



Introduction to Solitons

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ϕ^4 model

$$L = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - U(\phi); \quad U(\phi) = \frac{\lambda}{4} (\phi^2 - a^2)^2$$

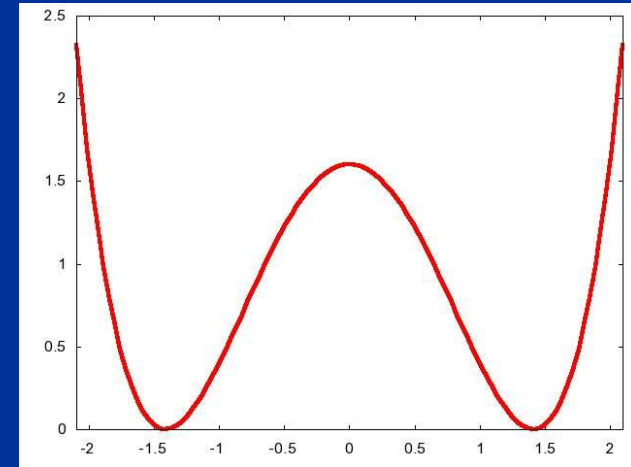
$$\text{Field equation:} \quad \partial_\mu \partial^\mu \phi + \frac{dU}{d\phi} = 0$$

$$\text{Potential energy:} \quad V = \int_{-\infty}^{\infty} dx \left[\frac{1}{2} \left(\frac{\partial \phi}{\partial x} \right)^2 + U(\phi) \right]$$

$$\text{Kinetic energy:} \quad T = \frac{1}{2} \int_{-\infty}^{\infty} dx \left(\frac{\partial \phi}{\partial t} \right)^2$$

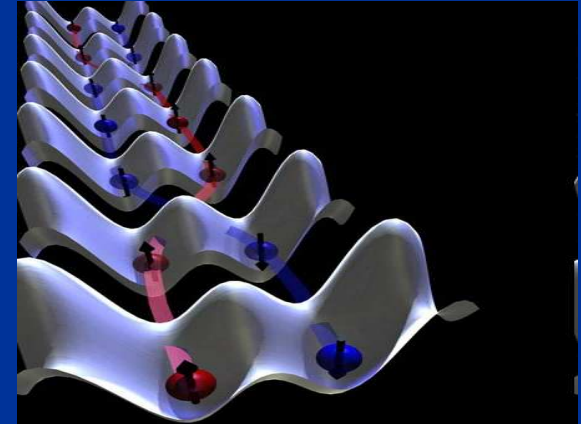
$$\text{Vacuum: } \phi_0 = \pm a \quad \text{Static configuration: } T=0$$

$$\text{Energy bound: } E = V = \int_{-\infty}^{\infty} dx \left[\frac{1}{\sqrt{2}} \phi' \pm \sqrt{U(\phi)} \right]^2 \mp \int_{-\infty}^{\infty} dx \sqrt{2U(\phi)} \phi' \geq 0$$



ϕ^4 model: Applications

- Phenomenological theory of second order phase transitions
- A model of the displacive phase transitions
- A model of uniaxial ferroelectrics
- A phenomenological theory of the non-perturbative transition in polyacetylene chain
- Condensed matter physics: solitary waves in shape-memory alloys
- Cosmology: model dynamics of the domain walls.
- Biophysics: soliton excitations in DNA double helices.
- Quantum field theory: a model example to investigate transition between perturbative and non-perturbative sectors of the theory.
- A model of quantum mechanical instanton transitions in double-well potential



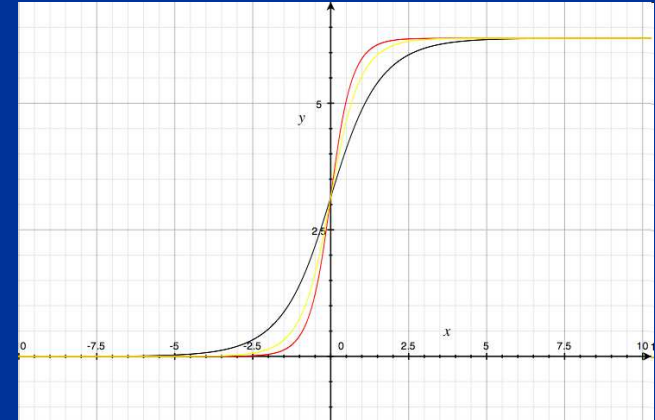
Kink solution

Energy is minimal if $\frac{1}{\sqrt{2}}\phi' = \sqrt{U(\phi)}$

Kink solution: $\phi_{K\bar{K}} = \pm a \tanh\left(\frac{m(x-x_0)}{\sqrt{2}}\right)$

Mass of the kink: $M = \frac{2\sqrt{2}\lambda a^3}{3} = \frac{2\sqrt{2}m^3}{3\lambda}$

Mass of the scalar excitation: $m = a\sqrt{\lambda}$



$$M = E = \int_{-\infty}^{\infty} dx \sqrt{2U(\phi)} \phi' = \sqrt{\frac{\lambda}{2}} \int_{\phi(-\infty)}^{\phi(\infty)} d\phi (a^2 - \phi^2) = \sqrt{\frac{\lambda}{2}} \left(a^2 \phi - \frac{1}{3} \phi^3 \right) \Bigg|_{\phi(-\infty)}^{\phi(\infty)}$$

Topological charge: $Q = \frac{1}{2a} [\phi(\infty) - \phi(-\infty)]$ - mapping
 $(-a ; a) \rightarrow (-\infty ; \infty)$

Topological current $J_\mu = \frac{1}{2a} \varepsilon_{\mu\nu} \partial^\nu \phi$; $Q = \int_{-\infty}^{\infty} dx J_0 = \frac{1}{2a} \int_{-\infty}^{\infty} dx \frac{\partial \phi}{\partial x}$

Energy density: $\mathcal{E} = \frac{m^2 a^2}{2} \operatorname{sech}^4\left(\frac{m(x-x_0)}{\sqrt{2}}\right)$

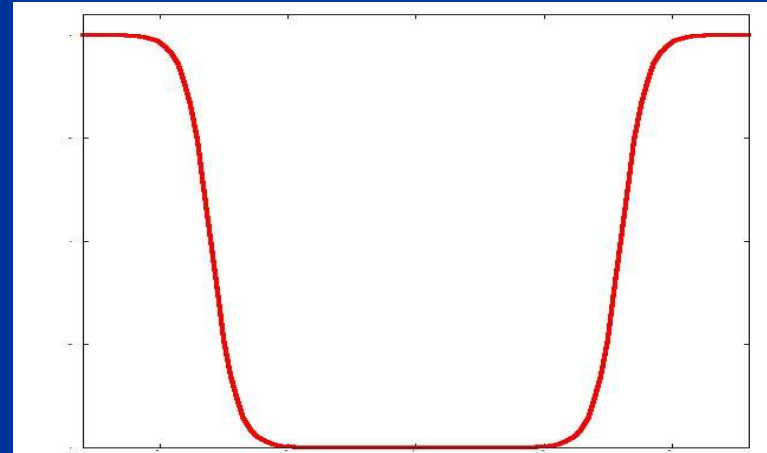
Interaction between the kinks

Kink-antikink pair ($a=1, m = \sqrt{2}$):

$$\phi(x) = 1 + \tanh(x - R) - \tanh(x + R)$$

Far away from the pair (somewhere at $x \approx 0$)

$$\begin{aligned}\tanh(x - R) &\approx -1 + 2e^{2(x-R)}; \\ \tanh(x + R) &\approx 1 - 2e^{-2(x+R)}\end{aligned}$$



Interaction energy: $E_{int} \approx -16e^{-2L}, \quad L = 2R$

Kinks attracts each other with the force $F = \frac{dE_{int}}{dL} \approx 32e^{-2L}$

