A Dynamical Formulation of Scattering Theory, Inverse Scattering, and Unidirectional Invisibility Ali Mostafazadeh Koç University, Istanbul

# **Outline:**

- **Introduction:** Transfer Matrix, Spectral Singularities, Unidirectional Invisibility
- Transfer Matrix as a Non-Unitary S-Matrix
- Dynamical Equation for Transfer Matrix
- Adiabatic Approximations, Semiclassical Scattering & Geometric Phases
- Local Inverse Scattering

### EM Waves in Media with Planar Symmetry:



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$$\mathfrak{n}^{2}(z)\partial_{t}^{2}\vec{E} - c^{2}\partial_{z}^{2}\vec{E} = 0$$

$$\mathfrak{n} := \eta + i\kappa: \text{ Refractive index}$$

$$\vec{L}$$

$$\vec{E}(z,t) = E e^{-i\omega t} \psi(z) \hat{\imath}$$

$$-\psi''(z) + v(z)\psi(z) = k^{2}\psi(z)$$

$$\vec{L}$$

$$v(z) := k^{2}[1 - \mathfrak{n}^{2}(z)]$$

### EM Waves in Media with Planar Symmetry:



# **One-Dimensional Scattering Theory**

- Time-Indep. Schrödinger Eq.:  $-\psi(x)'' + v(x)\psi(x) = k^2\psi(x)$
- $v: \mathbb{R} \to \mathbb{C}$  is a possibly k-dependent potential &

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 for  $x \to \pm \infty$ .

• Asymptotic solutions:

$$\psi(x) = A_{\pm}e^{ikx} + B_{\pm}e^{-ikx}$$
 for  $x \to \pm \infty$ .

• Transfer matrix:  $\begin{bmatrix} A_+\\ B_+ \end{bmatrix} = \begin{bmatrix} M_{11}(k) & M_{12}(k)\\ M_{21}(k) & M_{22}(k) \end{bmatrix} \begin{bmatrix} A_-\\ B_- \end{bmatrix}.$ 

• det M = 1.

• Scattering from the left and right:

$$\psi^{\text{left}}(x) = \begin{cases} e^{ikx} + R^l e^{-ikx} & \text{for } x \to -\infty \\ T^l e^{ikx} & \text{for } x \to +\infty \end{cases}$$

$$\psi^{\text{right}}(x) = \begin{cases} I e & \text{for } x \to -\infty \\ e^{-ikx} + R^r e^{ikx} & \text{for } x \to +\infty \end{cases}$$

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$$T^l = T^r =: T = \frac{1}{M_{22}}$$

$$R^l = -\frac{M_{21}}{M_{22}}, \qquad R^r = \frac{M_{12}}{M_{22}}$$

• Bound states & resonances are zeros of  $M_{22}(k)$ .

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• Reflection and Transmission amplitudes diverge at a spectral singularity.

 $\Rightarrow$  infinite amplification of incident waves.

• Physically they correspond to scattering states that behave like resonances: Zero-width resonances.

A. M., PRL **102**, 220402 (2009) & PRA **83**, 045801 (2011) A. M., PRL **110**, 260402 (2013) & PRA **87**, 063838 (2013)

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Time-reversed SS:  $M_{11}(k) = 0$  for a real k (antilasing)

Chong et al, PRL **105**, 053901 (2010) Wan et al, Science **331**, 889 (2011)

$$\mathbf{M} = \begin{bmatrix} T - \frac{R^{l}R^{r}}{T} & \frac{R^{r}}{T} \\ -\frac{R^{l}}{T} & \frac{1}{T} \end{bmatrix}$$

Unidir. Reflectionlessness:  $R^l = 0 \neq R^r$  or  $R^r = 0 \neq R^l$ Only one of  $M_{12}$  and  $M_{21}$  is zero.

Unidir. Invisibility:  $R^l = 0 \neq R^r$  or  $R^r = 0 \neq R^l \& T = 1$ Only one of  $M_{12}$  and  $M_{21}$  is zero  $\& M_{11} = M_{22} = 1$ .

Lin et al, PRL **106**, 213901 (2011) Regensburger et al, Nature **488**, 167 (2012) If v(x) is a real potential,

$$|R^{r}| = |R^{l}|, \qquad |R^{l/r}|^{2} + |T|^{2} = 1$$

⇒ Spectral singularities and unidirectional reflectionlessness & invisibility cannot happen for a real potential.

# Composition Property of M

Let  $v_1$  and  $v_2$  be scattering potentials such that

 $v_1(x) = 0$  for x > a,  $v_2(x) = 0$  for x < a $v(x) = v_1(x) + v_2(x)$ .

