

**80!!!**

**"Gott ist raffiniert aber boshaft ist Er nicht!"**



# Recent developments in nucleon spin structure with focus on pretzelosity $h_{1T}^{\perp}$

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(based on: Phys. Rev. D 77 (2008) 014023 and arXiv:0805.3355)

## Overview:

- Introduction: Complicated nucleon spin structure. How to simplify?
- WW-type approximation and  $A_{UL}^{\sin 2\phi}$ .
- Pretzelosity. What is it?
- Pretzelosity in models.
- New relation, and consequences
- Prospects: SIDIS
- Summary & conclusions

# Introduction

$$\text{SIDIS } lN \rightarrow l'hX$$

tree-level: Boer, Mulders, Tangerman 1990s,

factorization: Ji, Ma, Yuan, Collins, Metz 2004,

review: Bacchetta et al., JHEP (2007).

$$\frac{d\sigma}{d\phi_h} = F_{UU} + \lambda_e S_L F_{LL}$$

$$+ \cos(2\phi) F_{UU}^{\cos(2\phi)} + S_L \sin(2\phi) F_{UL}^{\sin(2\phi)} + \lambda_e S_T \cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)}$$

$$+ S_T [\sin(\phi - \phi_S) F_{UT}^{\sin(\phi - \phi_S)} + \sin(\phi + \phi_S) F_{UT}^{\sin(\phi + \phi_S)} + \sin(3\phi - \phi_S) F_{UT}^{\sin(3\phi - \phi_S)}]$$

where:

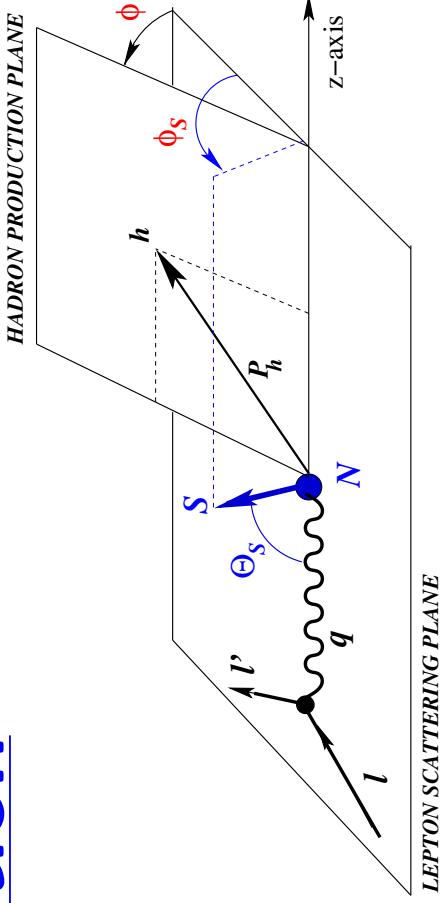
$$F_{UU} \propto \sum_a e_a^2 f_1^a \otimes D_1^a, \quad F_{UU}^{\cos(2\phi)} \propto \sum_a e_a^2 h_1^{\perp a} \otimes H_1^{\perp a}$$

$$F_{LL} \propto \sum_a e_a^2 g_1^a \otimes D_1^a, \quad F_{UL}^{\sin(2\phi)} \propto \sum_a e_a^2 h_{1L}^{\perp a} \otimes H_1^{\perp a}$$

$$F_{LT}^{\cos(\phi - \phi_S)} \propto \sum_a e_a^2 g_{1T}^{\perp a} \otimes D_1^a, \quad F_{UT}^{\sin(\phi + \phi_S)} \propto \sum_a e_a^2 h_1^a \otimes H_1^{\perp a}$$

$$F_{UT}^{\sin(\phi - \phi_S)} \propto \underbrace{\sum_a e_a^2 f_{1T}^{\perp a} \otimes D_1^a}_{\text{chiral-even}}, \quad F_{UT}^{\sin(3\phi - \phi_S)} \propto \underbrace{\sum_a e_a^2 h_{1T}^{\perp a} \otimes H_1^{\perp a}}_{\text{chiral-odd}}$$

$\otimes$  means  $k_T$ -convolution.



**Hard processes sensitive to parton  $p_T$**   
 light-front correlators ( $z^+ = 0$ ,  $p^+ = xP^+$ ):

$$\phi(x, \vec{p}_T)_{ij} = \int \frac{dz^- d^2 \vec{z}_T}{(2\pi)^3} e^{ipz} \langle N(P, S) | \bar{\psi}_j(0) \{ \text{gauge link} \} \psi_i(z) | N(P, S) \rangle$$

parameterized in terms of 8 leading-twist TMDs    8 different aspects!

$$\begin{aligned} \frac{1}{2} \text{tr}[\gamma^+ \phi(x, \vec{p}_T)] &= \mathbf{f}_1 - \frac{\varepsilon^{jk} p_T^j S_T^k}{M_N} \mathbf{f}_{1T}^\perp \\ \frac{1}{2} \text{tr}[\gamma^+ \gamma_5 \phi(x, \vec{p}_T)] &= S_L \mathbf{g}_1 \\ \frac{1}{2} \text{tr}[i\sigma^j \gamma_5 \phi(x, \vec{p}_T)] &= S_T^j \mathbf{h}_1 + \frac{\varepsilon^{jk} p_T^k}{M_N} \mathbf{h}_{1L}^\perp + S_L \frac{p_T^j}{M_N} \mathbf{h}_{1L}^\perp + \frac{(p_T^j p_T^k - \frac{1}{2} \vec{p}_T^2 \delta^{jk}) S_T^k}{M_N^2} \mathbf{h}_{1T}^\perp \end{aligned}$$

