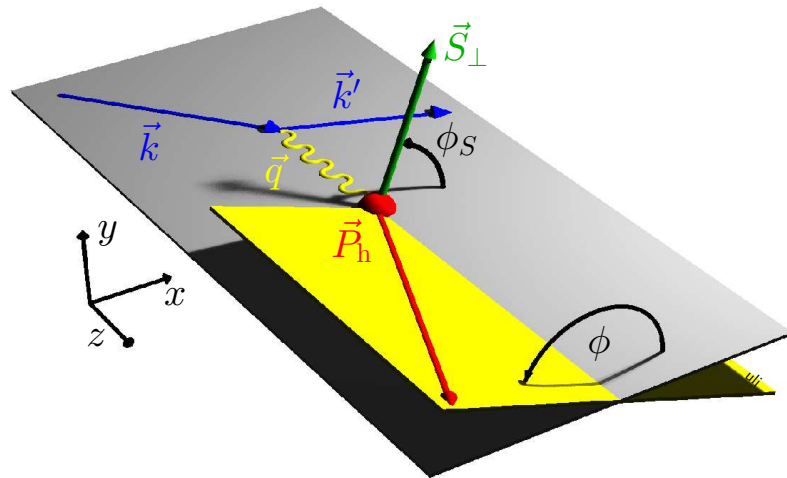
Leading Twist: three quark distribution functions.

<p><b>Unpolarized DF</b></p> $q(x) = \overrightarrow{q}(x) + \overleftarrow{q}(x)$  <p>well known</p>	<p><b>Helicity DF</b></p> $\Delta q(x) = \overrightarrow{q}(x) - \overleftarrow{q}(x)$  <p>known</p>	<p><b>Transversity DF</b></p> $\delta q(x) = q^\uparrow(x) - q^\downarrow(x)$  <p>unknown</p>
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- for non-relativistic quarks:  $\delta q(x) = \Delta q(x)$ .
- no gluon transversity for spin-1/2 nucleon
- $\delta q(x)$  doesn't contribute to inclusive DIS,  $ep \rightarrow eX$ , due to its chiral-odd nature.
- requires a combination with other chiral-odd object, e.g. Collins FF  $\implies$  study of transverse target-spin asymmetries (TTSA) in SIDIS,  $ep \rightarrow ehX$ .

# TTSA in SIDIS

- Collins FF  $H_1^\perp$  describes an influence of the quark transverse polarization on the hadron transverse momentum  $\vec{P}_{h\perp}$ .
- A completely different possible mechanism for target-related SSA's is known. Siverson DF  $f_{1T}^\perp$  describes a correlation of struck quark  $p_T$  with target polarization.
- Fortunately, two mechanisms produce different angular dependencies of the  $A_{UT}$ .



$A_{XY}$ : X(Y) - Beam (Target) polarization.

$$A_{UT}^h(\phi, \phi_S) = \frac{1}{|S_T|} \frac{N_h^\uparrow(\phi, \phi_S) - N_h^\downarrow(\phi, \phi_S)}{N_h^\uparrow(\phi, \phi_S) + N_h^\downarrow(\phi, \phi_S)}$$

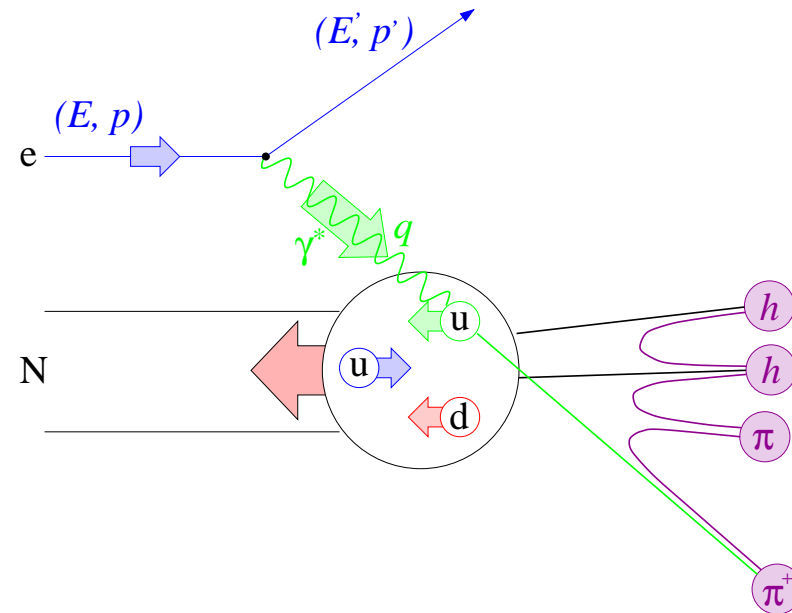
$$A_{UT}^h(\phi, \phi_S) \propto \sin(\phi + \phi_S) \sum_q e_q^2 \cdot \mathcal{I}[h_{1T}^q(x, P_T^2) \cdot H_1^{\perp q}(z, k_T^2)] \quad \text{— “Collins”}$$

$$A_{UT}^h(\phi, \phi_S) \propto \sin(\phi - \phi_S) \sum_q e_q^2 \cdot \mathcal{I}[f_{1T}^{\perp q}(x, P_T^2) \cdot D_1^q(z, k_T^2)] \quad \text{— “Sivers”}$$

$\mathcal{I}[\dots]$  - convolution integral over initial ( $P_T^2$ ) and final ( $k_T^2$ ) quark transverse momenta.



# SIDIS Kinematics



$$e(k) + P(P) \longrightarrow e'(k') + h(P_h) + X(P_X)$$

$$Q^2 = -q^2 = -(k - k')^2, \quad x_B = \frac{Q^2}{2P \cdot q}, \quad y = \frac{P \cdot q}{P \cdot k}, \quad W^2 = (P + q)^2, \quad z = \frac{P \cdot P_h}{P \cdot q}$$

$$W^2 > 10 \text{ GeV}^2, \quad 0.1 < y < 0.85, \quad Q^2 > 1 \text{ GeV}^2, \quad 0.2 < z < 0.7$$

$$\langle Q^2 \rangle = 2.4 \text{ GeV}^2, \quad \langle x \rangle = 0.09, \quad \langle y \rangle = 0.54, \quad \langle z \rangle = 0.36, \quad P_{h\perp} = 0.41 \text{ GeV}^2$$

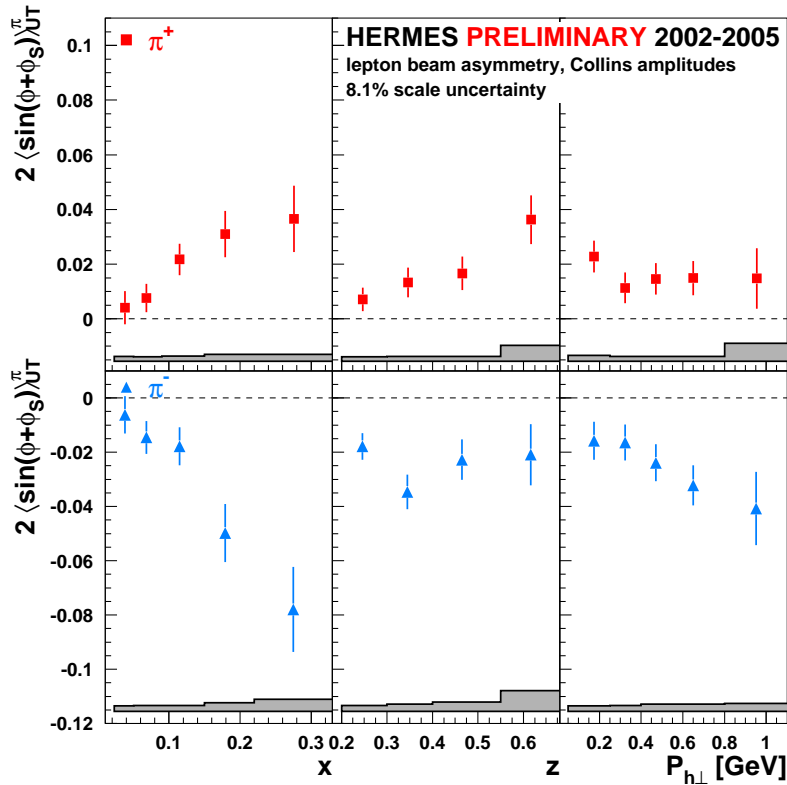
# Extraction of TTSA Amplitudes

- Unbinned maximum likelihood (ML) fits are used to extract simultaneously the **Collins** and **Sivers** amplitudes.
- Probability density function is defined as:

$$F(2 \langle \sin(\phi + \phi_S) \rangle_{UT}^h, 2 \langle \sin(\phi - \phi_S) \rangle_{UT}^h, \dots, P, \phi, \phi_S) = \frac{1}{2} \left( 1 + P \cdot \left( 2 \langle \sin(\phi + \phi_S) \rangle_{UT}^h \cdot \sin(\phi + \phi_S) + 2 \langle \sin(\phi - \phi_S) \rangle_{UT}^h \cdot \sin(\phi - \phi_S) + 2 \langle \sin(3\phi - \phi_S) \rangle_{UT}^h \cdot \sin(3\phi - \phi_S) + 2 \langle \sin(2\phi - \phi_S) \rangle_{UT}^h \cdot \sin(2\phi - \phi_S) + 2 \langle \sin(\phi_S) \rangle_{UT}^h \cdot \sin(\phi_S) \right) \right)$$

- The logarithm of the likelihood function  $\mathcal{L} = \prod_i F_i^{w_i}$  is maximized wrt the TTSA amplitudes.