- $M_1$ : Transfer matrix of  $v_1$
- $M_2$ : Transfer matrix of  $v_2$
- M: Transfer matrix of  $v = v_1 + v_2$



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This resembles the composition rule for the evolution operator  $U(t,t_0)$  of a quantum system:

$$U(t_2, t_0) = U(t_2, t_1)U(t_1, t_0)$$
  
for  $t_0 \le t_1 \le t_2$ .









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$$\tau := kx, \quad \phi(\tau) := \psi(\tau/k), \quad \dot{\phi}(\tau) := \frac{d\phi(\tau)}{d\tau}, \quad w(\tau) := \frac{v(\frac{\tau}{k})}{2k^2}$$

$$\Psi(\tau) := \frac{1}{2} \begin{bmatrix} \phi(\tau) - i\dot{\phi}(\tau) \\ \phi(\tau) + i\dot{\phi}(\tau) \end{bmatrix}, \quad \mathbf{N} := \begin{bmatrix} 1 & 1 \\ -1 & -1 \end{bmatrix} = i\sigma_2 + \sigma_3$$

$$\mathbf{H}(\tau) := \begin{bmatrix} w(\tau) - 1 & w(\tau) \\ -w(\tau) & -w(\tau) + 1 \end{bmatrix} = -\sigma_3 + w(\tau)\mathbf{N}$$

 $i\Psi(\tau) = \mathbf{H}(\tau)\Psi(\tau)$ 

Time-indep. Schrödinger Eq.:  $-\psi''(x) + v(x)\psi(x) = k^2\psi(x)$ 

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$$i\dot{\Psi}(\tau) = \mathbf{H}(\tau)\Psi(\tau)$$

- $H(\tau)$  is a 2-level non-Hermitian Hamiltonian.
- $H(\tau)$  is  $\sigma_3$ -pseudo-Hermitian, if v is real;  $H(\tau)^{\dagger} = \sigma_3 H(\tau) \sigma_3^{-1}$ .
- Eigenvalues of  $H(\tau) = \pm \mathfrak{n}(\tau)$ ,  $\mathfrak{n} := \sqrt{1 2w} = \sqrt{1 v/k^2}$ .
- Classical turning points are exceptional points of  $H(\tau)$ .

$$\mathbf{H}(\tau) := -\sigma_3 + w(\tau)\mathbf{N}, \quad \mathbf{N} := \begin{bmatrix} 1 & 1 \\ -1 & -1 \end{bmatrix}$$

• Evolution operator:  $\mathbf{U}(\tau, \tau_0) := \mathscr{T}e^{-i\int_{\tau_0}^{\tau}\mathbf{H}(t)dt}$ ;

 $i\dot{\mathbf{U}}(\tau,\tau_0) = \mathbf{H}(\tau)\mathbf{U}(\tau,\tau_0), \quad \mathbf{U}(\tau_0,\tau_0) = 1$  $\Psi(\tau) = \mathbf{U}(\tau,\tau_0)\Psi(\tau_0)$ 

• Free particle:  $\mathbf{H}(\tau) = -\sigma_3$ ,  $\mathbf{U}(\tau, \tau_0) = \mathbf{U}_0(\tau - \tau_0)$ .  $\mathbf{U}_0(\tau) := e^{i\tau\sigma_3}$ 

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Theorem: The *S*-matrix of  $H(\tau)$  is the transfer matrix of v;  $M = U_0(+\infty)^{-1}U(+\infty, -\infty)U_0(-\infty).$ 

A. M. PRA. 89, 012709 (2014)

- Free particle:  $H(\tau) = -\sigma_3$ ,  $U(\tau, \tau_0) = U_0(\tau \tau_0)$ .
- Interaction-picture Hamiltonian:  $\Psi(\tau) \rightarrow U_0(\tau)^{-1} \Psi(\tau)$

$$\begin{aligned} \mathscr{H}(\tau) &:= \mathbf{U}_0(\tau)^{-1} \mathbf{H}(\tau) \mathbf{U}_0(\tau) - i \mathbf{U}_0(\tau)^{-1} \dot{\mathbf{U}}_0(\tau) \\ &= w(\tau) \begin{bmatrix} 1 & e^{-2i\tau} \\ -e^{2i\tau} & -1 \end{bmatrix} \end{aligned}$$

- $\mathscr{H}(\tau)$  is non-diagonalizable matrix.
- Spectrum of  $\mathscr{H}(\tau)$  is  $\{0\}$ .
- $\mathscr{H}(\tau)$  is  $\sigma_3$ -pseudo-normal, i.e.,  $[\mathscr{H}(\tau), \mathscr{H}(\tau)^{\sharp}] = 0$ , where  $\mathscr{H}^{\sharp} := \sigma_3^{-1} \mathscr{H}^{\dagger} \sigma_3$ .
- $\mathscr{H}(\tau)$  is  $\sigma_3$ -pseudo-Hermitian, if v is real.