$\mathbf{f}_1/\mathbf{g}_1/\mathbf{h}_1$  'collinear' well/known/models, lattice, first data & extractions (Anselmino et al.)  
 $\mathbf{f}_{1T}^\perp/\mathbf{h}_1^\perp$  'T-odd' hot!, models, data, extractions (many authors/Drell-Yan)  
 $\mathbf{g}_{1T}^\perp/\mathbf{h}_{1L}^\perp$  certain interest, related to  $\mathbf{g}_1/\mathbf{h}_1$  in Wandzura-Wilczek-type relations (next slides)  
 $\underbrace{\mathbf{h}_{1T}^\perp}_{\otimes D_1} \otimes H_1^\perp$  modest interest, what is that?  
 all accessible in SIDIS and  $e^+e^-$  (Boer, Mulders, Tangerman, Kotzinian 1996-1998)

**"Deeper into forest, more firewood!"**

## Important:

- All 8 leading twist TMDs  $f_1$ ,  $g_1$ ,  $h_1$ ,  $f_{1T}^\perp$ ,  $h_1^\perp$ ,  $g_{1T}^\perp$ ,  $h_{1L}^\perp$ ,  $h_{1T}^\perp$   
**but** also 16 subleading twist TMDs  $g_T$ ,  $h_L$ ,  $e$ , ... etc. contain  
independent information on the nucleon spin structure.
- There are *no exact relations* among TMDs!
- But having well-motivated “**approximations**” is valuable!  
At initial stage important (motivations, proposals for experiments).
- For example, Wandzura-Wilczek-type approximations  
(neglect pure-twist-3 & mass terms).
- For example, popular ‘non-relativistic limit’ prediction:  $h_1^q(x) = g_1^q(x)$   
(what do we neglect here??? ‘**Relativistic effects**’).
- If confirmed by data (in fact:  $g_T(x) - g_T^{\text{WW}}(x) \sim$  very small),  
have to look for a reason (instanton vacuum, lattice).

# Wandzura-Wilczek-type approximations

Exact:

$$g_{1T}^{\perp(1)\mathbf{a}}(x) = x g_T^a(x) + \mathcal{O}(\tilde{m})$$

$$h_{1L}^{\perp(1)\mathbf{a}}(x) = x h_L^a(x) + \mathcal{O}(\tilde{m})$$

Eq. of motion (Mulders, Tangerman 1996)

$$g_T^a(x) = \int_x^1 \frac{dy}{y} g_1^a(y) + \tilde{g}_T^a(x)^*$$

$$h_L^a(x) = 2x \underbrace{\int_x^1 \frac{dy}{y^2} h_1^a(y) + \tilde{h}_L^a(x)^**}_{\text{Eq. of motion (Mulders, Tangerman 1996)}}$$

(Wandzura, Wilczek 1977; Jaffe, Ji 1991)

Approximations:

$$g_{1T}^{\perp(1)\mathbf{a}}(x) \stackrel{!?}{\approx} x \int_x^1 \frac{dy}{y} g_1^a(y)$$

$$h_{1L}^{\perp(1)\mathbf{a}}(x) \stackrel{!?}{\approx} -x^2 \int_x^1 \frac{dy}{y^2} h_1^a(y)$$

$$A_{LT}^{\cos(\phi-\phi_S)} \propto \sum_a e_a^2 \mathbf{g}_{1T}^{\perp(1)\mathbf{a}} D_1^a ***$$

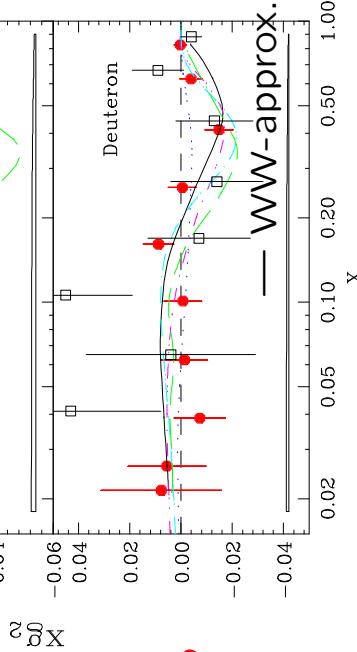
$$A_{UL}^{\sin 2\phi} \propto \sum_a e_a^2 \mathbf{h}_{1L}^{\perp(1)\mathbf{a}} H_1^{\perp a} ***$$

Do they work? See in SIDIS:

Not excluded by HERMES data on  $A_{UL}^{\sin 2\phi}$  ( $\sim$  zero)  
 Tests of approximations: COMPASS & CLAS

\* Anthony et al. (E155-coll.) PLB553(2003)18;

