

2nd South Africa-JINR Symposium
Models and Methods in Few- and Many-Body Systems
Dubna, September 8-10, 2010

Nuclear Structure and Double Beta Decay
Fedor Šimkovic

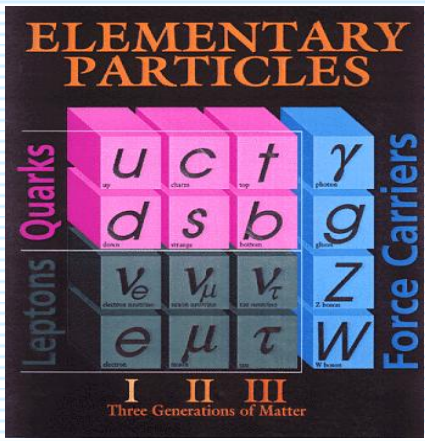
JINR Dubna, Russia
Comenius University, Bratislava, Slovakia

*Study of the $0\nu\beta\beta$ -decay is one of the highest priority issues
in particle and nuclear physics*

OUTLINE

- *Introduction*
- *$0\nu\beta\beta$ -decay NMEs: Current status*
- *Anatomy of the $0\nu\beta\beta$ -decay*
- *$2\nu\beta\beta$ -decay NMEs*
- *On the relation between $0\nu\beta\beta$ -decay and $2\nu\beta\beta$ -decay NMEs*
- *Co-existence of few mechanisms of the $0\nu\beta\beta$ -decay*
- *Conclusion*

Presented results obtained in collaboration with
Amand Faesler, V. Rodin, M. Saleh (Tuebingen U.),
P. Vogel (Caltech), **J. Engel** (North Caroline U.)
G. Pantis (U. Ioannina)
E. Moya de Guerra, P. Sarriguren, O. Moreno (Madrid U.),
...



Standard Model

Lepton Universality

| Particle | Symbol | Anti - p. | mass [MeV] | L_e | L_μ | L_τ | life - time [s] |
|--------------|------------|------------------|-----------------------|-------|---------|----------|----------------------|
| electron | e^- | e^+ | 0.511 | 1 | 0 | 0 | stable |
| el. neutrino | ν_e | $\bar{\nu}_e$ | $< 2.2 \cdot 10^{-6}$ | 1 | 0 | 0 | stable |
| muon | μ^- | μ^+ | 105.6 | 0 | 1 | 0 | $2.2 \cdot 10^{-6}$ |
| muon neutr. | ν_μ | $\bar{\nu}_\mu$ | < 0.19 | 0 | 1 | 0 | stable |
| tau | τ^- | τ^+ | 1777. | 0 | 0 | 1 | $2.9 \cdot 10^{-13}$ |
| tau neutrino | ν_τ | $\bar{\nu}_\tau$ | < 18.2 | 0 | 0 | 1 | stable |

Lepton Family Number Violation

NEW PHYSICS massive neutrinos, SUSY...

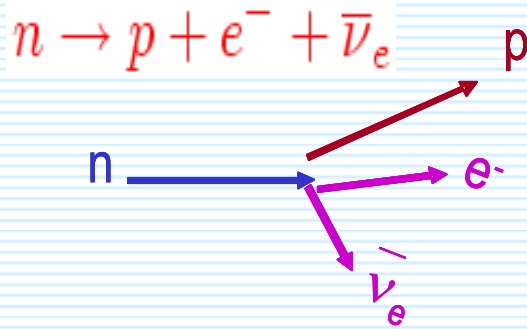
Total Lepton Number Violation

| $\nu_{e,\mu\tau} \leftrightarrow \nu_{e,\mu\tau}$ | $\bar{\nu}_{e,\mu\tau} \leftrightarrow \bar{\nu}_{e,\mu\tau}$ | observed | $\nu_{e,\mu\tau} \leftrightarrow \bar{\nu}_{e,\mu\tau}$ | not observed |
|---|---|------------------------------|---|-------------------------------------|
| $\mu^+ \rightarrow e^+ + \gamma$ | | $R \leq 1.2 \times 10^{-11}$ | $K^+ \rightarrow \pi^- + e^+ + \mu^+$ | $R \leq 5 \times 10^{-10}$ |
| $\mu^+ \rightarrow e^+ + e^- + e^+$ | | $R \leq 1.0 \times 10^{-12}$ | $\tau^- \rightarrow \pi^- + \pi^+ + e^+$ | $R \leq 1.9 \times 10^{-6}$ |
| $K^+ \rightarrow \pi^+ + e^- + \mu^+$ | | $R \leq 4.7 \times 10^{-12}$ | $W^- + W^- \rightarrow e^- + e^-$ | |
| $\tau^- \rightarrow e^- + \mu^+ + \mu^-$ | | $R \leq 1.8 \times 10^{-6}$ | $(A, Z) \rightarrow (A, Z + 2) + e^- + e^-$ | $T^{0\nu} \geq 1.9 \times 10^{-25}$ |
| $Z^0 \rightarrow e^\pm + \mu^\mp$ | | $R \leq 1.7 \times 10^{-6}$ | $\mu_b^- + (A, Z) \rightarrow (A, Z - 2) + e^+$ | $R \leq 3.6 \times 10^{-11}$ |
| $\mu_b^- + (A, Z) \rightarrow (A, Z) + e^-$ | | $R \leq 1.2 \times 10^{-11}$ | $e^- + e^- \rightarrow \pi^- + \pi^-$ | ? |

1934 Fermi theory of beta decay



Fermi, Z. Physik 88 (1934) 161



Fermi 4-fermion contact interaction, Lagrangian of interaction (in analogy with electrodynamics):

$$\mathcal{L}(x) = -\frac{G_F}{\sqrt{2}} \left[\bar{\phi}_p(x) \gamma^\mu \phi_n(x) \right] \left[\bar{\phi}_e(x) \gamma^\mu \phi_\nu(x) \right]$$

G_F = Fermi coupling constant = $(1.16637 \pm 0.000001) 10^{-5} \text{ GeV}^{-2}$



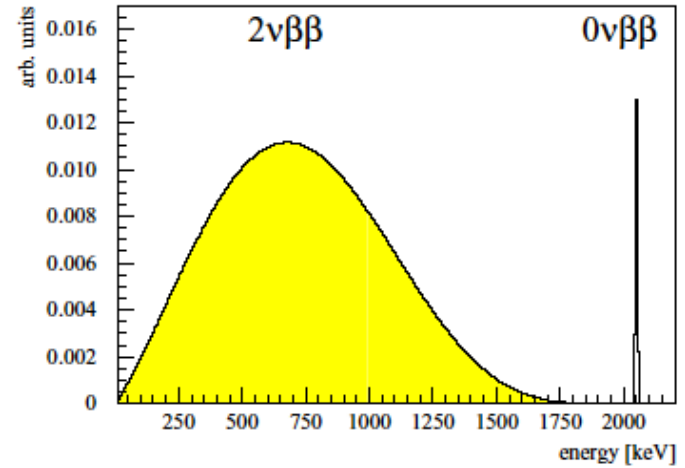
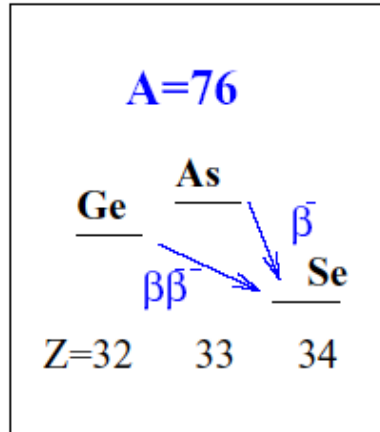
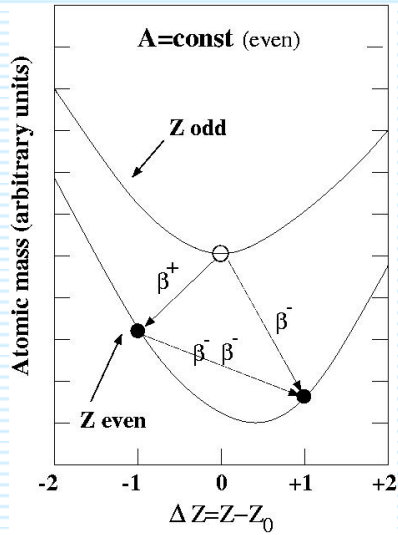
Eugene Wigner



Maria-Goeppert Mayer

1935
Q-value about 10 MeV
 $T_{1/2} \approx 10^{17}$ years

Double Beta Decay



Observed for 10 isotopes: ^{48}Ca , ^{76}Ge , ^{82}Se , ^{96}Zr , ^{100}Mo , ^{116}Cd , ^{128}Te , ^{130}Te , ^{150}Nd , ^{238}U , $T_{1/2} \approx 10^{18}-10^{24}$ years

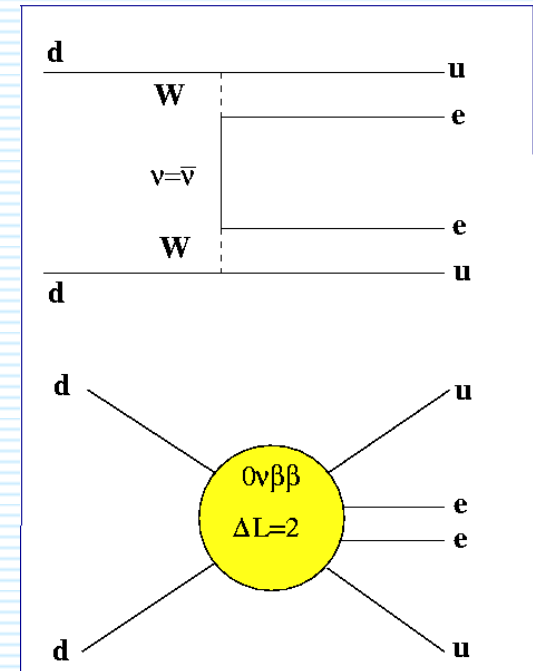
1967: ^{130}Te , Kirsten et al, Takaoka et al, (geochemical)

1987: ^{82}Se , Moe et al. (direct observation)

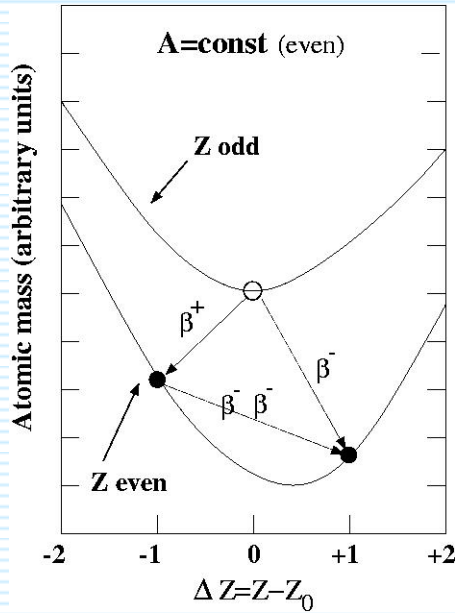
2006: ^{100}Mo , NEMO 3 coll. ~ 300 00 events



SM forbidden ,not observed yet: $T_{1/2} (^{76}\text{Ge}) > 10^{25}$ years

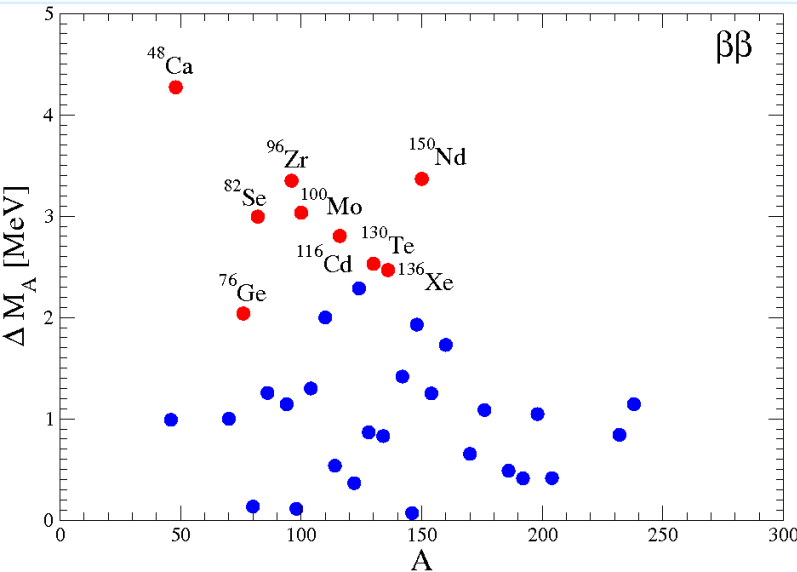


The double beta decay process can be observed due to nuclear pairing interaction that favors energetically the even-even nuclei over the odd-odd nuclei



$$\frac{1}{T_{1/2}^{0\nu}} = \left| \frac{m_{\beta\beta}}{m_e} \right|^2 G^{01}(E_0, Z) |M^{0\nu}|^2$$

| transition | $G^{01}(E_0, Z)$ $\times 10^{14}y$ | $Q_{\beta\beta}$ [MeV] | Abund. (%) | $ M^{0\nu} ^2$ |
|---|---------------------------------------|---------------------------|---------------|----------------|
| $^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$ | 26.9 | 3.667 | 6 | ? |
| $^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$ | 8.04 | 4.271 | 0.2 | ? |
| $^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$ | 7.37 | 3.350 | 3 | ? |
| $^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$ | 6.24 | 2.802 | 7 | ? |
| $^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$ | 5.92 | 2.479 | 9 | ? |
| $^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$ | 5.74 | 3.034 | 10 | ? |
| $^{130}\text{Te} \rightarrow ^{130}\text{Xe}$ | 5.55 | 2.533 | 34 | ? |
| $^{82}\text{Se} \rightarrow ^{82}\text{Kr}$ | 3.53 | 2.995 | 9 | ? |
| $^{76}\text{Ge} \rightarrow ^{76}\text{Se}$ | 0.79 | 2.040 | 8 | ? |



The NMEs for $0\nu\beta\beta$ -decay must be evaluated using tools of nuclear theory

The answer to the question whether neutrinos are their own antiparticles is of central importance, not only to our understanding of neutrinos, but also to our understanding of the origin of mass.


$$\frac{1}{T_{1/2}} = G^{0\nu}(E_0, Z) |M^{'0\nu}|^2 |\langle m_{\beta\beta} \rangle|^2,$$

$$m_{\beta\beta} = \sum_{i=1}^3 U_{ei}^2 m_i$$

Absolute ν mass scale

Normal or inverted Hierarchy of ν masses

CP-violating phases



$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

An accurate knowledge of the nuclear matrix elements, which is not available at present, is however a pre-requisite for exploring neutrino properties.

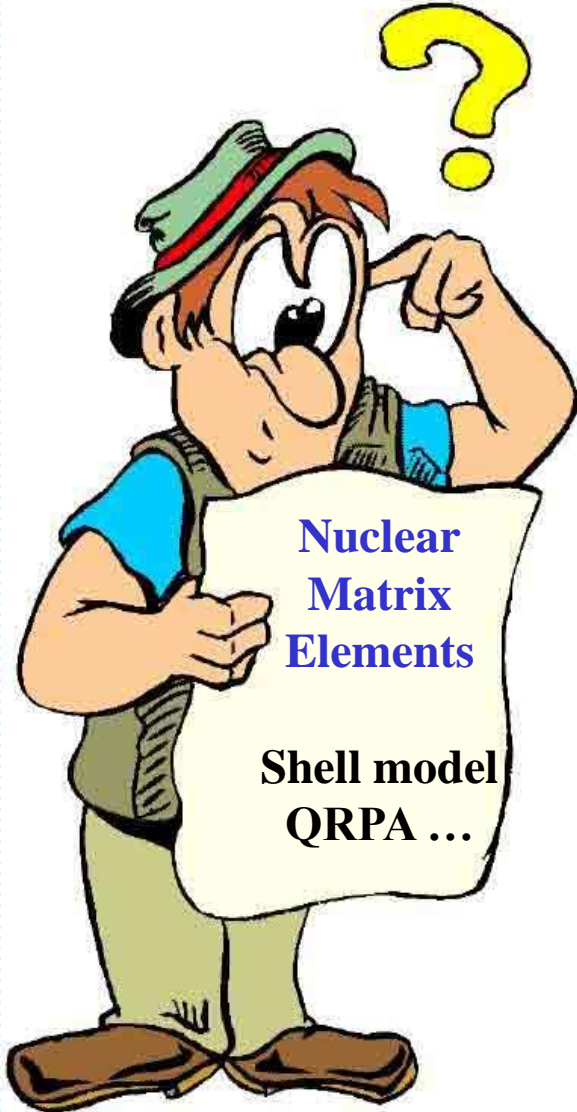
The $0\nu\beta\beta$ -decay NMEs

In double beta decay two neutrons bound in the ground state of an initial even-even nucleus are simultaneously transformed into two protons that are bound in the ground state or excited (0^+ , 2^+) states of the final nucleus

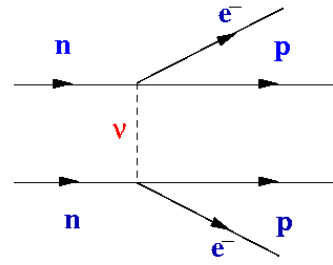
It is necessary to evaluate, with a sufficient accuracy, wave functions of both nuclei, and evaluate the matrix element of the $0\nu\beta\beta$ -decay operator connecting them

This can not be done exactly, some approximation and/or truncation is always needed. Moreover, there is no other analogous observable that can be used to judge the quality of the result.

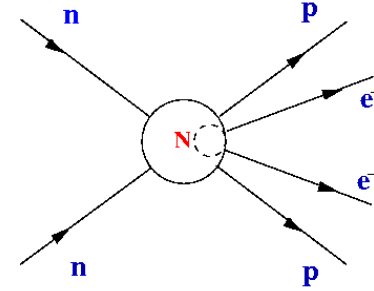
Calculation of NMEs is a complex task



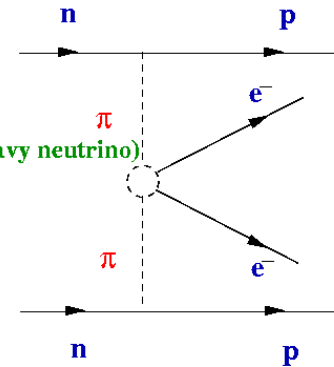
Light neutrino exchange



Heavy neutrino exchange



two-pion exchange (heavy neutrino)



$$(T_{1/2}^{0\nu})^{-1} = \eta^{LNV} G^{0\nu} |M^{0\nu}|^2$$

NME's: which mechanism, which transition?