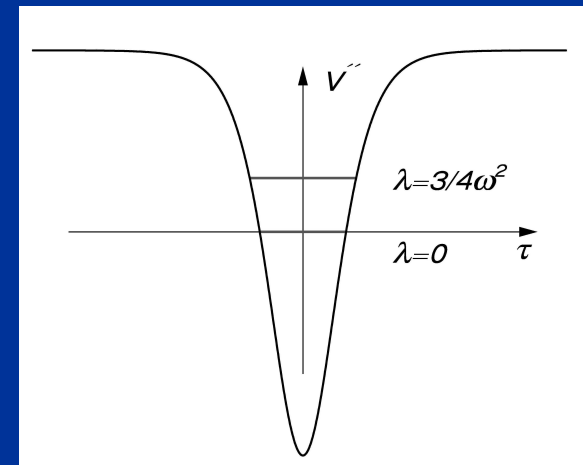
Linear oscillations on the static kink background: $\phi = \phi_K + \delta\phi$

$$\ddot{\phi} - \phi'' - \lambda(a^2 - \phi^2)\phi = 0 \rightarrow \delta\ddot{\phi} - \delta\phi'' - m^2 \left[1 - \frac{3}{2 \cosh^2(mx/2)} \right] \delta\phi = 0$$

Modes of oscillations: ϕ^4 model

Modes on the ϕ^4 kink: $\delta\phi = \sum_{n=0}^{\infty} C_n(t)\eta_n(x)$

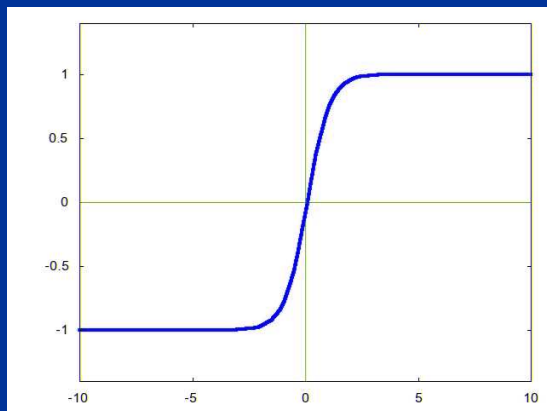
$$\frac{d^2\eta_n}{dx^2} + m^2 \left[1 - 3 \tanh\left(\frac{mx}{\sqrt{2}}\right) \right] \delta\eta_n = \omega^2 \eta_n(x)$$



Peashle-Teller potential:

Zero (translational) mode: $\omega^2=0$

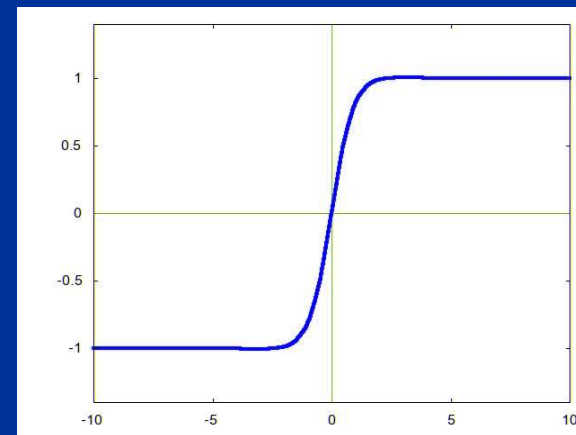
Internal mode: $\omega^2 = \frac{3m^2}{4}$



$$z = \frac{mx}{\sqrt{2}}$$

$$\eta_0 = \frac{2}{\sqrt{3} \cosh^2 z}$$

$$\eta_1 = \sqrt{\frac{2}{3}} \frac{\sinh z}{\cosh^2 z}$$



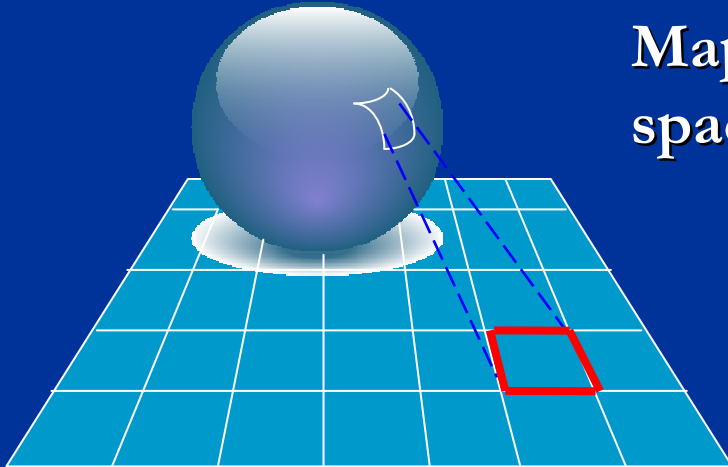
O(3) sigma-model

$$L = \frac{1}{2} \partial_\mu \phi^a \partial^\mu \phi^a; \quad \phi^a \phi^a = 1 \quad \phi^a = (\phi^1, \phi^2, \phi^3) \quad d=2+1$$

using the Lagrange multiplier $L = \frac{1}{2} \partial_\mu \phi^a \partial^\mu \phi^a + \lambda(1 - \phi^a \phi^a)$

Field equation: $\partial_\mu \partial^\mu \phi^a + (\partial_\mu \phi^b \partial^\mu \phi^b) \phi^a = 0 \quad \lambda = \phi^a \partial_\mu \partial^\mu \phi^a$

Energy $E = \frac{1}{4} \int d^2x (\partial_n \phi^a \cdot \partial_n \phi^a)$ remains finite if $\phi \rightarrow (0, 0, 1)$ as $r \rightarrow \infty$



Mapping from the (x,y) plane to the target space S^2

$$(dx, dy) \rightarrow \left(\frac{\partial \vec{\phi}}{\partial x} dx, \frac{\partial \vec{\phi}}{\partial y} dy \right)$$

The boundary of the (x,y) plane is equivalent to a point, i.e. the plane is equivalent to the sphere S^2

Topological charge:
- mapping $S^2 \rightarrow S^2$

$$Q = \frac{1}{4\pi} \int d^2x (\vec{\phi} \cdot \vec{\sigma}) = \frac{1}{4\pi} \int d^2x \vec{\phi} \left[\frac{\partial \vec{\phi}}{\partial x} \times \frac{\partial \vec{\phi}}{\partial y} \right]$$

O(3) sigma-model: Lump solitons

Problem: find solution in the sector with topological charge $Q=1$

SO(2) invariant ansatz:
$$\begin{cases} \phi^\alpha = n^\alpha \sin f(r), & \phi^3 = \cos f(r) \\ n^\alpha = (\cos \varphi; \sin \varphi) \end{cases}$$

Vacuum: $\phi^a = (0, 0, -1) \longrightarrow f(0) = 0; f(\infty) = \pi$

$$\partial_k \phi^\alpha = \frac{1}{r} (\delta^{k\alpha} - n^k n^\alpha) \sin f + n^k n^\alpha f' \cos f; \quad \partial_k \phi^3 = -n^k f' \sin f$$

Trick: do not solve field equation directly!

Consider $F_i^a = \partial_i \phi^a \pm \varepsilon_{abc} \varepsilon_{ij} \phi^b \partial_j \phi^c$ $\frac{1}{2} F_i^a F_i^a = \partial_i \phi^a \partial_i \phi^a \mp \varepsilon_{abc} \varepsilon_{ij} \phi^a \partial_i \phi^b \partial_j \phi^c$

Because $\int d^2x F_i^a F_i^a \geq 0$

$$\int d^2x \partial_i \phi^a \partial_i \phi^a \geq \pm \int d^2x \varepsilon_{abc} \varepsilon_{ij} \phi^a \partial_i \phi^b \partial_j \phi^c$$

$E \geq \pm 4\pi Q$

$$\partial_i \phi^a \partial_i \phi^a = \varepsilon_{abc} \varepsilon_{ij} \phi^a \partial_i \phi^b \partial_j \phi^c$$