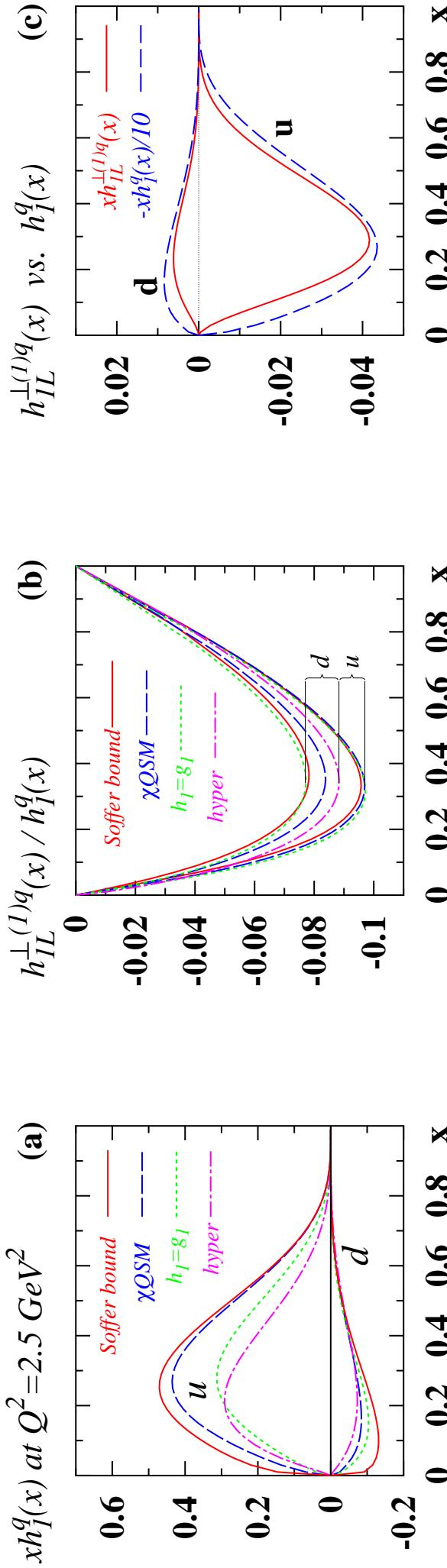
\*\* Dressler, Polyakov, PRD61(2000)097501  
 \*\*\* Kotzinian, Parsamyan, Prokudin, PRD73(2006)114017  
 \*\*\*\* Avakian, AE, Goeke, Metz, Schweitzer, Teckentrup, op. cit.



We present a test of  $A_{UL}^{\sin 2\phi}$

# WW-type approximation for $h_{1L}^\perp$

Models for the transversity PDF.



Soffer bound;  $\chi\text{QSM}$ , PRD64(01)034013; Nonrelativ.; Hypercentral PRD76(07)051018;  
Light-cone CQM, Pasquini et al., arXiv:0806.2298[hep-ph]

Ratio  $R = h_{1L}^{(1)q}(x)/h_1^q(x)$  little depends on transversity model. A “universal” behaviour:

- $x \rightarrow 1$ ,  $R \sim (1-x)$ .
- $x \rightarrow 0$  behaviour, if  $h_1^a(x) \sim x^\alpha$ ,  $R \sim x$  for  $\alpha \neq 1$ ,
- As a common feature  $|h_{1L}^{(1)a}(x)/h_1^a(x)| \lesssim 0.1$ .

We will use the  $\chi\text{QSM}$  and LCQM.

# $A_{UL}^{\sin 2\phi}$ in WW-type approximation

Assume Gauss Ansatz for  $h_{1L}^{\perp a}(x, \mathbf{p}_T^2)$  and  $H_1^{\perp a}(z, \mathbf{K}_T^2)$

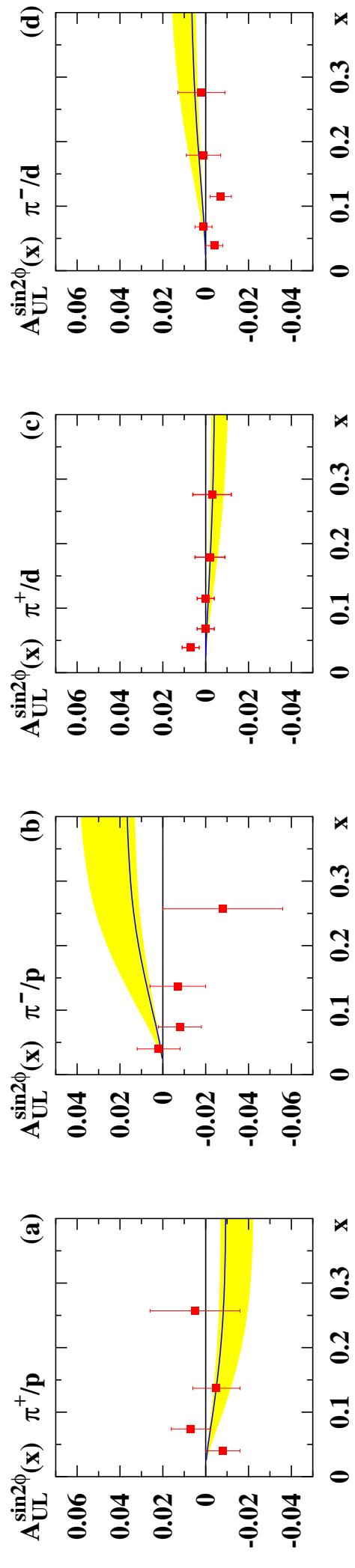
$$A_{UL}^{\sin 2\phi} = \frac{\sum_i \sin(2\phi_i)(N_i^{\leftarrow\rightarrow} - N_i^{\rightarrow\leftarrow})}{\sum_i \frac{1}{2}(N_i^{\leftarrow\rightarrow} + N_i^{\rightarrow\leftarrow})} = \frac{\int dy [\cos\theta_\gamma(1-y)/Q^4] \sum_a e_a^2 x h_{1L}^{\perp(1)a}(x) \langle 2B_{\text{Gauss}} H_1^{\perp(1/2)a} \rangle}{\int dy [(1-y+\frac{1}{2}y^2)/Q^4] \sum_a e_a^2 x f_1^a(x) \langle D_1^a \rangle},$$

where  $B_{\text{Gauss}} = (1 + z^2 \langle \mathbf{p}_{h_1}^2 \rangle / \langle \mathbf{K}_{H_1}^2 \rangle)^{-1/2}$ .

(Efremov et al. PRD73(2006)094025; Anselmino et al. PRD75(2007)054032)

From transversity at HERMES and Collins PFF at BELLE

$$\langle 2B_{\text{Gauss}} H_1^{\perp(1/2)\text{fav}} \rangle = (3.5 \pm 0.8)\% \text{ and } \langle 2B_{\text{Gauss}} H_1^{\perp(1/2)\text{unf}} \rangle = -(3.8 \pm 0.7)\%.$$



Opposite sign for  $\pi^-$ ! Significant higher twist contributions (exclusive  $\rho$  and semi-exclusive  $\pi^-$  at large  $z$ )? Could be useful however.