- Medium and heavy open shell nuclei with a **complicated** nuclear structure
- The construction of **complete set of the states** of the intermediate nucleus is needed
- Many-body problem \Rightarrow approximations needed
- Nuclear structure **input** has to be fixed

The $0\nu\beta\beta$ -decay NME (light ν exchange mech.)

The $0\nu\beta\beta$ -decay half-life

$$\frac{1}{T_{1/2}} = G^{0\nu}(E_0, Z) |M'^{0\nu}|^2 |\langle m_{\beta\beta} \rangle|^2,$$

NME= sum of Fermi, Gamow-Teller and tensor contributions

$$M'^{0\nu} = \left(\frac{g_A}{1.25}\right)^2 \langle f | -\frac{M_F^{0\nu}}{g_A^2} + M_{GT}^{0\nu} + M_T^{0\nu} | i \rangle$$

Neutrino potential (about $1/r_{12}$)

$$H_K(r_{12}) = \frac{2}{\pi g_A^2} R \int_0^\infty f_K(qr_{12}) \frac{h_K(q^2) q dq}{q + E^m - (E_i + E_f)/2}$$

$$f_{F,GT}(qr_{12}) = j_0(qr_{12}), \quad f_T(qr_{12}) = -j_2(qr_{12})$$

Form-factors:
finite nucleon
size

$$h_F = g_V^2(q^2)$$

$$h_{GT} = g_A^2 \left[1 - \frac{2}{3} \frac{\bar{q}^2}{\bar{q}^2 + m_\pi^2} + \frac{1}{3} \left(\frac{\bar{q}^2}{\bar{q}^2 + m_\pi^2} \right)^2 \right]$$

$$h_T = g_A^2 \left[\frac{2}{3} \frac{\bar{q}^2}{\bar{q}^2 + m_\pi^2} - \frac{1}{3} \left(\frac{\bar{q}^2}{\bar{q}^2 + m_\pi^2} \right)^2 \right]$$

Induced pseudoscalar
coupling
(pion exchange)

$$M_{K=F,GT,T} = \sum_{J^\pi, k_i, k_f, \mathcal{J}} \sum_{pn p' n'} (-1)^{j_n + j_{p'} + J + \mathcal{J}} \sqrt{2\mathcal{J} + 1} \left\{ \begin{matrix} j_p & j_n & J \\ j_{n'} & j_{p'} & \mathcal{J} \end{matrix} \right\} \\ \langle p(1), p'(2): \mathcal{J} \| f(r_{12}) O_K f(r_{12}) \| n(1), n'(2): \mathcal{J} \rangle \\ \times \langle 0_f^+ \| [c_p^+, \tilde{c}_{n'}]_{\mathcal{J}} \| J^\pi k_f \rangle \langle J^\pi k_f | J^\pi k_i \rangle \langle J^\pi k_f \| [c_p^+, \tilde{c}_n]_{\mathcal{J}} \| 0_i^+ \rangle$$

Jastrow f.
s.r.c.

$J^\pi =$
 $0^+, 1^+, 2^+ \dots$
 $0^-, 1^-, 2^- \dots$

Nuclear many-body calculations

Model space

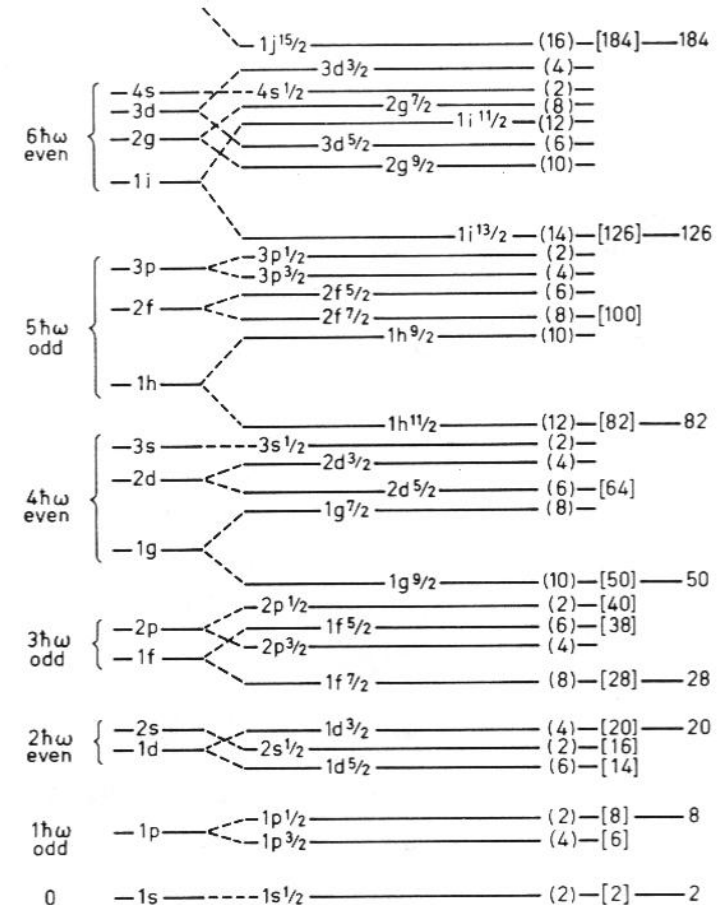
- Start with the many-body Hamiltonian

$$H = \sum_i \frac{\vec{p}_i^2}{2m} + \sum_{i<j} V_{NN}(\vec{r}_i - \vec{r}_j)$$

- Introduce a mean-field U to yield basis

$$H = \sum_i \left(\frac{\vec{p}_i^2}{2m} + U(r_i) \right) + \underbrace{\sum_{i<j} V_{NN}(\vec{r}_i - \vec{r}_j) - \sum_i U(r_i)}_{\text{Residual interaction}}$$

- The *mean field* determines the shell structure
- In effect, nuclear-structure calculations rely on *perturbation theory*



The success of any nuclear structure calculation depends on the choice of the mean-field basis and the residual interaction!

Nuclear structure approaches

*In **NSM** (Madrid-Strasbourg group) a limited valence space is used but all configurations of valence nucleons are included. Describes well properties of low-lying nuclear states. Technically difficult, thus only few $0\nu\beta\beta$ -decay calculations*

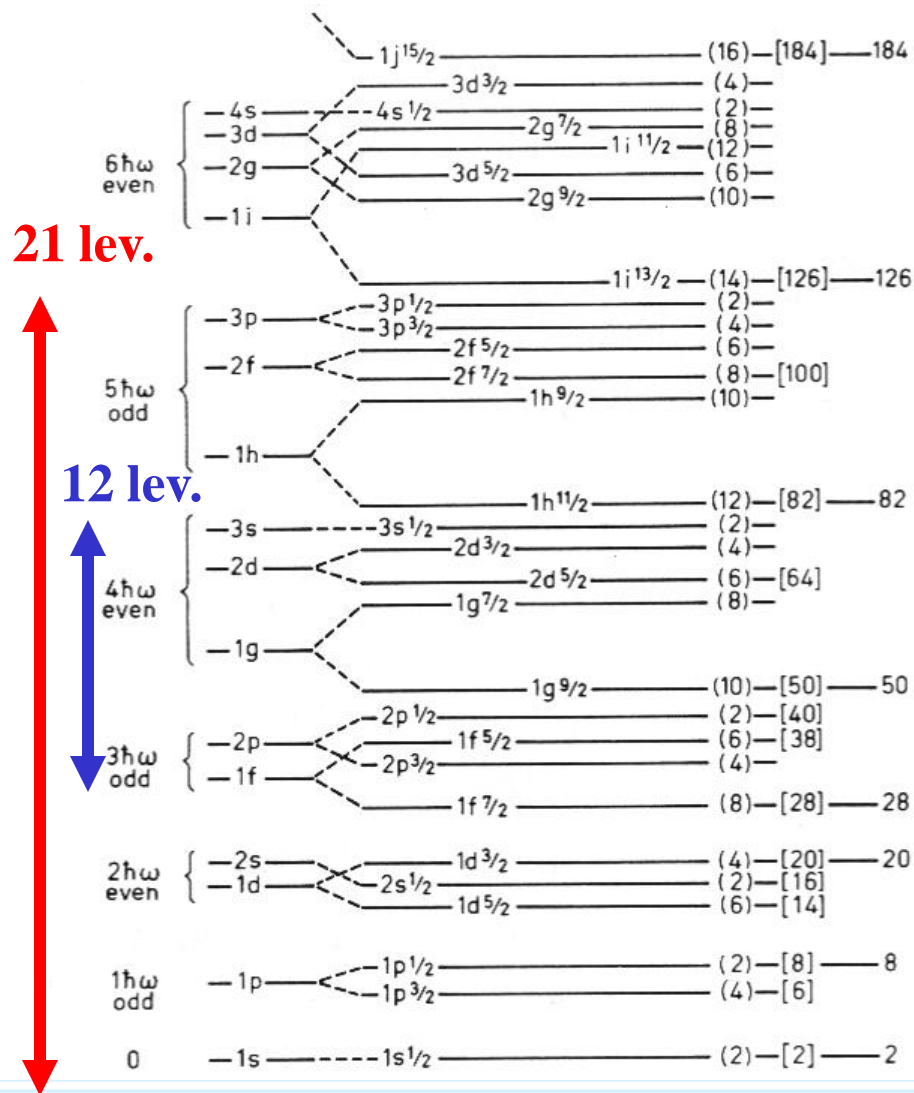
*In **QRPA** (Tuebingen-Caltech-Bratislava and Jyvaskula-La Plata groups) a large valence space is used, but only a class of configurations is included. Describe collective states, but not details of dominantly few particle states. Relative simple, thus more $0\nu\beta\beta$ -decay calculations*

*In **IBM** (Iachello, Barea) the low lying states of the nucleus are modeled in terms of bosons. The bosons have either $L=0$ (s boson) or $L=2$ (d boson). The bosons can interact through one and two body forces giving rise to bosonic wave functions.*

*In **PHFB** (India/Mexico groups) w.f. of good angular momentum are obtained by making projection on the axially symmetric intrinsic HFB states. Nuclear Hamiltonian contains only quadrupole interaction.*

*Differences: i) mean field; ii) residual interaction; iii) size of the model space
iv) many-body approximation*

Quasiparticle Random Phase Approximation (QRPA) and its variants



- Large model space (up 23 s.p.l, ¹⁵⁰Nd – 60 active prot. and 90 neut.)
- Spin-orbit partners included
- Possibility to describe all multipolarities of the intermed. nucl. J^π ($\pi = \pm 1, J = 0 \dots 9$)

$$H = H_0 + g_{ph} V_{ph} + g_{pp} V_{pp}$$

↓
quasiparticle
mean field

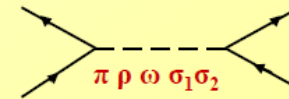
↙ ↘
Residual interaction

**Realistic
NN-interactions
used in
the QRPA
calculations**

Modern (*phase-shift equivalent*) NN potentials

Nijmegen I - ($P_D = 5.66\%$) - 41 parameters - $\chi^2/N_{data} = 1.03$
 Nijmegen II - ($P_D = 5.64\%$) - 47 parameters - $\chi^2/N_{data} = 1.03$
 Argonne V_{18} - ($P_D = 5.76\%$) - 40 parameters - $\chi^2/N_{data} = 1.09$
 CD Bonn - ($P_D = 4.85\%$) - 43 parameters - $\chi^2/N_{data} = 1.02$

based upon the OBE model



(1999 NN Database: 5990 *pp* and *np* scattering data)

Renormalization of the NN interaction

Difficulty in the derivation of V_{eff} from any modern NN potential: existence of a strong repulsive core which prevents its direct use in nuclear structure calculations.

Traditional approach to this problem: Brueckner G -matrix method. The G matrix is model-space dependent as well as energy dependent.

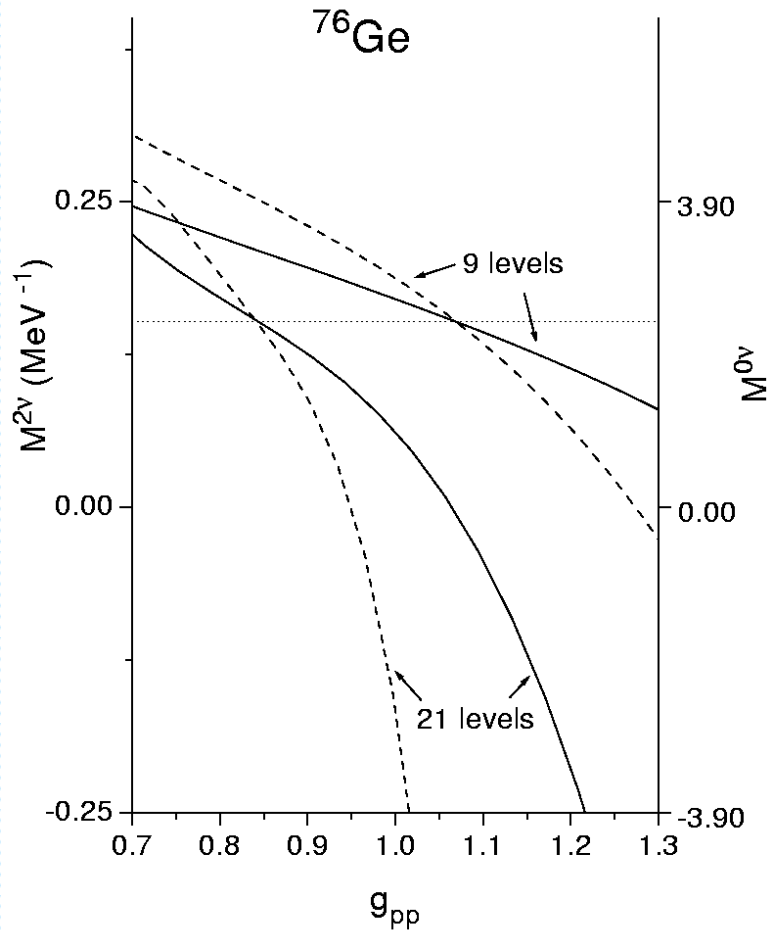
Brueckner
G-matrices
from Tuebingen
(H. Muether group)

Bethe-Goldstone
equation

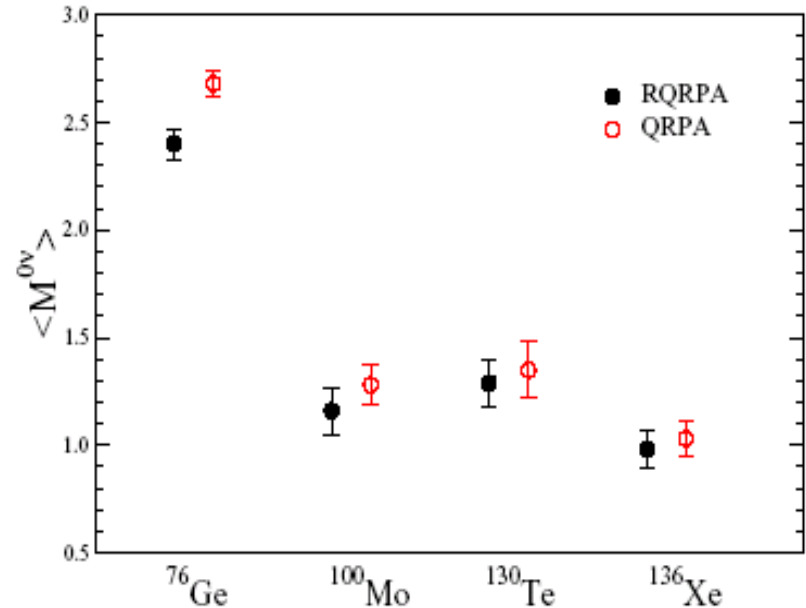
$$G = V + V \frac{Q}{W - H_0 + i\epsilon} G$$

The $0\nu\beta\beta$ -decay NME: g_{pp} fixed to $2\nu\beta\beta$ -decay

Each point: (3 basis sets) x (3 forces) = 9 values



By adjusting of g_{pp} to $2\nu\beta\beta$ -decay half-life the dependence of the $0\nu\beta\beta$ -decay NME on other things that are not a priori fixed is essentially removed



Rodin, Faessler, Šimkovic, Vogel,
Phys. Rev. C 68, 044302 (2003)

The Interacting Boson Model¹

- The low-lying states of the nucleus, composed by n and z valence nucleons, are modeled in terms of $(n+z)/2$ bosons.
- The bosons have either $L = 0$ (s boson) or $L = 2$ (d boson).
- The bosons can interact through one-body and two-body forces giving rise to bosonic wave functions.
- Any observable can be calculated using these wave functions provided that the relevant operator is employed.