O(3) sigma-model: Lump solitons

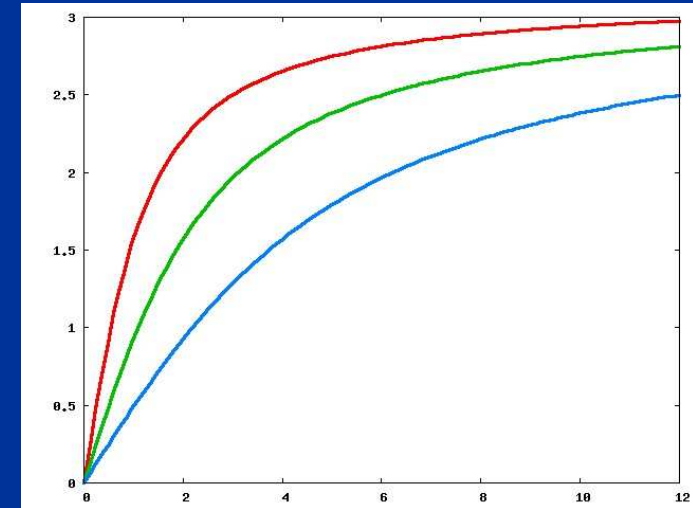
$$f' = \frac{1}{r} \sin f$$

$$\longrightarrow f = 2 \arctan \frac{r}{r_0}$$

Solution: $\phi^\alpha = \frac{2x^\alpha r_0}{r_0^2 + r^2}; \quad \phi^3 = \frac{r_0^2 - r^2}{r_0^2 + r^2}$

Topological charge:

$$Q = \frac{1}{4\pi} \int d^2x \varepsilon_{abc} \varepsilon_{ij} \phi^a \partial_i \phi^b \partial_j \phi^c = 1$$



Riemann sphere coordinates on the target space S^2 :

$$R_1 = \frac{2\phi^1}{1 - \phi^3}; \quad R_2 = \frac{2\phi^2}{1 - \phi^3}; \quad R = R_1 + iR_2; \quad z = x + iy$$

$$E = \int d^2x \frac{|\partial_z R|^2 + |\partial_{\bar{z}} R|^2}{(1 + |R|^2)^2}; \quad Q = \frac{1}{\pi} \int d^2x \frac{|\partial_z R|^2 - |\partial_{\bar{z}} R|^2}{(1 + |R|^2)^2}$$

Field equation becomes the
Cauchy-Riemann equation:

$$\partial_{\bar{z}} R = 0$$

Skyrme family

● **(2+1)-dim: Baby Skyrme model**

$$\phi : S^2 \rightarrow S^2; \quad \phi_\infty = (0, 0, 1)$$

$$Q \in \mathbb{Z} = \pi_2(S^2)$$

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{4} (\partial_\mu \phi \times \partial_\nu \phi)^2 - V(\phi)$$

Standard choice: $V(\phi) = \mu^2(1 - \phi_3)$

$$Q = \frac{1}{4\pi} \int_{\mathbb{R}^2} \phi \cdot (\partial_1 \phi \times \partial_2 \phi) d^2x$$

● **(3+1)-dim: Skyrme model**

$$\phi : S^3 \rightarrow S^3; \quad \phi_\infty = (0, 0, 0, 1)$$

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{4} (\partial_\mu \phi \times \partial_\nu \phi)^2 - V(\phi)$$

$$R_\mu = \partial_\mu U U^\dagger; \quad U = \phi_0 \mathbb{I} + i\sigma^a \cdot \phi^a$$

$$\mathcal{L} = - \text{Tr} \left\{ \frac{1}{2} (R_\mu R^\mu) + \frac{1}{16} ([R_\mu, R_\nu][R^\mu, R^\nu]) + \mu^2 (U - \mathbb{I}) \right\}$$

$$Q \in \mathbb{Z} = \pi_3(S^3)$$

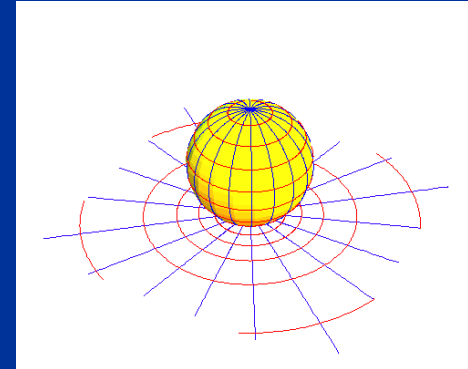
$$Q = \frac{1}{24\pi^2} \text{Tr} \int_{\mathbb{R}^3} \varepsilon_{ijk} R_i R_j R_k d^3x$$

Baby Skyrme model

(Tchrakian, Zakrzewski, Leese (1990))

$$\phi = (\phi^1, \phi^2, \phi^3); \quad \phi^a \cdot \phi^a = 1; \quad \phi : S^2 \rightarrow S^2$$

$$Q = \frac{1}{4\pi} \int d^2x \varepsilon_{abc} \varepsilon_{ij} \phi^a \partial_i \phi^b \partial_j \phi^c = 1$$

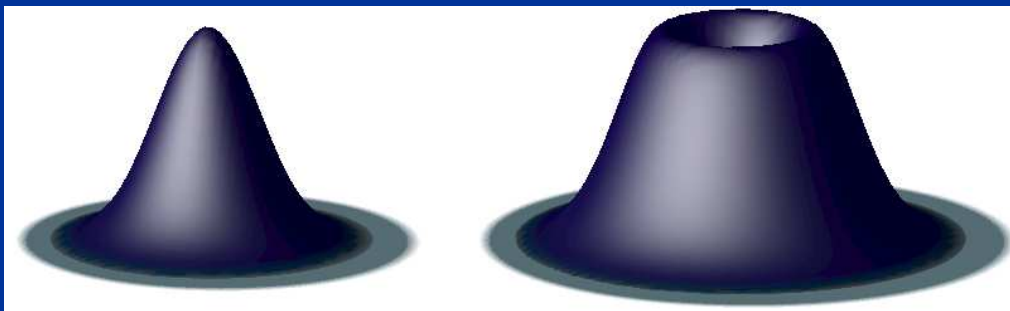


Derrick's scaling theorem: Skyrme term provides a scale but cannot stabilise the soliton: potential term is necessary

$$L = \frac{1}{4} (\partial_\mu \phi^a)^2 - \frac{\kappa}{8} \left[(\partial_\mu \phi^a \partial_\mu \phi^a)^2 - (\partial_\mu \phi^a \partial_\nu \phi^a) (\partial^\mu \phi^a \partial^\nu \phi^a) \right] + m^2 (1 - \phi^3)$$

$E \geq \pm 4\pi Q$ equality is possible if $\kappa = 0$ and $m = 0$

Axially symmetric ansatz:



$Q=1$

$Q=2$

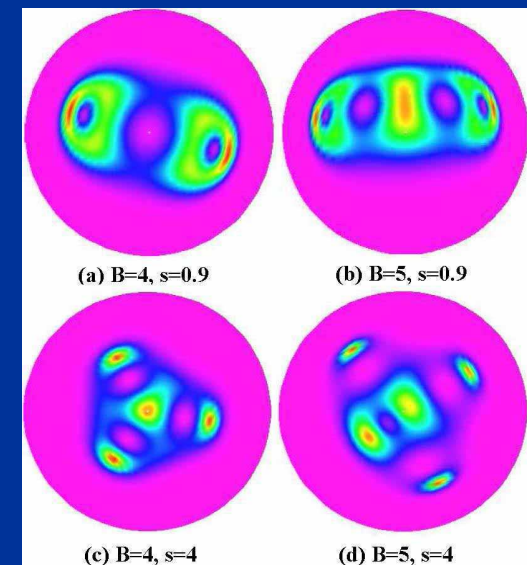
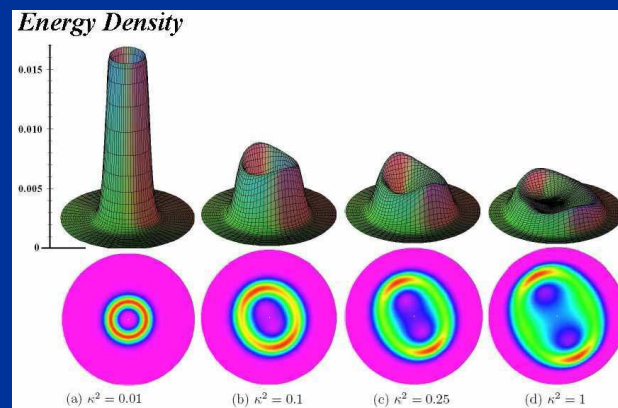
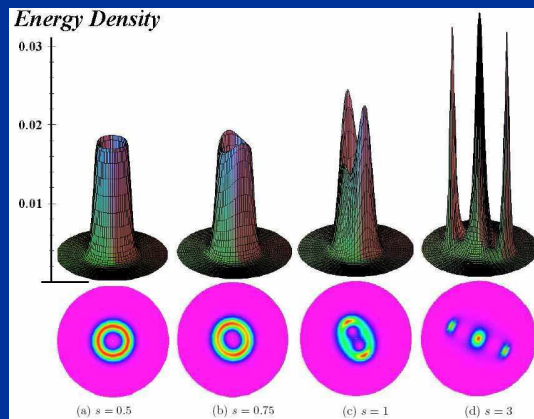
$$\begin{aligned} \phi^1 &= \sin f(r) \cos(Q\varphi - \delta); \\ \phi^2 &= \sin f(r) \sin(Q\varphi - \delta); \\ \phi^3 &= \cos f(r) \end{aligned}$$