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Theorem: Let  $\mathscr{U}(\tau, \tau_0)$  be the Interaction-picture evolution operator. Then  $\mathbf{M} = \mathscr{U}(+\infty, -\infty)$ .

Motivation:  $\exists$  a dynamical eq. for  $\mathscr{U}(\tau, \tau_0)$ .  $i\mathscr{U}(\tau, \tau_0) = \mathscr{H}(\tau)\mathscr{U}(\tau, \tau_0) \& \mathscr{U}(\tau_0, \tau_0) = 1$ Can we find a dynamical eq. for M? Motivation:  $\exists$  a dynamical eq. for  $\mathscr{U}(\tau, \tau_0)$ .  $i\dot{\mathscr{U}}(\tau, \tau_0) = \mathscr{H}(\tau)\mathscr{U}(\tau, \tau_0) \& \mathscr{U}(\tau_0, \tau_0) = 1$ Can we find a dynamical eq. for M?

For each  $a \in \mathbb{R}$ , let  $\alpha := ak$ ,  $v_a(x) := \begin{cases} v(x) & \text{for } x \leq a \\ 0 & \text{for } x > a \end{cases}$ ,

and  $M(\alpha) :=$  transfer matrix of  $v_a$ .





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 $\boldsymbol{a}$ 

Then  $M(\alpha) = \mathscr{U}(\alpha, -\infty)$ . Therefore,  $i\partial_{\alpha}M(\alpha) = \mathscr{H}(\alpha)M(\alpha), \quad M(-\infty) = 1.$ We also have  $M = M(\infty)$ .

$$\begin{split} &i\partial_{\alpha} \mathbf{M}(\alpha) = \mathscr{H}(\alpha) \mathbf{M}(\alpha) \ \& \ \det \mathbf{M} \neq \mathbf{0} \Rightarrow \\ &i[\partial_{\alpha} \mathbf{M}(\alpha)] \mathbf{M}(\alpha)^{-1} = \mathscr{H}(\alpha) = w(\alpha) \begin{bmatrix} 1 & e^{-2i\alpha} \\ -e^{2i\alpha} & -1 \end{bmatrix} \\ & \mathsf{Recall} \ w(\alpha) = v(\alpha)/2k^2. \end{split}$$

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Eg: Square Barrier Potential of height  $\mathfrak{z} \in \mathbb{C}$ ,

$$v(x) = v_L(x) := \begin{cases} \mathfrak{z} & \text{for } x \in [0, L] \\ 0 & \text{for } x \notin [0, L] \end{cases}$$

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 $M_{11}(\alpha) = \left[\cos(\mathfrak{n}\,\alpha) + i(\,\mathfrak{n}^{\,2} + 1)\sin(\mathfrak{n}\,\alpha)/2\,\mathfrak{n}\,\right]e^{-i\alpha},$   $M_{12}(\alpha) = i(\,\mathfrak{n}^{\,2} - 1)\sin(\mathfrak{n}\,\alpha)e^{-i\alpha}/2\,\mathfrak{n}\,,$  $M_{21}(\alpha) = M_{12}(-\alpha), \qquad M_{22}(\alpha) = M_{11}(-\alpha),$ 

$$\mathfrak{n} := \sqrt{1 - \mathfrak{z}/k^2}$$

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### $i\partial_{\alpha}\mathbf{M}(\alpha) = \mathscr{H}(\alpha)\mathbf{M}(\alpha), \qquad \mathbf{M}(-\infty) = 1$

$$\mathbf{M} = \begin{bmatrix} T - \frac{R^{l}R^{r}}{T} & \frac{R^{r}}{T} \\ -\frac{R^{l}}{T} & \frac{1}{T} \end{bmatrix}$$

 $R^{l/r}(\alpha) := R^{l/r}$  for  $v_a \& T(\alpha) :=: T$  for  $v_a \Rightarrow R^{l/r}(\alpha)$  and  $T(\alpha)$  satisfy dynamical eqs.

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$$R^{r}(z) = \frac{S(z)}{S'(z)} - z,$$
  

$$R^{l}(z) = -\int_{z_{-}}^{z} d\zeta \frac{S''(\zeta)}{S(\zeta)S'(\zeta)^{2}},$$
  

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$$z^{2}S''(z) + \left[\frac{\check{v}(z)}{4k^{2}}\right]S(z) = 0$$
$$S(z_{-}) = z_{-}, \qquad S'(z_{-}) = 1$$
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Can use these for inverse scattering.

$$\mathbf{M} = \begin{bmatrix} T - \frac{R^{l}R^{r}}{T} & \frac{R^{r}}{T} \\ -\frac{R^{l}}{T} & \frac{1}{T} \end{bmatrix}$$

A finite-range potential with a SS at  $k = k_0$ : T = 1/S' should have a pole at  $k = k_0$ .