Soon to be measured by COMPASS!

## Problem with evolution.

LCQM (and others models) gives TMD functions at low scale  $\mu_0^2$ .  
 Evolution equation for  $h_{1L}^{(1)\perp}(x, Q^2)$  yet unknown.  
 Two possibilities:

**Model I** — no evolution

(chiral odd, no mixture with gluon)

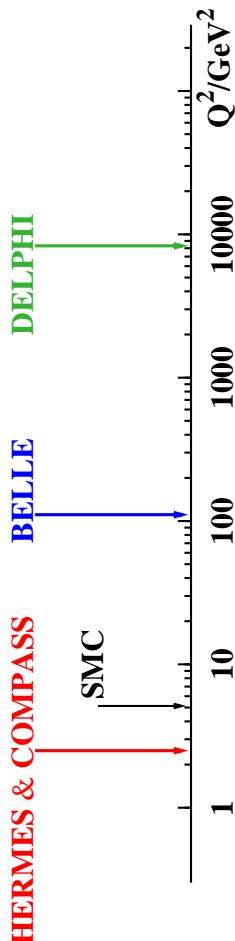
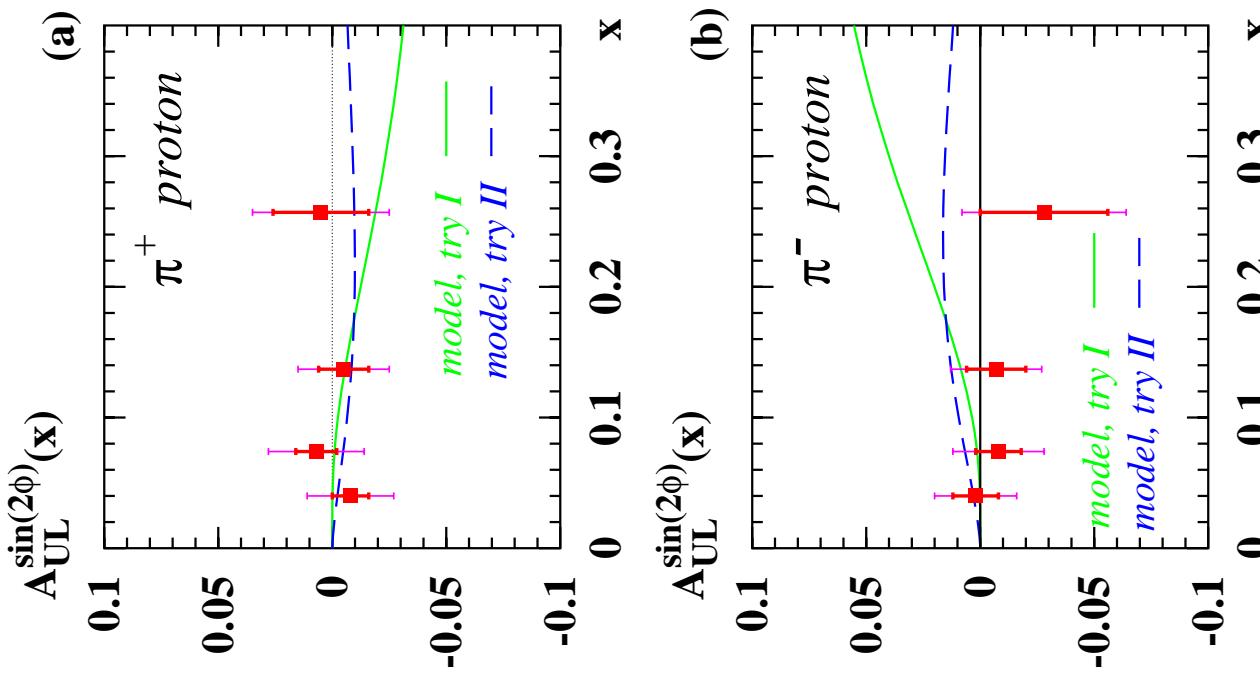
**Model II** - - - evolution similar to  $h_1(x, Q^2)$ , i.e.

$$h_{1L}^{(1)\perp}(x, Q^2) = h_{1L}^{(1)\perp}(x, \mu_0^2) \frac{h_1(x, Q^2)}{h_1(x, \mu_0^2)}$$

**Data HERMES:** [PRL84\(00\); NP.Proc.Suppl.79\(99\).](#)

Seems better agrees with experiment.

Similar problem with Collins PFF  $H_1^\perp$



Singlet evolution is usually assumed.

**Good important problem for RG-community!** (Cherednikov talk – a first step)

(Cherednikov talk – a first step)

# Properties of pretzelosity $h_{1T}^{\perp u}$ [Structure: $(\vec{S}_T \vec{k}_T) \vec{k}_T - \frac{1}{2} k_T^2 \vec{S}_T$ ]

- Chiral-odd, no gluons.

([Mulders, Rodrigues '01; Meissner, Metz, Goeke '07](#))

- Suppressed at small and large  $x$  vs.  $f_1^q$ .

([Avakian, Brodsky, Deur, Yuan; Brodsky, Yuan; Burkardt](#))

- $h_{1T}^{\perp u} = -h_{1T}^{\perp d}$  modulo  $1/N_c$  corrections. ([Pobylitsa 2003](#))

- Model-dependent relation to chirally odd GPDs (lattice).

