

Prague, July 20-26, 2008

# Transverse Single Spin Asymmetries in hard scattering processes

Umberto D'Alesio  
Physics Department and INFN  
University of Cagliari, Italy

*Symmetries and Spin SPIN-PRAHA-2008*  
*July 20-26, 2008 Prague*

based on collaboration with

*M. Anselmino, M. Boglione, A. Kotzinian, E. Leader, S. Melis, F. Murgia, A. Prokudin, C. Turk*

*UD, F.Murgia (2007) 0712.4328, Prog. in Part. and Nucl. Phys. (in press)*

## Outline

- Motivations: experimental evidence of SSAs.

From  $p^\uparrow p \rightarrow hX$  to  $\ell p^\uparrow \rightarrow \ell' hX$

- Theoretical approaches to SSAs:

**Transverse Moment Dependent approach** vs. **Higher-Twist formalism**

- TMD approach in a parton model and in QCD
- **TMD functions** and their role in azimuthal and SSAs: **Phenomenology**
- **Extraction of Sivers, Collins and transversity functions**
- **SSAs: From SIDIS to  $pp$  collisions**
- Conclusions and outlook

Prague, July 20-26, 2008

## **SSAs: QCD expectations vs. data**

## SSAs in pQCD

*Kane, Pumplin, Repko 1978*

$$\hat{a}_N = \frac{d\hat{\sigma}^{a\uparrow b\rightarrow cd} - d\hat{\sigma}^{a\downarrow b\rightarrow cd}}{d\hat{\sigma}^{a\uparrow b\rightarrow cd} + d\hat{\sigma}^{a\downarrow b\rightarrow cd}} \sim \text{Im}[A_{\text{flip}} A_{\text{no-flip}}^*]$$

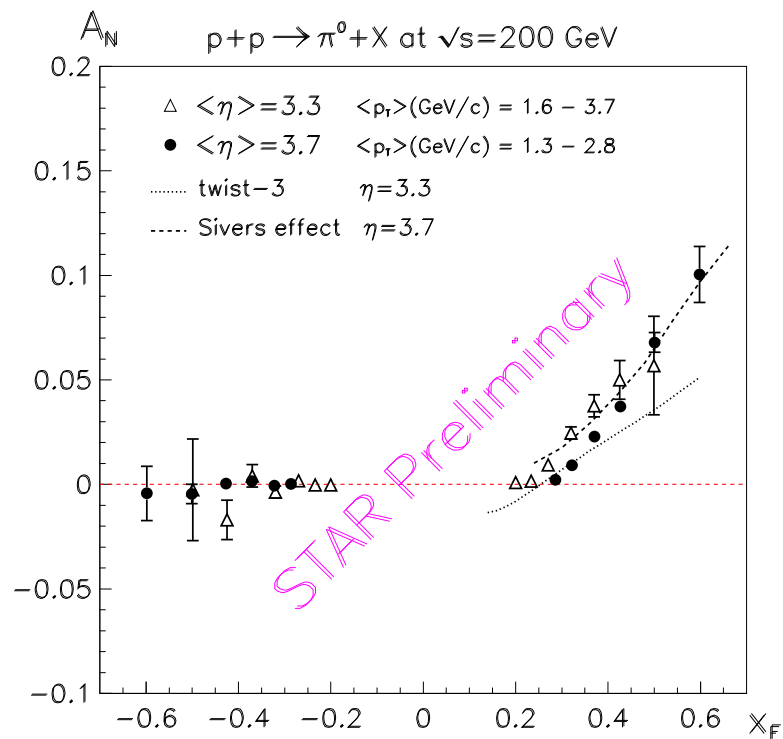
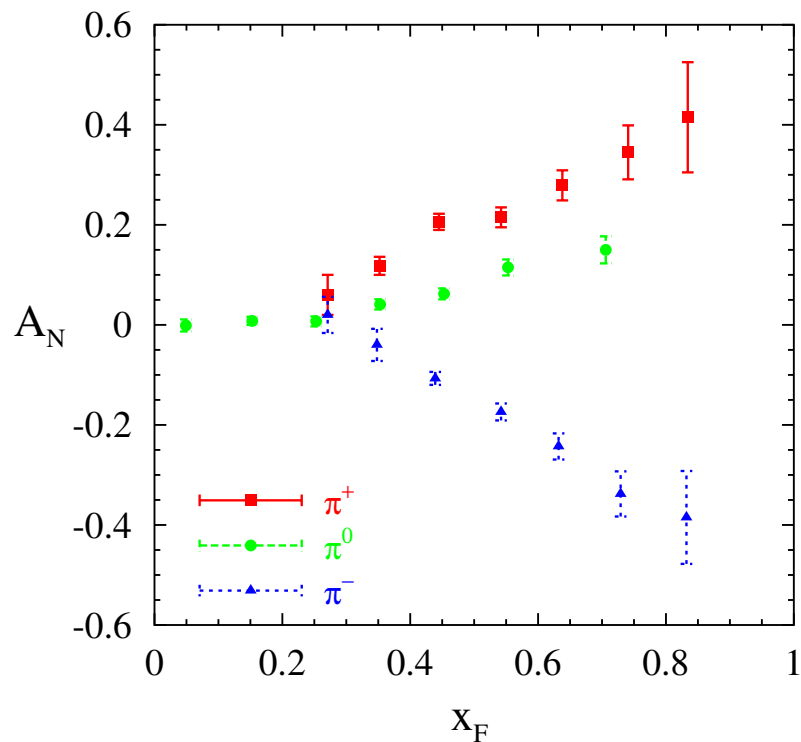
requires:

- **helicity flip** at the partonic level but **helicity is conserved in massless QCD**
- **relative phase** between helicity amplitudes but Born amplitudes are **real**.

$$\Rightarrow \hat{a}_N \propto \alpha_s \frac{m}{\sqrt{s}}$$

$$p^\uparrow p \rightarrow hX: A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow} \text{ [transverse w.r.t. production plane]}$$