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Choose 
$$S(z) = \frac{z^2 - 2z_+ z + 1}{2(1 - z_+)}, \ \tau_- = 0, \ \tau_+ = kL, \ k_0 L \notin 2\pi \mathbb{Z}.$$
  
$$v(x) = \int 1 + 8 \left[ e^{4ik_0 x} - 2e^{-2ik_0(L-x)} + 1 \right]^{-1} \quad x \in [0, L]$$

$$\mathfrak{n}^{2}(x) = 1 - \frac{v(x)}{k^{2}} = \begin{cases} 1 + \delta \left[ e^{-v} - 2e^{-v} + 1 \right] & x \in [0, L] \\ 1 & x \notin [0, L] \end{cases}$$

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Finite-range right-invisible potential at  $k = k_0$ :  $R^r = \frac{S}{S'} - z = 0 \& T = \frac{1}{S'} = 1$  for  $z = z_+ = e^{-2i\tau_+}$ . Finite-range right-invisible potential at  $k = k_0$ :  $R^r = \frac{S}{S'} - z = 0 \& T = \frac{1}{S'} = 1$  for  $z = z_+ = e^{-2i\tau_+}$ . Choose  $S(z) = z[\alpha(z-1)^2 + 1]$ ,  $\tau_- = 0, \ \tau_+ = k_0 L_n := \pi n, \ \& \ n \in \mathbb{Z}^+$ :

Finite-range right-invisible potential at  $k = k_0$ :  $R^r = \frac{S}{S'} - z = 0 \& T = \frac{1}{S'} = 1$  for  $z = z_+ = e^{-2i\tau_+}$ . Choose  $S(z) = z[\alpha(z-1)^2 + 1]$ ,  $\tau_{-} = 0, \ \tau_{+} = k_0 L_n := \pi n, \& n \in \mathbb{Z}^+$ :  $\mathfrak{n}^{2}(x) = \begin{cases} 1 + nf_{\alpha}(x) & x \in [0, L_{n}] \\ 1 & x \notin [0, L_{n}] \end{cases}$  $v(x) = v_{\alpha,n}(x) := \begin{cases} -k^2 n f_{\alpha}(x) & x \in [0, L_n] \\ 0 & x \notin [0, L_n] \end{cases}$  $f_{\alpha}(x) := \frac{8\pi i \alpha (3 - 2e^{2ik_0 x})}{e^{4ik_0 x} + \alpha (1 - e^{2ik_0 x})^2}$ 

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$$\begin{aligned} v_{\alpha,n}(x) &:= \begin{cases} -k^2 n f_{\alpha}(x) & x \in [0, L_n] \\ 0 & x \notin [0, L_n] \end{cases} \\ f_{\alpha}(x) &:= \frac{8\pi i \alpha (3 - 2e^{2ik_0 x})}{e^{4ik_0 x} + \alpha (1 - e^{2ik_0 x})^2} \qquad L_n := \pi n/k_0 \end{aligned}$$

For given  $R = \rho e^{i\varphi} \in \mathbb{C}$ , choose  $\alpha \in [0, 1)$ ,  $n \in \mathbb{Z}^+$ ,  $m \in \mathbb{Z}$  &  $d_m \in \mathbb{R}$ , such that

$$\frac{8\pi n\alpha}{(\alpha+1)^2} = \rho, \qquad d_m = \frac{(4m-1)\pi - 2\varphi}{4k_0}.$$

Let  $v_R^r(x) := v_{\alpha,n}(x+d_m) \& v_R^l(x) := v_{-R^*}^r(x)^*$ .

$$\begin{aligned} v_{\alpha,n}(x) &:= \begin{cases} -k^2 n f_{\alpha}(x) & x \in [0, L_n] \\ 0 & x \notin [0, L_n] \end{cases} \\ f_{\alpha}(x) &:= \frac{8\pi i \alpha (3 - 2e^{2ik_0 x})}{e^{4ik_0 x} + \alpha (1 - e^{2ik_0 x})^2} \qquad L_n := \pi n/k_0 \end{aligned}$$

For given  $R = \rho e^{i\varphi} \in \mathbb{C}$ , choose  $\alpha \in [0, 1)$ ,  $n \in \mathbb{Z}^+$ ,  $m \in \mathbb{Z}$  &  $d_m \in \mathbb{R}$ , such that

$$\frac{8\pi n\alpha}{(\alpha+1)^2} = \rho, \qquad d_m = \frac{(4m-1)\pi - 2\varphi}{4k_0}.$$

Let  $v_R^r(x) := v_{\alpha,n}(x + d_m) \& v_R^l(x) := v_{-R^*}^r(x)^*$ . Then,

- $v_R^r(x)$  is right-invisible with  $R^l = R$ .
- $v_R^l(x)$  is left-invisible with  $R^r = R$ .
- Both vanish outside  $[-d_m, L_n d_m]$ .