Baby Skyrme model

Potential of the baby Skyrme model: potential term $U(\phi)$ may be chosen almost arbitrarily, however must vanish at infinity for a given vacuum field value in order to ensure existence of the finite energy solutions: $\phi_{(0)}^a = (0, 0, 1)$

Several potential terms have been studied in great detail:

- “Old” model, with $U(\phi) = m^2(1 - \phi_3)$
- Holomorphic model, with $U(\phi) = m^2(1 - \phi_3)^4$
- “Double vacuum” model, with $U(\phi) = m^2(1 - \phi_3^2)$



Skyrme model: $\phi = (\phi^1, \phi^2, \phi^3, \phi^4)$; $\phi^a \cdot \phi^a = 1$; $\phi : S^3 \rightarrow S^3$

$$L = - \int d^3x \left\{ \frac{1}{2} (\partial_\mu \phi^a)^2 - \frac{1}{4} [(\partial_\mu \phi^a \partial_\nu \phi^a)^2 - (\partial_\mu \phi^a)^4] + m^2 (1 - \phi^3) \right\}$$

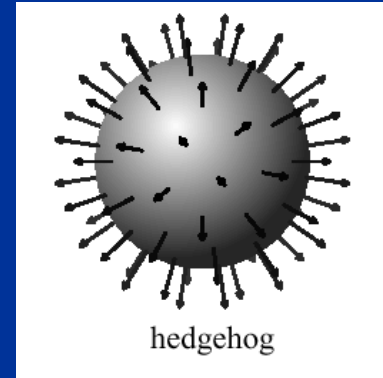
There is no self-dual skyrmions: $E \geq Q$

Spherically symmetric skyrmion ($Q=1$)

Hedgehog ansatz: $U = \phi^4 + \phi^a \cdot \sigma^a = \cos f(r) + i \hat{n} \cdot \sigma \sin f(r)$

$$U : S^3 \rightarrow S^3$$

$$\hat{n} = (\sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta)$$



The topological charge

$$Q = \frac{1}{24\pi^2} \int d^3x \epsilon_{ijkl} \epsilon_{abcd} \phi^a \partial_i \phi^b \partial_j \phi^c \partial_k \phi^d = \frac{1}{\pi} \left[f(r) - \frac{\sin 2f(r)}{2} \right]_0^\infty$$

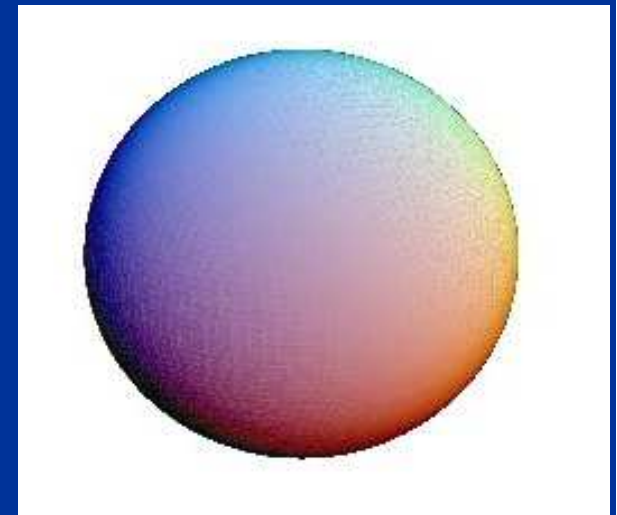
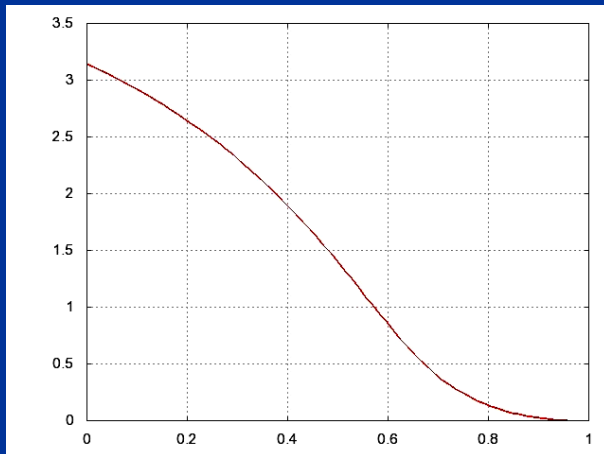
The boundary conditions

$$f(0) = \pi, f(\infty) = 0$$

correspond to $Q=1$

Good approximation:

$$f(r) = 4 \arctan(e^r)$$



Low energy QCD (\$ 1000000 Problem)

$$\Lambda_{\text{QSB}} \sim 1 \text{ GeV}$$

$$\Lambda_{\text{QCD}} \sim 180 \text{ MeV}$$

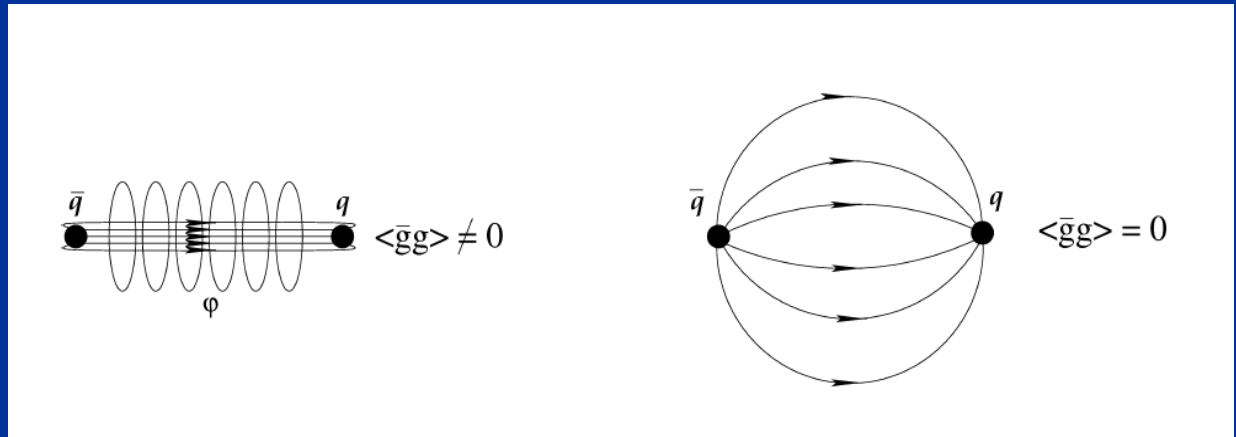
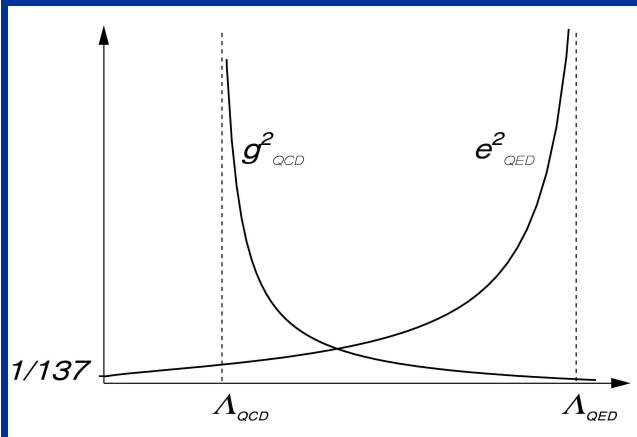
Perturbative QCD
(Quarks & gluons)

Low-energy effective theory

Hadrons

Weak definition of the confinement: There are no color states in physical spectrum

Strong definition of the confinement: The quarks in hadrons are binded by a linear potential



Skymions and hadrons (“Top Gear approach”) by courtesy of Paul Sutcliffe

● If you are a computer simulation freak:

Nuclear physics from QCD, large scale lattice calculations



● If you believe that $3 \simeq \infty$:

Skyrme model as low-energy QCD:



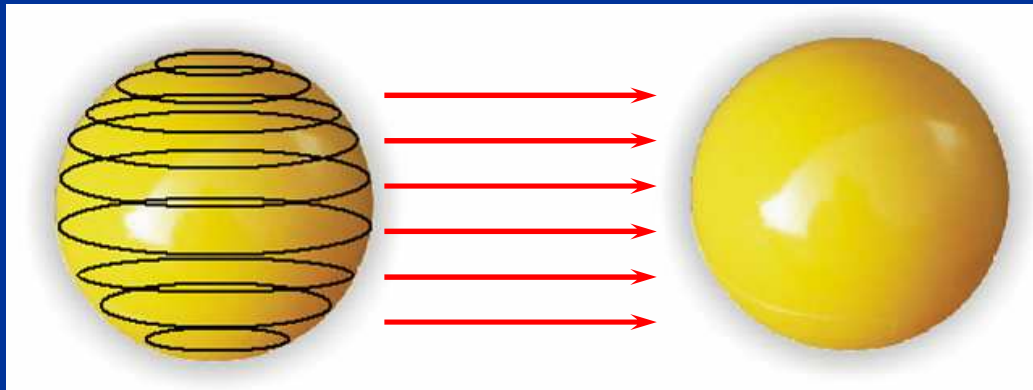
● If you are a particle phenomenologist:

Quark potential models, fitting experimental data



Rational map Skyrmions

The Skyrme field is effectively a map $U: S_3 \rightarrow SU(2) \sim S_3$



The idea of the rational map ansatz:

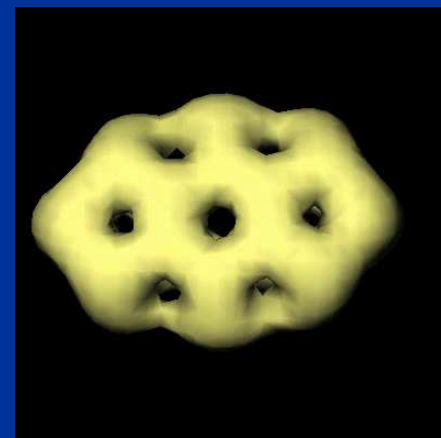
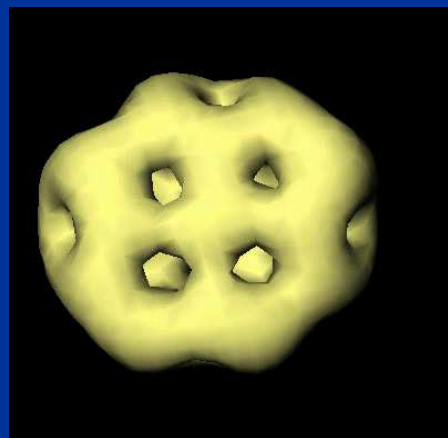
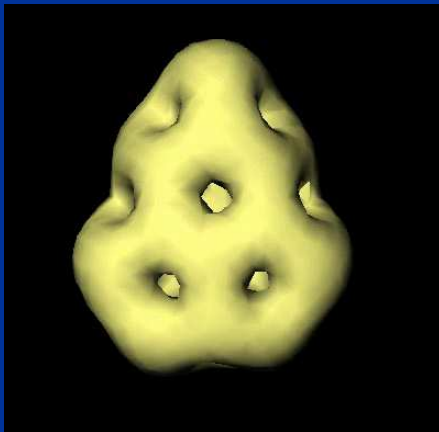
- Separate the radial and the angular dependence of the Skyrme field as

$$U = \exp \{ i f(r) \hat{\mathbf{n}}_R \cdot \boldsymbol{\sigma} \}$$

- Identify spheres S_2 with concentric spheres in compactified R_3

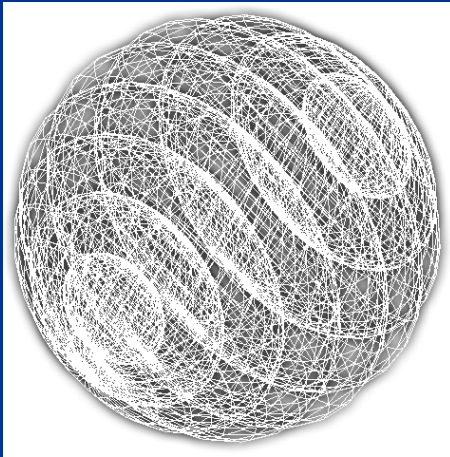
- Identify target space S_2 with spheres of latitude on S_3

(N.S. Manton, C.Houghton & P.Sutcliffe, 1998)



R. A. Battye
P. Sutcliffe
(2007)

Rational map Skyrmions



Stereographic projection: $z = \tan(\theta/2)e^{i\varphi}$

$$U = \exp \{ i f(r) \hat{\mathbf{n}}_R \cdot \boldsymbol{\sigma} \}$$

$$\hat{\mathbf{n}}_R : S^2 \rightarrow S^2$$

$$\hat{\mathbf{n}}_z = \frac{1}{1+|z|^2} (z + z^*, i(z^* - z), 1 - |z|^2)$$

$$\hat{\mathbf{n}}_R = \frac{1}{1+|R|^2} (R + R^*, i(R^* - R), 1 - |R|^2)$$

$$R = a(z)/b(z)$$

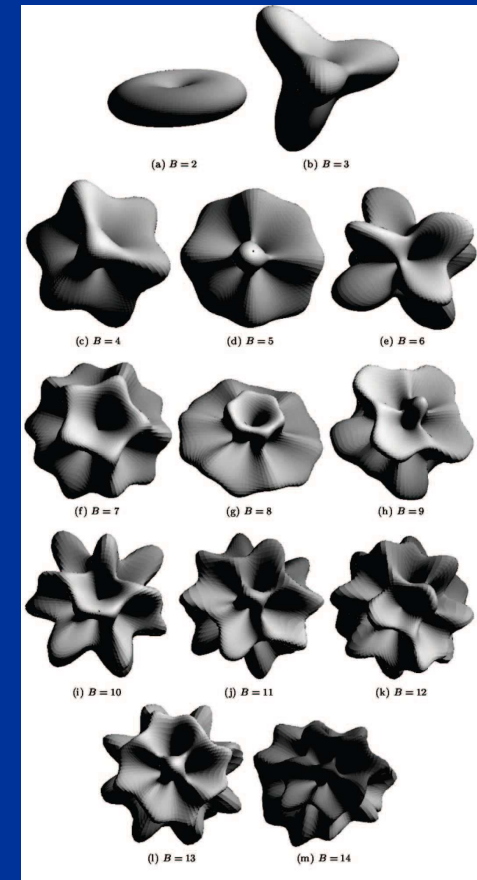
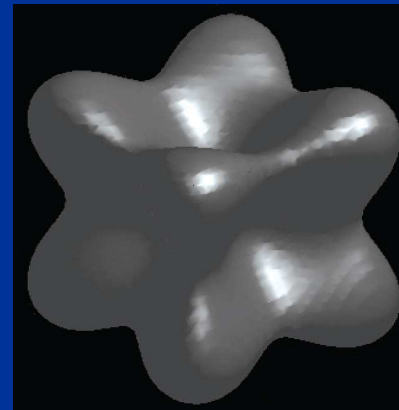
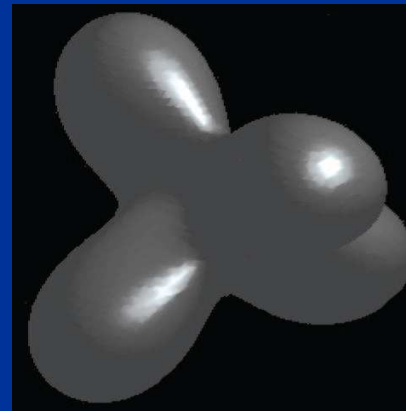
The holomorphic map of degree B:

$$B=4: R(z) = \frac{z^4 + 2i\sqrt{3}z^2 + 1}{z^4 - 2i\sqrt{3}z^2 + 1}$$

(Octahedral Skyrmions)