¹ F. Iachello and A. Arima, *The Interacting Boson Model*,
Cambridge University Press, 1987

Projected Hartree-Fock-Bogoliubov Model

PHFB Model

States of good angular momentum J

$$|\Psi_M^J\rangle = \frac{2J+1}{8\pi^2 a_J} \int d\Omega D_{MK}^J(\Omega) \hat{R}(\Omega) |\Phi_K\rangle$$

Axially symmetric HFB intrinsic state

$$|\Phi_0\rangle = \prod_{im} (u_{im} + v_{im} b_{im}^+ b_{i\bar{m}}^+)$$

where

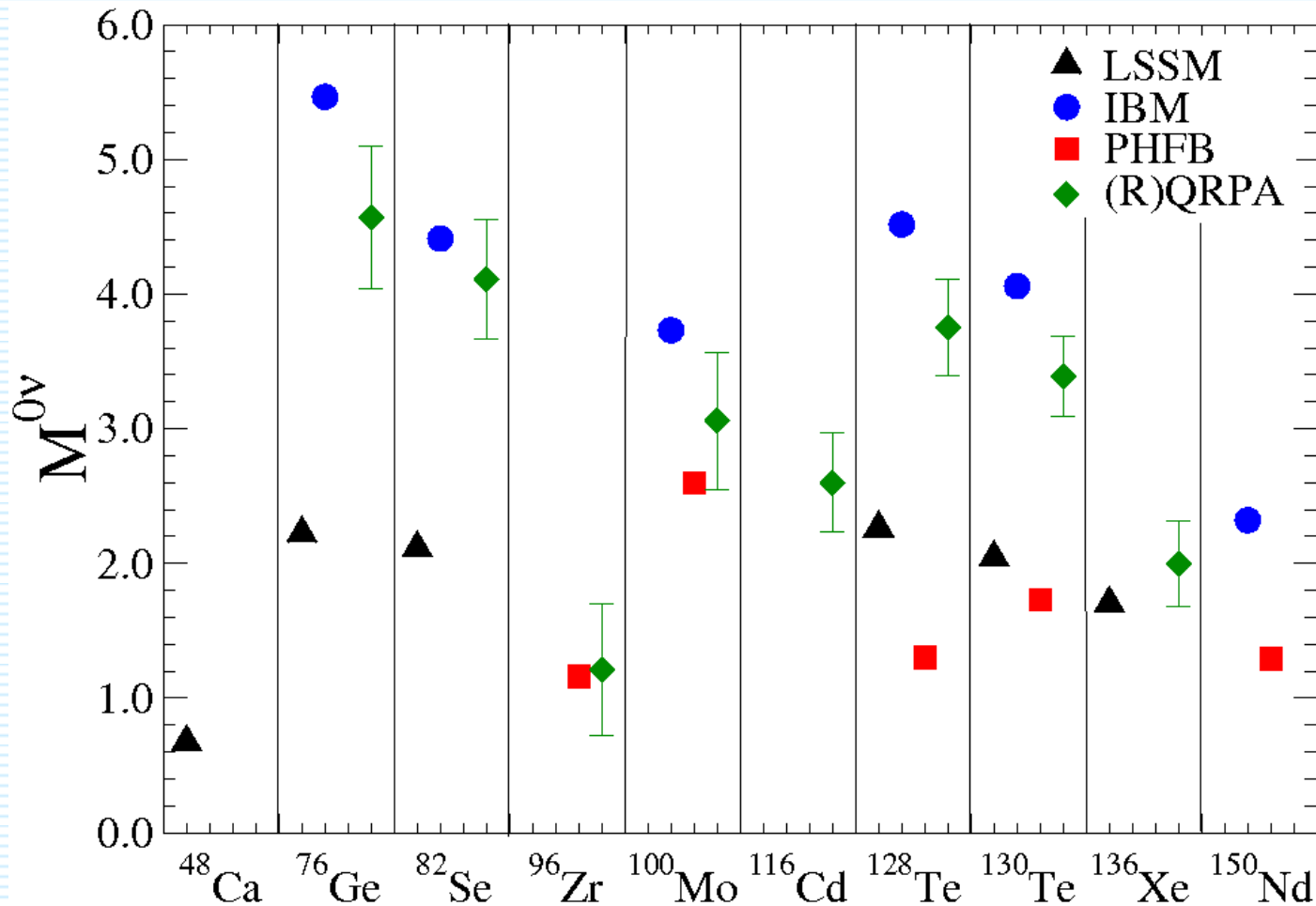
$$b_{im}^+ = \sum_m C_{i\alpha m} a_{im}^+ \quad b_{i\bar{m}}^+ = \sum_m (-1)^{l+j-m} C_{i\alpha m} a_{i-m}^+$$

Hamiltonian:

$$H = H_{sp} + V(P) + \zeta_{qq} V(QQ)$$

Only quadrupole interaction,
GT interaction is missing

The $0\nu\beta\beta$ -decay NMEs (Status:2010)



Nobody is perfect:

LSSM (small m.s., negative parity states)

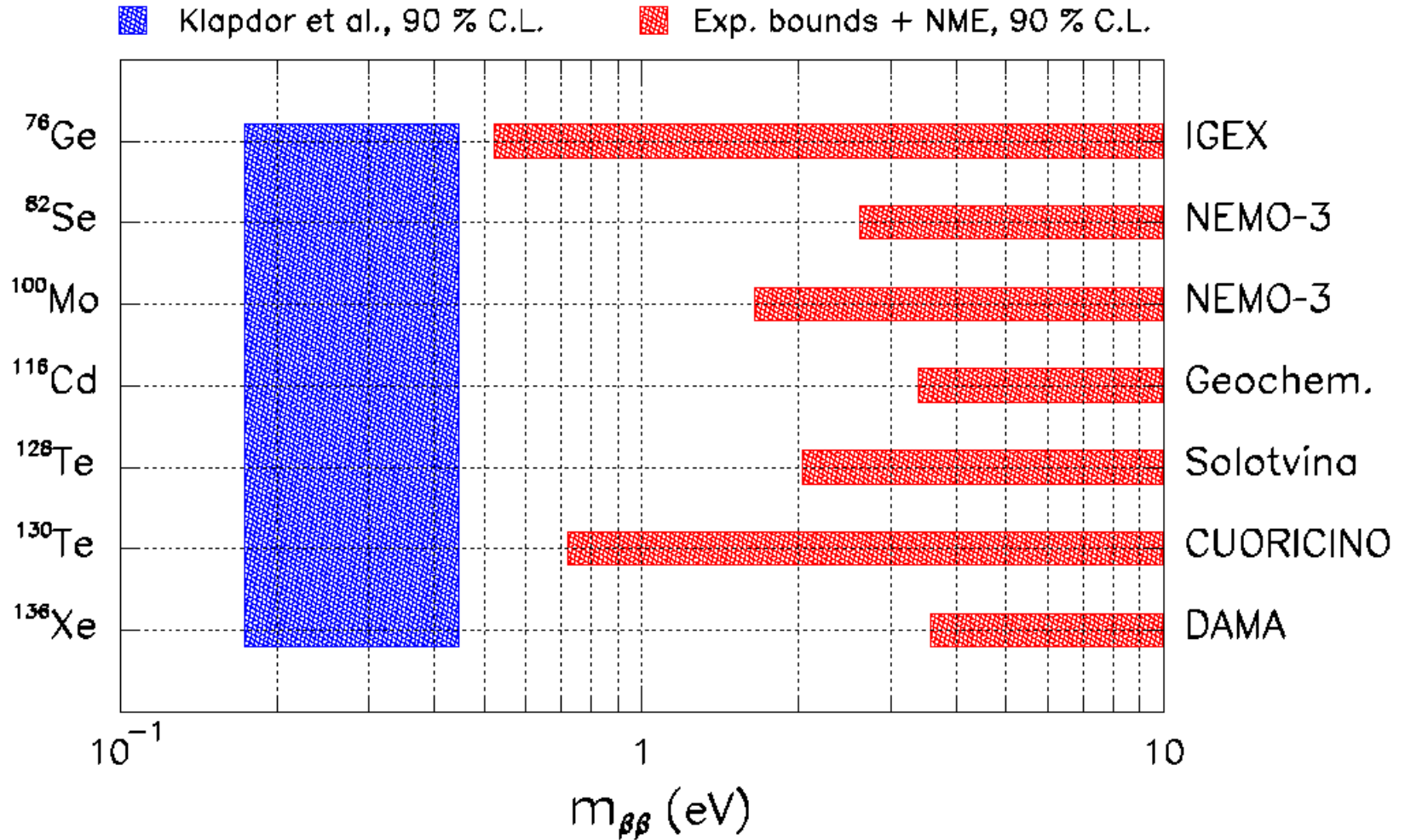
PHFB (GT force neglected)

IBM (Hamiltonian truncated)

(R)QRPA (g.s. correlations not accurate enough)

Dominance of light ν mass mechanism of the $0\nu\beta\beta$ -decay

$$|m_{\beta\beta}| = \frac{m_e}{|M_1^{0\nu}| \sqrt{T_1} G_1} = \frac{m_e}{|M_2^{0\nu}| \sqrt{T_2} G_2} = \dots$$



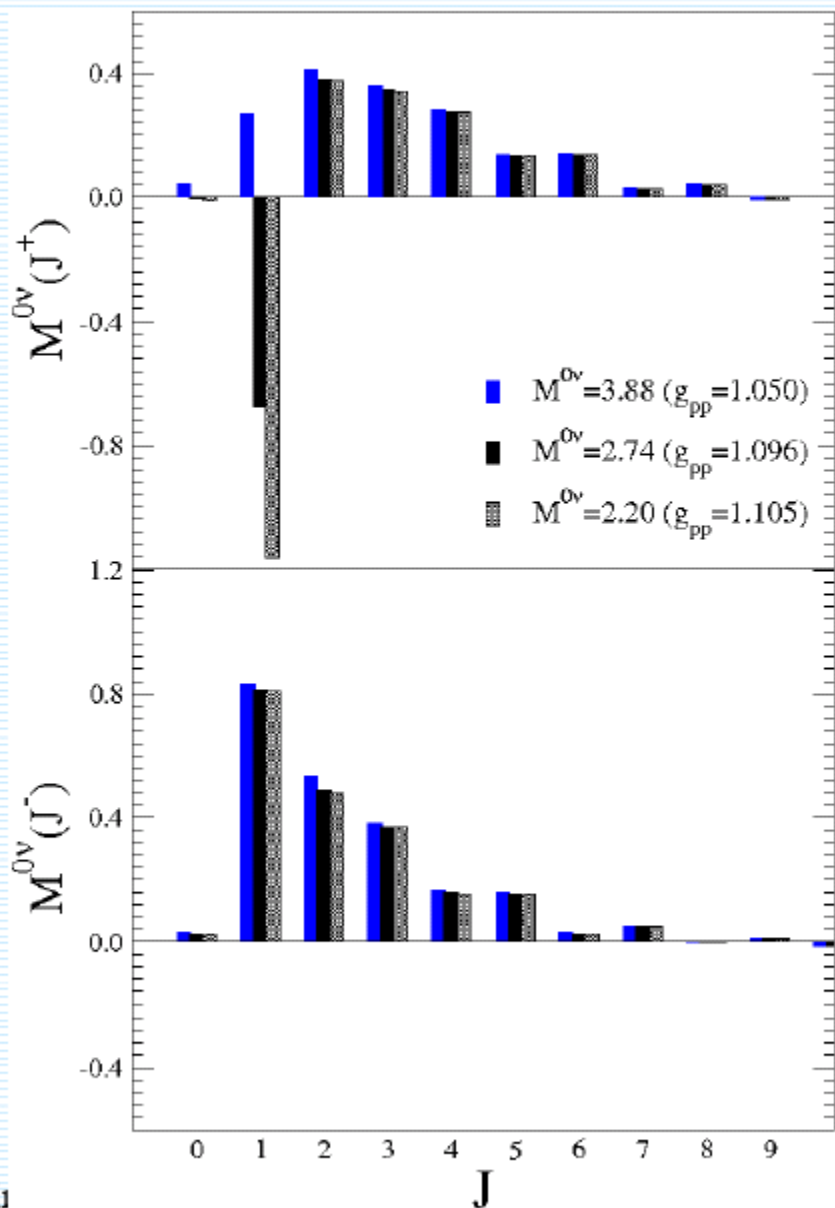
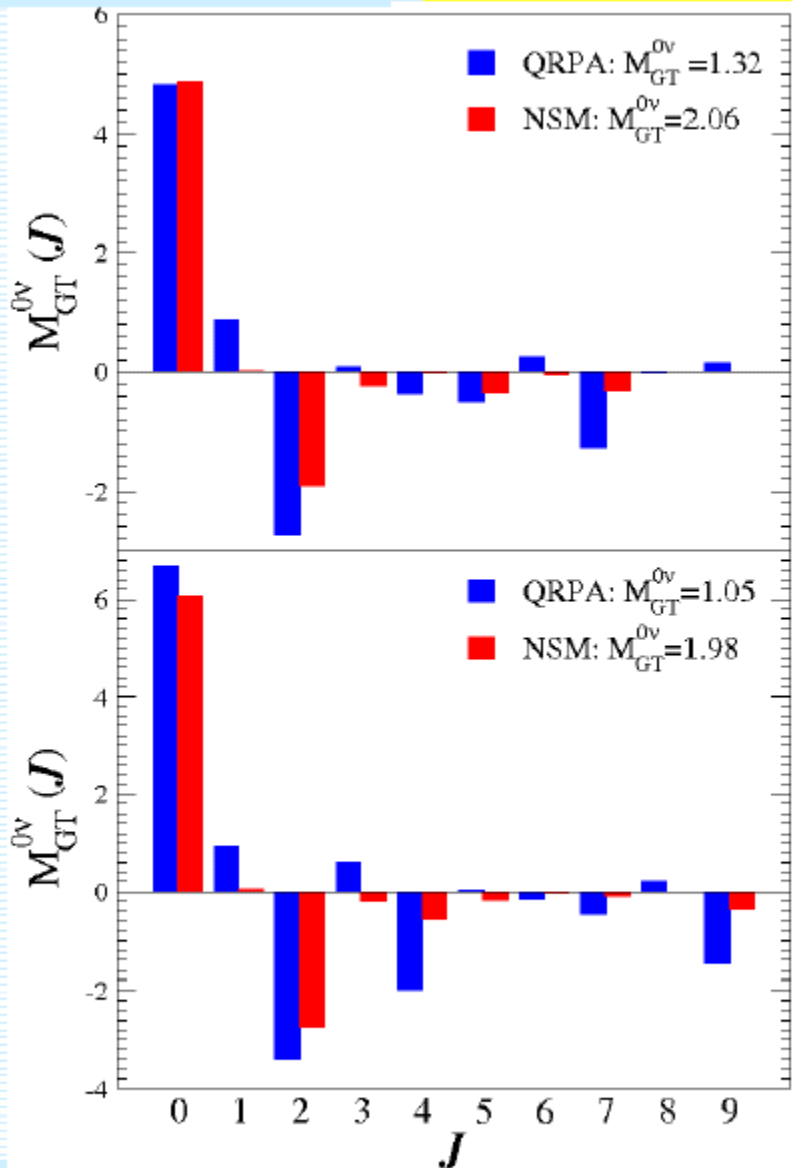
Anatomy of the $0\nu\beta\beta$ -decay NMEs

Dominance of Pairing mode (J=0)

Two types of decompositions (Particle-particle) and (particle-hole)

Sensitivity to g_{pp} of 1^+ state

$\langle p(1), p'(2); \mathcal{J} \parallel f(r_{12}) O_K f(r_{12}) \parallel n(1), n'(2); \mathcal{J} \rangle$

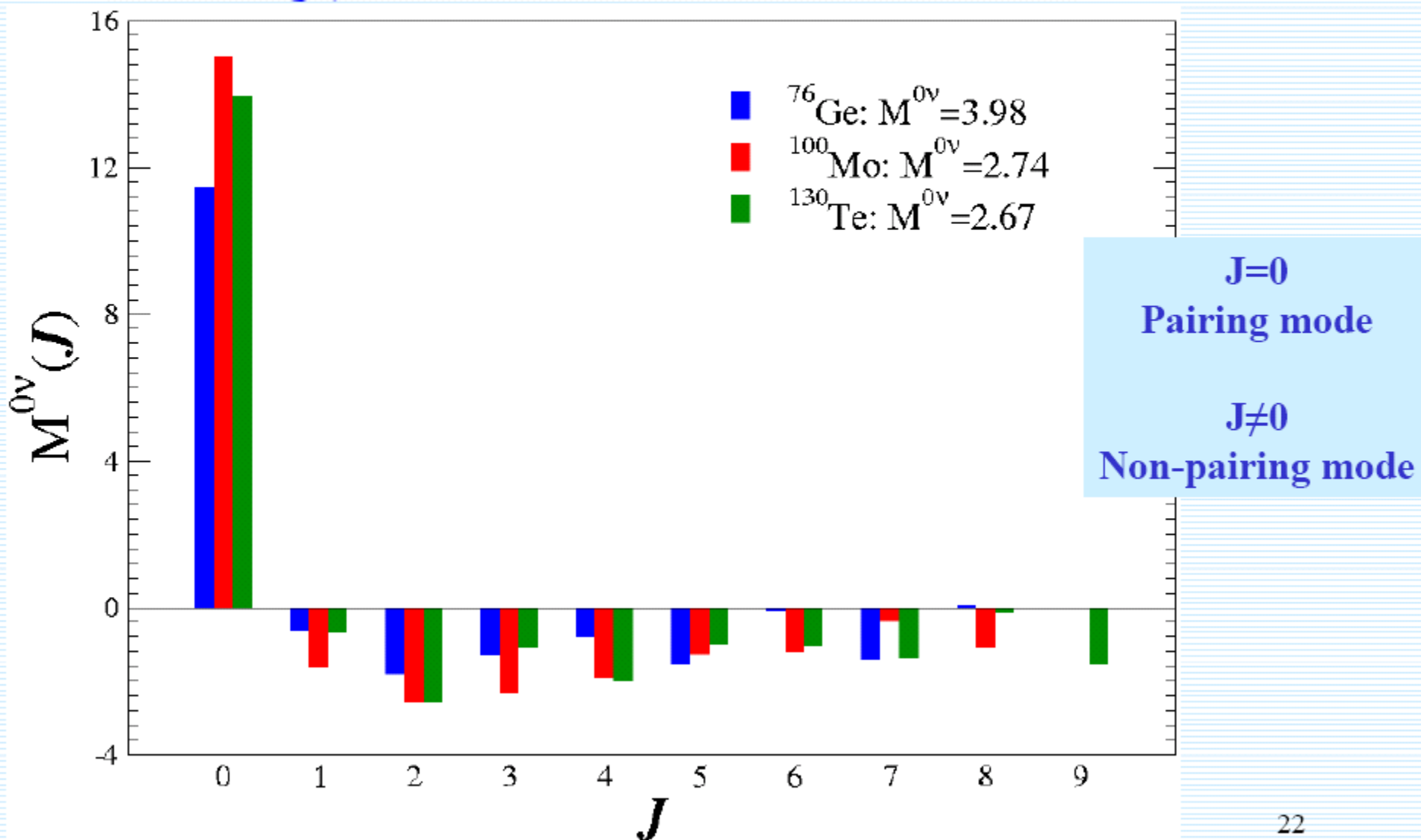


$\langle 0_f^+ \parallel [c_p^\dagger \tilde{c}_{n'}]_J \parallel J^\pi k_f \rangle \langle J^\pi k_f \parallel J^\pi k_i \rangle \langle J^\pi k_f \parallel [c_p^\dagger \tilde{c}_n]_J \parallel 0_i^+ \rangle$