 $\Rightarrow$  a model for general unidirectional invisibility.

### $\label{eq:perturbative Expansion for $M$}$

$$\mathcal{H}(\tau) = w(\tau) \begin{bmatrix} 1 & e^{-2i\tau} \\ -e^{2i\tau} & -1 \end{bmatrix} \tau = kx, \qquad w(\tau) = \frac{v(x)}{2k^2}$$
$$\mathbf{M} = \mathcal{U}(+\infty, -\infty) = \mathcal{T} e^{-i\int_{-\infty}^{\infty} d\tau \mathcal{H}(\tau)}$$
$$= 1 - i \int_{-\infty}^{\infty} d\tau_1 \mathcal{H}(\tau_1) - \int_{-\infty}^{\infty} d\tau_2 \int_{-\infty}^{\tau_2} d\tau_1 \mathcal{H}(\tau_2) \mathcal{H}(\tau_1) + \cdots$$
$$=: 1 + \sum_{\ell=1}^{\infty} \mathbf{M}^{(\ell)}$$

### Perturbative Expansion for $\boldsymbol{M}$

$$\begin{aligned} \mathscr{H}(\tau) &= w(\tau) \begin{bmatrix} 1 & e^{-2i\tau} \\ -e^{2i\tau} & -1 \end{bmatrix} \quad \tau = kx, \qquad w(\tau) = \frac{v(x)}{2k^2} \\ \mathbf{M} &= \mathscr{H}(+\infty, -\infty) = \mathscr{T} e^{-i\int_{-\infty}^{\infty} d\tau \mathscr{H}(\tau)} \\ &= 1 - i\int_{-\infty}^{\infty} d\tau_1 \mathscr{H}(\tau_1) - \int_{-\infty}^{\infty} d\tau_2 \int_{-\infty}^{\tau_2} d\tau_1 \mathscr{H}(\tau_2) \mathscr{H}(\tau_1) + \cdots \\ &=: 1 + \sum_{\ell=1}^{\infty} \mathbf{M}^{(\ell)} \\ \mathbf{M}^{(1)} &= \frac{-i}{2k} \begin{bmatrix} \tilde{v}(0) & \tilde{v}(2k) \\ -\tilde{v}(-2k) & -\tilde{v}(0) \end{bmatrix}, \\ \mathbf{M}^{(2)} &= \frac{-1}{4k^2} \begin{bmatrix} \tilde{v}(0,0) - \tilde{v}(-2k,2k) & \tilde{v}(2k,0) - \tilde{v}(0,2k) \\ \tilde{v}(-2k,0) - \tilde{v}(0,-2k) & \tilde{v}(0,0) - \tilde{v}(2k,-2k) \end{bmatrix} \\ \tilde{f}(k_1,\cdots,k_\ell) &:= \int_{-\infty}^{\infty} dx_1 \cdots \int_{-\infty}^{\infty} dx_\ell \ e^{-i(k_1x_1+\cdots+k_\ell x_\ell)} f(x_1,\cdots,x_\ell) \\ &\quad v(x_1,x_2) := v(x_2)\theta(x_2 - x_1)v(x_1) \end{aligned}$$

# Perturbative Unidirectional Invisibility

$$v(x) = \begin{cases} \mathfrak{z} f(x) & \text{for } x \in [0, L], \\ 0 & \text{for } x \notin [0, L]. \end{cases}$$
$$R^{l} = \mathcal{O}(\mathfrak{z}^{2}), \qquad R^{r} = \mathcal{O}(\mathfrak{z}), \qquad T = 1 + \mathcal{O}(\mathfrak{z}^{2}).$$

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# **Perturbative Unidirectional Invisibility**

$$v(x) = \begin{cases} \Im f(x) & \text{for } x \in [0, L], \\ 0 & \text{for } x \notin [0, L]. \end{cases}$$