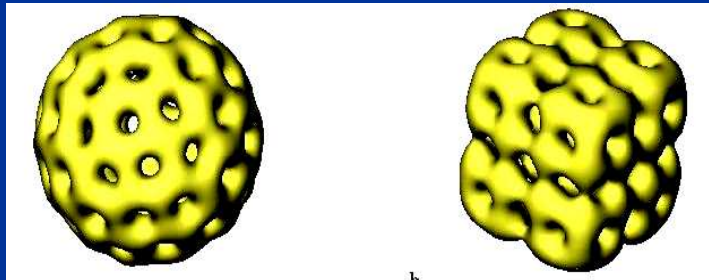
$$B=7: R(z) = \frac{z^7 - 7z^5 - 7z^2 - 1}{z^7 + 7z^5 - 7z^2 + 1}$$

(Icosahedral Skyrmions)

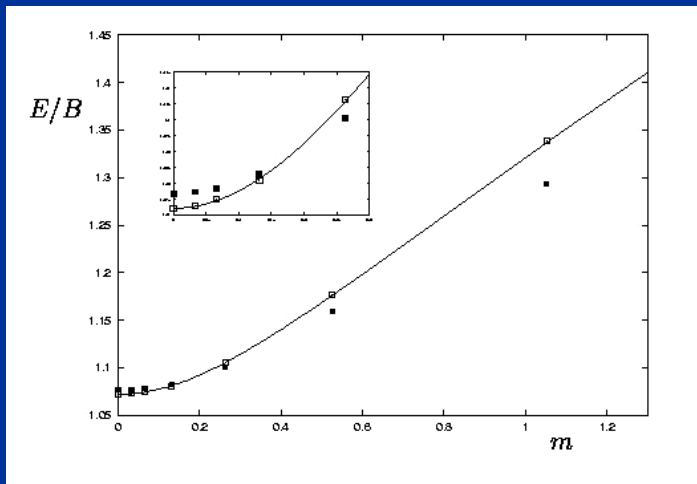


Crystalline structure of nucleons

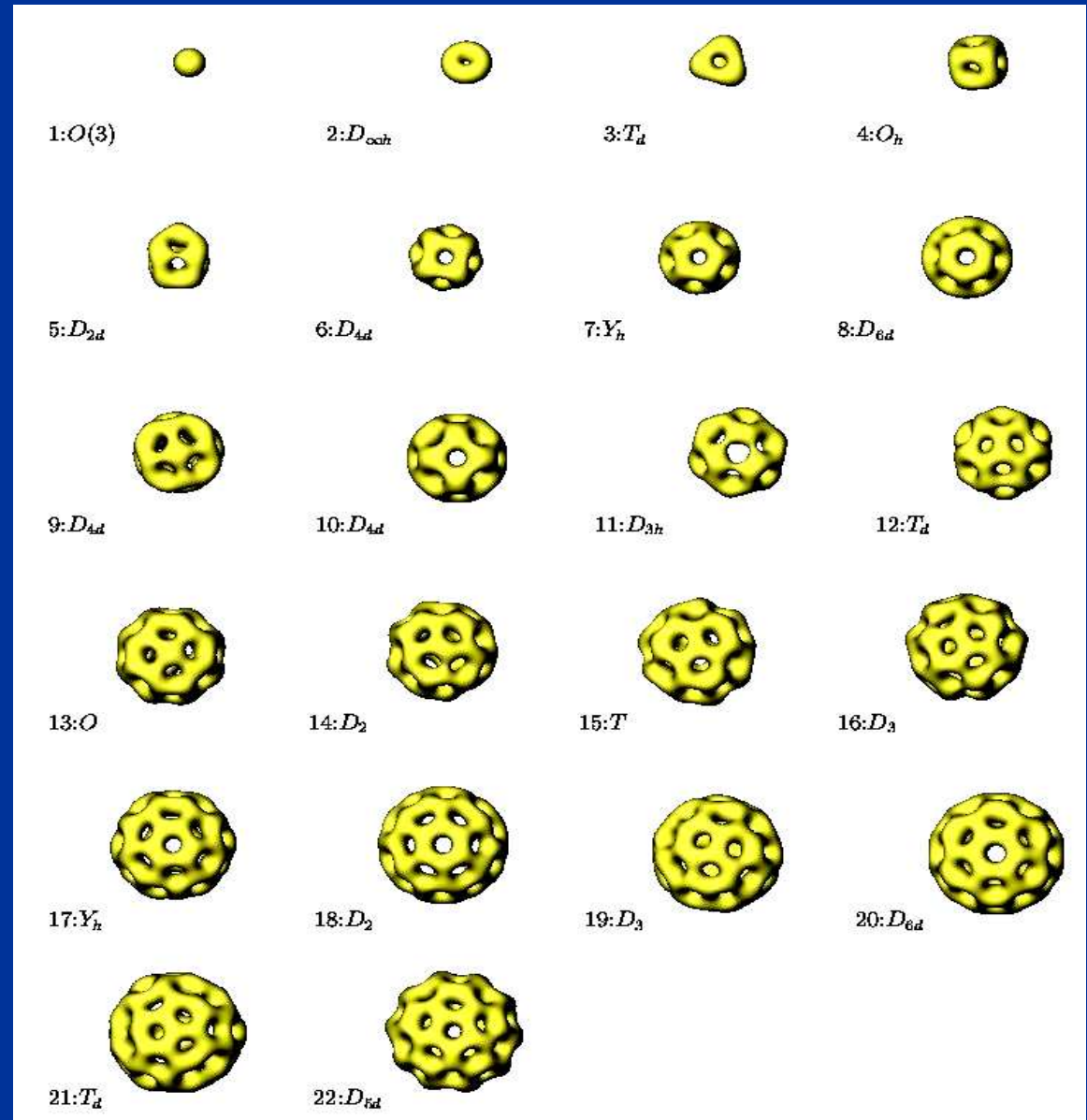
R. A. Batty, N. S. Manton,
C. Houghton
and P. Sutcliffe (1996, 2004)



Shell vs. Crystal

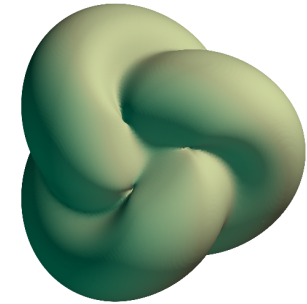


Shell wins for $m < 0.16$



Faddeev-Skyrme model

- Carrier field: 3D unit vector field ϕ^a in R_3 , locally smooth
- 3D unit vectors can be represented by points on the sphere S_2
- Hopf map:** $\phi: S_3 \rightarrow S_2$, the homotopy class $\pi_3(S_2) = \mathbb{Z}$
- $\phi^a = (0, 0, 1)$ at infinity (in any direction)
- $\phi^a = (0, 0, -1)$ on the ring $x^2 + y^2 = 1, z = 0$ (vortex core).



The Hopf charge:

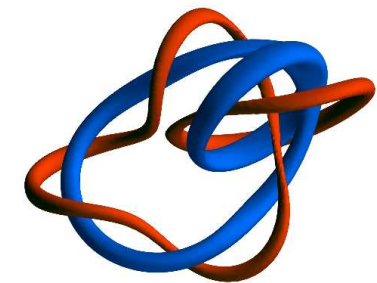
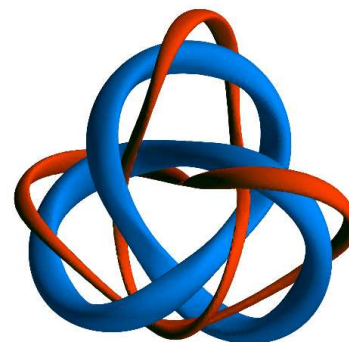
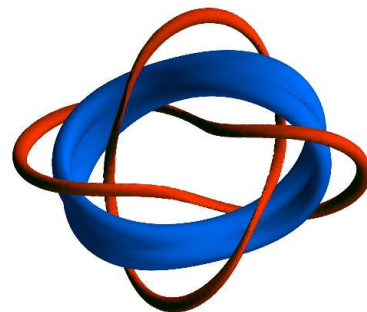
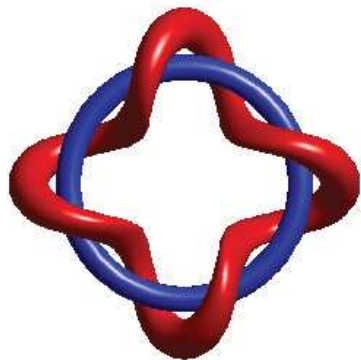
$$Q = \frac{1}{16\pi^2} \int d^3x \epsilon_{ijk} A_i F_{jk}$$