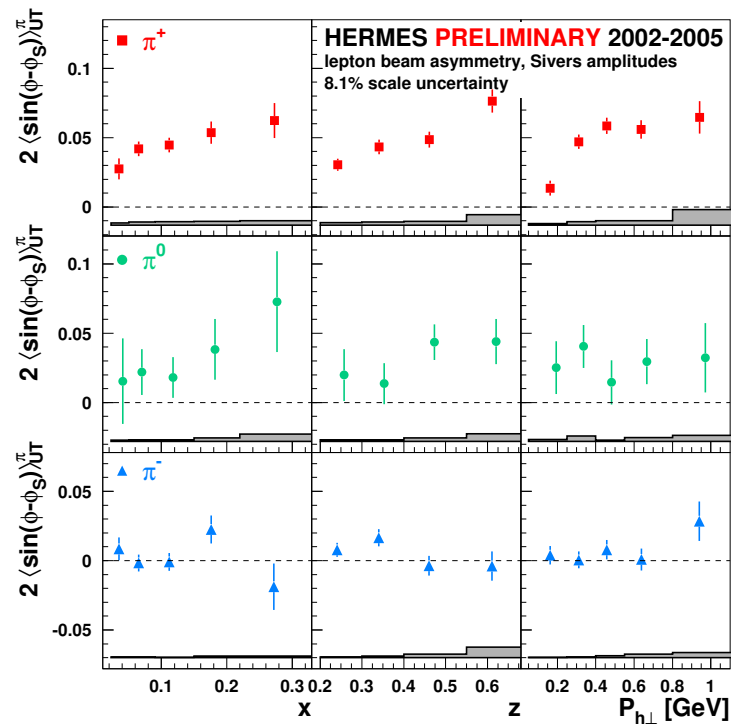
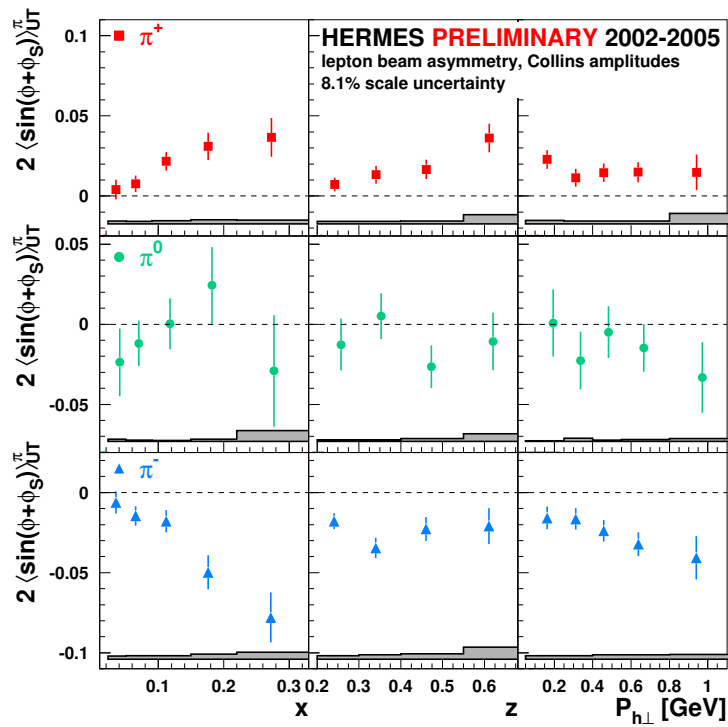
 $\hat{a}_N \rightarrow A_N$ : further dilutionpQCD:  $A_N \simeq \text{few \%}$  at large energy scales!**What do experimental data say?**



$A_N$  data for  $pp \rightarrow \pi X$ :

(left) at  $\sqrt{s} = 20$  GeV [E704 coll. (1991)], (right) at  $\sqrt{s} = 200$  GeV [STAR coll. (2004)]

$\ell p^\uparrow \rightarrow \ell' \pi X: A_{UT} [HERMES \text{ coll. } 2006]$



Experimental observation: large SSAs!

Theoretical approaches in QCD

1. Spin and transverse momentum dependent (TMD) distributions:  
azimuthal asymmetries in the soft part
2. Higher-twist functions in collinear pQCD

...a brief overview

Prague, July 20-26, 2008

**TMD approach**

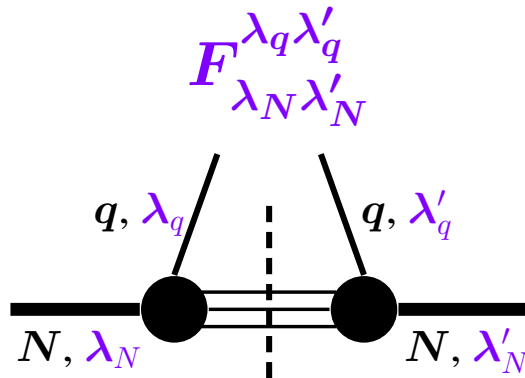


Nucleon structure in a collinear picture:  $p_q = xP_N$  and  $S = 0, (+), (\uparrow)$

three leading twist quantities  $\Rightarrow$  complete description of quark momentum and spin:

- unpolarized parton distribution:  $q(x) = q_{+/+} + q_{-/+}$
- longitudinally polarized distribution:  $\Delta q(x) = q_{+/+} - q_{-/+}$
- transversely polarized distribution:  $\Delta_T q(x) = q_{\uparrow/\uparrow} - q_{\downarrow/\uparrow}$  [ $h_1^q, \delta q$ ]

Three independent forward quark-nucleon amplitudes ( $N \rightarrow qX$ ):



$$q(x) = F_{++}^{++} + F_{++}^{--} \quad \text{helicity average}$$

$$\Delta q(x) = F_{++}^{++} - F_{++}^{--} \quad \text{helicity difference}$$

$$\Delta_T q(x) = F_{+-}^{+-} \quad \text{helicity flip}$$

$\hat{F}_{\lambda_N, \lambda'_N}^{\lambda_q, \lambda'_q}(x, \mathbf{k}_\perp)$  Helicity conservation, Parity, Rotational invariance