A comparison with NSM for the same model space

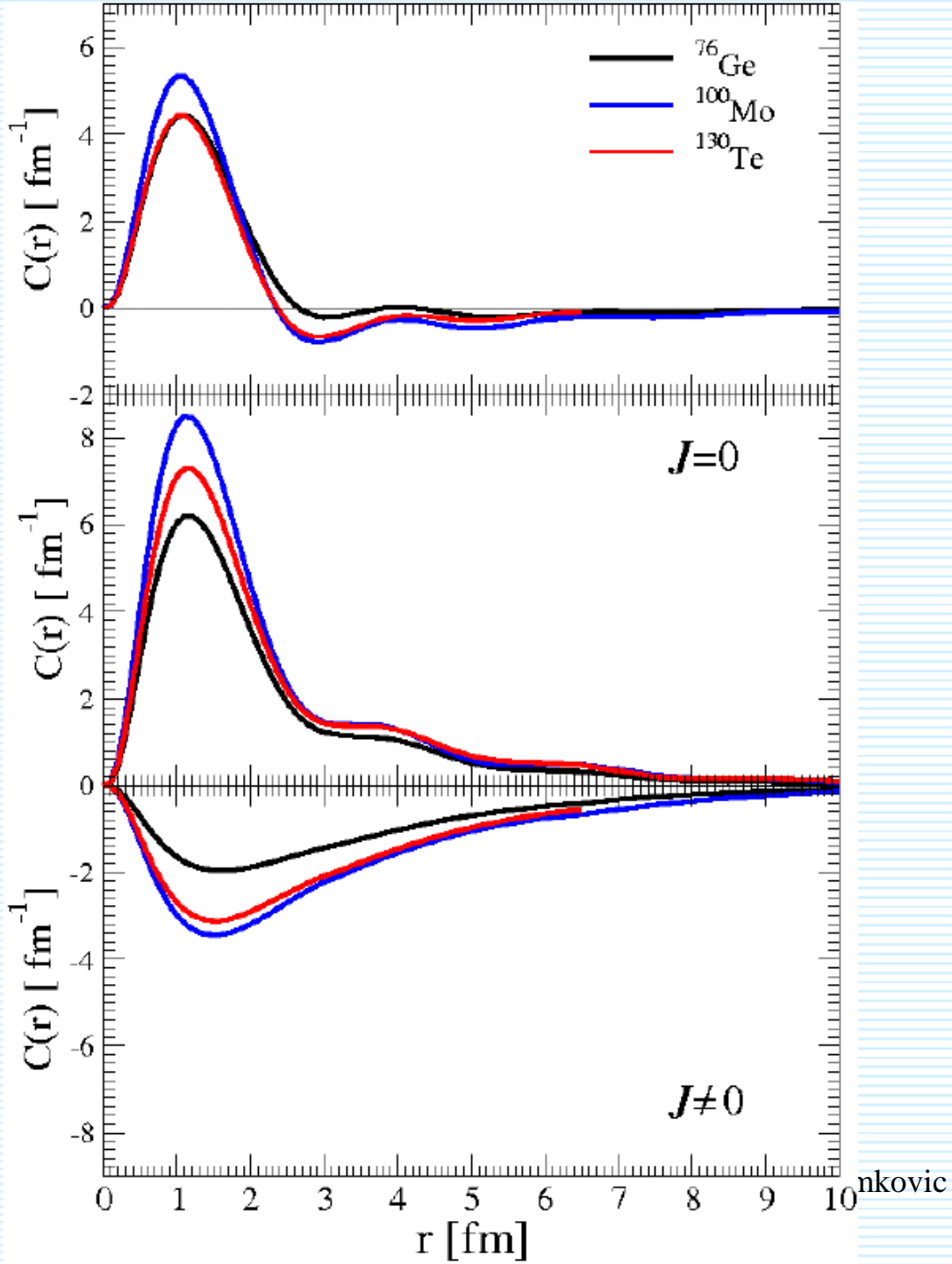
Decomposition in in pp and nn channels

$$\langle p(1), p'(2); \mathcal{J} \parallel f(r_{12}) O_K f(r_{12}) \parallel n(1), n'(2); \mathcal{J} \rangle$$



r-dependence of the $0\nu\beta\beta$ -decay NME

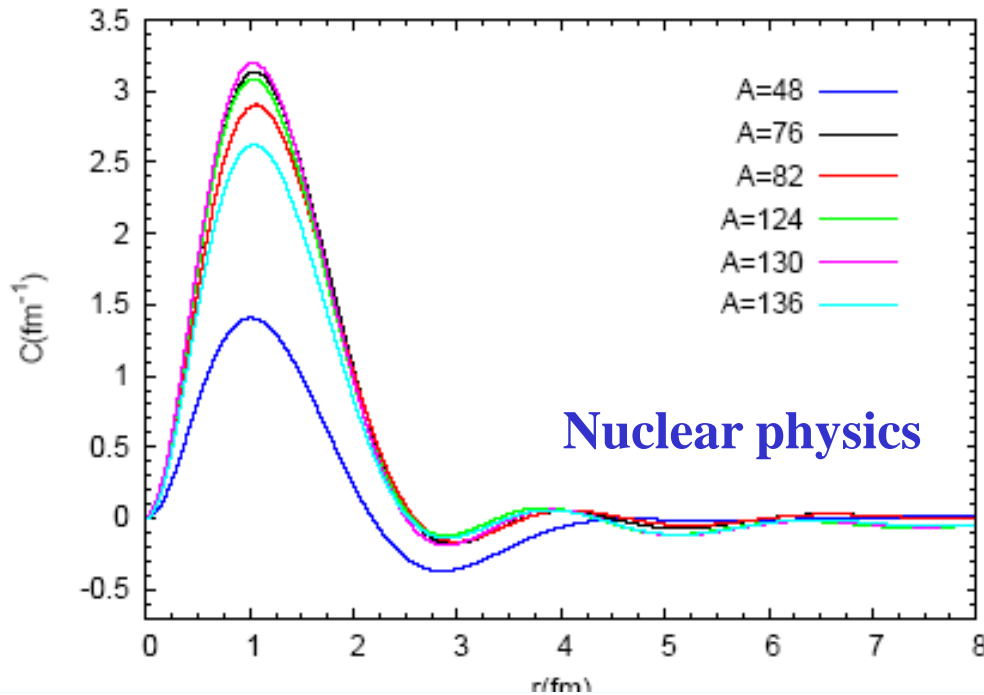
The radial dependence of M^{0n} for the three indicated nuclei. The contributions summed over all components shown in the upper panel. The 'pairing' $J = 0$ and 'broken pairs' $J \neq 0$ parts are shown separately below. Note that these two parts essentially cancel each other for $r > 2-3$ fm. This is a generic behavior. Hence the treatment of small values of r and large values of q are quite important.



QRPA
E.Š, Faessler, Rodin, Vogel, Engel
PRC 77, 045503 (2008)

Large Scale Shell Model

Menendez, Poves, Caurier, Nowacki,
Arxiv:0901.3760 [nucl-th]



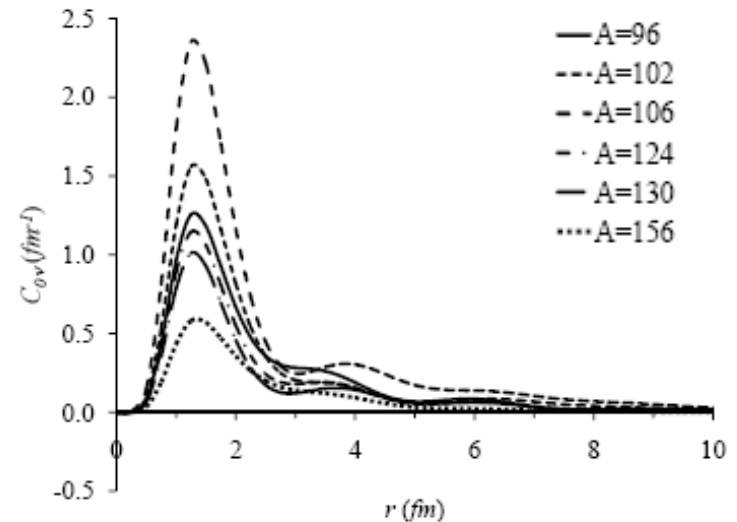
**Nucleon
physics**

9/10/2010

Fedor Simkovic

PHFB

P.Rath, R. Chandra, K. Chaturverdi,
P.Raina, J.G. Hirsch,
to be published in PRC



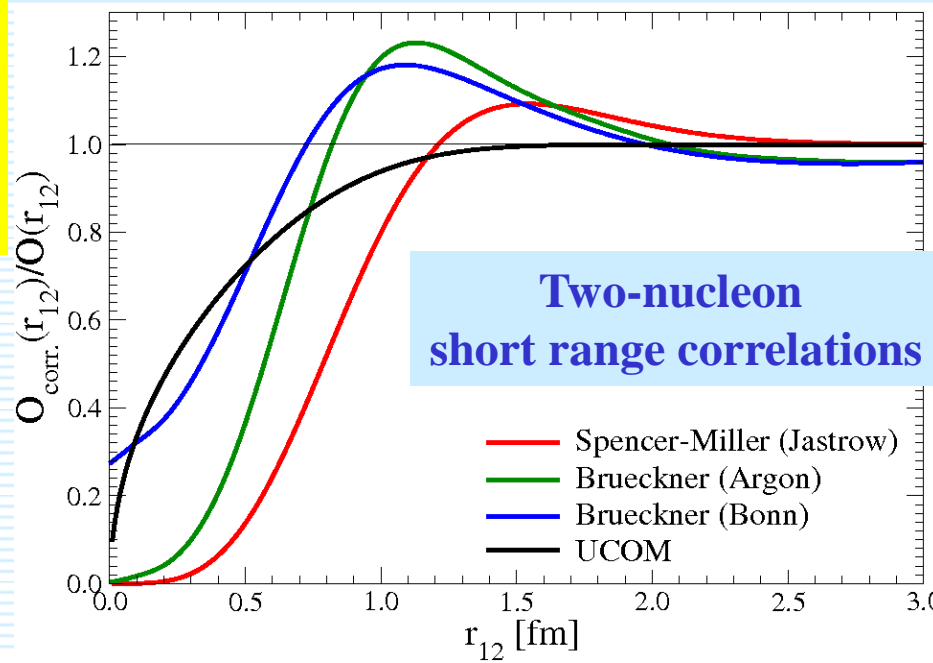
A consistent approach for the $0\nu\beta\beta$ -decay
 (pairing, s.r.c, g.s.c.
 calculated with the same
 NN potential- BonnCD, Argon)

Neutrino potential: $I(r)/r$

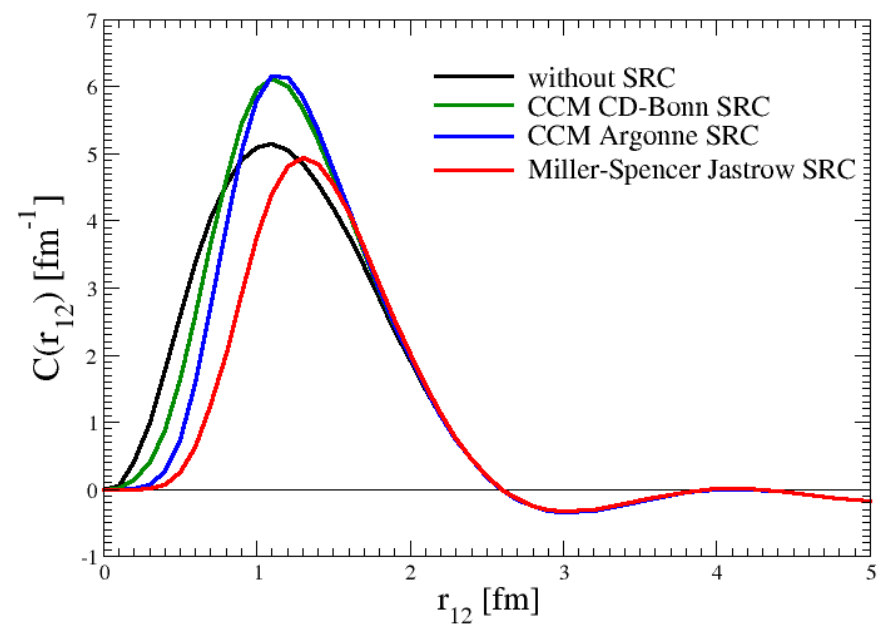
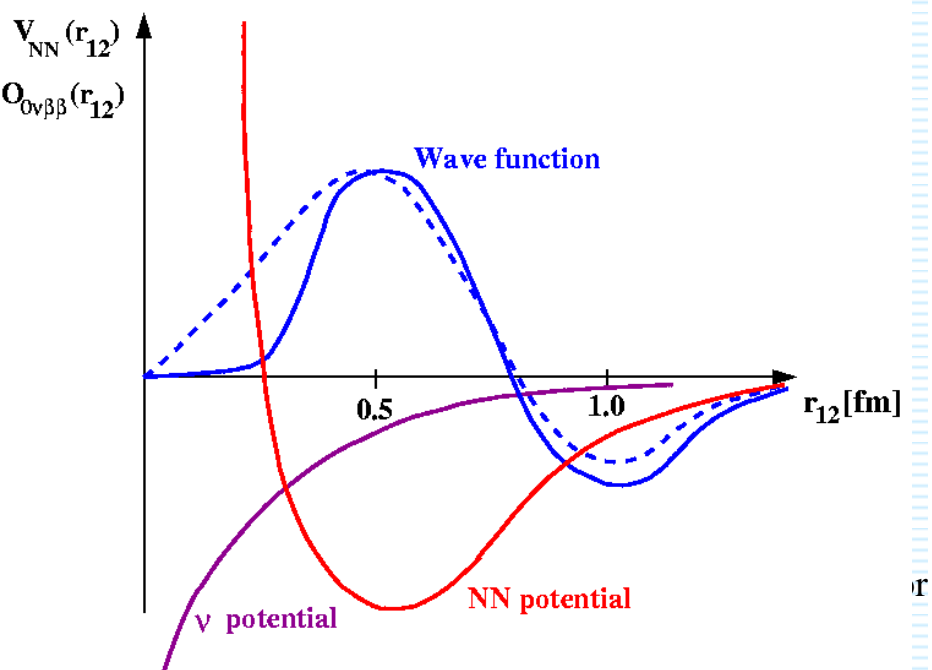
$$I(r) = \frac{2}{\pi} \int_0^\infty \frac{\sin(qr)}{(q + E_{aver}) (1 + q^2/E_{cut}^2)^4} dq$$

$$|\Psi\rangle_{\text{corr.}} = f(\mathbf{r}_{12}) |\Psi\rangle$$

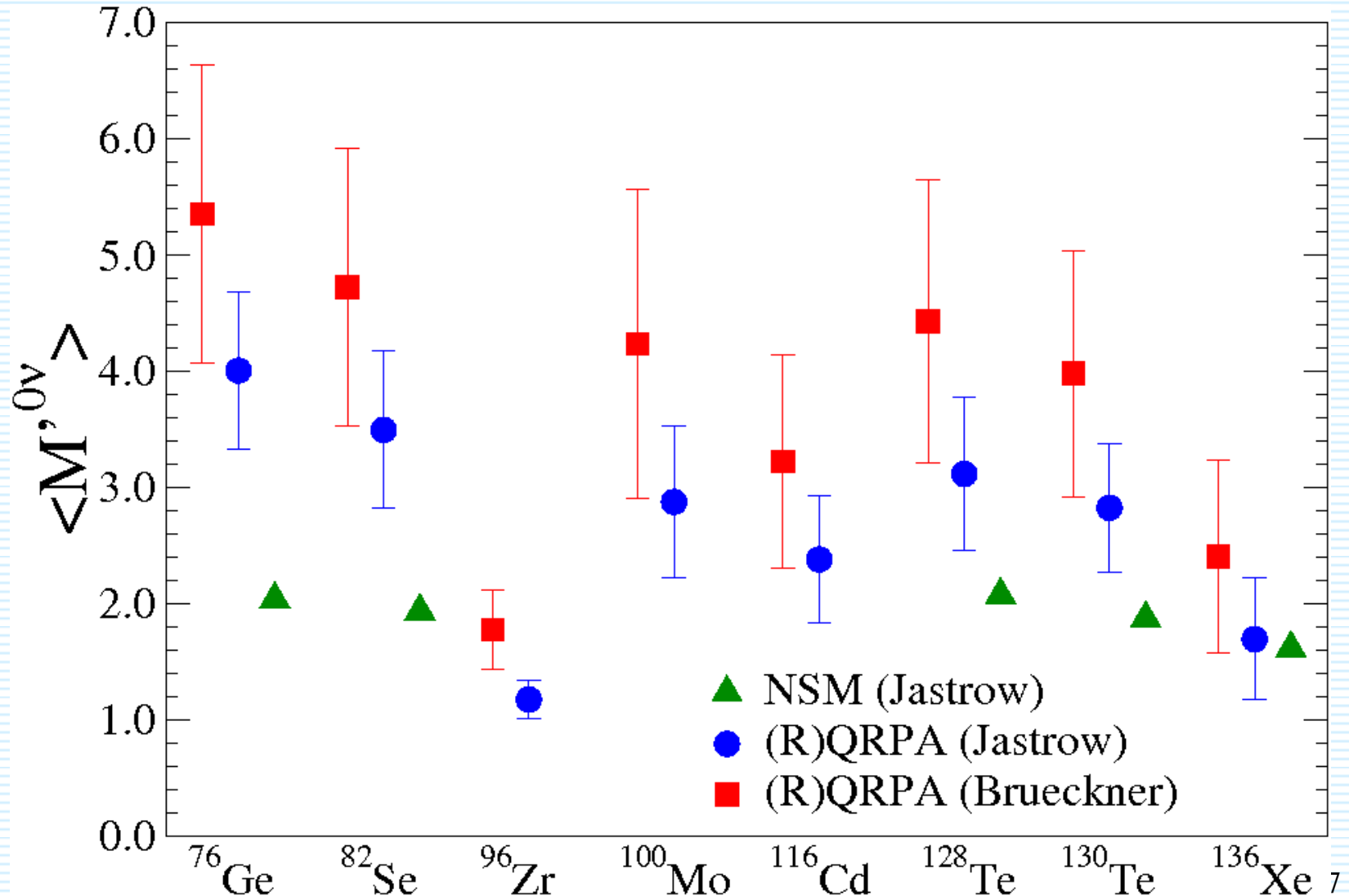
$$\mathbf{O}_{\text{corr.}}(\mathbf{r}_{12}) = f(\mathbf{r}_{12}) \mathbf{O}(\mathbf{r}_{12}) f(\mathbf{r}_{12})$$



Nucleon-Nucleon Potential



The value of the $0\nu\beta\beta$ -decay NME calculated with consistent treatment of s.r.c. is increased