([Burkardt; Meissner, Metz, Goeke 2007](#))

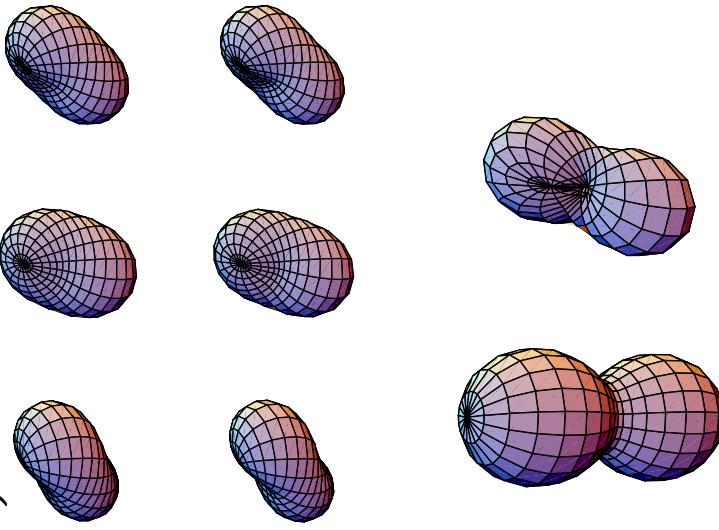
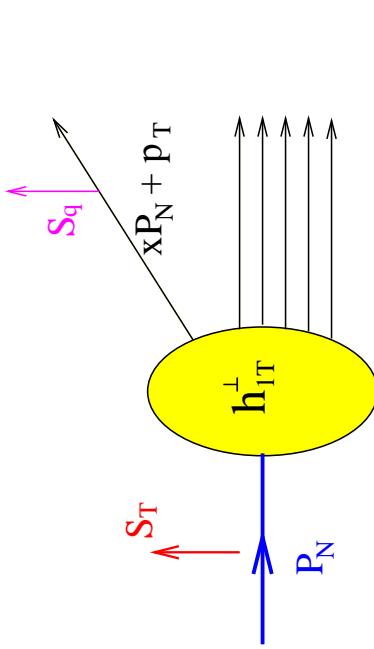
- Presence of wave-function components with two units orbital momentum difference needed; e.g. quadratic in p-wave component. ([Burkardt 2007](#))

- Measures in nucleon deviation from spherical shape.

Is it a “bagel”, “peanut”, “pretzel”?

([Miller, PRC68\(03\),76\(07\); Burkardt, hep-th/0709.2966](#))

Hence **pretzelosity**.



# Pretzelosity in inequalities

- Positivity condition (Bacchetta, Boglione, Henneman and Mulders, 2000)

$$\left| h_{1T}^{\perp(1)a}(x, \vec{p}_T^2) \right| \leq \frac{1}{2} \left( f_1^a(x, \vec{p}_T^2) - g_1^a(x, \vec{p}_T^2) \right)$$

$$h_{1T}^{\perp(1)a}(x, \vec{p}_T^2) = \frac{\vec{p}_T^2}{2M_N^2} h_{1T}^{\perp a}(x, \vec{p}_T^2)$$

(Notice  $p_T$ -dependence!)

- Combine with  $|h_1^a(x)| \leq \frac{1}{2}(f_1^a + g_1^a)(x)$  (Soffer 1994)

$$|h_{1T}^{\perp(1)a}(x)| + |h_1^a(x)| \leq f_1^a(x)$$

less useful, since  $h_1^a(x)$  less known but interesting:

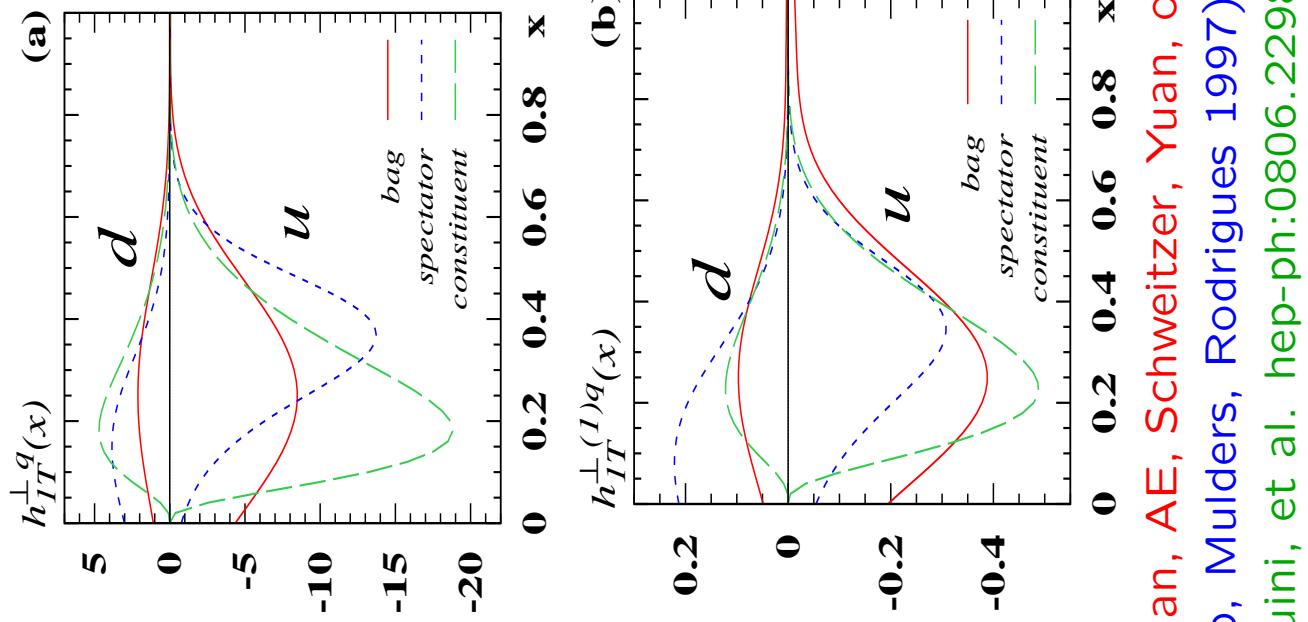
**transversity and pretzelosity cannot be both large.**