→ 3 + 5 independent amplitudes i.e. → 3 + 5 spin and TMD distributions

Helicity formalism (each direction refers to the particle helicity frame)

$$\begin{aligned}
 f_q(x, \mathbf{k}_\perp) &= (F_{++}^{++} + F_{++}^{--}) && \text{unpolarized} \\
 \Delta f_{s_z/+}(x, \mathbf{k}_\perp) &= (F_{++}^{++} - F_{++}^{--}) && \text{helicity} \\
 \Delta f_{s_x/+}(x, \mathbf{k}_\perp) &= 2 \operatorname{Re} F_{++}^{+-} \\
 \Delta' \hat{f}_{s_y/\uparrow}(x, \mathbf{k}_\perp) &= (F_{+-}^{+-} - F_{+-}^{-+}) \sin(\phi_\uparrow - \phi_q) \Rightarrow \text{transversity} \\
 \Delta \hat{f}_{s_x/\uparrow}(x, \mathbf{k}_\perp) &= (F_{+-}^{+-} + F_{+-}^{-+}) \cos(\phi_\uparrow - \phi_q) \\
 \Delta \hat{f}_{s_z/\uparrow}(x, \mathbf{k}_\perp) &= 2 \operatorname{Re} F_{+-}^{++} \cos(\phi_\uparrow - \phi_q), \\
 \Delta \hat{f}_{q/\uparrow}(x, \mathbf{k}_\perp) &= 4 \operatorname{Im} F_{+-}^{++} \sin(\phi_\uparrow - \phi_q) && \text{Sivers} \\
 \Delta f_{s_y/N}(x, \mathbf{k}_\perp) &= -2 \operatorname{Im} F_{++}^{+-} && \text{Boer – Mulders}
 \end{aligned}$$

NOTICE:  $\Delta \equiv$  difference of quark spin directions [except for Sivers funct.]

Other notation (Amsterdam group):  $f_1, g_{1L}, h_{1L}^\perp, h_{1T}, h_{1T}^\perp, g_{1T}, f_{1T}^\perp, h_1^\perp$

- Siverts function [ $\text{Im}F_{+-}^{++}$ ]  $f_{1T}^\perp$

*Sivers 1990*

$$\Delta \hat{f}_{q/\uparrow}(x, \mathbf{k}_\perp) \equiv \hat{f}_{q/\uparrow} - \hat{f}_{q/\downarrow} = \Delta^N f_{q/\uparrow}(x, k_\perp) \mathbf{S} \cdot (\hat{\mathbf{P}} \times \hat{\mathbf{k}}_\perp)$$



$\Delta \hat{f}_{q/\uparrow}(x, \mathbf{k}_\perp)$   
 “T-odd”,  
 chiral-even

- Boer-Mulders function [ $\text{Im}F_{++}^{+-}$ ]  $h_1^\perp$

*Boer, Mulders 1998*

$$\Delta \hat{f}_{\uparrow/N}(x, \mathbf{k}_\perp) \equiv \hat{f}_{\uparrow/N} - \hat{f}_{\downarrow/N} = \Delta^N f_{\uparrow/N}(x, k_\perp) \mathbf{s}_q \cdot (\hat{\mathbf{P}} \times \hat{\mathbf{k}}_\perp)$$



$\Delta \hat{f}_{\uparrow/N}(x, \mathbf{k}_\perp)$   
 “T-odd”,  
 chiral-odd

(Sivers '90, Anselmino, Boglione, Murgia '95): parton model with  $k_{\perp}$  effects  
*assuming* TMD factorization

$$A_N(pp \rightarrow \pi X) = \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}} = \frac{d\Delta\sigma}{2d\sigma^{\text{unp}}}$$

$$d\Delta\sigma^{\text{Sivers}} \propto \sum_{a,b,c} \Delta\hat{f}_{a/p\uparrow}(x_a, \mathbf{k}_{\perp a}) \otimes f_{b/p}(x_b, \mathbf{k}_{\perp b}) \otimes d\hat{\sigma}^{ab \rightarrow cd}(x, \mathbf{k}_{\perp}) \otimes D_{\pi/c}(z, \mathbf{k}_{\perp\pi})$$

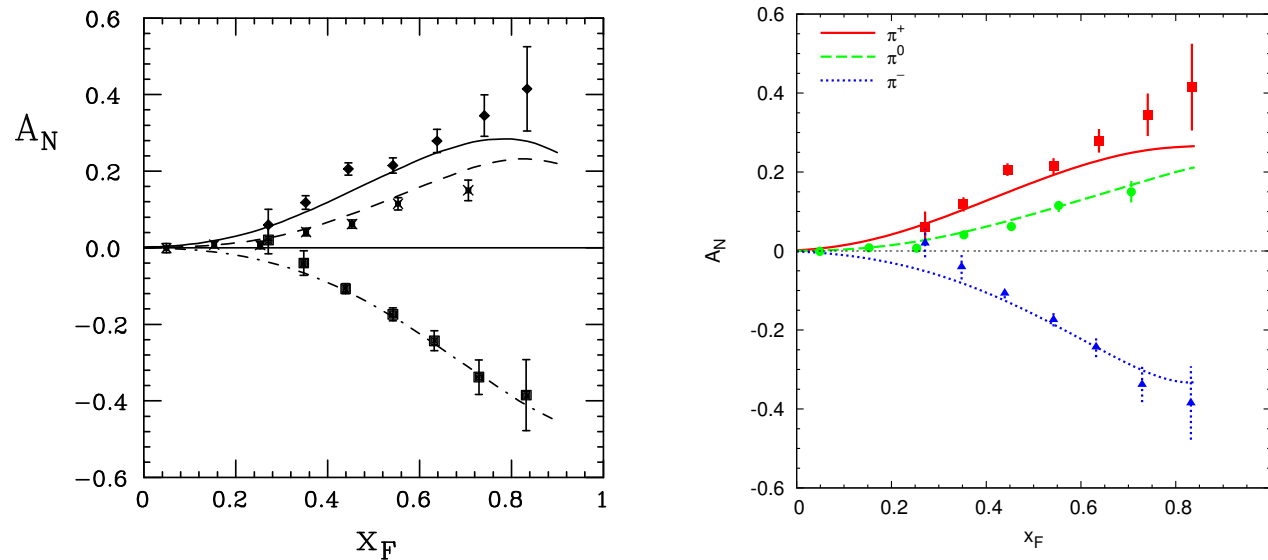


FIG. 1

Fit of E704 data: (left) **leading  $k_{\perp}$**  (ABM), (right) full  $k_{\perp}$  [UD & F.Murgia 2004]