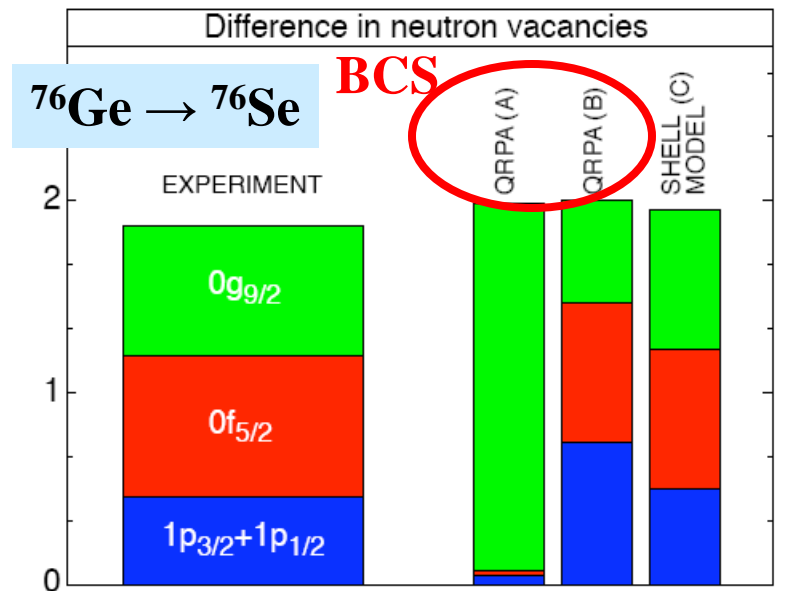
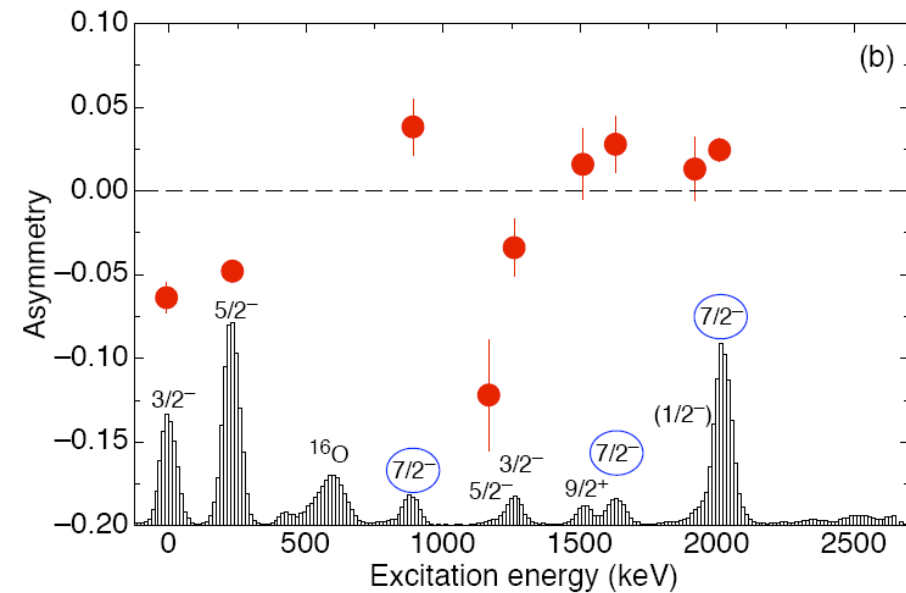
Constraining the $0\nu\beta\beta$ -decay NMEs

*Nucleons that change from neutrons to protons
are valence neutrons*

Constraining the mean field with proton, neutron removing transfer reaction

Schiffer et al., PRL 100, 112501 (2008)

$$n_j^{exp} = \langle 0_{init}^+ | \sum_m c_{j,m}^+ c_{j,m} | 0_{init}^+ \rangle$$



Adjusted WS mean field

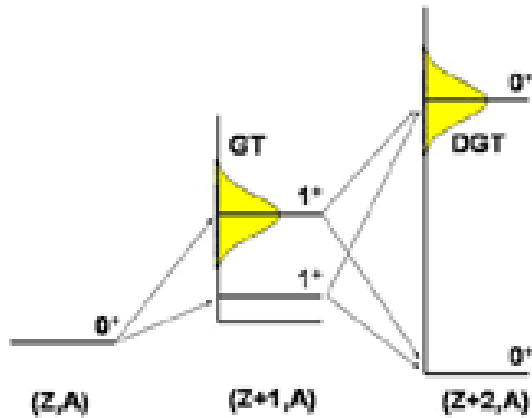
| | ⁷⁶ Ge | | | | ⁷⁶ Se | | | |
|-------------------------|------------------|------|------|-----------|------------------|------|------|-----------|
| | BCS | Q | S | exp | BCS | Q | S | exp |
| neut. | | | | | | | | |
| <i>p</i> | 5.65 | 5.27 | 4.64 | 4.9±0.2 | 5.57 | 5.05 | 4.12 | 4.4±0.2 |
| <i>f</i> _{5/2} | 5.54 | 5.12 | 4.34 | 4.6±0.4 | 5.53 | 5.00 | 3.63 | 3.8±0.4 |
| <i>f</i> _{7/2} | 7.91 | 7.67 | 7.62 | - | 7.90 | 7.54 | 7.37 | - |
| <i>s</i> _{1/2} | 0.01 | 0.05 | 0.07 | - | 0.01 | 0.04 | 0.08 | - |
| <i>d</i> _{3/2} | 0.03 | 0.14 | 0.15 | - | 0.02 | 0.14 | 0.16 | - |
| <i>d</i> _{5/2} | 0.09 | 0.30 | 0.36 | - | 0.07 | 0.27 | 0.39 | - |
| <i>g</i> _{7/2} | 0.14 | 0.53 | 0.48 | - | 0.12 | 0.56 | 0.58 | - |
| <i>g</i> _{9/2} | 4.63 | 4.78 | 6.35 | 6.5±0.3 | 2.78 | 3.55 | 5.66 | 5.8±0.3 |
| prot. | | | | | | | | |
| <i>p</i> | 2.23 | 2.34 | 1.75 | 1.77±0.15 | 2.77 | 2.76 | 2.28 | 2.08±0.15 |
| <i>f</i> _{5/2} | 1.61 | 2.27 | 2.08 | 2.04±0.25 | 2.95 | 2.97 | 3.03 | 3.16±0.25 |
| <i>f</i> _{7/2} | 7.83 | 7.19 | 7.13 | - | 7.76 | 7.12 | 7.06 | - |
| <i>s</i> _{1/2} | 0.00 | 0.02 | 0.03 | - | 0.00 | 0.03 | 0.04 | - |
| <i>d</i> _{3/2} | 0.01 | 0.07 | 0.07 | - | 0.01 | 0.09 | 0.09 | - |
| <i>d</i> _{5/2} | 0.01 | 0.12 | 0.15 | - | 0.02 | 0.17 | 0.18 | - |
| <i>g</i> _{7/2} | 0.02 | 0.19 | 0.16 | - | 0.03 | 0.31 | 0.27 | - |
| <i>g</i> _{9/2} | 0.29 | 0.85 | 0.62 | 0.23±0.25 | 0.46 | 1.15 | 1.04 | 0.84±0.25 |

Reduction of NME within the SRQRPA

| ⁷⁶ Ge → ⁷⁶ Se | prev. | new |
|-------------------------------------|------------|------------|
| Jastrow s.r.c. | 4.24(0.44) | 3.49(0.23) |
| UCOM s.r.c. | 5.19(0.54) | 4.60(0.39) |

F.Š., A. Faessler, P. Vogel, PRC 79, 015502 (2009)

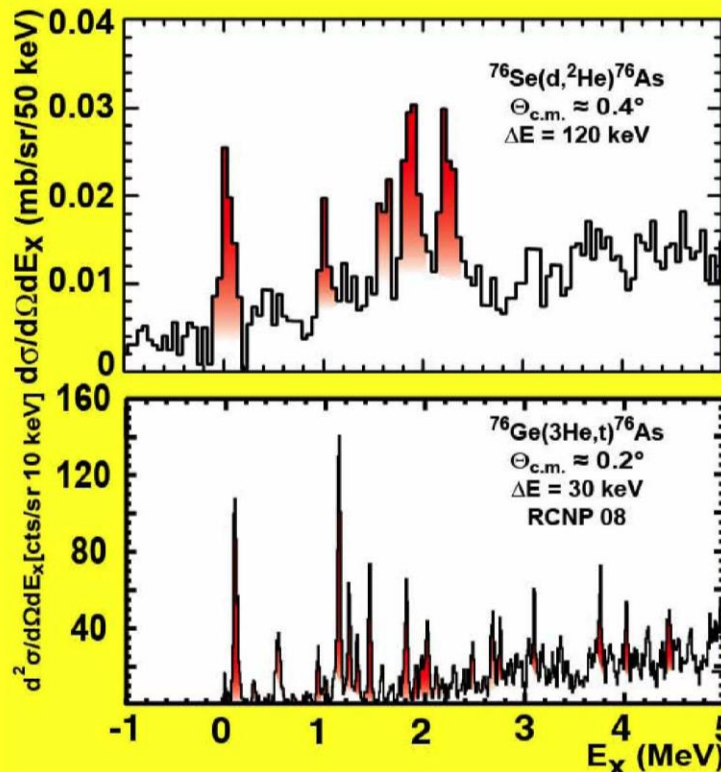
Constraining the $0\nu\beta\beta$ -decay NME



charge-exchange
reactions

(t, ^3He)
(d, ^2He)

From D. Frekers, RIKEN 2008 lecture
The cross sections give $B(\text{GT})$ for β^+ and β^- ,
product of the amplitudes $(B(\text{GT})^{1/2})$ gives
the numerator of the $M^{2\nu}$ matrix element.



$2\nu\beta\beta$ -matrix element

$$0.16 \pm 0.04 \text{ MeV}^{-1}$$

with

$$G(2\nu) = 3.4 \times 10^{-20} \text{ MeV}^2 \text{ a}^{-1}$$

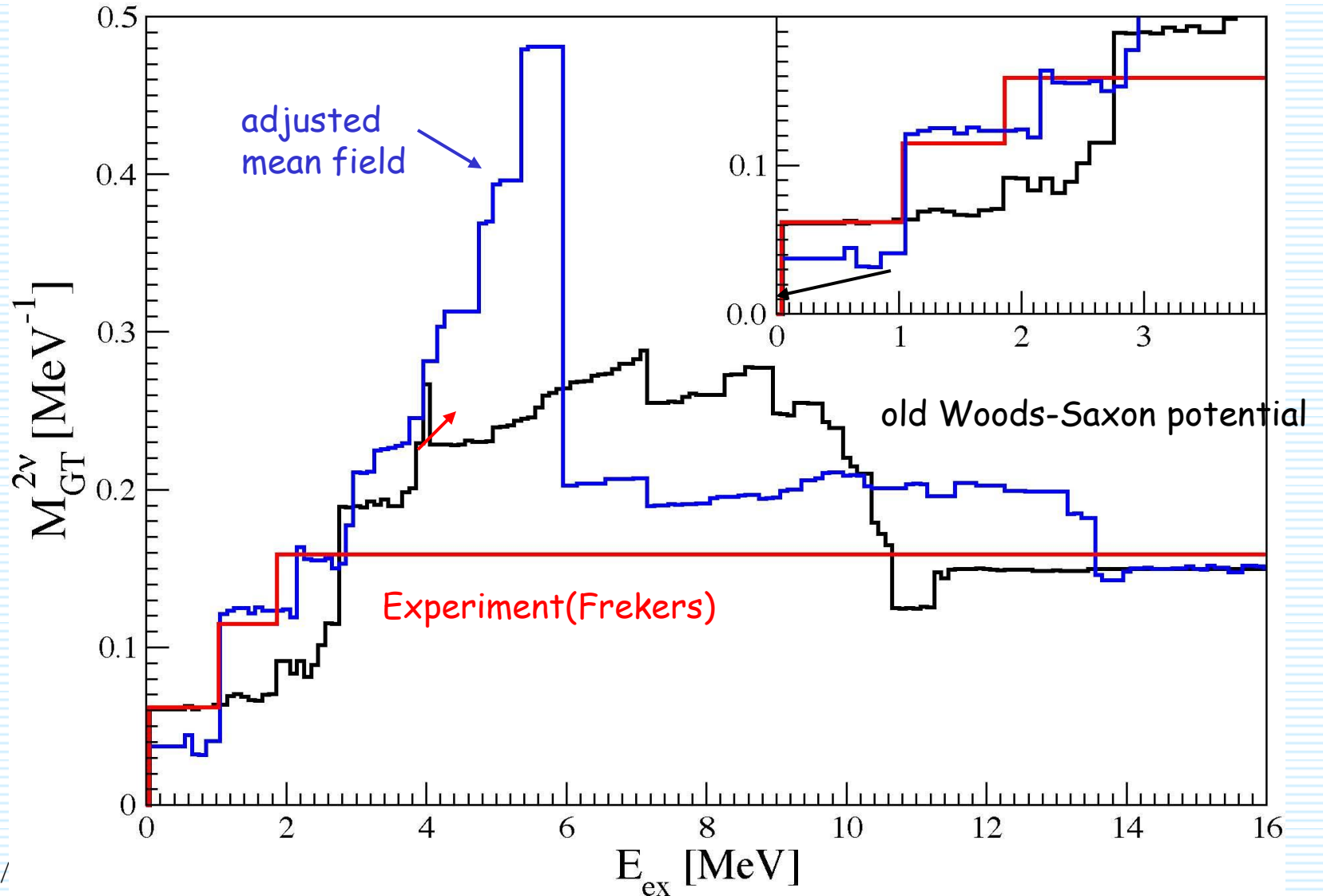
$2\nu\beta\beta$ - half-life

$$(1.1 \pm 0.2) \times 10^{21} \text{ a}$$

recommended. exp. value:

$$(1.5 \pm 0.1) \times 10^{21} \text{ a}$$

Staircase plot (running sum) of the contributions to the $2\nu\beta\beta$ decay ($^{76}\text{Ge}\rightarrow^{76}\text{Se}$)



Nuclear deformation

$$\beta = \sqrt{\frac{\pi}{5}} \frac{Q_p}{Zr_c^2}$$

Exp. I (nuclear reorientation method)

Exp. II (based on measured E2 trans.)

Theor. I (Rel. mean field theory)

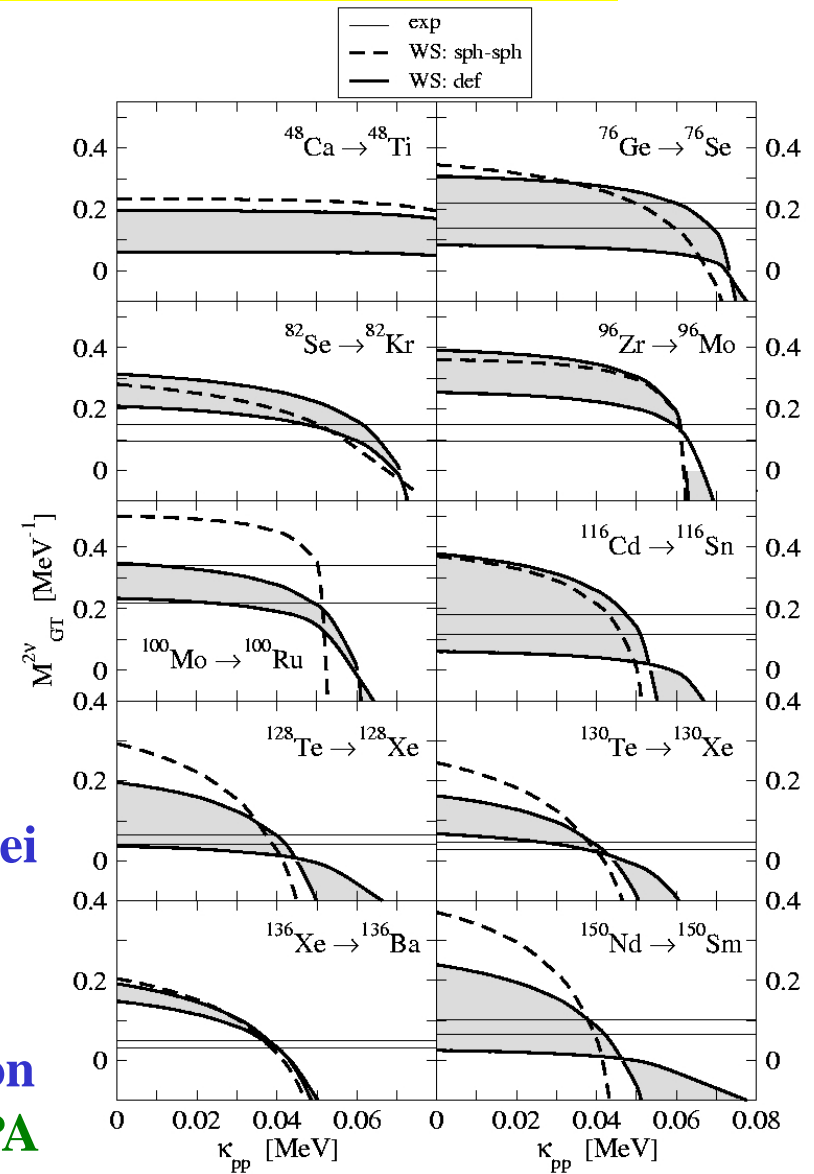
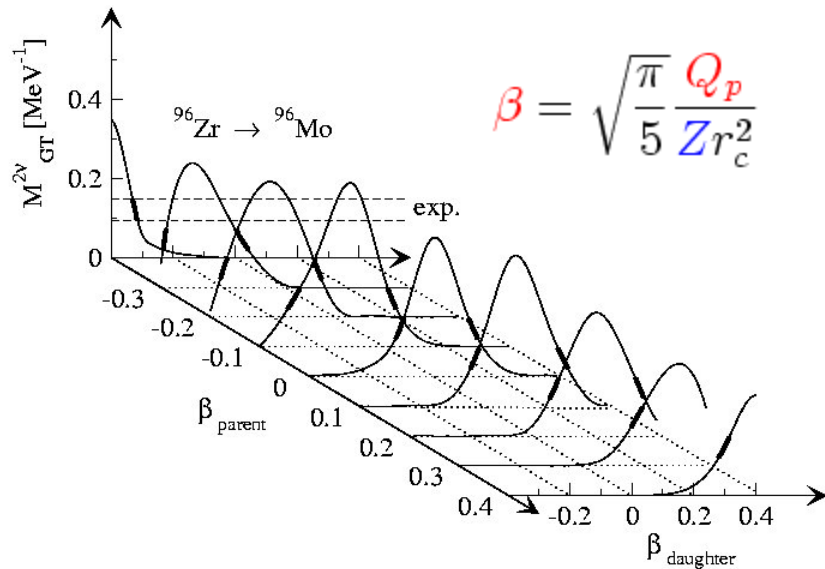
Theor. II (Microsc.-Macrosc. Model of Moeller and Nix)

Till now, in the QRPA-like calculations of the $0\nu\beta\beta$ -decay NME spherical symmetry was assumed

The effect of deformation on NME has to be considered

| Nucl. | Exp. I | Exp. II | Theor. I | Theor. II |
|-------------------|--------|---------|----------|-----------|
| ⁴⁸ Ca | 0.00 | 0.101 | 0.00 | 0.00 |
| ⁴⁸ Ti | +0.17 | 0.269 | -0.01 | 0.00 |
| ⁷⁶ Ge | +0.09 | 0.26 | 0.16 | 0.14 |
| ⁷⁶ Se | +0.16 | 0.31 | -0.24 | -0.24 |
| ⁸² Se | +0.10 | 0.19 | 0.13 | 0.15 |
| ⁸² Kr | | 0.20 | 0.12 | 0.07 |
| ⁹⁶ Zr | | 0.081 | 0.22 | 0.22 |
| ⁹⁶ Mo | +0.07 | 0.17 | 0.17 | 0.08 |
| ¹⁰⁰ Mo | +0.14 | 0.23 | 0.25 | 0.24 |
| ¹⁰⁰ Ru | +0.14 | 0.22 | 0.19 | 0.16 |
| ¹¹⁶ Cd | +0.11 | 0.19 | -0.26 | -0.24 |
| ¹¹⁶ Sn | +0.04 | 0.11 | 0.00 | 0.00 |
| ¹²⁸ Te | +0.01 | 0.14 | -0.00 | 0.00 |
| ¹²⁸ Xe | | 0.18 | 0.16 | 0.14 |
| ¹³⁰ Te | +0.03 | 0.12 | 0.03 | 0.00 |
| ¹³⁰ Xe | | 0.17 | 0.13 | -0.11 |
| ¹³⁶ Xe | | 0.09 | 0.00 | 0.00 |
| ¹³⁶ Ba | | 0.12 | 0.00 | 0.00 |
| ¹⁵⁰ Nd | +0.37 | 0.28 | 0.22 | 0.24 |
| ¹⁵⁰ Sm | +0.23 | 0.19 | 0.18 | 0.21 |

New Suppression Mechanism of the DBD NME



The suppression of the NME depends on relative deformation of initial and final nuclei

F.Š., Pacearescu, Faessler.