# Collins amplitudes for charged pions



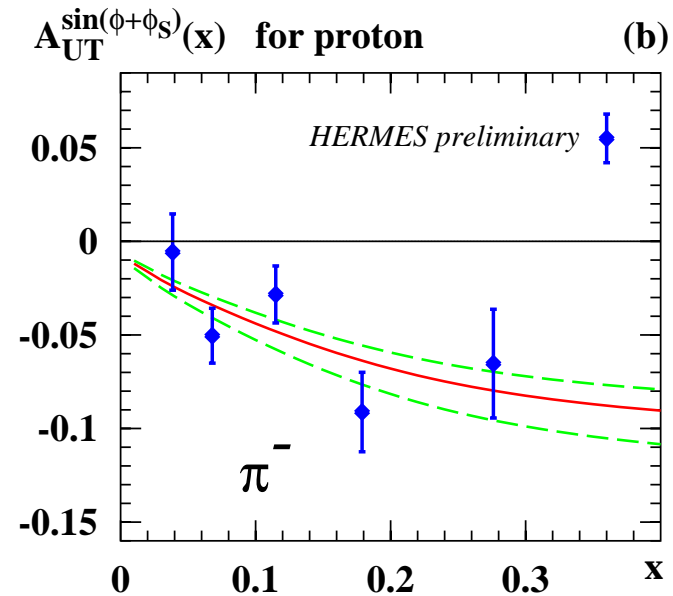
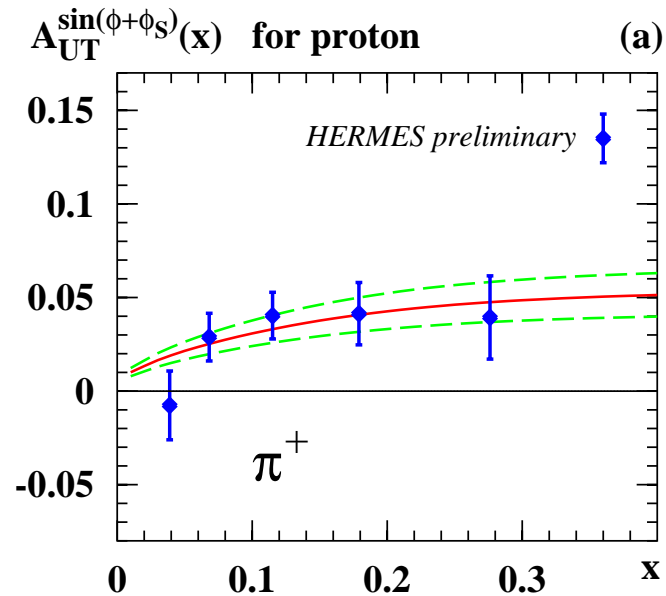
- all data (2002 - 2005) are used (PRL, 94 (2005) 012002)
- positive amplitudes for  $\pi^+$
- negative amplitudes for  $\pi^-$
- large negative amplitudes for  $\pi^-$  were unexpected
- $H_1^{\perp,unf}(z) \approx -H_1^{\perp,fav}(z)$

$$\bullet H_1^{fav} = H_1^{u \rightarrow \pi^+} = H_1^{d \rightarrow \pi^-} = H_1^{\bar{u} \rightarrow \pi^-} = H_1^{\bar{d} \rightarrow \pi^+}$$

$$\bullet H_1^{unf} = H_1^{u \rightarrow \pi^-} = H_1^{d \rightarrow \pi^+} = H_1^{\bar{u} \rightarrow \pi^+} = H_1^{\bar{d} \rightarrow \pi^-}$$

# Collins amplitudes for charged pions

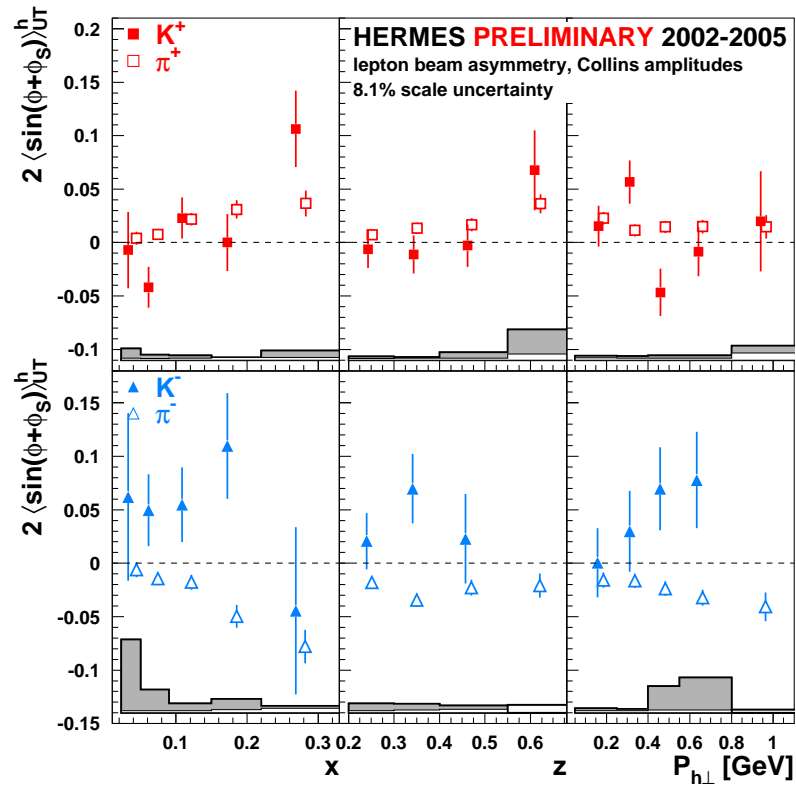
Efremov, Goeke, Schweitzer (Phys.Rev.D73,094025,2006)  
 Preliminary HERMES data 2002 - 2004.



$$\langle 2B_{\text{Gauss}} H_1^{\perp(1/2)\text{fav}} \rangle = (3.5 \pm 0.8)\%$$

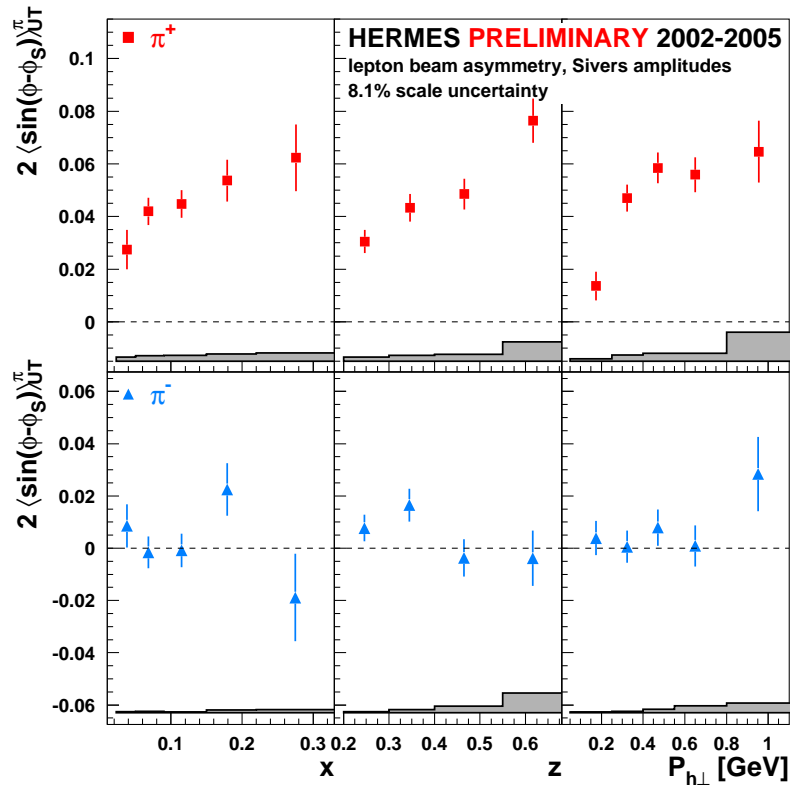
$$\langle 2B_{\text{Gauss}} H_1^{\perp(1/2)\text{unf}} \rangle = -(3.8 \pm 0.7)\%$$

# Collins amplitudes for charged kaons



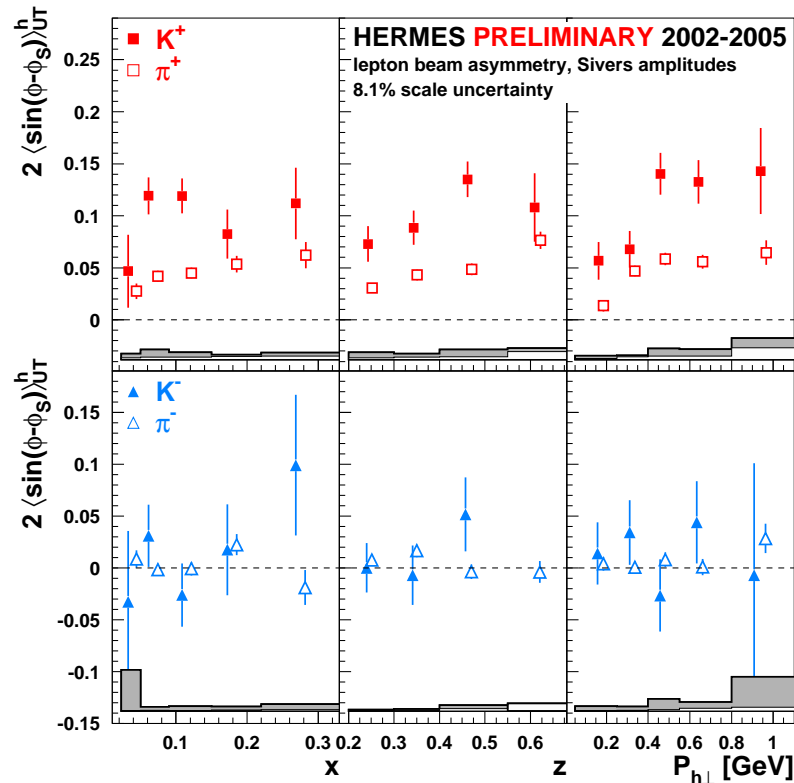
- $K^+$  amplitudes are consistent with  $\pi^+$
- $K^-$  may have the opposite sign from  $\pi^-$