# Pretzelosity in models

$$h_{1T}^{\perp a}(x) = \int d^2 \vec{p}_T h_{1T}^{\perp a}(x, \vec{p}_T^2)$$

- It is **large!**
- Larger than  $f_1^q(x)$ ,  $h_1^q(x)$ .
- Not constrained by positivity.
- Signs opposite to transversity.
- Qualitative agreement bag, spectator & constituent models.

$$h_{1T}^{\perp(1)a}(x) = \int d^2 \vec{p}_T \frac{\vec{p}_T^2}{2M_N^2} h_{1T}^{\perp a}(x, \vec{p}_T^2)$$



- Models consistent with large  $N_c$  (but  $N_c=3$  and corrections visible)
- $|h_{1T}^{\perp(1)a}(x)/h_1^a(x)| \sim \mathcal{O}(20\%)$ , see below
- Positivity satisfied, and not small e.g.  $|h_{1T}^{\perp(1)q}(x)| + |h_1^q(x)| \leq f_1^q(x)$ , u-flavour explores  $\frac{2}{3}$  of bound (d-flavour  $\frac{1}{3}$ ).
- **Exist relations among TMDs.**

(Avakian, AE, Schweitzer, Yuan, op.cit.)  
 (Jakob, Mulders, Rodrigues 1997)  
 (Pasquini, et al. hep-ph:0806.2298)

# Very specific relations in Valence Quark Models

- (1)  $f_1^q(x, \vec{p}_T^2) = N_q f_1(x, \vec{p}_T^2)$  with  $N_u = 2$ ,  $N_d = 1$
- (2)  $g_1^q(x, \vec{p}_T^2) = P_q g_1(x, \vec{p}_T^2)$   $P_u = \frac{4}{3}$ ,  $P_d = -\frac{1}{3}$  from  $SU(6)$   
 $(h_1, h_{1T}^\perp$  analog)

"Bare" distributions satisfy:

- (1)  $f_1(x, \vec{p}_T^2) + g_1(x, \vec{p}_T^2) = 2h_1(x, \vec{p}_T^2)$
- (2)  $h_1(x, k_\perp) - h_{1T}^\perp(x, k_\perp) = f_1(x, k_\perp)$
- (3)  $h_{1L}^\perp(x, k_\perp) = -g_{1T}(x, \vec{p}_T^2)$

- (1), (2) and (3) hold in LCQM (Pasquini et al. PRD72(2005), hep-ph:0806.2298)
- (1) and (2) hold in Bag and also in "Zavada Model"  
[Avakian et al. hep-ph/0805.3355; AE, Schweitzer, Teryaev, Zavada - in preparation](#))
- (3) holds in spectator model, (1) and (2) are recovered  
only if  $M_{axial}^{qq} \rightarrow M_{scalar}^{qq}$  (Jakob et al. NPA626(1997))

## More general and exciting relation:

In all mentioned models:

$$g_1^q(x) - h_1^q(x) = h_{1T}^{\perp(1)} q(x)$$

Popular statement: helicity – transversity = 'measure' of relativistic effects.

More precise statement: **helicity – transversity = pretzelosity!**

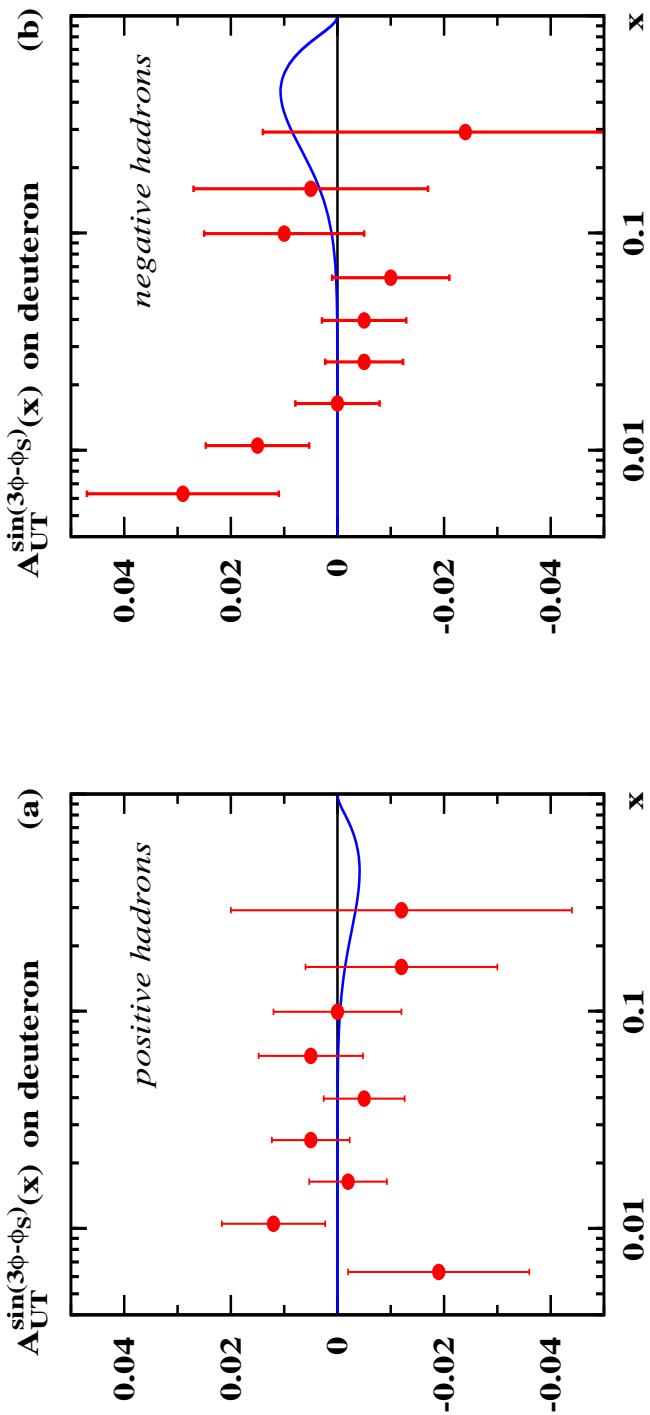
Statement valid at low scale in large class of relativistic models,  
not valid in models with gluons (Meissner, Metz, Goeke 2007),  
not valid in QCD (all TMDs independent, not preserved by evolution).