NPA 733 (2004) 321

Systematic study of the deformation effect on the $2\nu\beta\beta$ -decay NME within deformed QRPA

Alvarez, Sarriguren, Moya, Pacearescu, Faessler, F.Š.,

Phys. Rev. C 70 (2004) 321

There is a need for supporting experiments

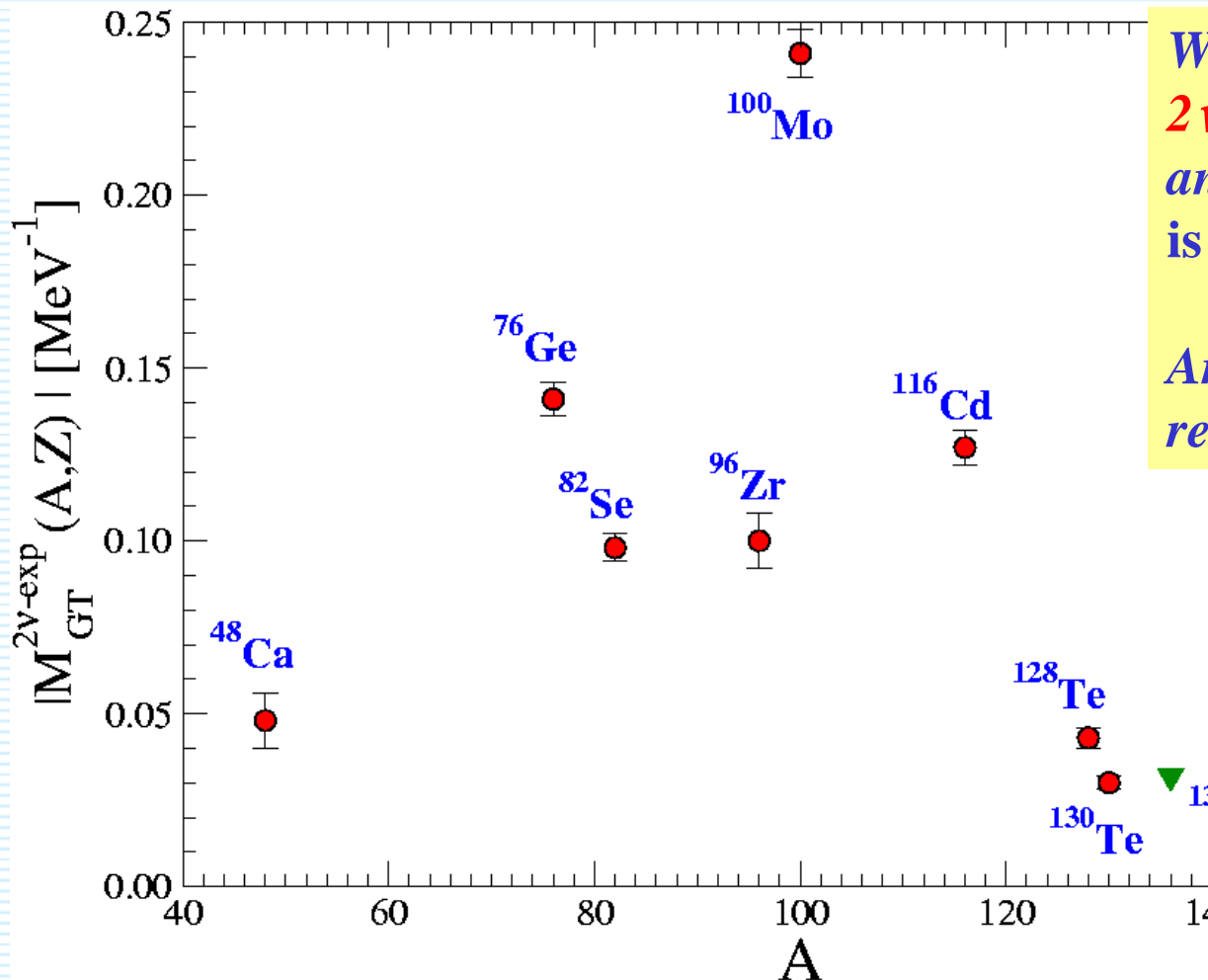
Nuclear matrix elements:

- *Mean field* *p and n removing transfer reactions*
- *β^- and β^+ strengths* *Charge-changing experiments*
- *deformation* *Exp. to remeasure deformation needed*
- *$2\nu\beta\beta$ -decay* *Double beta decay experiments*

$2\nu\beta\beta$ -decay NMEs

2νββ-decay NMEs

$$\frac{1}{T_{1/2}^{2\nu-exp}} = G^{2\nu}(E_0, Z) g_A^4 |M_{GT}^{2\nu}|^2$$



Why the spread of the 2νββ NMEs is large and of the 0νββ NMEs is small?

Are both type of NMEs related?

Why 2νββ of ¹³⁶Xe has been not observed yet? Do this affect the value of 0νββ NME

Differences among 2νββ-decay NMEs: up to factor 10

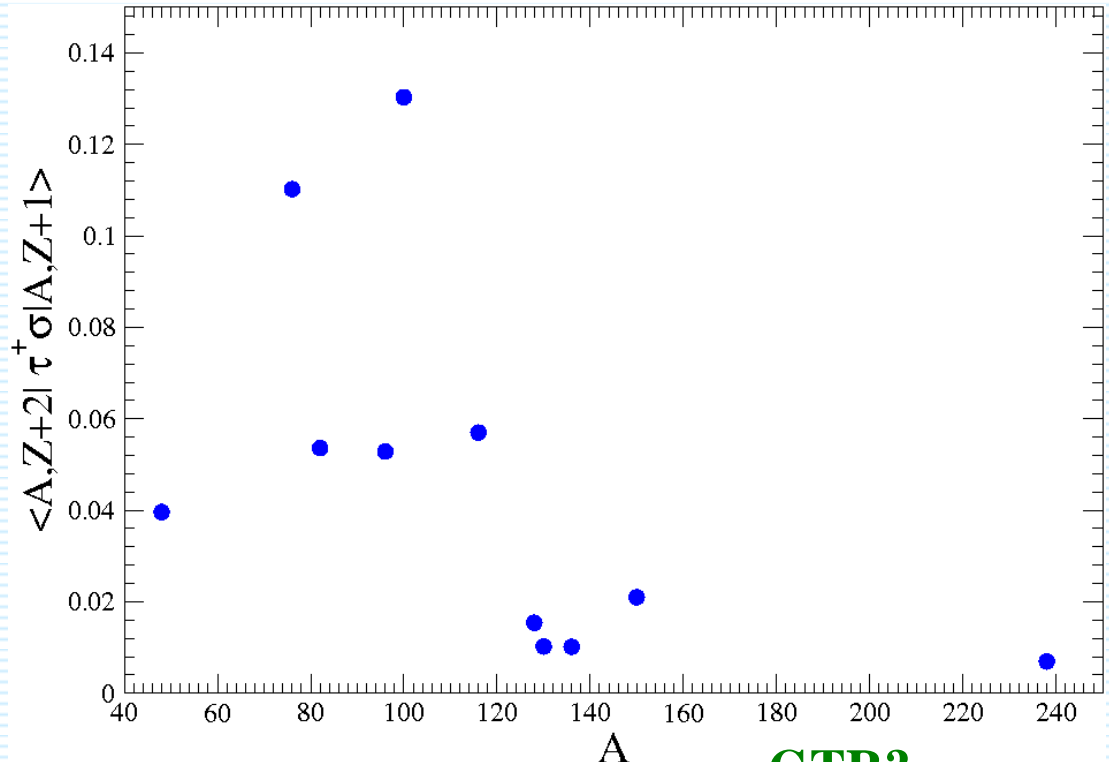
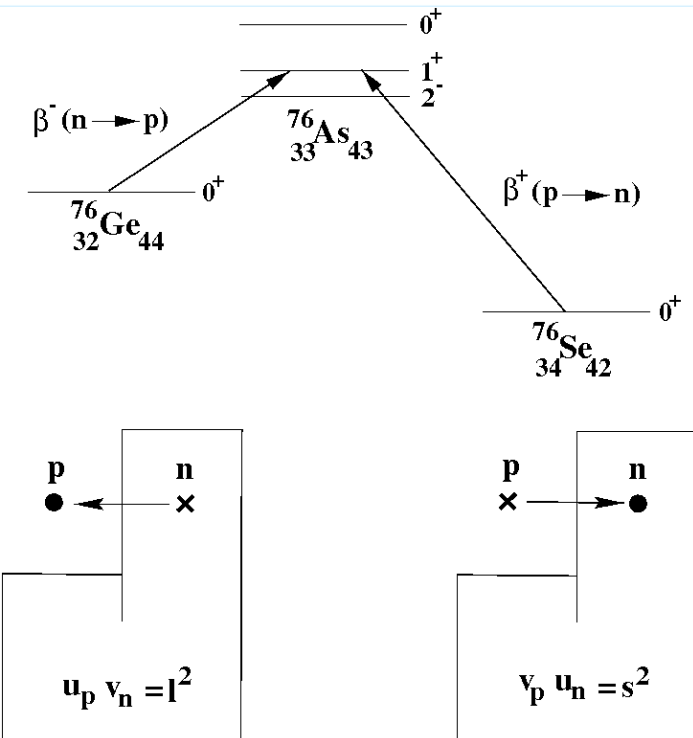
Low-lying states or GT resonance?

Isospin symmetry SU(2): $M_F=0$

$M_F \neq 0$ (Coulomb)
 $M_{GT} \neq 0$ (spin-orbit int.)

Isospin symmetry SU(4): $M_{GT}=0$

β^+ -amplitude from M_{GT}^{exp} assuming single transition through GTR



low-lying states?

GTR?

2νββ-decay within the field theory

F.Š., G. Pantis, Phys. Atom. Nucl. 62 (1999) 585

Weak interaction Hamiltonian

$$\mathcal{H}^\beta(x) = \frac{G_F}{\sqrt{2}} 2 [\bar{e}_L(x) \gamma_\alpha \nu_{eL}(x)] j_\alpha(x) + h.c.$$

2νββ-decay amplitude

$$\begin{aligned} & \langle f | S^{(2)} | i \rangle = \\ & \frac{(-i)^2}{2} \left(\frac{G_F}{\sqrt{2}} \right)^2 L_{\mu\nu}(p_1, p_2, k_1, k_2) J_{\mu\nu}(p_1, p_2, k_1, k_2) \\ & - (p_1 \leftrightarrow p_2) - (k_1 \leftrightarrow k_2) + (p_1 \leftrightarrow p_2)(k_1 \leftrightarrow k_2) \end{aligned}$$

Hadron part of amplitude

$$\begin{aligned} J_{\mu\nu}(p_1, p_2, k_1, k_2) = & \int e^{-i(p_1+k_1)x_1} e^{-i(p_2+k_2)x_2} \\ & {}_{out} \langle p_f | T(J_\mu(x_1) J_\nu(x_2)) | p_i \rangle_{in} dx_1 dx_2 \end{aligned}$$

Integral representation of M_{GT}

$$M_{GT} = \frac{i}{2} \int_0^\infty (e^{i(p_{10}+k_{10}-\Delta)t} + e^{i(p_{20}+k_{20}-\Delta)t}) M_{AA}(t) dt$$

with

$$M_{AA}(t) = \langle 0_f^+ | \frac{1}{2} [A_k(t/2), A_k(-t/2)] | 0_i^+ \rangle$$

$$A_k(t) = e^{iHt} A_k(0) e^{-iHt}, \quad A_k = \sum_i \tau_i^+ (\vec{\sigma}_i)_k, \quad k = 1, 2, 3.$$

$$A_k(t) = e^{itH} A_k(0) e^{-itH} = \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \overbrace{[H[H\dots[H, A_k(0)]\dots]]}^{n \text{ times}}$$

Completeness:
 $\sum_n |n\rangle \langle n| = 1$

$$\langle A' | J_\alpha(x_1) J_\beta(x_2) | A \rangle = \sum_n \langle A' | J_\alpha(0, \vec{x}_1) | n \rangle \langle n | J_\beta(0, \vec{x}_2) | A \rangle \times e^{-i(E' - E_n)x_{10}} e^{-i(E_n - E)x_{20}}$$

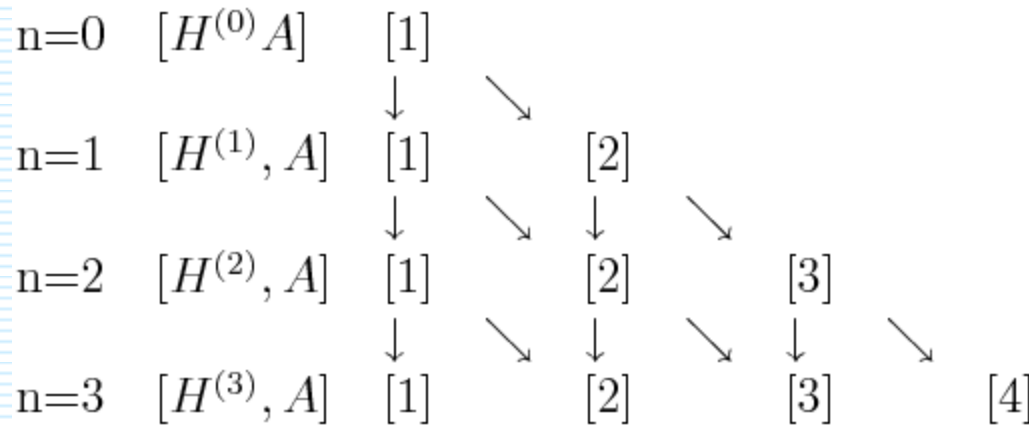
$$\int_0^\infty e^{-iat} dt \Rightarrow \lim_{\epsilon \rightarrow 0} \int_0^\infty e^{-i(a-i\epsilon)t} dt = \lim_{\epsilon \rightarrow 0} \frac{-i}{a - i\epsilon}$$

$$M_{GT} = \sum_n \frac{\langle 0_f^+ | A(0)_k | 1_n^+ \rangle \langle 1_n^+ | A(0)_k | 0_i^+ \rangle}{E_n - E_i + \Delta}$$

Double beta decay is a two-body process

$H = \text{one-body} + \text{two-body}$, $A_k(0) = \text{one-body}$

$$A_k(t) = \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \overbrace{[H[H\dots[H, A_k(0)]\dots]]}^{n \text{ times}}$$



If $H \approx \text{one-body op.} \implies \mathbf{A}_k(\mathbf{t})$ is one-body op.