- **TMD in the fragmentation sector** ( $P_h = zp_q + \mathbf{k}_\perp$ )

spin-0 (or unpolarized): 1 + 1 FFs

spin-1/2: 3 + 5 FFs (as for PDFs)

unpolarized hadron:

$D_{h/q}$  probability for  $q \rightarrow h + X$

unpolarized FF

$$\Delta \hat{D}_{h/q\uparrow} \equiv \hat{D}_{h/q\uparrow} - \hat{D}_{h/q\downarrow} = \Delta^N D_{h/q\uparrow}(z, p_\perp) \mathbf{s}_q \cdot (\hat{\mathbf{p}}_q \times \hat{\mathbf{k}}_\perp) \quad \text{Collins '93}$$



$$\Delta \hat{D}_{h/q\uparrow}(z, \mathbf{k}_\perp)$$

“T-odd”,  
chiral-odd

T-odd but safe: final state interactions between  $h X$

SSAs in  $pp \rightarrow CX$ : generalized parton model (TMD approach)

$$\begin{aligned}
 d\sigma^\uparrow - d\sigma^\downarrow &= \sum_{a,b,c} \left\{ \Delta \hat{f}_{a/p\uparrow} \otimes f_{b/p} \otimes d\hat{\sigma} \otimes D_{\pi/c} \right. && \text{Sivers} \\
 &+ h_1^{a/p} \otimes f_{b/p} \otimes \Delta \hat{\sigma} \otimes \Delta \hat{D}_{\pi/c\uparrow} && \text{Collins} \\
 &\left. + h_1^{a/p} \otimes \Delta \hat{f}_{b\uparrow/p} \otimes \Delta' \hat{\sigma} \otimes D_{\pi/c} \right\} && \text{Boer – Mulders}
 \end{aligned}$$

Complete structure and full  $k_\perp$ - kinematics in the helicity formalism

[Anselmino *et al.* 06].

Prague, July 20-26, 2008

## Higher-Twist approach

Alternative approach to SSAs in  $A^\uparrow B \rightarrow CX$ :  $A_N \simeq m/\sqrt{s}$

**Twist-three formalism** [Efremov-Terayev '82, Qiu-Sterman '91, Koike et al. 2000]

$$\begin{aligned}
 d\sigma^\uparrow - d\sigma^\downarrow &= \sum_{abc} \phi_{a/A^\uparrow}^{(3)}(x_1, x_2) \otimes \phi_{b/B}(x') \otimes \hat{H} \otimes D_{c \rightarrow C}(z) \\
 &+ \sum_{abc} h_1^{a/A}(x) \otimes \phi_{b/B}(x') \otimes \hat{H}' \otimes D_{c \rightarrow C}^{(3)}(z_1, z_2) \\
 &+ \sum_{abc} h_1^{a/A}(x) \otimes \phi_{b/B}^{(3)}(x'_1, x'_2) \otimes \hat{H}'' \otimes D_{c \rightarrow C}(z)
 \end{aligned}$$

$\Phi^{(3)}, D^{(3)}$ : higher-twist partonic correlations (rather than PDFs or FFs)

$\hat{H}$ : elementary interactions for  $ab \rightarrow cd$  process

Strong analogy to  $A_N$  in terms of TMD distributions.



$$\Phi_{a/p^\uparrow}^{(3)}(x_1, x_2) \sim \int \frac{dy^-}{4\pi} e^{ixp^+ y^-} \langle P, \mathbf{S}_T | \bar{\psi}_a(0) \gamma^+ \\ \times \left[ \int dy_2^- \epsilon_{\rho\sigma\alpha\beta} S_T^\rho p_1^\alpha p_2^\beta F^{\sigma+}(y_2) \right] \psi_a(y^-) | P, \mathbf{S}_T \rangle$$

- *TWO* parton momentum fractions,  $x_1, x_2$ , and an external gluonic field  $F^{\mu\nu}$  ;  
 $\Phi^{(3)} \rightarrow T(x_1, x_2)$  (in the twist-three factorization proof)
- $\hat{H}$  involves two terms
  - $\delta(x_1 - x_2)$ : gluon momentum set to zero  $\rightarrow$  *Soft Gluon Pole* ( $\rightarrow x_1 = x_2 = x$ )
  - $\delta(x_i)$ : quark momentum set to zero  $\rightarrow$  *Soft Fermion Pole*

$A_N$  is large at large  $x_F$  (valence region of  $p^\uparrow$ )

**Only SGP enters with  $dT(x, x)/dx$ : leading effect if  $T(x, x) \simeq (1 - x)^\beta$  at large  $x$**

Recent developments [*Kouvaris et al. '06, Koike et al. '07*].