# Sivers amplitudes for charged pions



- significantly positive for  $\pi^+$
- a signature of non-zero quark orbital angular momentum
- $\pi^-$  amplitudes consistent with zero

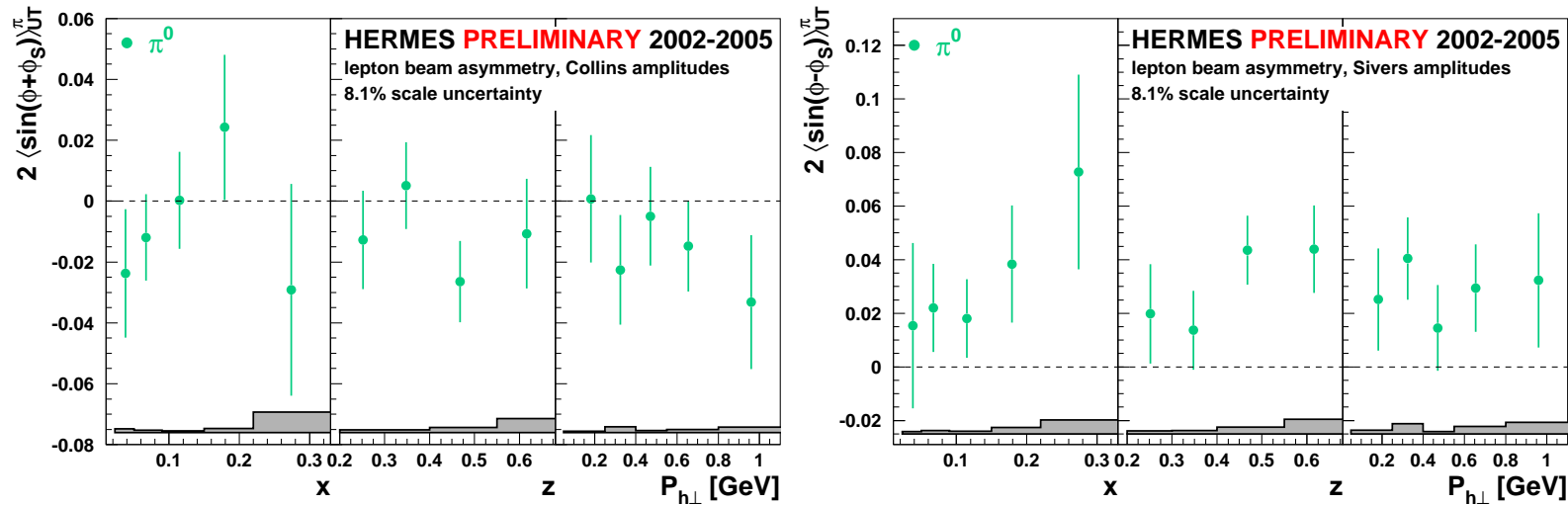
# Sivers amplitudes for charged kaons



- significantly positive for  $K^+$
- $K^-$  amplitudes consistent with zero
- $K^+$  amplitude is  $2.3 \pm 0.3$  times larger than for  $\pi^+$

- $K^- = s\bar{u}$ ,  $\pi^- = d\bar{u}$  same antiquark
- $K^+ = u\bar{s}$ ,  $\pi^+ = u\bar{d}$  different antiquarks
- May suggest significant antiquark Sivers functions and strongly flavor-dependent.

# Amplitudes for neutral pions



Using charge conjugation and isospin symmetry of the Collins fragmentation function  $\pi^+$ ,  $\pi^-$ , and  $\pi^0$  amplitudes can be related:

$$\langle \sin(\phi \pm \phi_S) \rangle_{UT}^{\pi^+} + C \cdot \langle \sin(\phi \pm \phi_S) \rangle_{UT}^{\pi^-} + (1 - C) \cdot \langle \sin(\phi \pm \phi_S) \rangle_{UT}^{\pi^0} = 0$$

Here,  $C = \sigma_{UU}^{\pi^+} / \sigma_{UU}^{\pi^-}$

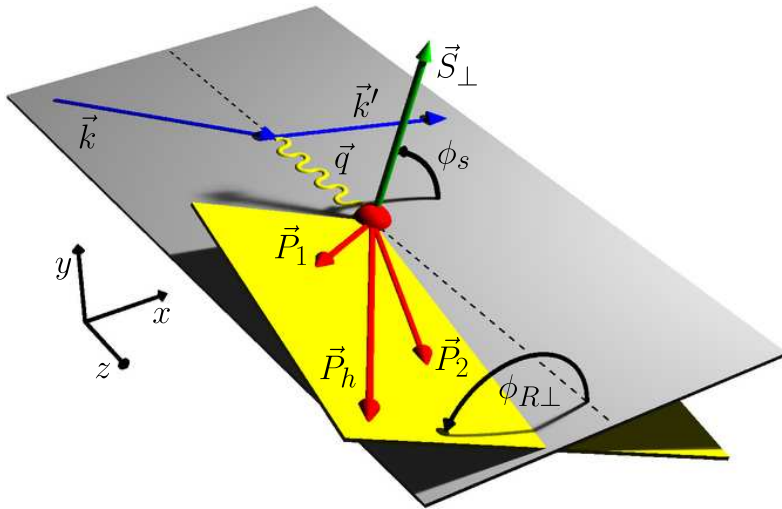
Hermes results for the extracted TTSA amplitudes fulfill the isospin symmetry relation.



# Two-pion Asymmetry

$$\underline{ep \rightarrow e\pi^+\pi^- X}$$

(R.Jaffe et al., 1997; M.Radici et al., 2001)



$$\vec{P}_h = \vec{P}_1 + \vec{P}_2$$

$$A_{UT} \propto |S_{\perp}| \sin(\phi_{R\perp} + \phi_S) \frac{\sum_q e_q^2 h_1^q H_1^{\triangleleft,sp}}{\sum_q e_q^2 f_1^q D_1^q}$$

$H_1^{\triangleleft,sp}$  – interference fragmentation between pion pair in  $s$ - and  $p$ -wave.

Alternative access for Transversity

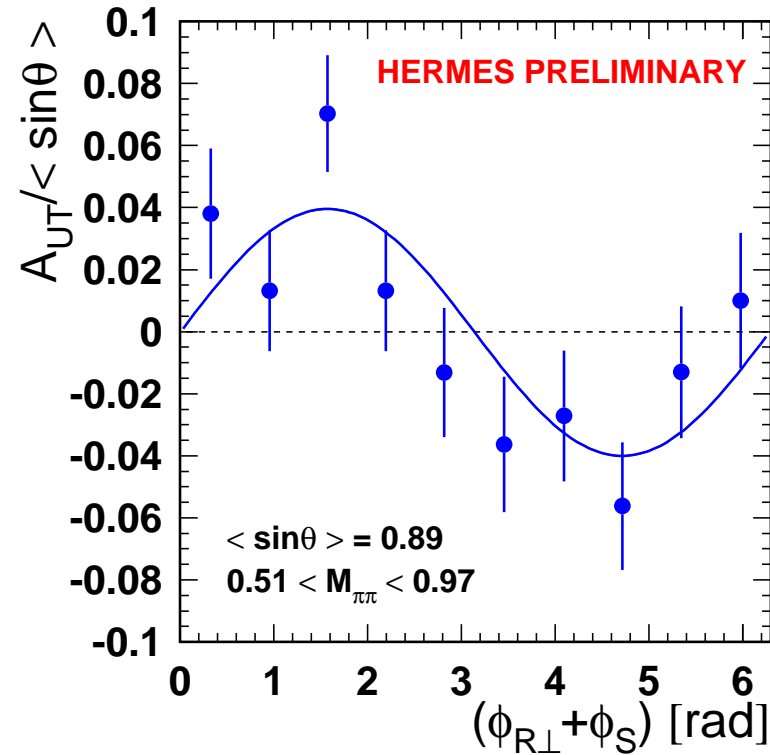
## Advantages:

- direct product of  $h_1^q$  and FF (no convolution)

## Disadvantages:

- less statistics
- cross-section depends on 9 variables (acceptance effects more complex)