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Example:  $f(x) = e^{iKx}, \ k = \frac{K}{2} = \frac{2\pi m}{L}, \ \& \ m \in \mathbb{Z}^{+}.$ 

$$R^{l} = \frac{\tilde{v}(-2k)}{2ik - \tilde{v}(0)} + \mathcal{O}(\mathfrak{z}^{2}),$$

$$R^{r} = \frac{\tilde{v}(2k)}{2ik - \tilde{v}(0)} + \mathcal{O}(\mathfrak{z}^{2}),$$

$$T = \frac{2ik}{2ik - \tilde{v}(0)} + \mathcal{O}(\mathfrak{z}^{2}).$$

⇒ Complete characterization of pert. unidir. invisibility

⇒ Multimode Perturbative Unidirectional Invisibility

$$v(x) = \begin{cases} \Im f(x) & \text{for } x \in [0, L], \\ 0 & \text{for } x \notin [0, L]. \end{cases}$$

Example: 
$$f(x) = \frac{\mathfrak{a} e^{2iKx}}{1 - \mathfrak{a} e^{2iKx}} + \frac{\mathfrak{b} e^{-iKx}}{1 - \mathfrak{b} e^{-2iKx}}$$
$$|\mathfrak{a}| < 1, \qquad |\mathfrak{b}| < 1, \qquad K = \frac{2\pi}{L}$$

Perturbatively invisible from left: k = nK,  $n = 1, 2, 3, \cdots$ Perturbatively invisible from right:  $k = \left(n + \frac{1}{2}\right)K$ .

# Perturbative Inverse Scattering:

$$\mathbf{M}^{(1)} = \frac{-i}{2k} \begin{bmatrix} \tilde{v}(0) & \tilde{v}(2k) \\ -\tilde{v}(-2k) & -\tilde{v}(0) \end{bmatrix}$$

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Theorem: It is the first Born Approximation of the scattering data that determines the form of the potential.

## A. M. PRA. 89, 012709 (2014)

## Adiabatic & WKB Approximations

Use adiabatic approx. to solve  $i\dot{\Psi}(\tau) = \mathbf{H}(\tau)\Psi(t)$ :

$$\begin{aligned} \tau &:= kx \\ w(\tau) &:= \frac{v(\tau/k)}{2k^2} \end{aligned} \qquad \mathbf{H}(\tau) &:= \begin{bmatrix} w(\tau) - 1 & w(\tau) \\ -w(\tau) & -w(\tau) + 1 \end{bmatrix} \end{aligned}$$

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$$\begin{aligned} \mathbf{H}(\tau)\Psi_{\pm}(\tau) &= E_{\pm}(\tau)\Psi_{\pm}(\tau) \\ E_{\pm}(\tau) &:= \pm \mathfrak{n}(\tau) \\ \mathfrak{n}(\tau) &:= \sqrt{1 - \frac{v(\tau/k)}{k^2}} \end{aligned} \qquad \Psi_{\pm}(\tau) &:= \frac{1}{2} \begin{bmatrix} 1 \mp \mathfrak{n}(\tau) \\ 1 \pm \mathfrak{n}(\tau) \end{bmatrix} \end{aligned}$$

Biorthonormal dual of  $\Psi_{\pm}(\tau)$ :  $\Phi_{\pm}(\tau) := \frac{1}{2\mathfrak{n}(\tau)^*} \begin{bmatrix} \mathfrak{n}(\tau)^* \mp 1 \\ \mathfrak{n}(\tau)^* \pm 1 \end{bmatrix}$ 

 $\sum_{i=1} |\Psi_j(\tau)\rangle \langle \Phi_j(\tau)| = 1$ 

$$\langle \Phi_i(\tau) | \Psi_j(\tau) \rangle = \delta_{ij},$$

# $\mathbf{H}(\tau)\Psi_{\pm}(\tau) = E_{\pm}(\tau)\Psi_{\pm}(\tau)$

Adiabatic approximation:

 $\Psi_{\pm}(\tau_0) \longrightarrow \Psi(\tau) \approx e^{i\delta_{\pm}(\tau)} e^{i\gamma_{\pm}(\tau)} \Psi_{\pm}(\tau)$ 

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$$\begin{split} \delta_{\pm}(\tau) &= -\int_{\tau_0}^{\tau} E_{\pm}(\tau') d\tau' = \mp \int_{\tau_0}^{\tau} \mathfrak{n}(\tau') d\tau' \\ \gamma_{\pm}(\tau) &= i \int_{\tau_0}^{\tau} \langle \Phi_{\pm}(\tau') | \dot{\Psi}_{\pm}(\tau') \rangle d\tau' = i \int_{\mathfrak{n}(\tau_0)}^{\mathfrak{n}(\tau)} \langle \Phi_{\pm} | d\Psi_{\pm} \rangle \end{split}$$

Adiabaticity Condition:

$$\left|\frac{\langle \Phi_{\pm}(\tau)|\dot{\Psi}_{\mp}(\tau)\rangle}{E_{+}(\tau)-E_{-}(\tau)}\right|\ll 1$$