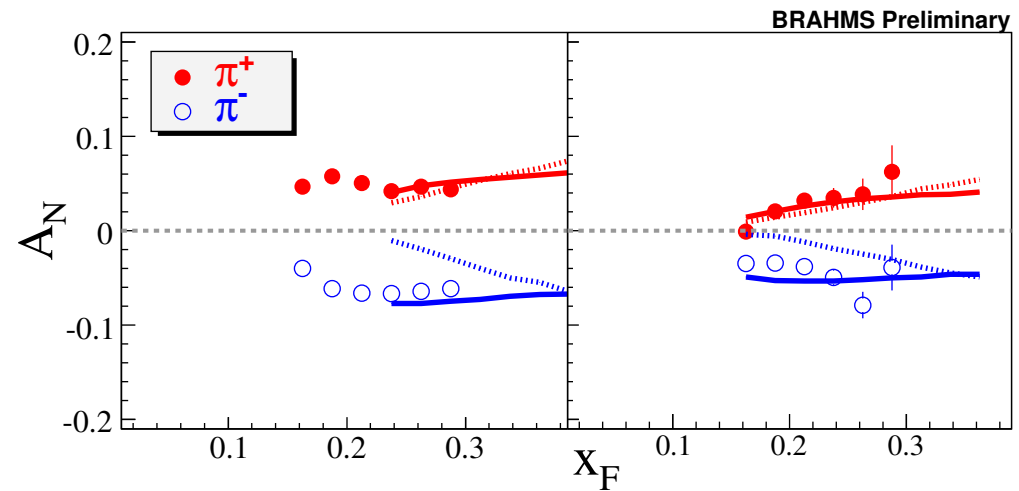
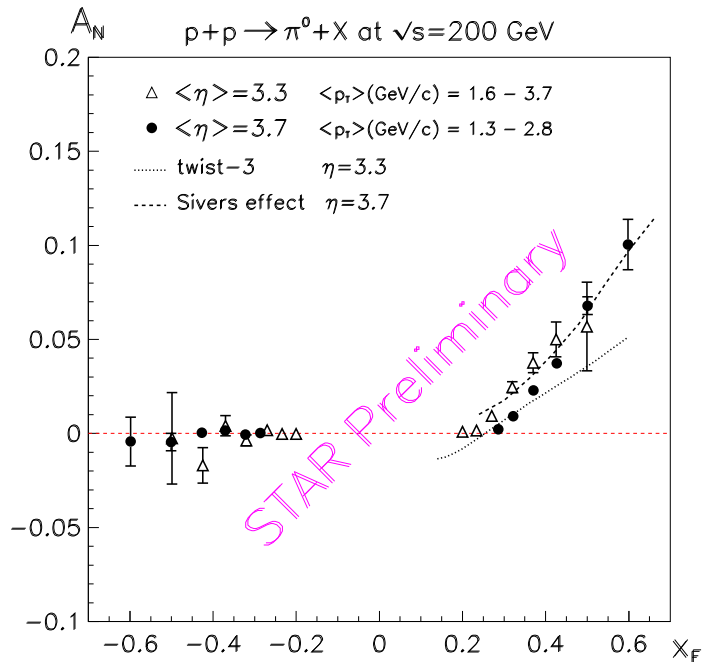
Phenomenology of Twist-three vs. TMD approach in  $pp \rightarrow \pi X$

**Twist-3 collinear factorization:**

- 3 valence contributions: (one from each hadron in  $p^\uparrow p \rightarrow \pi + X$ )
- pQCD at NLO describes unpol. cross sections at large energies (200 GeV, RHIC), fails at moderate energies (20 GeV, E704)
- **GLOBAL fit of  $A_N$  data** (high and low energy data): GOOD description
  - using LO unpol. cross sections
  - rescaling E704 calculation of  $A_N$
  - neglecting the potentially large contribution from chiral-odd FF.
- **low energy data: problems** both for the unpol. cross section and SSA description
- fit of **all available data** by a simple parametrization of  $T(x, x)$

## Generalized parton model with $k_{\perp}$

- no factorization proof
- **Sivers** effect able to describe the large  $x_F$  E704 data
- fair description of low and high-energy unpol. cross section data at LO
- **fit on E704  $A_N$  data**: GOOD description
- **predictions for RHIC** in terms of Sivers effect:  
GOOD for neutral pions (STAR), problems for charged pions (BRAHMS)



Left:  $A_N(p^\uparrow p \rightarrow \pi^0 + X)$  at  $\sqrt{s} = 200$  GeV: Sivers effect (GPM approach, dashed line) and twist-3 calculations (dotted line).

Right:  $A_N(p^\uparrow p \rightarrow \pi^\pm + X)$  at  $\sqrt{s} = 200$  GeV for two scattering angles  $2.3^\circ$  (left) and  $4^\circ$  (right). Dotted line: Sivers effect; solid line: twist-three approach.

It seems that:

Twist-3 can describe all data; Sivers effect fails in describing high energy data.

**but**

TMD approach: Sivers effect from low-energy data to PREDICT high energy SSA

Twist-3 function fitted on ALL data (handling with the low energy data)

So, can the Sivers effect do a better job for high energy SSA data?

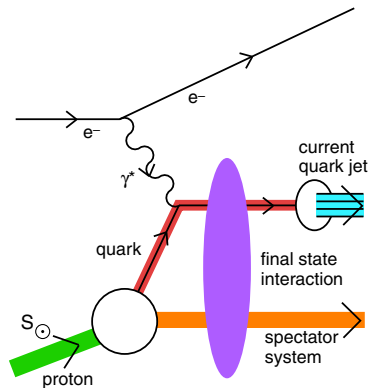
Need of a global fit: in progress...

Back on it later on

Prague, July 20-26, 2008

## **TMD approach in QCD**

“T-odd” distributions: T-reversal invariance  $\Rightarrow \Delta f_{\uparrow} = -\Delta f_{\uparrow} \rightarrow \mathbf{0}$  ( $A^+ = 0$  gauge)



*Brodsky, Hwang, Schmidt 2001*

final state interactions in DIS through soft  
gluon rescattering: leading twist effect.

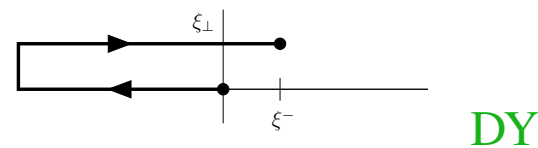
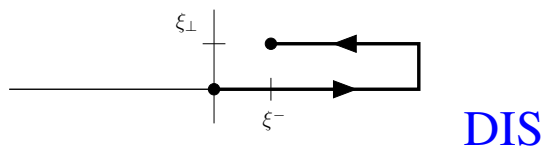
- Model for the Sivers asymmetry
- Need of quark orbital angular momentum.

Soft gluons  $\leftrightarrow$  gauge link for gauge-invariant parton density [*Collins, Ji, Yuan, ...*]

$$\mathcal{P} \exp \left( -ig_s \int_{\xi^-}^{\infty} dz^- \hat{A}^+(z^-, \xi_{\perp}) \right)$$

T-reversal invariance implies [*modified universality*]

$$\Delta f_{\uparrow}|_{\text{future}} = -\Delta f_{\uparrow}|_{\text{past}} \implies \Delta f_{\uparrow}|_{\text{DIS}} = -\Delta f_{\uparrow}|_{\text{DY}}$$