# Two-pion Asymmetry



$$A_{UT}(\phi_{R\perp}, \phi_S, \theta) =$$

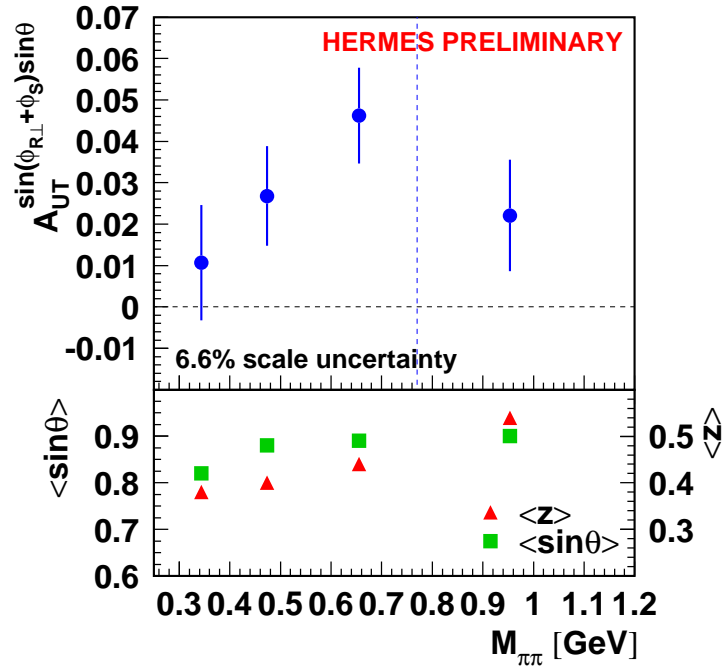
$$\frac{1}{|S_T|} \frac{\sigma^\uparrow(\phi_{R\perp}, \phi_S, \theta) - \sigma^\downarrow(\phi_{R\perp}, \phi_S, \theta)}{\sigma^\uparrow(\phi_{R\perp}, \phi_S, \theta) + \sigma^\downarrow(\phi_{R\perp}, \phi_S, \theta)}$$

Data of 2002–2004 years only.

$$A_{UT}^{\sin(\phi_{R\perp} + \phi_S) \sin\theta} = 0.040 \pm 0.009(stat) \pm 0.003(syst)$$

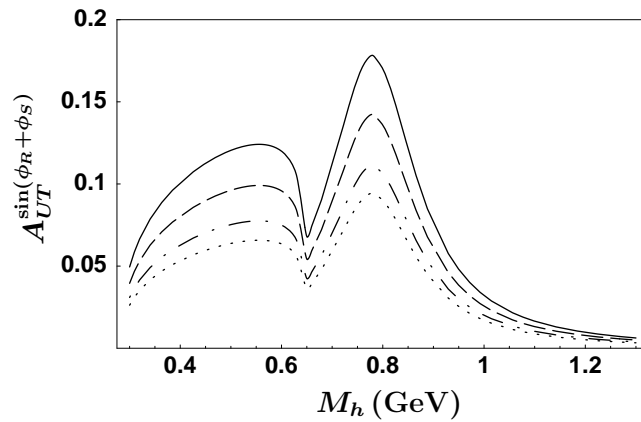
Efforts to include data of 2005 are underway.

# Two-pion Asymmetry

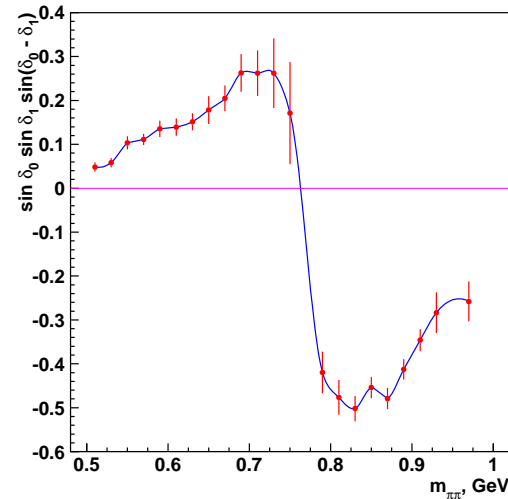


- result disfavors model of Jaffe, 1997;
- model of Bacchetta and Radici:
  - overestimates amplitudes;
  - no sign change.

Jaffe et al, 1997



Radici, Bacchetta, 2006



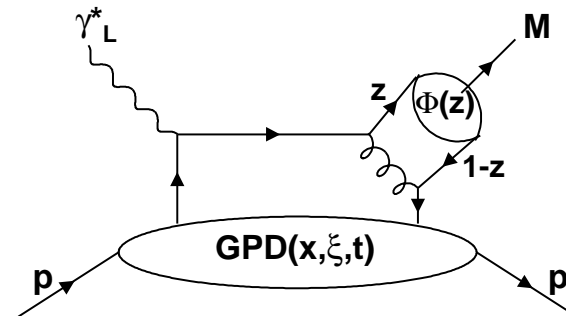
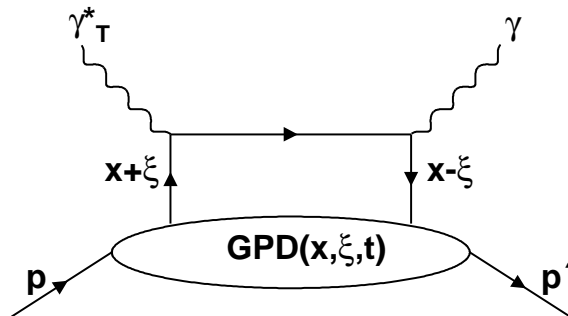
# Motivation: Total Angular Momentum of Quarks

Ji's relation (1996):

$$J_{q,g} = \frac{1}{2} \int_{-1}^1 dx \cdot x [H_{q,g}(x, \xi, 0) + E_{q,g}(x, \xi, 0)]$$

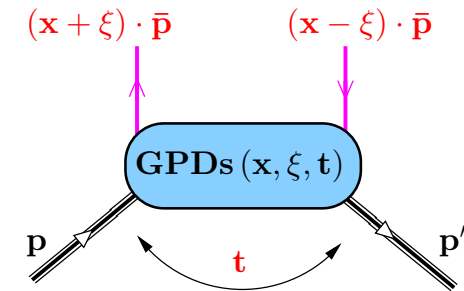
A measurement of Generalized Parton Distributions (GPD)  $H$  and  $E$  is required.

⇒ Hard Exclusive reactions, e.g. DVCS, meson production



# Motivation: Total Angular Momentum of Quarks

- twist-2 GPDs  $H, E, \tilde{H}, \tilde{E}(x, \xi, t)$  for spin 1/2 hadron
- $x \pm \xi$ : longitudinal momentum fractions of the partons
- $\xi$ : fraction of the momentum transfer,  $\xi \simeq \frac{x_B}{2-x_B}$ ,
- $t$ : invariant momentum transfer,  $t \equiv (p - p')^2$ .



GPDs  $\Rightarrow$  Form Factors:

$$\int_{-1}^1 dx \cdot H_q(x, \xi, t) = F_1^q(t),$$

$$\int_{-1}^1 dx \cdot E_q(x, \xi, t) = F_2^q(t),$$

$$\int_{-1}^1 dx \cdot \tilde{H}_q(x, \xi, t) = G_A^q(t),$$

$$\int_{-1}^1 dx \cdot \tilde{E}_q(x, \xi, t) = G_P^q(t).$$

GPDs  $\Rightarrow$  PDFs :

$$H_q(x, 0, 0) = q(x)$$

$$\tilde{H}_q(x, 0, 0) = \Delta q(x)$$

$$H_g(x, 0, 0) = g(x)$$

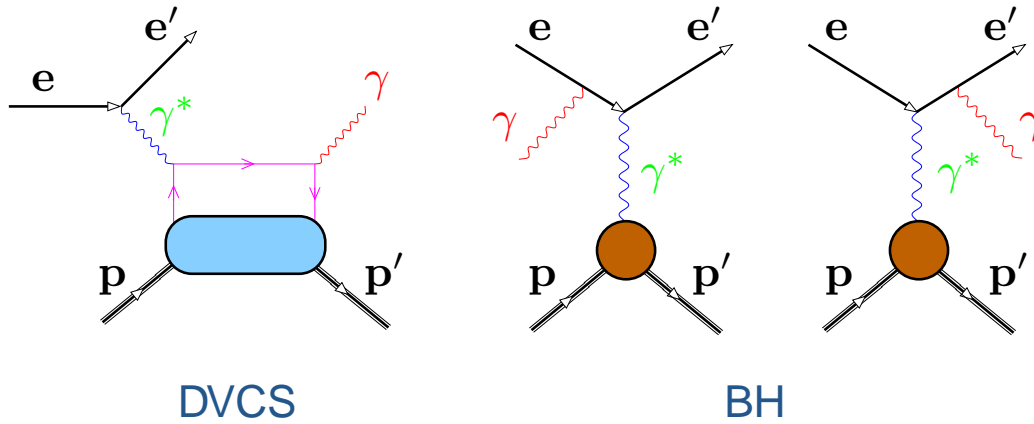
$$\tilde{H}_g(x, 0, 0) = \Delta g(x).$$

DVCS depends on four GPDs  $H, E, \tilde{H}, \tilde{E}$ .

DVCS TTSA provides an access to GPD  $E$  without a kinematic suppression.