Corollary: **pretzelosity → 0 in non-relativistic limit**  
define **pretzelosity = 'measure' of relativistic effects (it is not "peanut"!)**

# Pretzelosity in SIDIS: first insights

Preliminary COMPASS deuteron data on  $A_{UT}^{\sin(3\phi_h - \phi_S)} \propto \sum_a e_a^2 h_{1T}^\perp(1)a \otimes H_1^\perp a$   
A.Kotzinian [on behalf of COMPASS collaboration], arXiv:0705.2402 [hep-ex]

Use  $H_1^\perp$  from HERMES & Belle ( $\nu$  &  $\gamma$ ; AE, Goeke, Schweitzer; Anselmino et al.)  
Use Light-cone CQM (Boffi, AE, Pasquini, Schweitzer – in preparation)



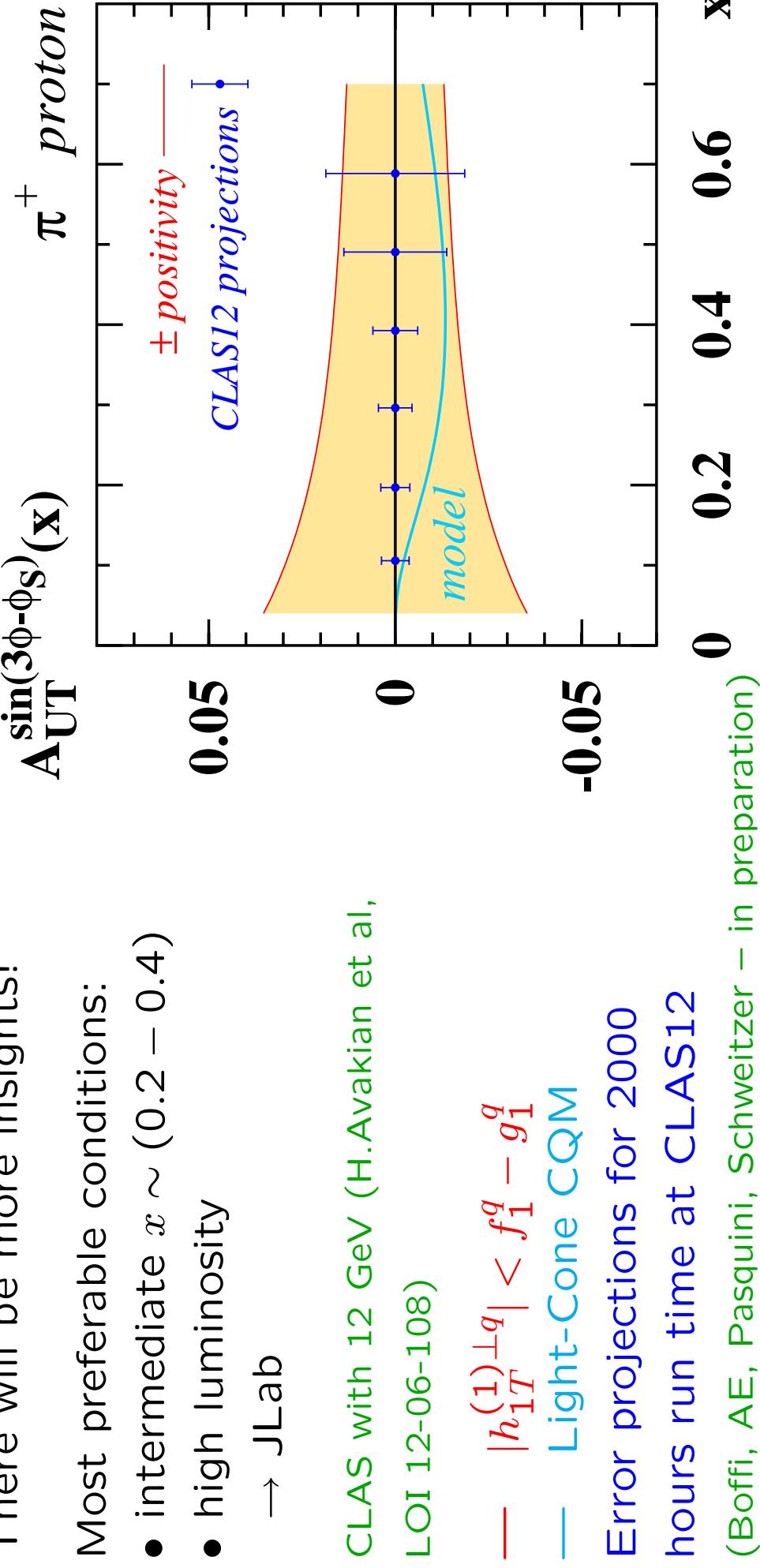
COMPASS data hint at suppression at small  $x$ , or opposite signs for u and d (as expected). Proton data are available soon.  
Large pretzelosity at intermediate and large  $x$  not excluded!