- **TMD factorization proved for**  
**DY, SIDIS**, [and  $e^+e^-$  annihilation] processes in the two-scale regime:
  - large  $Q^2$  (i.e. boson virtuality)
  - small  $q_T$  (lepton-pair or final hadron transverse momentum)[Collins, Ji, Ma, Yuan, Belitsky '04]
- gauge links  $\rightarrow$  **universality** of Collins function (Collins & Metz '04, Yuan '08)
- $\int d\mathbf{k}_\perp k_\perp^2 / (2M^2) f_{1T}^\perp(x, k_\perp) = T(x, x)$  [Boer, Mulders, Piljman '03]
  - a step forward: **equivalence of Twist-three and TMD approach** for SIDIS and DY in the region where both apply:  $\Lambda_{\text{QCD}} \ll q_T \ll Q$  (Ji, Qiu, Vogelsang, Yuan '06)
- **Universality breaking effects** in TMD approach for  $pp \rightarrow hh + X$   
(Collins-Qiu, Vogelsang-Yuan, Mulders et al. '07) [**disappearing for  $\int dk_\perp$  !!!**]  
 $pp \rightarrow h + X$  still under debate



Prague, July 20-26, 2008

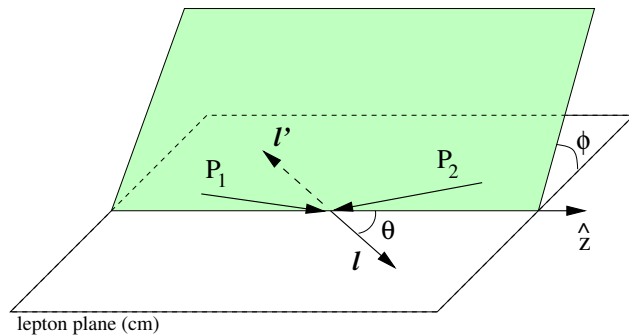
## **TMD approach and SSAs: phenomenology**

- DY processes,  $pp \rightarrow \ell^+ \ell^- + X$ :

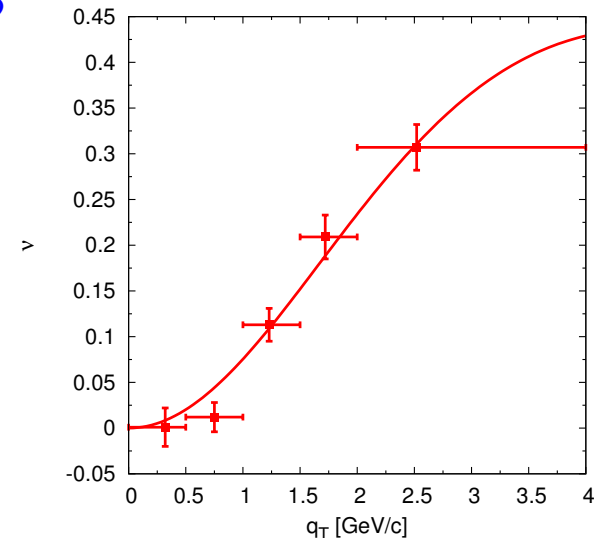
$$d\sigma \simeq 1 + \lambda \cos^2 \theta + \mu \sin 2\theta \cos \phi + \frac{\nu}{2} \sin^2 \theta \cos 2\phi$$

puzzling in LO and NLO collinear pQCD, explained in TMD approach:

$$d\sigma \simeq \text{Boer-Mulders} \otimes \text{Boer-Mulders} \cos 2\phi$$



DY process in the lepton c.o.m. frame (CS).



*Boer 1999*

- SSA in  $p^\uparrow p \rightarrow \ell^+ \ell^- + X$ :

$$A_N \simeq \Delta^N f_{q/p^\uparrow} \otimes f_{\bar{q}/p} \sin(\phi - \phi_\uparrow) + \hat{a}_{TT} \Delta_{Tq} \otimes \Delta^N f_{\bar{q}^\uparrow/p} \sin(\phi + \phi_\uparrow)$$

(different azimuthal dependences  $\rightarrow$  separable)

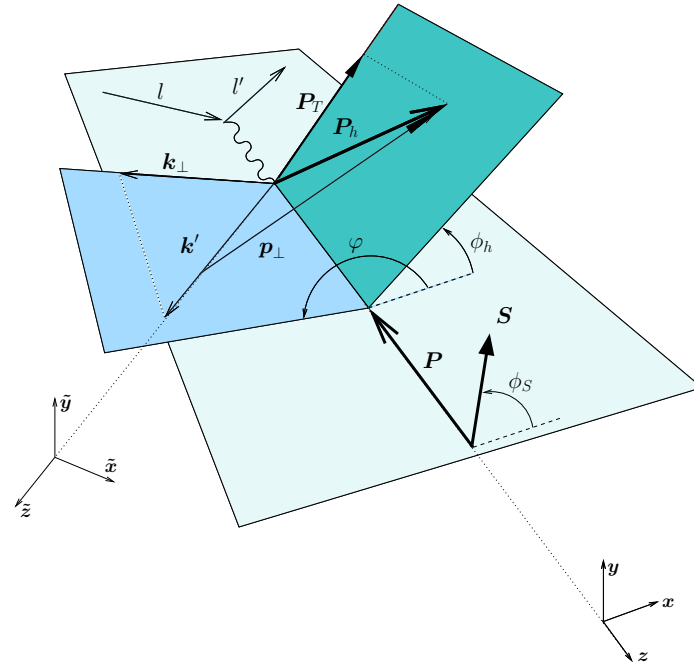
NO DATA

# SIDIS

- azimuthal dependence in  $\ell p \rightarrow \ell' h + X$

$$d\sigma \simeq \Delta^N f_{q\uparrow/p} \otimes \Delta^N D_{h/q\uparrow} d\Delta\hat{\sigma} \cos 2\phi_h$$

$$+ f_{q/p} \otimes D_{h/q} d\hat{\sigma} \cos \phi_h \quad [\text{Cahn effect}]$$



- SSA in  $\ell p^\uparrow \rightarrow \ell' h + X$

$$A_{UT} \simeq d\sigma(\phi_S) - d\sigma(\phi_S + \pi)$$

$$\simeq \Delta^N f_{q/p^\uparrow} \otimes D_{h/q} \sin(\phi_h - \phi_S) + \hat{d}_{NN} \Delta_T \mathbf{q} \otimes \Delta^N D_{h/q\uparrow} \sin(\phi_h + \phi_S) + \dots$$

different azimuthal dependences  $\rightarrow$  separation of Sivers and Collins effects