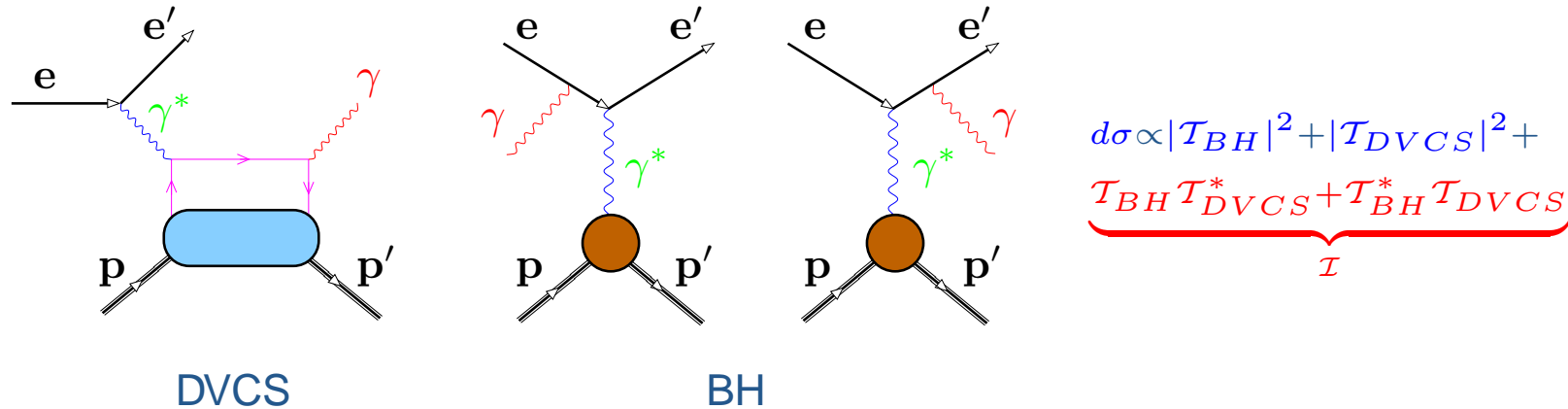
Exclusive production of vector mesons ( $\rho, \omega, \phi$ ) depends on two GPDs,  $H$  and  $E$ .

# Deeply Virtual Compton Scattering



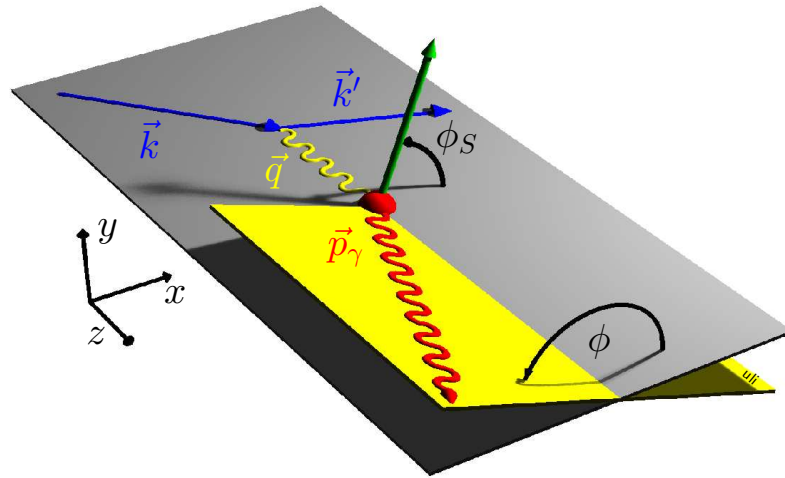
$$d\sigma \propto |\mathcal{T}_{BH}|^2 + |\mathcal{T}_{DVCS}|^2 + \underbrace{\mathcal{T}_{BH}\mathcal{T}_{DVCS}^* + \mathcal{T}_{BH}^*\mathcal{T}_{DVCS}}_{\mathcal{I}}$$

# Deeply Virtual Compton Scattering



- $\mathcal{T}_{BH}$  depends on known Dirac and Pauli FFs  $F_1, F_2$
- $\mathcal{T}_{DVCS}$  depends on Compton FFs  $\mathcal{H}, \mathcal{E}, \tilde{\mathcal{H}},$  and  $\tilde{\mathcal{E}}$ , which are convolutions of respective GPDs with hard-scattering kernels.
- At HERMES,  $|\mathcal{T}_{BH}| \gg |\mathcal{T}_{DVCS}|$ .
- $\mathcal{I}$  contains an information on the amplitudes and phases of the Compton FFs.

# Transverse Target-Spin Asymmetry for DVCS



- $A_{UT}(\phi, \phi_S) = \frac{d\sigma(\phi, \phi_S) - d\sigma(\phi, \phi_S + \pi)}{d\sigma(\phi, \phi_S) + d\sigma(\phi, \phi_S + \pi)} \propto \text{Im}[F_2\mathcal{H} - F_1\mathcal{E}] \cdot \sin(\phi - \phi_S) \cos \phi + \dots$
- $A_{UT}^{\sin(\phi - \phi_S) \cos \phi}$  is sensitive to  $\mathcal{E}$  and therefore to  $J_q$ .
- Previously measured  $A_{LU}$  (HERMES, 2001) is mainly sensitive to  $\mathcal{H}$ .

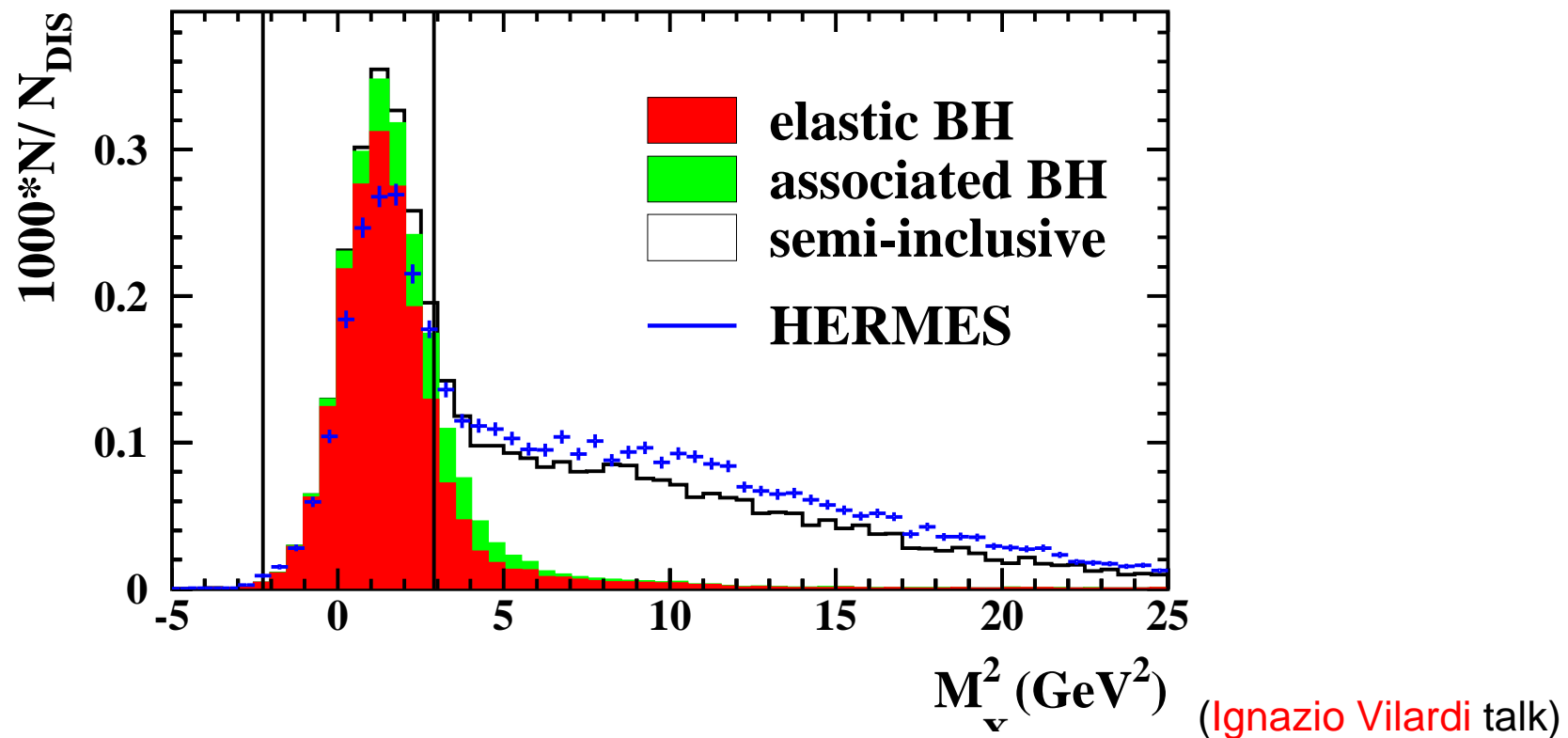


# DVCS Measurements at HERMES

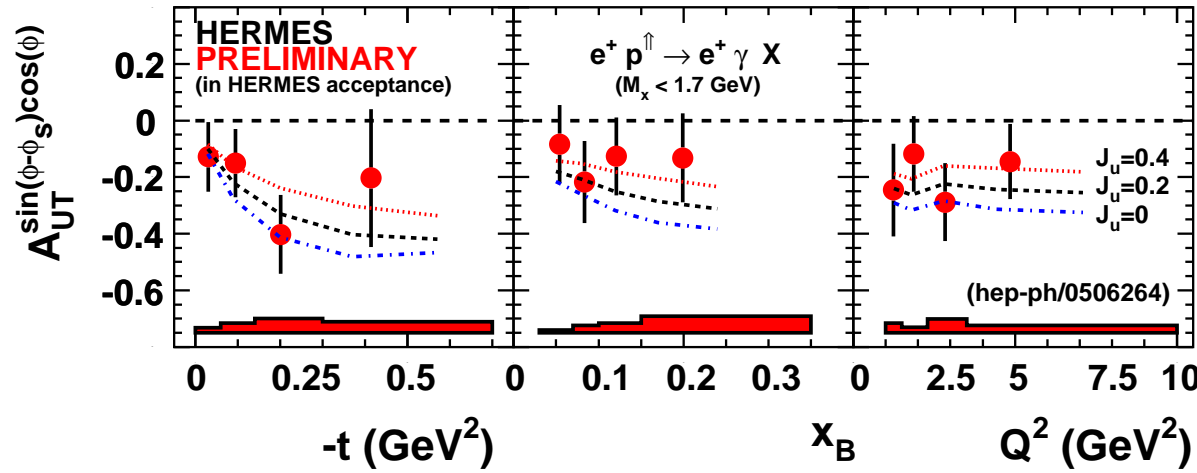
- Photons: **calorimeter**  $\delta E_\gamma / E_\gamma \sim 5\%$
- Recoiling protons not detected  $\Rightarrow$  missing mass technique ( $ep \rightarrow e'p\gamma$ )

$$M_x^2 = (P_e + P_p - P_{e'} - P_\gamma)^2$$

- Background contribution  $\sim 5\%$  is determined from MC and corrected.