r_{12} -dependence of the $2\nu\beta\beta$ -decay NME

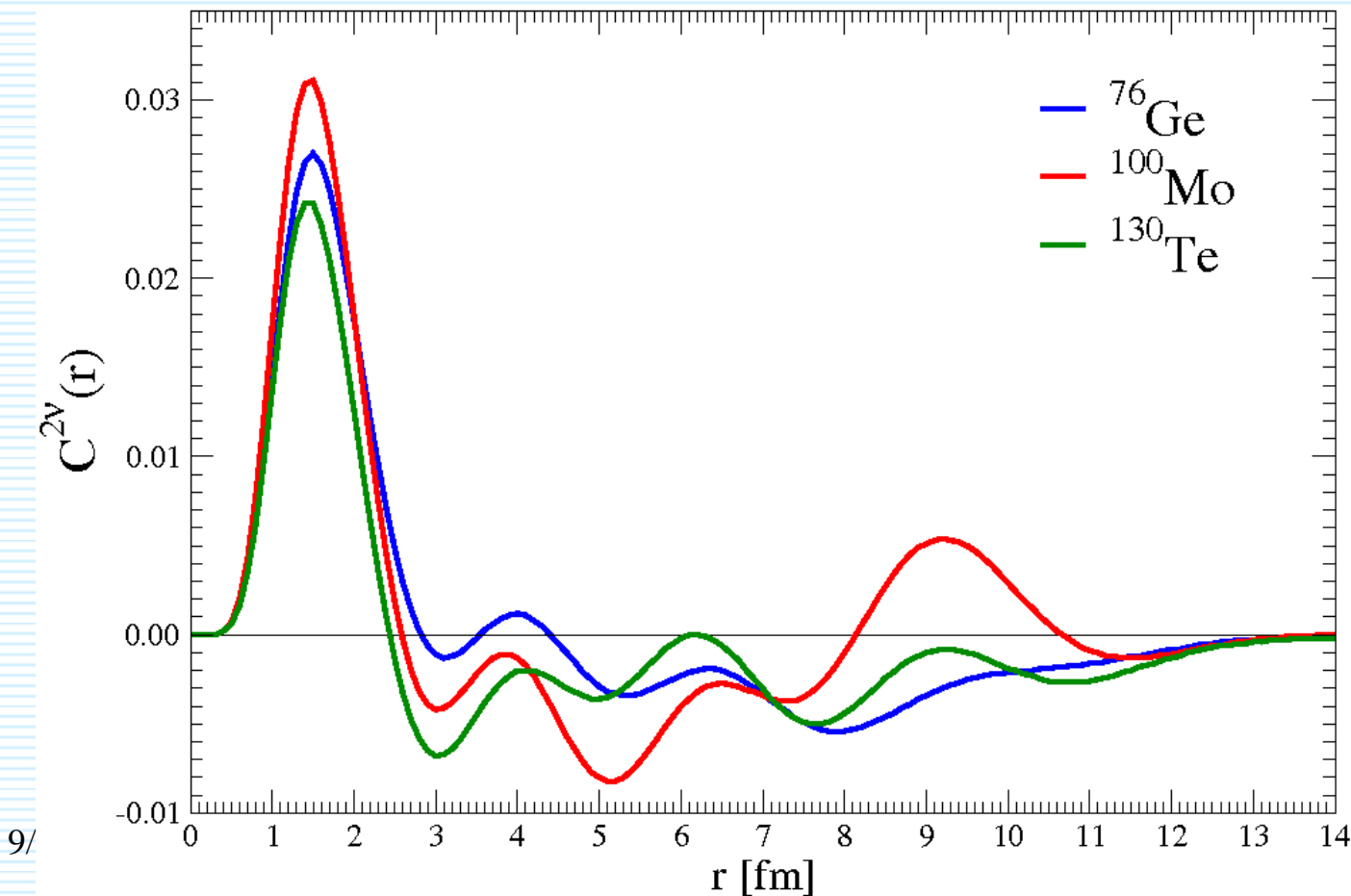
$$M_{GT}^{2\nu} = \int C^{2\nu}(r) dr$$

$$M_{GT}^{2\nu} = \sum_{J^\pi, k_i, k_f, \mathcal{J}} \sum_{pn p'n'} (-1)^{j_n + j_{p'} + J + \mathcal{J}} \times$$

$$\sqrt{2\mathcal{J} + 1} \left\{ \begin{matrix} j_p & j_n & J \\ j_{n'} & j_{p'} & \mathcal{J} \end{matrix} \right\} \times$$

$$\langle p(1), p'(2); \mathcal{J} \parallel \sigma(1) \cdot \sigma(2) \parallel n(1), n'(2); \mathcal{J} \rangle \times$$

$$\langle 0_f^+ \parallel [c_{p'}^+ \tilde{c}_{n'}]_J \parallel J^\pi k_f \rangle \langle J^\pi k_f \parallel J^\pi k_i \rangle \langle J^\pi k_f i \parallel [c_p^+ \tilde{c}_n]_J \parallel 0_i^+ \rangle$$



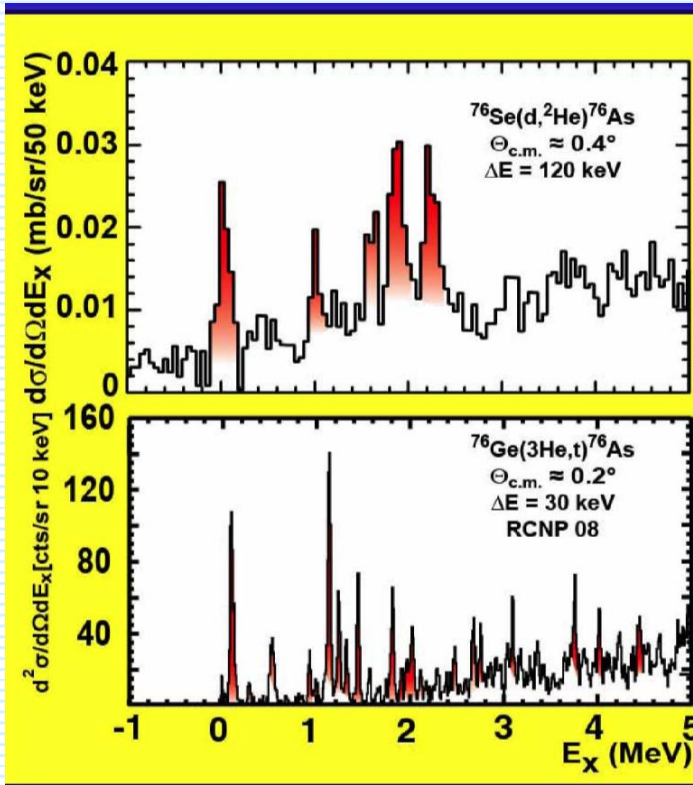
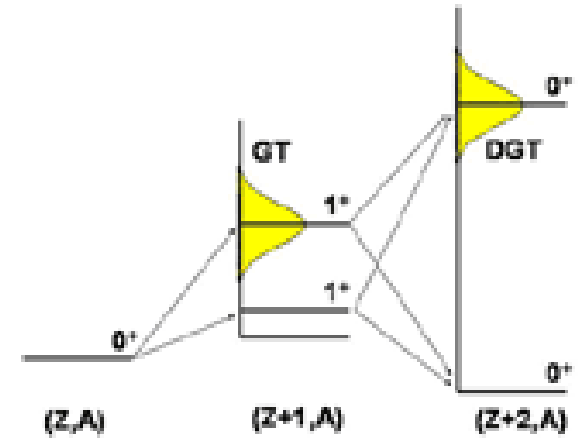
On the relation between $0\nu\beta\beta$ -decay and $2\nu\beta\beta$ -decay (GT) NMEs

F.Š., Vogel, Hodak, Faessler, to be submitted

$$M^{0\nu} = M_{GT}^{0\nu} \left(1 + \frac{1}{g_A^2} \frac{M_F^{0\nu}}{M_{GT}^{0\nu}} + \frac{M_T^{0\nu}}{M_{GT}^{0\nu}} \right)$$

The cross sections of ($t, {}^3\text{He}$) and ($d, {}^2\text{He}$) reactions give $B(GT^\pm)$ for β^+ and β^- , product of the amplitudes ($B(GT)^{1/2}$) entering the numerator of $M_{GT}^{2\nu}$

$$M_{GT}^{2\nu} = \sum_m \frac{M_{GT}^{(+)}(m) M_{GT}^{(-)}(m)}{Q_{\beta\beta}/2 + m_e + E_x(1_m^+) - E_0}$$



$2\nu\beta\beta$ -matrix element

$$0.16 \pm 0.04 \text{ MeV}^{-1}$$

with $G(2\nu) = 3.4 \times 10^{-20} \text{ MeV}^2 \text{ a}^{-1}$

$2\nu\beta\beta$ - half-life

$$(1.1 \pm 0.2) \times 10^{21} \text{ a}$$

recommended. exp. value:

$$(1.5 \pm 0.1) \times 10^{21} \text{ a}$$

Closure $2\nu\beta\beta$ -decay
NME

$$M_{GT-cl}^{2\nu} = \sum_m M_{GT}^{(+)}(m) M_{GT}^{(-)}(m)$$

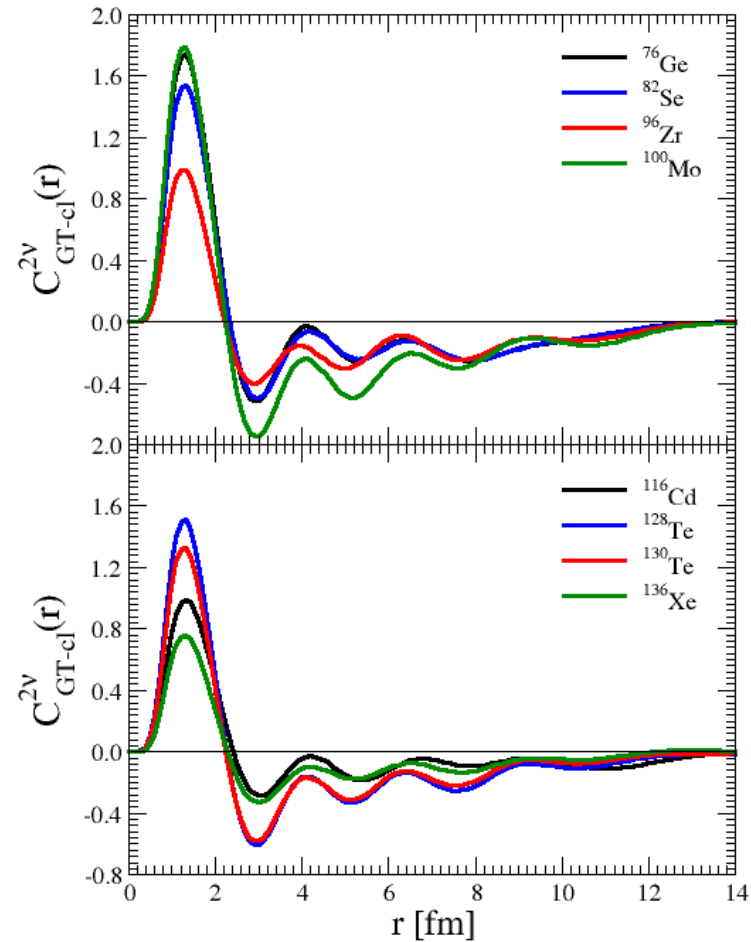
SSD hypothesis

$$g_A^2 M_{GT-cl}^{2\nu} = \frac{3 D}{\sqrt{ft_{EC} ft_{\beta^-}}}$$

Going to relative coordinates:

$$M_{GT-cl}^{2\nu} = \int_0^\infty C_{GT-cl}^{2\nu}(r) dr$$

*r - relative distance
of two nucleons*

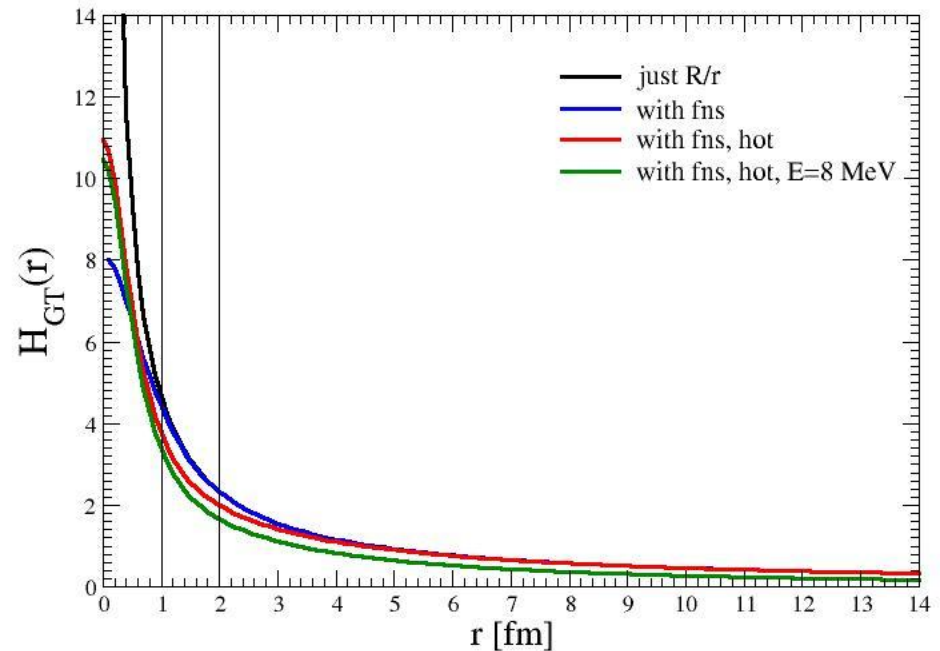


*A connection between closure
 $2\nu\beta\beta$ and $0\nu\beta\beta$ GT NMEs*

$$M_{GT}^{0\nu} = \int_0^\infty H_{GT}^{0\nu}(r) C_{GT-cl}^{2\nu}(r) dr$$

Neutrino potential

$$H(r) = R \frac{2}{\pi} \int_0^\infty j_0(qr) \frac{q}{q + \bar{E}} f_{FNS}^2(q^2) g_{HOT}(q^2) dr$$



Neutrino potential prefer short distances

Phenomenological estimation of $M^{0\nu}_{GT}$

| Nucleus | $T_{1/2}^{2\nu-exp}$ [y] [years] | $ g_A^2 M_{GT}^{2\nu-exp} $ [MeV ⁻¹] | SSD | | ChER | | |
|-------------------|-------------------------------------|---|----------------------------|-----------------------|---|----------------------|-----------------|
| | | | $ g_A^2 M_{GT-cl}^{2\nu} $ | $ g_A^2 M^{0\nu-ph} $ | $ M_{GT}^{2\nu} $ [MeV ⁻¹] | $ M_{GT-cl}^{2\nu} $ | $ M^{0\nu-ph} $ |
| ⁴⁸ Ca | 4.4×10^{19} | 0.0735 | - | - | 0.083 | 0.355 | 3.19 |
| ⁷⁶ Ge | 1.5×10^{21} | 0.219 | - | - | 0.159 | 0.840 | 8.80 |
| ⁹⁶ Zr | 2.3×10^{19} | 0.145 | - | - | - | 0.357 | 4.04 |
| ¹⁰⁰ Mo | 7.1×10^{18} | 0.373 | 0.564 | 6.47 | - | - | - |
| ¹¹⁶ Cd | 2.8×10^{19} | 0.203 | 0.562 | 6.78 | 0.064 | 0.491 | 5.92 |

Neutrino potential

$$H(r) = R \frac{2}{\pi} \int_0^\infty j_0(qr) \frac{q}{q + \bar{E}} f_{FNS}^2(q^2) g_{HOT}(q^2) dr$$

$$\begin{aligned} M_{GT}^{0\nu} &= H_{GT}(r=0) M_{GT-cl}^{2\nu} \\ &\quad - \int_0^\infty \mathcal{F}(r) C_{GT-cl}^{2\nu}(r) dr \\ &= M_{GT}^{0\nu-ph} - M_{GT}^{0\nu-rest} \end{aligned}$$

with Taylor expansion

$$\begin{aligned} j_0(qr) &= 1 - \frac{1}{6}(qr)^2 + \frac{1}{120}(qr)^4 - \dots \\ &= 1 - \mathcal{F}(r) \end{aligned}$$

**A: Phenomen.
prediction:
Too large
(~ factor 2)**

**B: Need to be
calculated
Not
negligible**

Closure $2\nu\beta\beta$ GT NME

The only non-zero contribution
from $J^\pi=1^+$

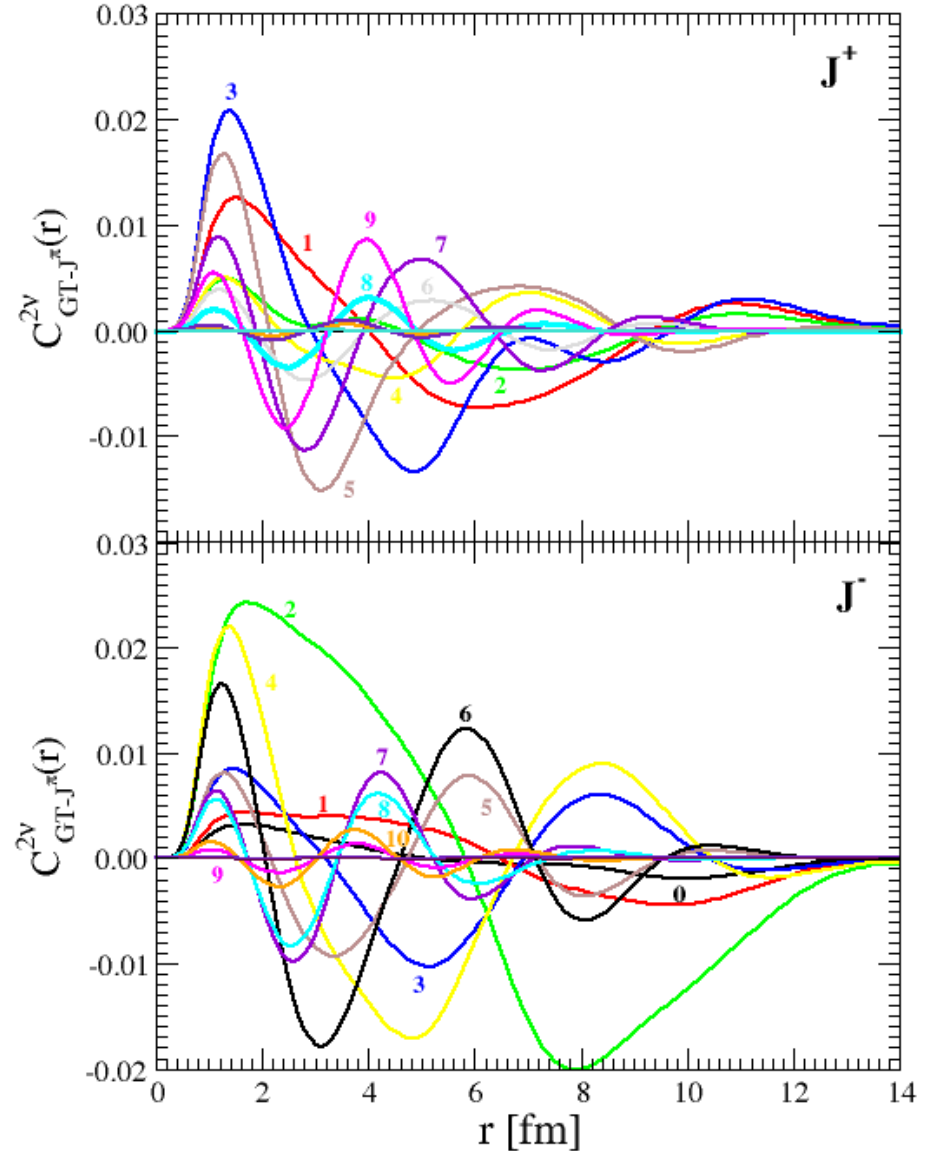
$$M_{GT-cl}^{2\nu} =$$

$$\sum_{J^\pi, m} \langle 0_f^+ | \tau^+ \vec{\sigma} | J^\pi, m \rangle \cdot \langle J^\pi, m | \tau^+ \vec{\sigma} | 0_i^+ \rangle$$

$$= \sum_m \langle 0_f^+ | \tau^+ \vec{\sigma} | 1^+, m \rangle \cdot \langle 1^+, m | \tau^+ \vec{\sigma} | 0_i^+ \rangle$$

$$M_{GT-cl}^{2\nu} = \sum_{J^\pi} \int_0^\infty C_{GT-J^\pi}^{2\nu}(r) dr$$

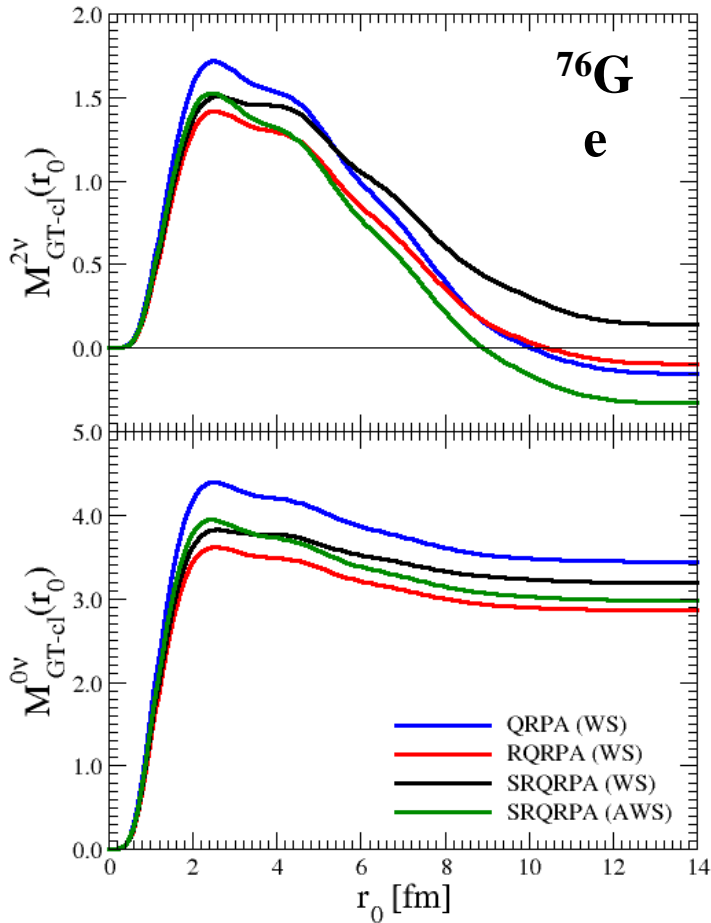
^{76}Ge , 23 levels model space, Argonne pot.