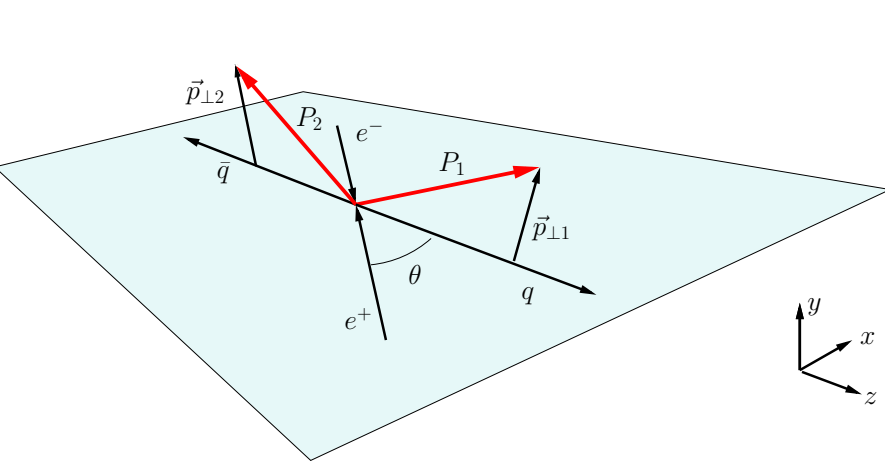
$$A_{UT}^{\sin(\phi_h \pm \phi_S)} = 2 \frac{\int d\phi_S d\phi_h [d\sigma^\uparrow - d\sigma^\downarrow] \sin(\phi_h \pm \phi_S)}{\int d\phi_S d\phi_h [d\sigma^\uparrow + d\sigma^\downarrow]}$$

- Azimuthal correlations in  $e^+e^- \rightarrow h_1 h_1 + X$ : Collins effect

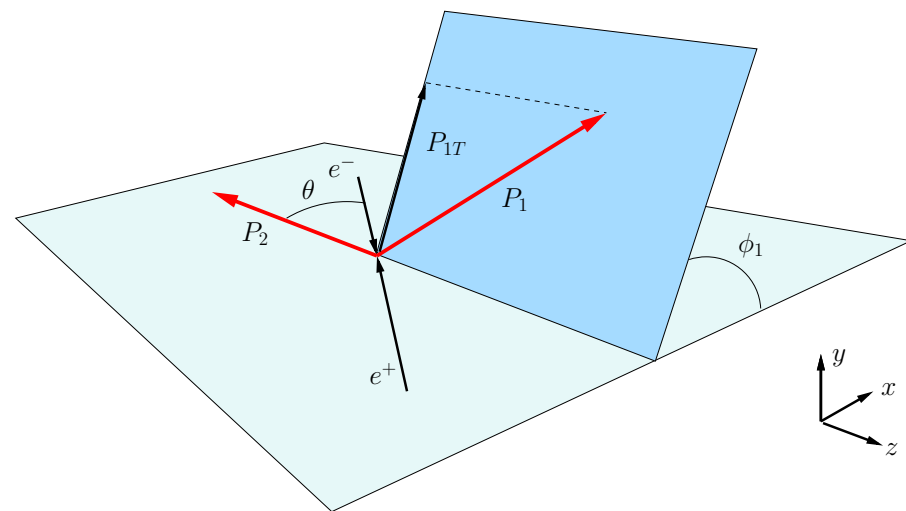
$$d\sigma \simeq (1 + \cos^2 \theta) D_{h_1/q}(z_1) D_{h_2/\bar{q}}(z_2) + \sin^2 \theta \Delta^N D_{h_1/q^\uparrow}(z_1) \Delta^N D_{h_2/\bar{q}^\uparrow}(z_2)$$

$$\times \cos(\varphi_1 + \varphi_2)$$

$$\times \cos(2\phi_1)$$



Reconstruction of the thrust axis



Experimental Program: Belle @ KEK [FIRST EVIDENCE]

Prague, July 20-26, 2008

## Extraction of Sivers, Collins and transversity functions

**Sivers function:**  $u$ ,  $d$  and sea (latest analysis) quarks

**Collins function:** favoured and unfavoured FFs:  $u \rightarrow \pi^+$  and  $d \rightarrow \pi^+$

**Transversity:**  $u$  and  $d$  quarks

simple ansatz:  $Nx^a(1-x)^b \times [\text{Gaussian}] \mathbf{k}_\perp$  dependence