Faddeev-Skyrme Lagrangian:

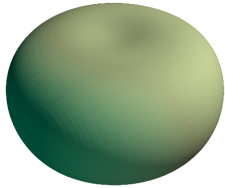
Given $\phi: \rightarrow S_2$ define $F_{ij} = \epsilon_{abc} \phi^a \partial_i \phi^b \partial_j \phi^c$

Given F_{ij} construct potential $F_{ij} = \partial_i A_j - \partial_j A_i$

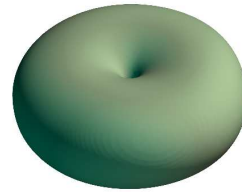
$$L = \frac{1}{2} (\partial_i \phi^a)^2 - \frac{\kappa^2}{4} (\epsilon_{abc} \phi^a \partial_i \phi^b \partial_j \phi^c)^2$$



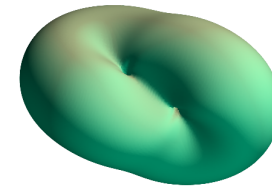
Solitons of the Faddeev-Skyrme model



Q=1 $1\mathcal{A}_{1,1}$



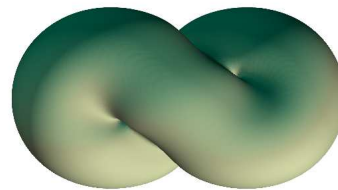
Q=2 $2\mathcal{A}_{2,1}$



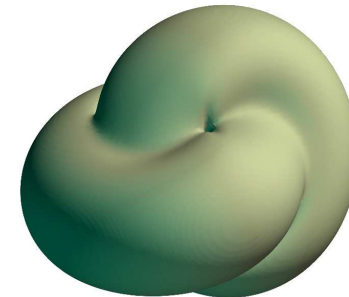
Q=3 $3\tilde{\mathcal{A}}_{3,1}$



Q=4 $4\mathcal{A}_{2,2}$

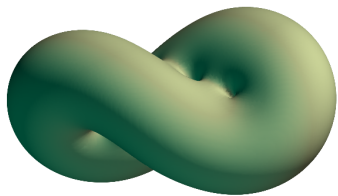


Q=4 $4\tilde{\mathcal{A}}_{4,1}$

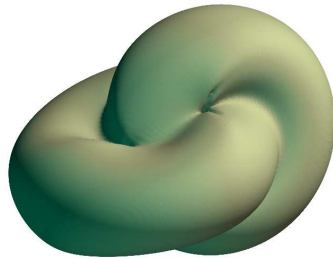


Q=4 $4\mathcal{L}_{1,1}^{1,1}$

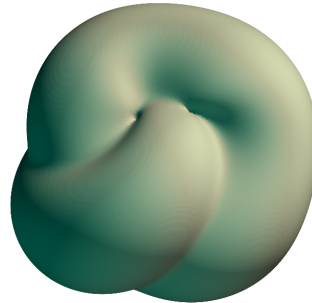
Solitons of the Faddeev-Skyrme model



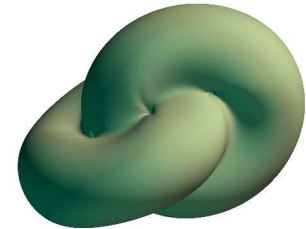
Q=5 $5\tilde{\mathcal{A}}_{5,1}$



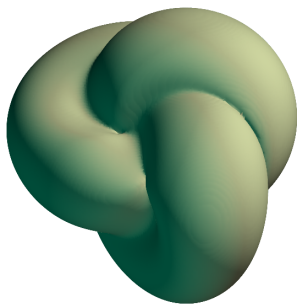
Q=5 $5\mathcal{L}_{1,1}^{1,2}$



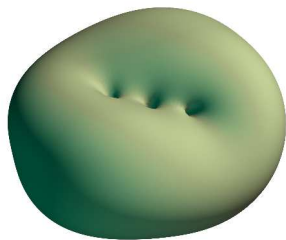
Q=6 $6\mathcal{L}_{1,1}^{2,2}$



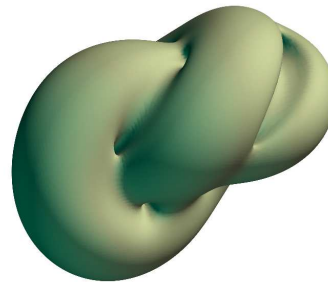
Q=6 $6\mathcal{L}_{1,1}^{3,1}$



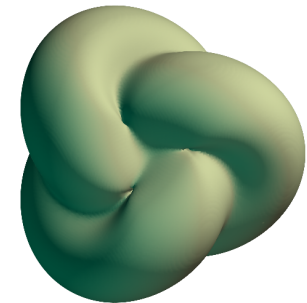
Q=7 $7\mathcal{K}_{3,2}$



Q=8 $8\tilde{\mathcal{A}}_{4,2}$

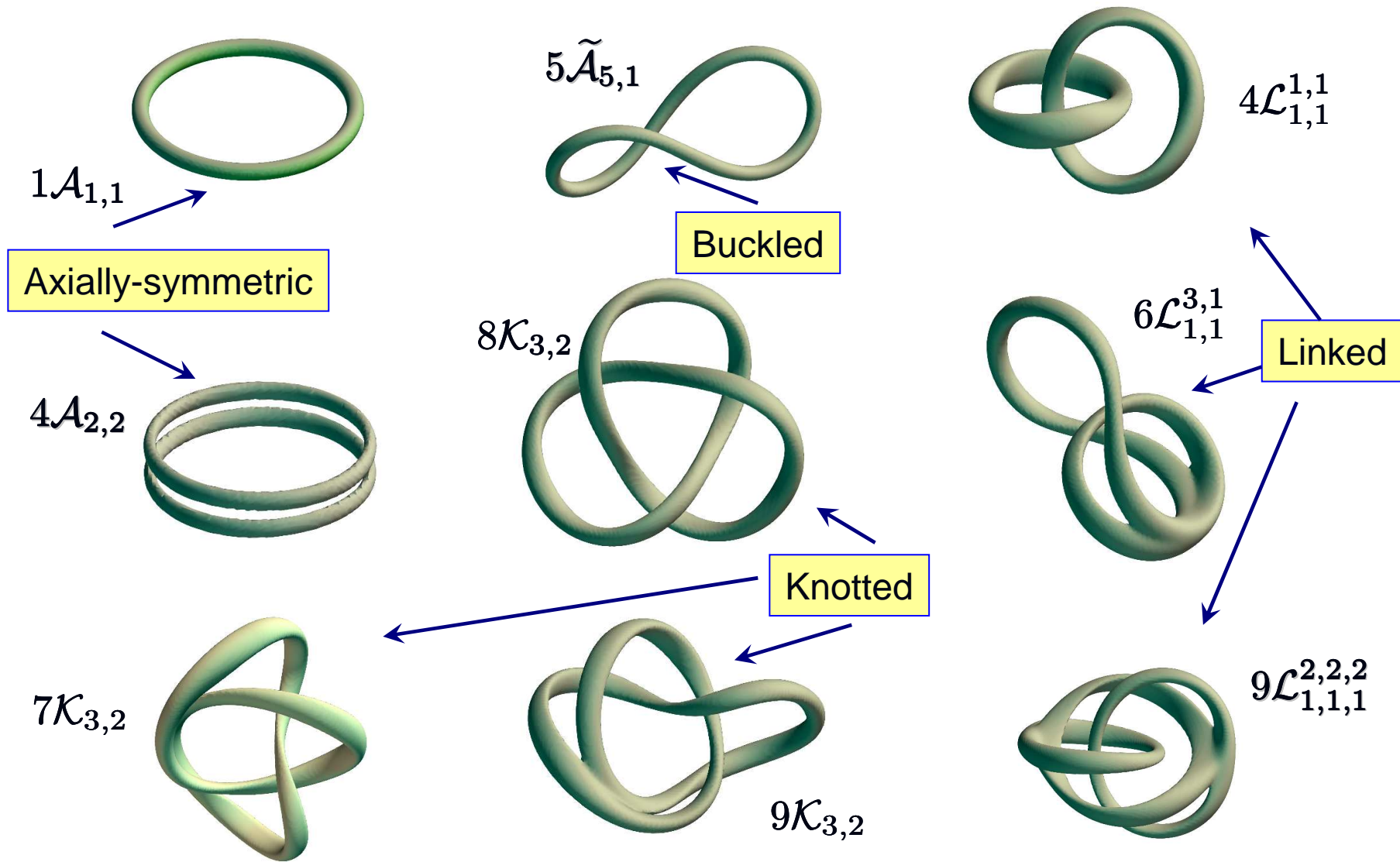


Q=8 $8\mathcal{L}_{1,1}^{3,3}$

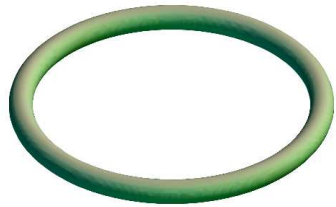


Q=8 $8\mathcal{K}_{3,2}$

Buckled, linked and knotted hopfions