Garrison & Wright, PLA 128, 177 (1988)

$$\begin{aligned} \left| \frac{\langle \Phi_{\pm}(\tau) | \dot{\Psi}_{\mp}(\tau) \rangle}{E_{\pm}(\tau) - E_{-}(\tau)} \right| \ll 1 \quad \Leftrightarrow \quad \left| \frac{\mathfrak{i}(\tau)}{4\mathfrak{n}(\tau)^{2}} \right| \ll 1 \quad \Leftrightarrow \quad \frac{|v'(x)|}{8|k^{2} - v(x)|^{3/2}} \ll 1 \\ \Psi(\tau) \approx e^{i\delta_{\pm}(\tau)} e^{i\gamma_{\pm}(\tau)} \Psi_{\pm}(\tau) \end{aligned}$$

$$\frac{\langle \Phi_{\pm}(\tau) | \dot{\Psi}_{\mp}(\tau) \rangle}{E_{\pm}(\tau) - E_{-}(\tau)} \ll 1 \iff \left| \frac{\dot{\mathfrak{n}}(\tau)}{4\mathfrak{n}(\tau)^{2}} \right| \ll 1 \iff \frac{|v'(x)|}{8|k^{2} - v(x)|^{3/2}} \ll 1$$
$$\Psi(\tau) \approx e^{i\delta_{\pm}(\tau)} e^{i\gamma_{\pm}(\tau)} \Psi_{\pm}(\tau)$$
$$\delta_{\pm}(\tau) = \mp \int_{\tau_{0}}^{\tau} \mathfrak{n}(\tau') d\tau' = \mp \int_{x_{0}}^{x} \sqrt{k^{2} - v(x')} dx'$$
$$e^{i\gamma_{\pm}(\tau)} = \sqrt{\frac{\mathfrak{n}(\tau_{0})}{\mathfrak{n}(\tau)}} \qquad \Psi_{\pm}(\tau) := \frac{1}{2} \begin{bmatrix} 1 \mp \mathfrak{n}(\tau) \\ 1 \pm \mathfrak{n}(\tau) \end{bmatrix}$$

$$\begin{aligned} \left| \frac{\langle \Phi_{\pm}(\tau) | \dot{\Psi}_{\mp}(\tau) \rangle}{E_{+}(\tau) - E_{-}(\tau)} \right| \ll 1 & \Leftrightarrow \quad \left| \frac{\dot{\mathfrak{n}}(\tau)}{4\mathfrak{n}(\tau)^{2}} \right| \ll 1 & \Leftrightarrow \quad \frac{|v'(x)|}{8|k^{2} - v(x)|^{3/2}} \ll 1 \\ \Psi(\tau) \approx e^{i\delta_{\pm}(\tau)} e^{i\gamma_{\pm}(\tau)} \Psi_{\pm}(\tau) \\ \delta_{\pm}(\tau) &= \mp \int_{\tau_{0}}^{\tau} \mathfrak{n}(\tau') d\tau' = \mp \int_{x_{0}}^{x} \sqrt{k^{2} - v(x')} dx' \\ e^{i\gamma_{\pm}(\tau)} &= \sqrt{\frac{\mathfrak{n}(\tau_{0})}{\mathfrak{n}(\tau)}} \quad \Psi_{\pm}(\tau) := \frac{1}{2} \begin{bmatrix} 1 \mp \mathfrak{n}(\tau) \\ 1 \pm \mathfrak{n}(\tau) \end{bmatrix} \\ \end{aligned}$$
Recall: 
$$\Psi(\tau) := \frac{1}{2} \begin{bmatrix} \phi(\tau) - i\dot{\phi}(\tau) \\ \phi(\tau) + i\dot{\phi}(\tau) \end{bmatrix} \& \phi(\tau) := \psi(\tau/k) \end{aligned}$$