# Pretzelosity in SIDIS: prospects

There will be data from COMPASS proton target (small  $x$ )

There will be data from HERMES (so far only 'is small')

There will be more insights!



Can be accessed in Drell-Yan in certain azimuthal spin asymmetries.

# Conclusions

- ♦ Data do not exclude the possibility that WW-type approximations for  $A_{UL}^{\sin 2\phi}$  work.
- ♦ For more definite statements precise measurements of these SSAs are necessary, preferably in the region around  $x \sim 0.3$ .
- ♦ CLAS upcoming run will certainly improve our current understanding of this and other SSAs and shed light on spin-orbit correlations.
- ♦ Experimental confirmation of the utility of the WW-type approximation would mean the possibility to extract information on transversity from a longitudinally polarized target.

♣ Pretzelosity is a new interesting PDF!

- ♣ Measures 'relativistic effects' and obeys  $g_1^a(x) - h_1^a(x) = h_{1T}^{\perp(1)a}(x)$  at low scale in large class of relativistic quark models.
- ♣ Gives rise to  $A_{UT}^{\sin(3\phi-\phi_S)}$  in SIDIS. **First insights** from COMPASS (**bravo!**), soon also HERMES, Hall A JLab (future).
- ♣ Preferable condition: intermediate  $x \sim (0.2 - 0.4)$ , high luminosity at Jefferson Lab **CLAS 12**
- ♣ Pretzelosity  $\in$  'beyond' Sivers and Collins  $\neq$  peanuts but fascinating!!!

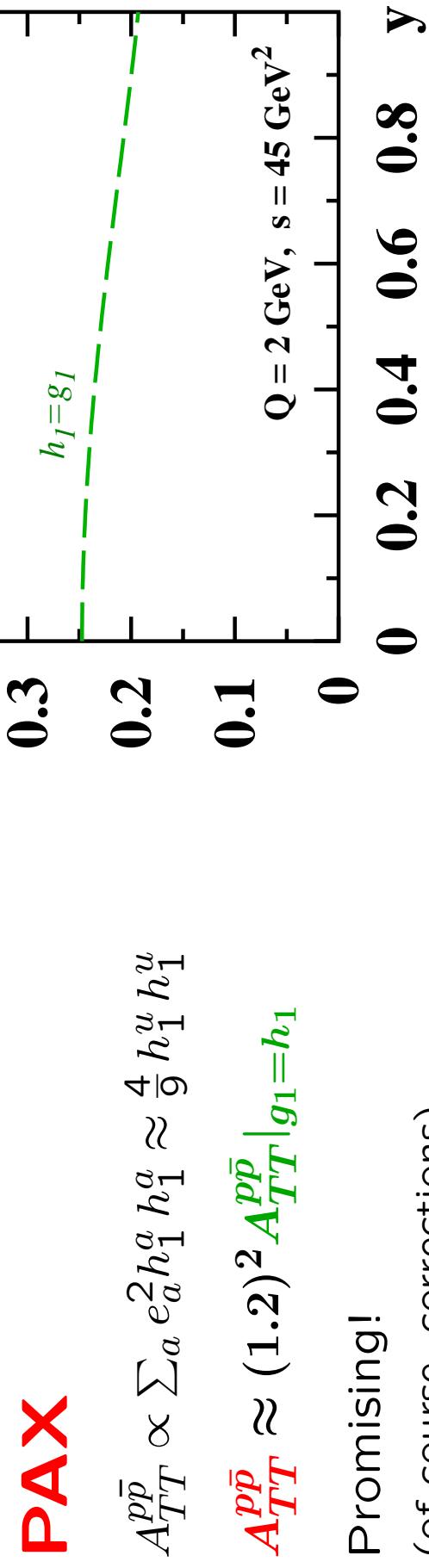
*Thank you!*

# Consequences of pretzelosity

Can be accessed in Drell-Yan in certain azimuthal spin asymmetries.

But **most immediate consequence:**

- $g_1^u(x) - h_1^u(x) = \underbrace{h_{1T}^{\perp(1)u}(x)}_{\text{negative}}$
- $|h_{1T}^{\perp(1)u}| \sim 20\%|h_1^u|$   
 $\rightarrow h_1^u$  is  $\sim 20\%$  larger  
than  $g_1^u$  at low scale



# Appendix

- many (not all) models predict  $h_1^u > g_1^u$  including bag & spectator model, where  $g_1^u(x) - h_1^u(x) = h_{1T}^{\perp(1)}u(x) < 0$
- Torino-Cagliari group:  $h_1^u \approx g_1^u$  within **statistical** error bars hard work done (compliments!), I would not know how to do it better, but:
- SIDIS =  $h_1 H_1^{\perp} = (\lambda h_1) (\frac{1}{\lambda} H_1^{\perp})$  does not tell us the normalization of  $h_1$
- Normalization fixed by Belle  $e^+e^-$  data =  $H_1^{\perp} H_1^{\perp}$
- $H_1^{\perp}(z, k_T, \mu^2 = \textcolor{red}{110 \, GeV}^2 | \text{Belle}) \xrightarrow{?} H_1^{\perp}(z, k_T, \mu^2 = \textcolor{red}{2.5 \, GeV}^2 | \text{HERMES})$  **certain reasonable assumptions used**  $\rightarrow h_1$  has **systematic** uncertainty
- In principle, we know AE-Teyaev-Collins-Soper-Sterman formalism.  
In practice: hard work needed to implement into SIDIS and fitting codes.
- If in the end it turns out: **assumptions** were justified within 20%, then:  
Torino-Cagliari group will be happy. Many models will be happy.  
Pretzelosity will have room. We will see!