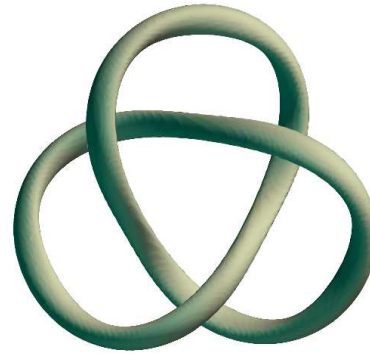
Position curves and linking numbers



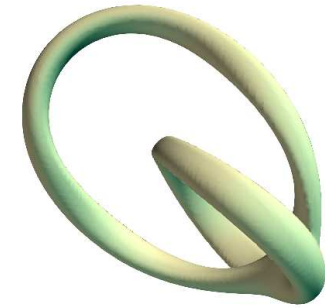
$4 \mathcal{A}_{4,1}$



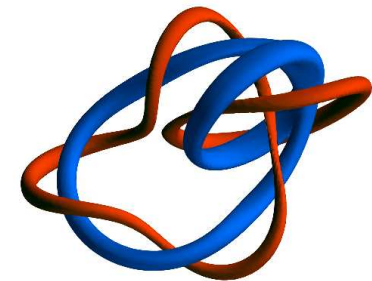
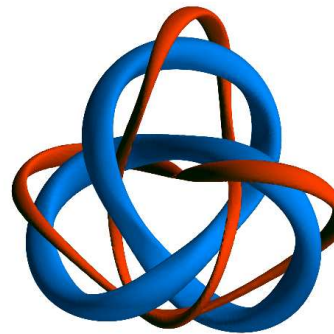
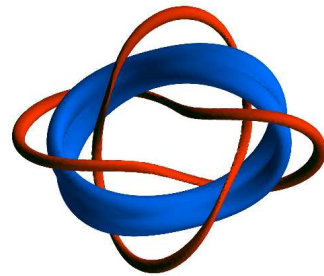
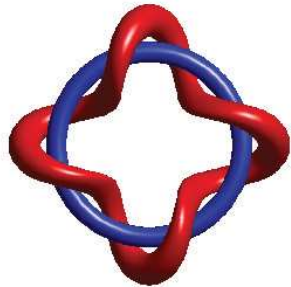
$8 \tilde{\mathcal{A}}_{4,2}$



$8 \mathcal{K}_{3,2}$



$6 \mathcal{L}_{3,1}^{1,1}$



Elastic rod approximation

*D. Harland, J.M. Speight and P.M. Sutcliffe
Phys. Rev.D83 (2011) 065008*

Tubular coordinates:

- Arclength parameter $s \in [0, L]$
- Polar coordinates ρ, θ in the disk

● Torsion $\tau(s)$

● Curvature $\kappa(s)$

Tangent vector $\vec{t}(s)$

Frenet frame

$$\vec{m}(s) = \vec{n}(s) \sin \alpha(s) + \vec{b}(s) \cos \alpha(s)$$

$$\frac{d}{ds} \begin{pmatrix} \vec{t}(s) \\ \vec{n}(s) \\ \vec{b}(s) \end{pmatrix} = \begin{pmatrix} 0 & \kappa(s) & 0 \\ -\kappa(s) & 0 & \tau(s) \\ 0 & -\tau(s) & 0 \end{pmatrix} \begin{pmatrix} \vec{t}(s) \\ \vec{n}(s) \\ \vec{b}(s) \end{pmatrix}$$

Twisting function:

$$\alpha(s) = \vec{t} \cdot \vec{m}' \times \vec{m}$$

$$\alpha(L) = \alpha(0) + 2\pi N$$

frame vector $\vec{m}(s)$

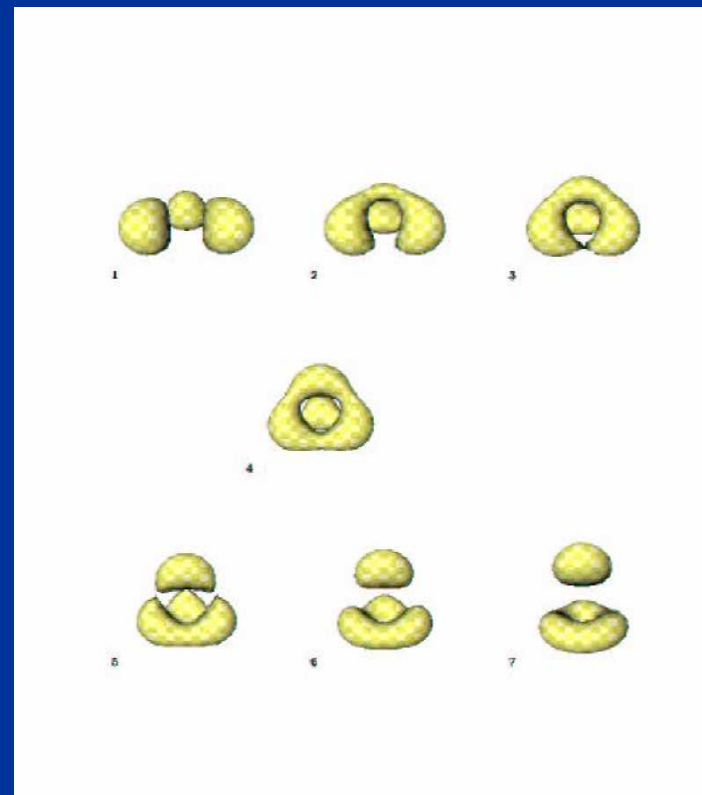
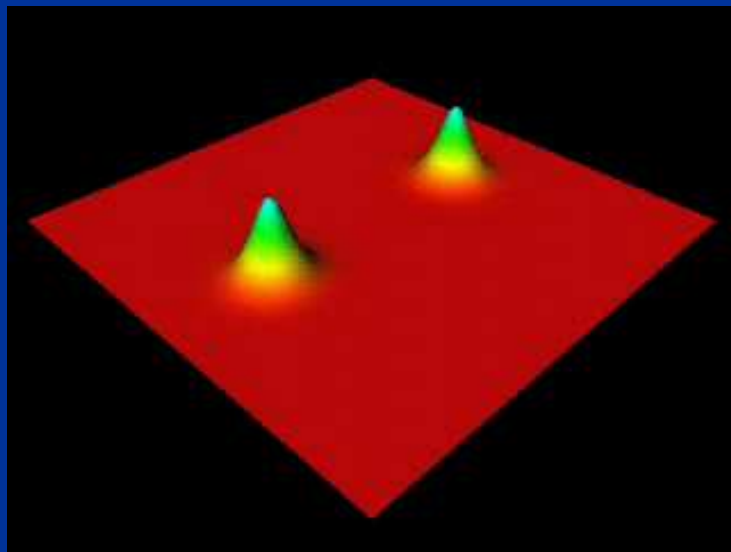
Position curve
 $\gamma(s) \in \mathbb{R}^3$

Preimage of
 $\phi = (0, 0, 1)$

Faddeev-Skyrme effective energy functional:

$$E = \int (A + B\kappa^2 + C(\alpha' - \tau)^2) ds$$

Dynamics of the solitons: moduli space



(Picture by courtesy of Paul Sutcliffe)