$$\begin{aligned} \left| \frac{\langle \Phi_{\pm}(\tau) | \dot{\Psi}_{\mp}(\tau) \rangle}{E_{\pm}(\tau) - E_{-}(\tau)} \right| &\ll 1 \iff \left| \frac{\dot{\mathfrak{n}}(\tau)}{4\mathfrak{n}(\tau)^{2}} \right| \ll 1 \iff \frac{|v'(x)|}{8|k^{2} - v(x)|^{3/2}} \ll 1 \\ \Psi(\tau) &\approx e^{i\delta_{\pm}(\tau)} e^{i\gamma_{\pm}(\tau)} \Psi_{\pm}(\tau) \\ \delta_{\pm}(\tau) &= \mp \int_{\tau_{0}}^{\tau} \mathfrak{n}(\tau') d\tau' = \mp \int_{x_{0}}^{x} \sqrt{k^{2} - v(x')} dx' \\ e^{i\gamma_{\pm}(\tau)} &= \sqrt{\frac{\mathfrak{n}(\tau_{0})}{\mathfrak{n}(\tau)}} \qquad \Psi_{\pm}(\tau) := \frac{1}{2} \begin{bmatrix} 1 \mp \mathfrak{n}(\tau) \\ 1 \pm \mathfrak{n}(\tau) \end{bmatrix} \\ \text{Recall: } \Psi(\tau) := \frac{1}{2} \begin{bmatrix} \phi(\tau) - i\dot{\phi}(\tau) \\ \phi(\tau) + i\dot{\phi}(\tau) \end{bmatrix} &\& \phi(\tau) := \psi(\tau/k) \\ \psi(x) &\approx \sqrt{\frac{\mathfrak{n}(\tau_{0})}{\mathfrak{n}(\tau)}} e^{\mp i \int_{\tau_{0}}^{\tau} E_{\pm}(\tau') d\tau'} = \frac{N_{0}}{[k^{2} - v(x)]^{1/4}} e^{\mp i \int_{x_{0}}^{x} \sqrt{k^{2} - v(x')} dx'} \end{aligned}$$

- ⇒ WKB Approximation = Adiabatic Approximation
  - Semiclassical expression for transfer matrix
  - Higher-order semiclassical scattering
  - A. M. JPA 47, 125301 (2014) & 345302 (2014)

# Local Inverse Scattering

**Problem:** Given a positive real number  $k_0$  and complex numbers  $R_0^{l/r}$  and  $T_0 \ (\neq 0)$ , find a scattering potential v(x) whose reflection and transmission amplitudes at  $k = k_0$  are given by  $R^{l/r} = R_0^{l/r}$  and  $T = T_0$ .

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Solution/Theorem: v(x) can be written as the sum of at most six unidirectionally invisible finite-range potentials,

$$v(x) = v_1(x) + v_2(x) + \dots + v_6(x).$$

•  $v_i(x)$  have mutually disjoint supports.

•  $v_i(x)$  can be selected from the class  $\{v_R^r(x), v_R^l(x)\}$ .

[arXiv:1407.1760, to appear in Phys. Rev. A]

### Application: Design of bidirectionally reflectionless phaseshifting amplifier

Example: Choose  $T_0 = \sqrt{2}i$ . Then  $v_0(x)$  doubles the intensity  $(|T_0|^2 = 2)$  and produces a  $\pi/2$  phase shift in the transmitted wave.

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Explicit model:  $v_0(x) = v_1(x) + v_2(x) + v_3(x) + v_4(x)$  with

$$v_j(x) := \begin{cases} v_{\alpha_j,n}(x+d_j) & \text{for } j = 1, 3, \\ v_{\alpha_j,n}(x+d_j)^* & \text{for } j = 2, 4, \end{cases}$$

 $k_0 = 2\pi/\mu m$ , n = 300, so that  $L_n = 150 \ \mu m$ , and

 $\begin{aligned} \alpha_1 &= 1.57798 \times 10^{-4}, & d_1 &= 300.625 \ \mu\text{m}, \\ \alpha_2 &= 1.93283 \times 10^{-4}, & d_2 &= 150.299 \ \mu\text{m}, \\ \alpha_3 &= 1.11565 \times 10^{-4}, & d_3 &= 0.00000 \ \mu\text{m}, \\ \alpha_4 &= 2.73409 \times 10^{-4}, & d_4 &= -150.326 \ \mu\text{m}. \end{aligned}$ 



### Summary:

- M = S-matrix for a two-level non-Hermitian Hamiltonian which is pseudo-Hermitian for a real potential.
- M = Asymptotic value of the evolution operator for a twolevel pseudo-normal Hamiltonian.
- $\bullet$  Dynamical equations for M  $\Rightarrow$  optical potential design
- Perturbative Unidirectional Invisibility & inverse scattering
- Adiabatic approximation ⇔ WKB approximation
- Pre-exponential part of the WKB wave functions is actually a complex geometric phase
- Explicit model for unidirectional invisibility
- Unidirectionally invisible potentials are local building blocks of all scattering potentials
- **Applications:** Design of reflectionless amplifiers, absorbers, phase-shifters, threshold lasers & anti-lasers.

## **References:**

- arXiv:1310.0592 [Ann. Phys. (NY), 341, 77 (2014)]
- arXiv:1311.1619 [Phys. Rev. A 89, 012709 (2014)]
- arXiv:1401.4315 [J. Phys. A 47, 125301 (2014)]
- arXiv:1402.6458 [J. Phys. A, 47, 345302 (2014)]
- arXiv:1407.1760 [Phys. Rev. A, to appear.]

#### Thank you for your attention.