# TTSA at HERMES

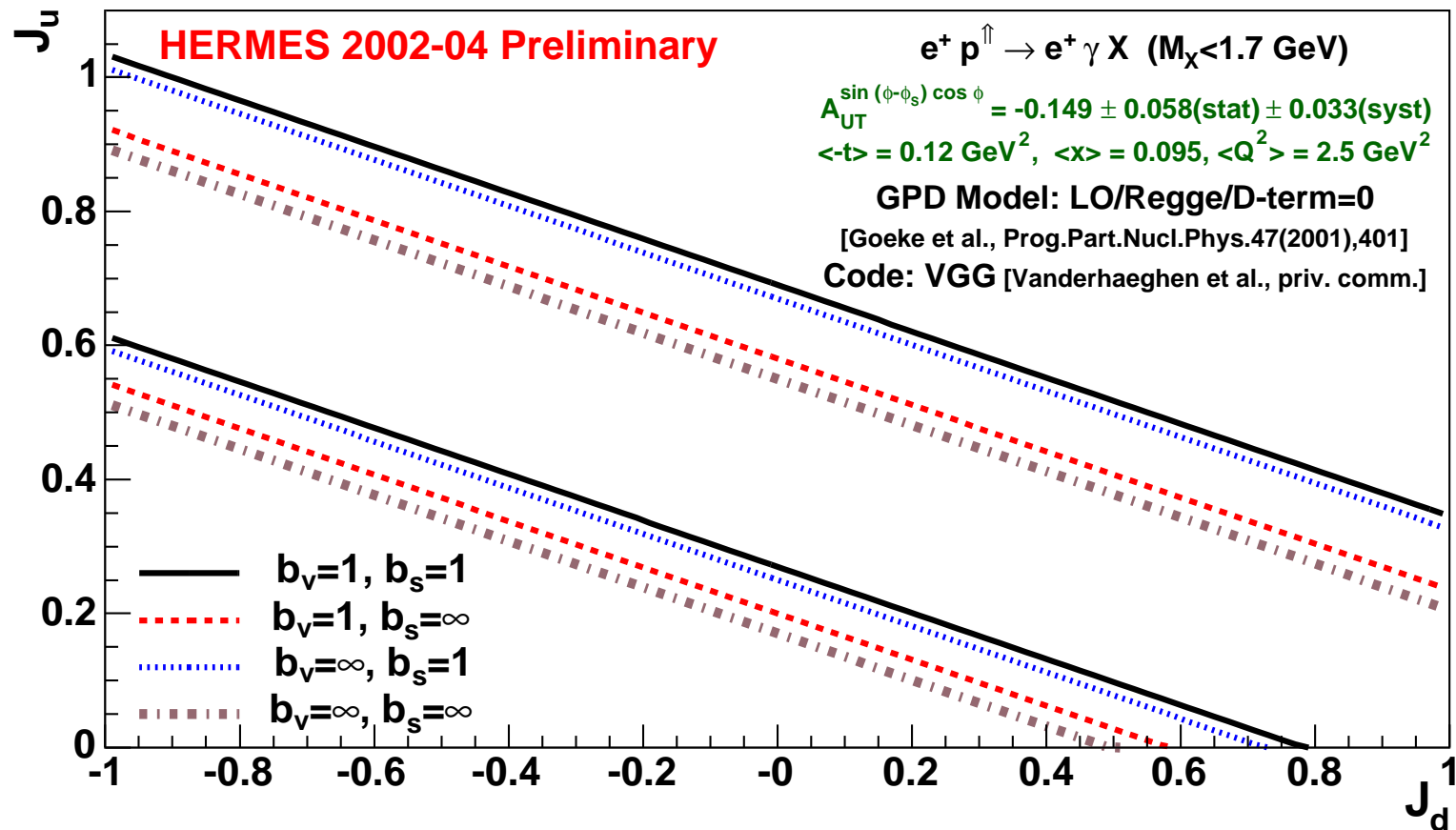


$$\propto \text{Im}[F_2 \mathcal{H} - F_1 \mathcal{E}]$$

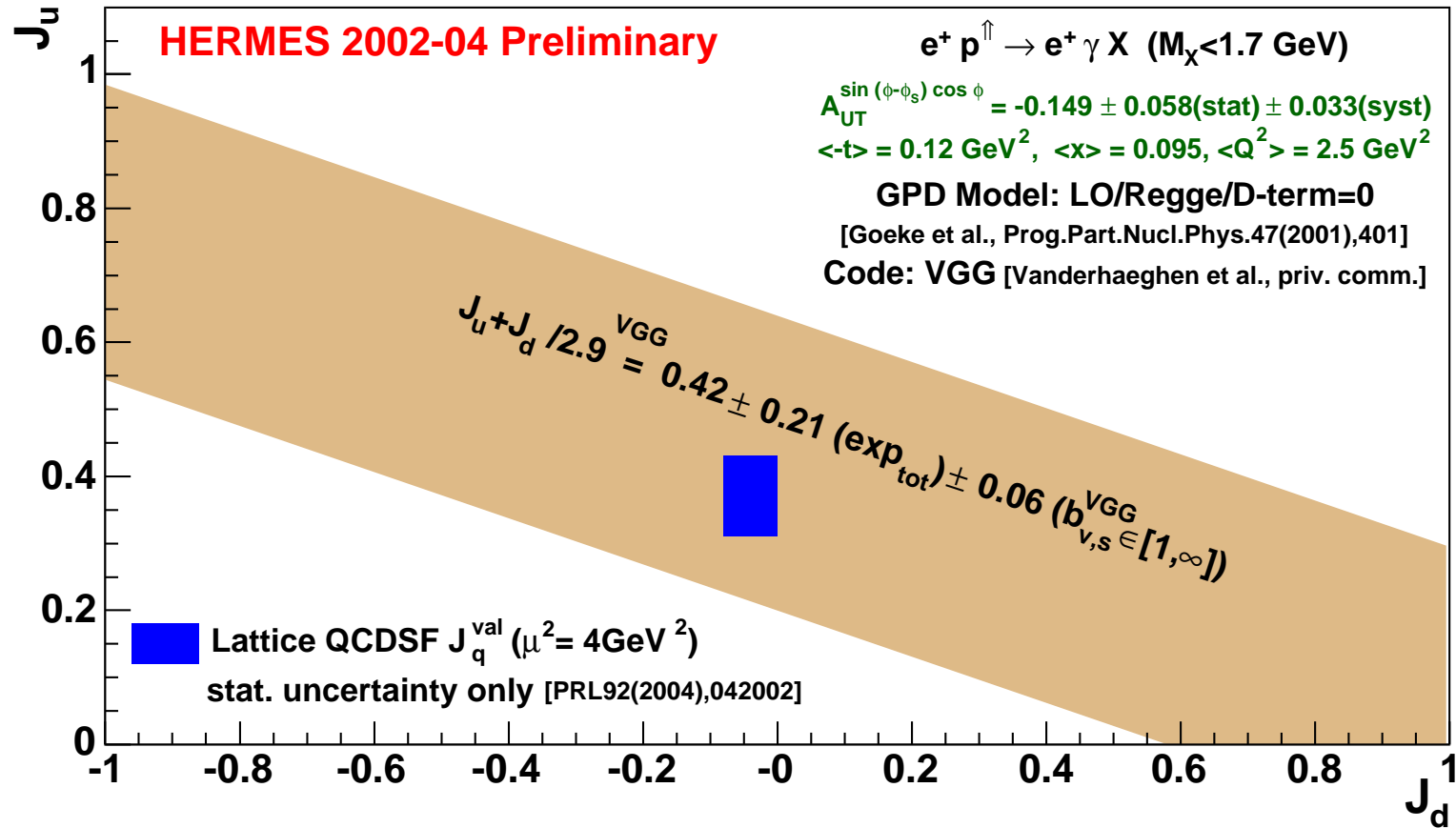
- The nucleon helicity flip GPD  $E$  in the forward limit can be modelled as  $e_q(x) = E_q(x, 0, 0) = A_q \cdot q_{val}(x) + B_q \cdot \delta(x)$ .  
The values of  $A_q$  and  $B_q$  are related to  $J_q$ :  
 $\frac{1}{2} \int dx x [q(x) + e_q(x)] = J_q, \int dx e_q(x) = F_2^q(0) = k^q$ .  
Goeke et al., Prog.Part.Nucl.Phys. 47, 401 (2001)
- $A_{UT}^{\sin(\phi - \phi_S) \cos \phi}$  is sensitive to  $J_u$  and insensitive to other model parameters.  
Ellinghaus et al., Eur.Phys.J.C46(2006)729