$M^{0\nu}_{GT}$ depends weakly on g_A/g_{pp} and QRPA approach unlike $M^{2\nu}_{GT}$

$$M^{0\nu}_{GT}(r_0) = \int_0^{r_0} H^{0\nu}_{GT}(r) C^{2\nu}_{GT-cl}(r) dr$$

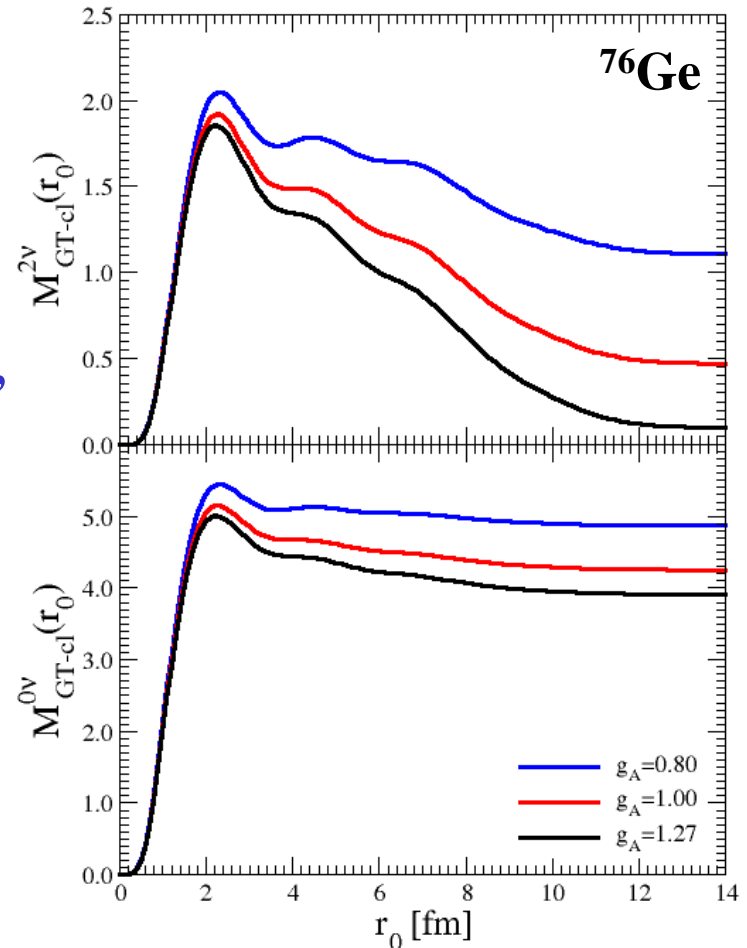
Nucleon Nuclear physics



Nucleon Nuclear physics



F.Š.,



Different QRPA-like approaches

Fedor Sim

Dependence on axial-vector coupling

Co-existence of few mechanisms of the $0\nu\beta\beta$ -decay

*It may happen that in year 201? (or 2???) the $0\nu\beta\beta$ -decay
will be detected for 2-3 or more isotopes ...*

Co-existence of 2, 3 or more mechanisms of the $0\nu\beta\beta$ -decay

It is well-known that there exist many mechanisms that may contribute to the $0\nu\beta\beta$. Let consider **3 mechanisms**: i) light ν -mass mechanism, ii) heavy ν -mass mechanism, iii) R-parity breaking SUSY mechanism with gluino exchange and **CP conservation**

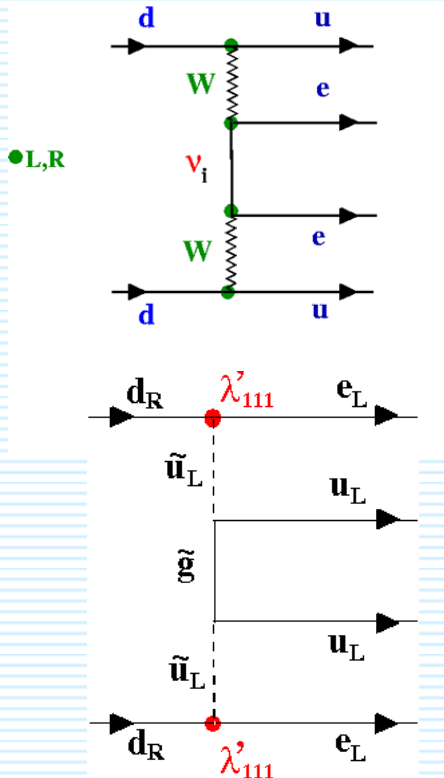
$$\frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(E_0, Z) \left| \frac{m_{\beta\beta}}{m_e} M_\nu^{0\nu} + \eta_N^L M_N^{0\nu} + \eta_{\lambda'_{111}} M_{\lambda'_{111}}^{0\nu} \dots \right|^2$$

$$m_{\beta\beta} = \sum_k (U_{ek}^L)^2 \xi_k m_k$$

$$\eta_N^L = \sum_{k=4}^6 |U_{ek}^L|^2 \xi'_k \frac{m_p}{M_k},$$

$$\eta_N^R = \sum_{k=4}^6 |U_{ek}^R|^2 \xi'_k \frac{m_p}{M_k}.$$

$$\eta_{\lambda'_{111}} = \frac{\pi\alpha_s}{6} \frac{\lambda'_{111}{}^2}{G_F^2 m_{\tilde{d}_R}^4} \frac{m_p}{m_{\tilde{g}}} \left[1 + \left(\frac{m_{\tilde{d}_R}}{m_{\tilde{u}_L}} \right)^2 \right]^2.$$



Claim of evidence:

Klapdor-Kleingrothaus, Krivosheina, Mod. Phys. A 21, 1547 (2009)

$$T_{1/2}^{0\nu}({}^{76}\text{Ge}) = 2.23_{-0.31}^{+0.44} \times 10^{25} \text{ y}$$

$$T_{1/2}^{0\nu}({}^{100}\text{Mo}) \geq 5.8 \times 10^{23} \text{ y}$$

$$T_{1/2}^{0\nu}({}^{130}\text{Te}) \geq 3.0 \times 10^{24} \text{ y}$$

$$\xi_{\text{Te}} < 1.2$$

$$\xi_{\text{Mo}} < 2.6$$

We introduce

$$\xi = \frac{|M_1^\nu| \sqrt{T_1 G_1}}{|M_2^\nu| \sqrt{T_2 G_2}} \text{r.s.}$$

$\xi=0$, non-observation ($T_2 \rightarrow \infty$)

$\xi=1$, solution for single active mech. is reproduced

4 sets of two linear eq.

$$\frac{\pm 1}{\sqrt{T_1} G_1} = \frac{m_{\beta\beta}}{m_e} M_1^\nu + \eta M_1^\eta$$

$$\frac{\pm 1}{\sqrt{T_2} G_2} = \frac{m_{\beta\beta}}{m_e} M_2^\nu + \eta M_2^\eta$$

2 different solutions

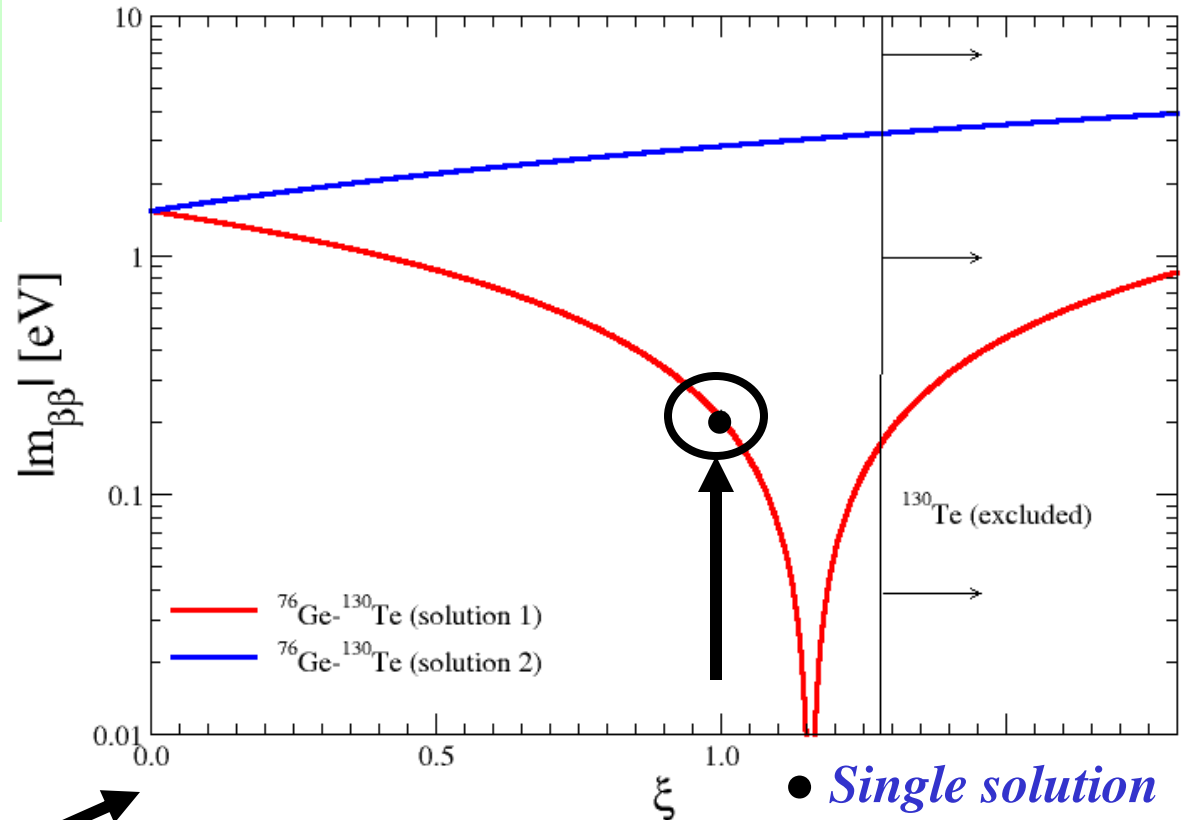
$$|m_{\beta\beta}| = \left| \frac{m_e}{M_1^\nu \sqrt{T_1} G_1} \frac{M_1^\nu M_2^\eta}{(M_1^\nu M_2^\eta - M_2^\nu M_1^\eta)} \right. \\ \left. \pm \frac{m_e}{M_2^\nu \sqrt{T_2} G_2} \frac{M_2^\nu M_1^\eta}{(M_1^\nu M_2^\eta - M_2^\nu M_1^\eta)} \right|$$

2 active mechanisms
of the $0\nu\beta\beta$ -decay:
Light and heavy
 ν -mass mechanism

Non-observation of
the $0\nu\beta\beta$ -decay for some
isotopes might be
in agreement with
 $M_{\beta\beta}$ in sub eV region

Non-observation
for ^{130}Te

CP-conservation assumed



• Single solution
for light ν -mass mech.

3 active mechanisms of the $0\nu\beta\beta$ -decay

$$\frac{\pm 1}{\sqrt{T_i G_i}} = \frac{m_{\beta\beta}}{m_e} M_i^\nu + \eta_N M_i^\eta + \eta_{\lambda'} M_i^{\lambda'}$$

$$i = 1, 2, 3$$

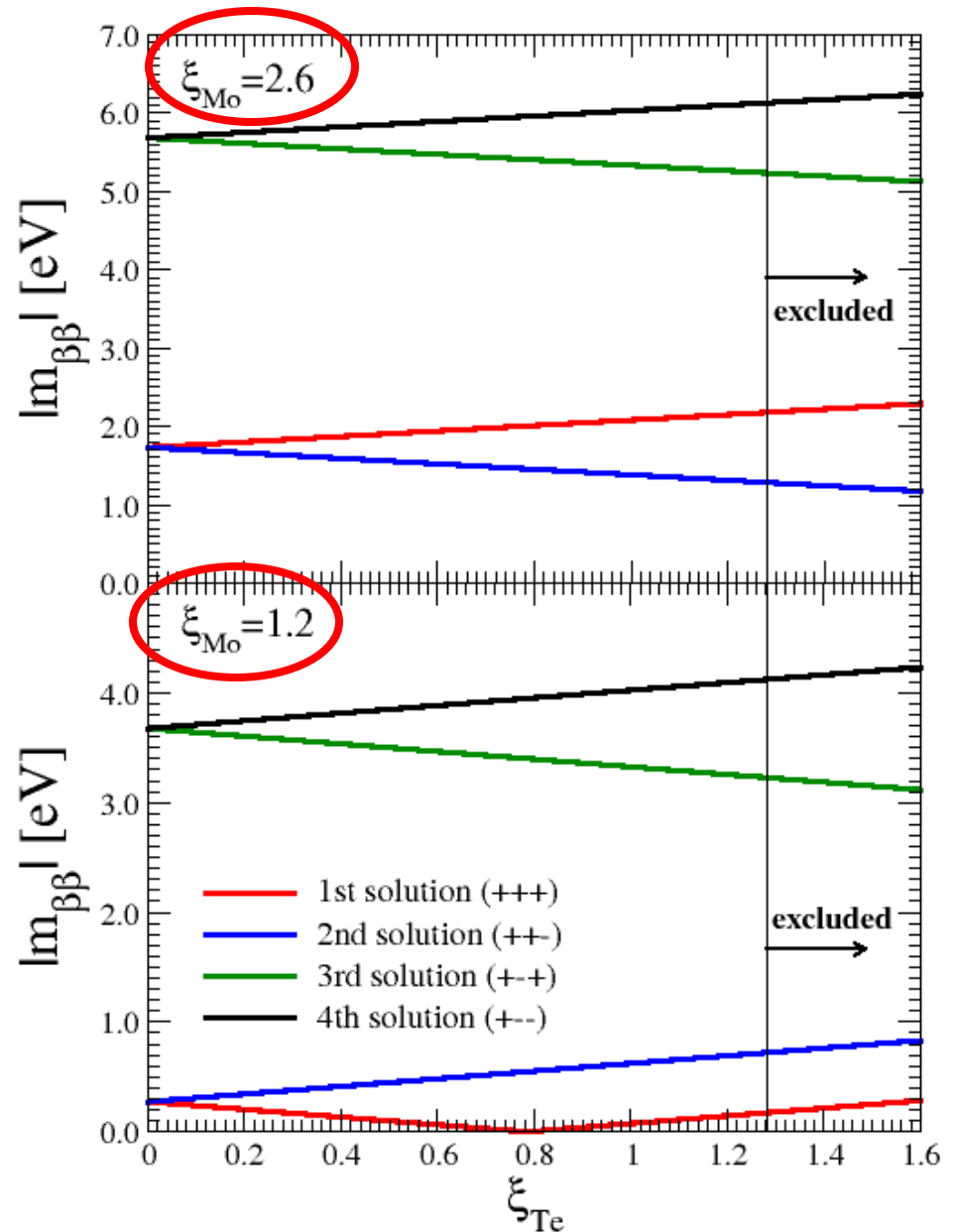
assuming evidence

$$T_{1/2}^{0\nu}({}^{76}\text{Ge}) = 2.23_{-0.31}^{+0.44} \times 10^{25} \text{ y}$$

current limits

$$\xi_{\text{Te}} < 1.2$$

$$\xi_{\text{Mo}} < 2.6$$



Conclusions

0 ν $\beta\beta$ -decay NMEs: Significant progress achieved. Factor 2 difference (2005: factor 5). Better understanding of uncertainties. A connection to the 2 $\nu\beta\beta$ -decay established. There is a need for supporting experiments: i) p and n removing transfer reactions (mean field); ii) Charge-changing reaction experiments (β^- and β^+ strengths); iii) 2 $\nu\beta\beta$ -decay experiments; iv) Experiments to re-measure nuclear deformation needed

Co-existence of different mechanisms: The non-observation of the 0 $\nu\beta\beta$ -decay for some isotopes could be in agreement with a value of $m_{\beta\beta}$ in sub eV region. Thus, it is important to have at least two different 0 $\nu\beta\beta$ -decay experiments for a given nucleus.