# A Model-Dependent Constraint on $J_u$ vs $J_d$

- $\chi^2(J_u, J_d) = \frac{\left[ A_{UT}^{\sin(\phi-\phi_S)\cos\phi}|_{exp} - A_{UT}^{\sin(\phi-\phi_S)\cos\phi}(J_u, J_d)|_{VGG} \right]^2}{\delta A_{stat}^2 + \delta A_{syst}^2}$
- 1- $\sigma$  constraint on  $J_u$  versus  $J_d$  is determined by  $\chi^2(J_u, J_d) \leq \chi_{min}^2 + 1$

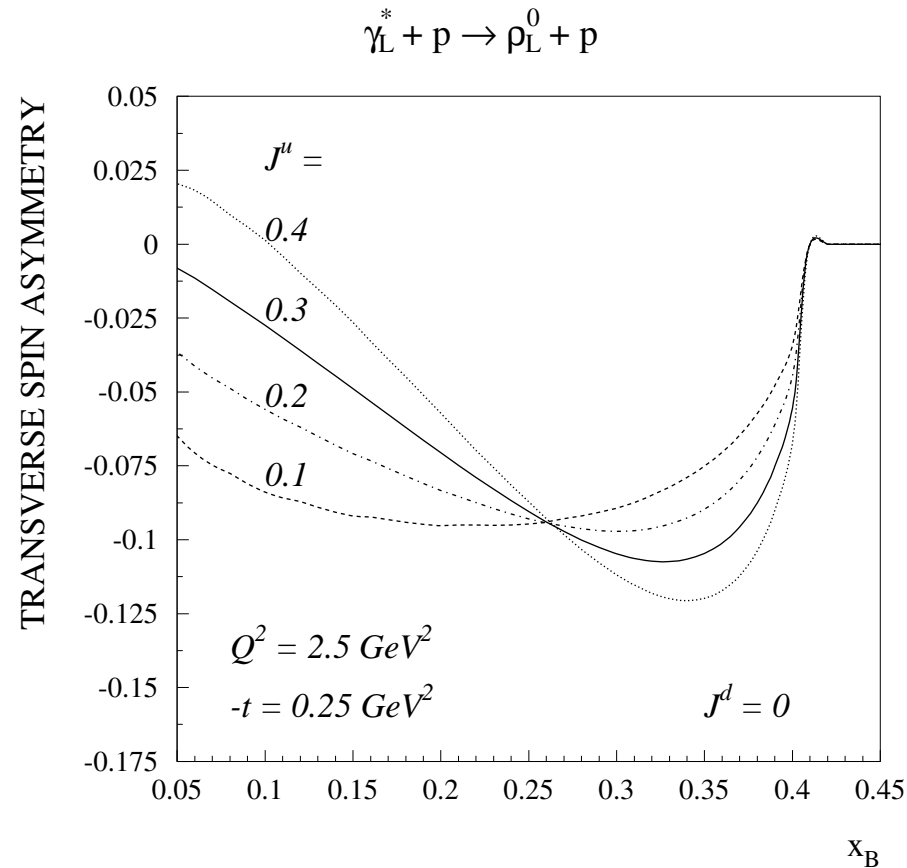


# A Model-Dependent Constraint on $J_u$ vs $J_d$



- First model dependent constraint on total quark angular momentum  $J_u, J_d$ .
- 2005 data still to be included.

# TTSA for Exclusive $\rho^0$ Electroproduction



- cross-section for vector meson production depends on GPDs  $H$  and  $E$
- GPV 2001 calculated TTSA for the HERMES kinematic
- TTSA is quite non-zero and depends (due to a model) on  $J_u$ ,  $J_d$
- factorization requires disentangling of  $\rho_L^0$  and  $\rho_T^0$

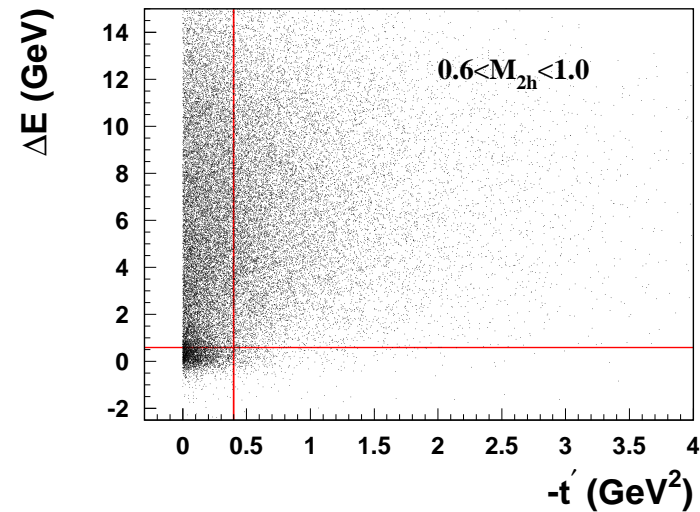
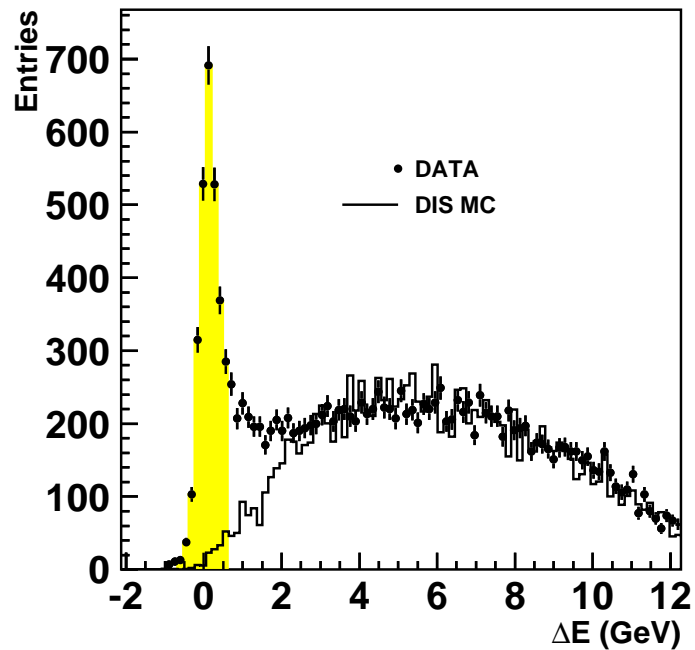
# Exclusive $\rho^0$ Production at HERMES

$$\rho^0 \rightarrow \pi^+ \pi^-$$

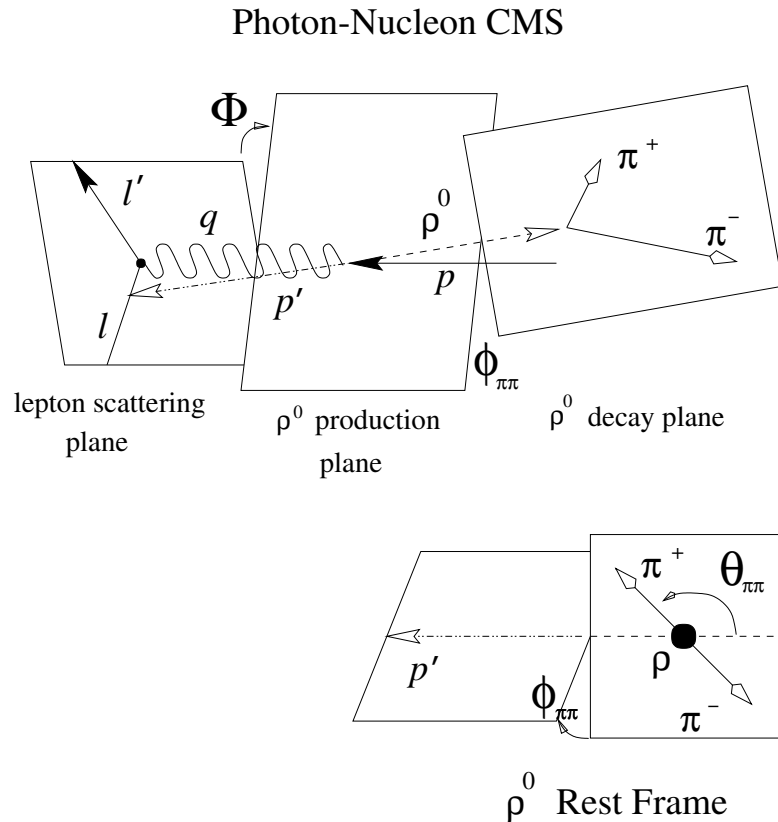
- no recoil detection
- exclusive  $\rho^0$  reaction through the energy and momentum transfer:

$$\Delta E = \frac{M_x^2 - M_p^2}{2M_p} < 0.6 \text{ GeV}$$

$$-t' = t_0 - t < 0.4 \text{ GeV}^2$$



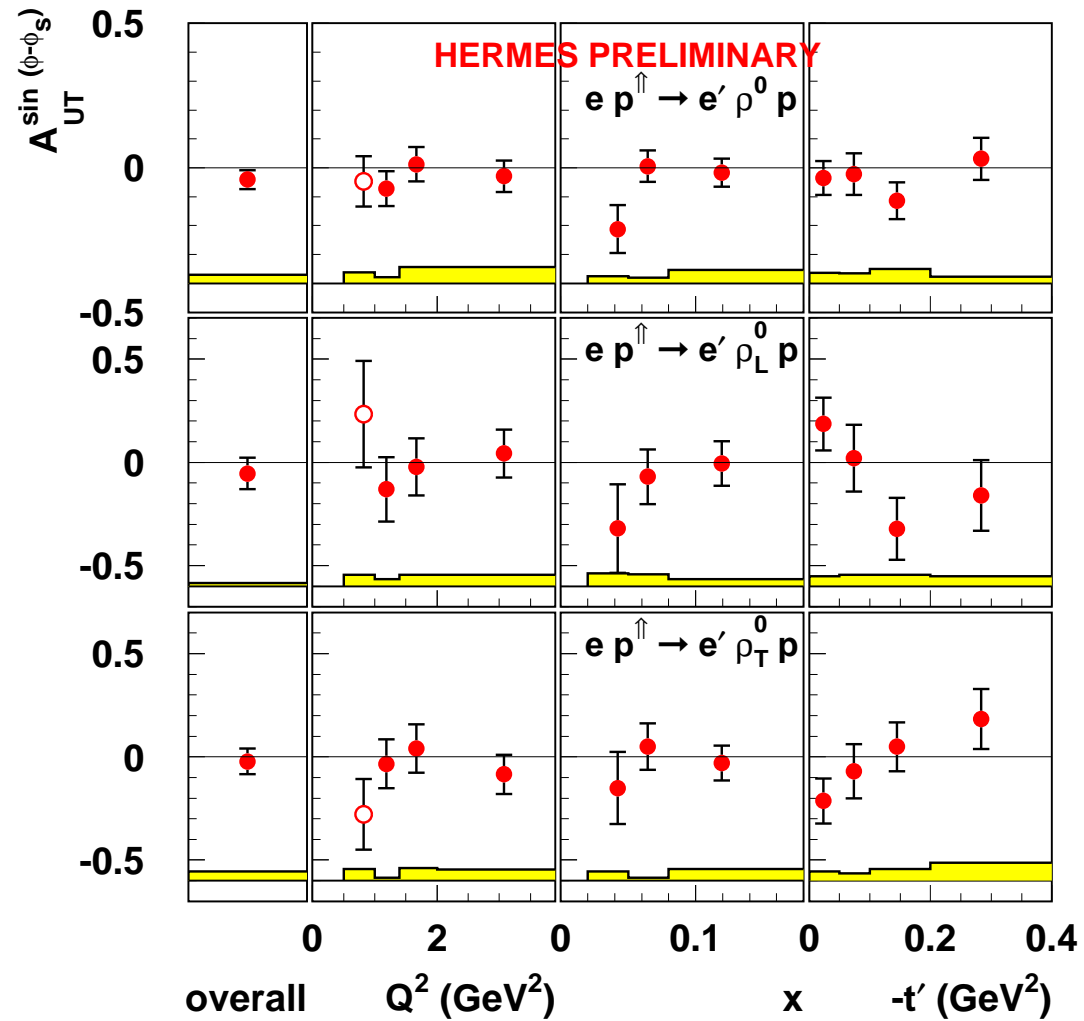
# $\rho_L^0, \rho_T^0$ Separation



- each  $\rho^0$  polarization state has a characteristic decay angular state
- one may use angle  $\theta_{\pi\pi}$  to disentangle  $\rho_L^0, \rho_T^0$
- under assumption of  $s$ -channel helicity conservation (SCHC) the results may be compared to GPD based calculations
- HERMES has measured spin density matrix elements (SDME) of exclusive  $\rho^0$  production at unpolarized target
- the results mostly in agreement with SCHC hypothesis

$$W(\phi, \phi_S, \theta_{\pi\pi}) = \frac{3}{2} [r_{00}^{04} \cos^2 \theta_{\pi\pi} (1 + A_{UU, \rho_L}(\phi) + P_T A_{UT, \rho_L}^l(\phi, \phi_S)) + (1 - r_{00}^{04}) \frac{1}{2} \sin^2 \theta_{\pi\pi} (1 + A_{UU, \rho_T}(\phi) + P_T A_{UT, \rho_T}^l(\phi, \phi_S))] ]$$

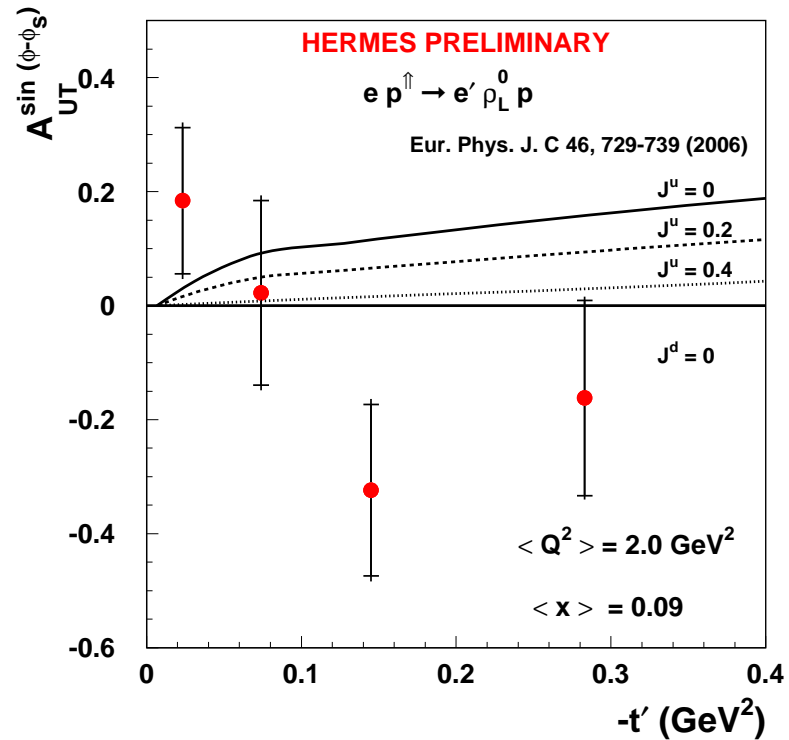
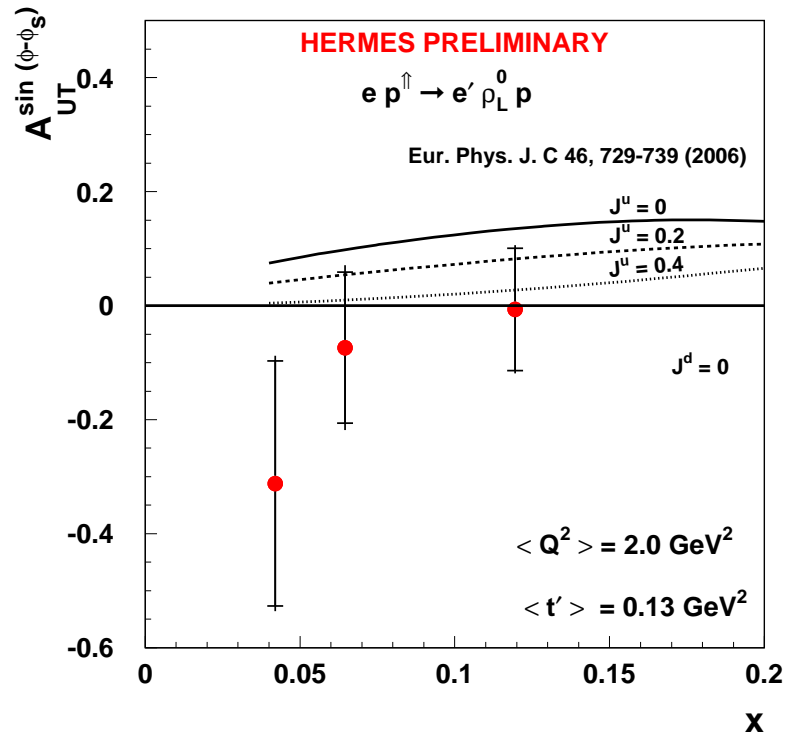
# Exclusive $\rho$ TTSA at HERMES



- assuming SCHC the results can be compared with a theory



# Exclusive Production of Longitudinal $\rho^0$



- data in favor to positive  $J_u$
- in agreement with HERMES DVCS result

# Summary

- Non-vanishing Collins effect observed for  $\pi^\pm$ .  
Published data confirmed with much more high accuracy.  
Collins amplitudes for  $\pi^-$  have an opposite sign wrt to  $\pi^+$  and unexpectedly large.  
An explanation:  $H_1^{\perp,unf}(z) \approx -H_1^{\perp,fav}(z)$
- First evidence of Sivers distribution in DIS.
- Sivers amplitudes for  $K^+$  by factor  $2.3 \pm 0.3$  larger than for  $\pi^+$ . Sea quarks?
- First observation of transverse asymmetries in IFF.  
Final data will be based on 2.5 higher statistic.
- TTSA for DVCS provides a first model-dependent constraint on total angular momentum  $J_u$  vs  $J_d$ . Final data will be based on 2.5 higher statistic.
- First data on  $\rho_L^0$  in electroproduction. Under the SCHC the results in favor to positive  $J_u$  and in agreement with HERMES DVCS result.
- Polarized beam ( $\langle P_B \cdot P_T \rangle \approx 30\%$ ). Studies of  $g_2$  and  $A_{LT}$  are underway.