Other similar analysis from *Vogelsang & Yuan, Efremov et al.*

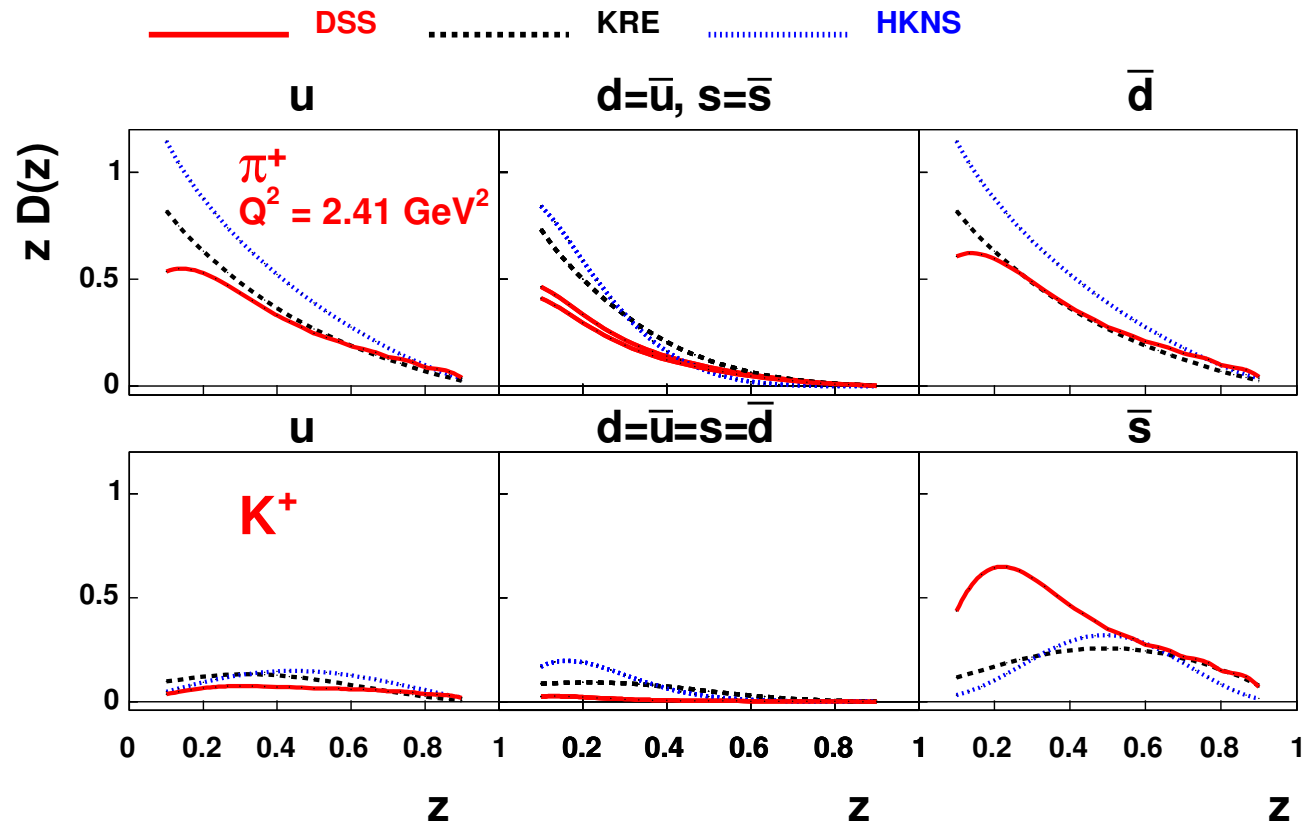
New (*Anselmino et al. '08*) vs. Old (*Anselmino et al. 05*) fits

- larger data set and more accurate data both for Collins and Sivers asymmetries
- new FF set: from KRE (*Kretzer 2000*) to DSS (*De Florian, Sassot, Stratman '07*)

in particular for the Sivers effect:

- old fit: up and down Sivers functions & independent large  $x$  behaviour
- new fit: up, down and sea [ $A_{UT}^{K^+} > A_{UT}^{\pi^+}$ ] & same large  $x$  behaviour

Notice: *covered experimental region:  $x < 0.3$*

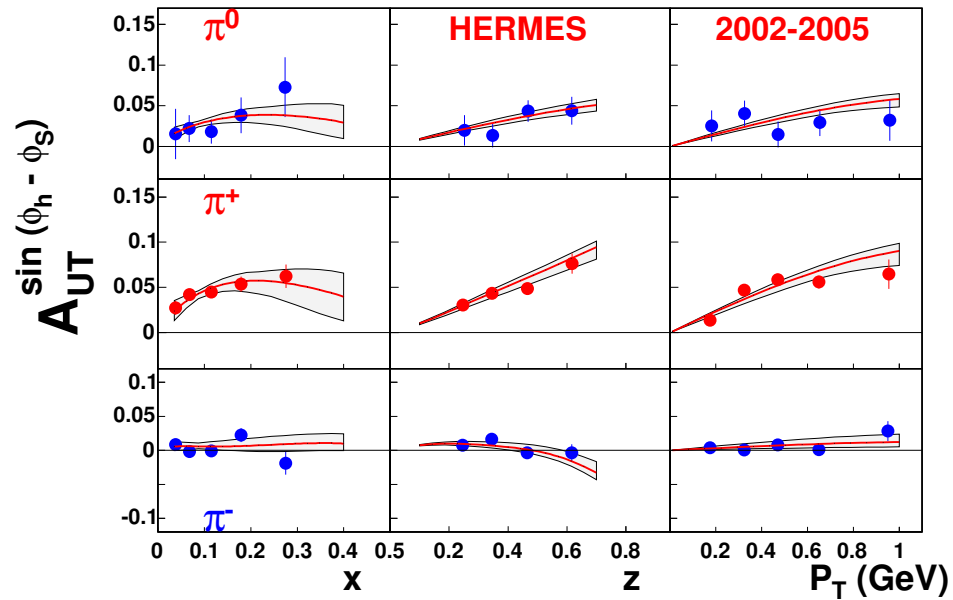


Comparison of three FF sets.

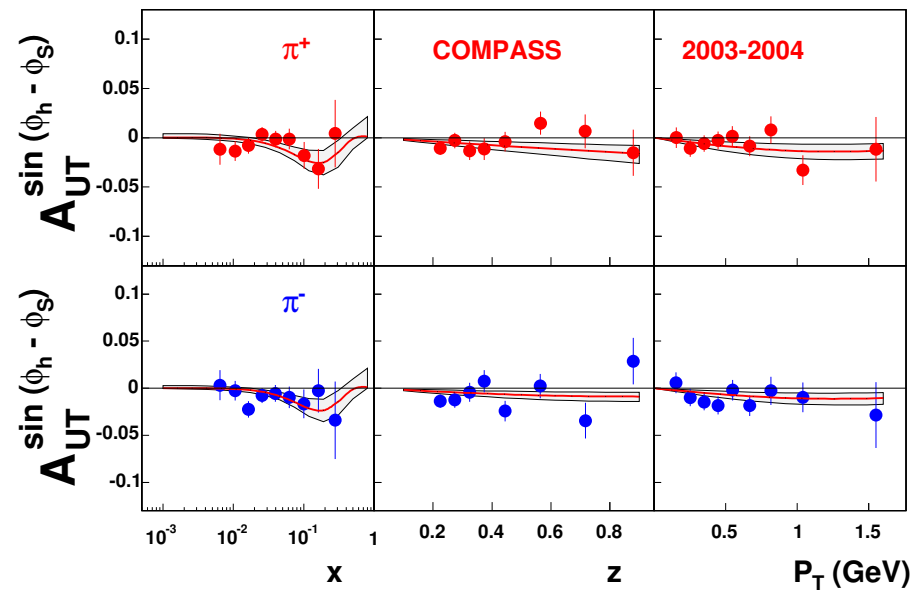


- Siverson effect in SIDIS:  
NEW analysis [completed]

Anselmino et al. 2008

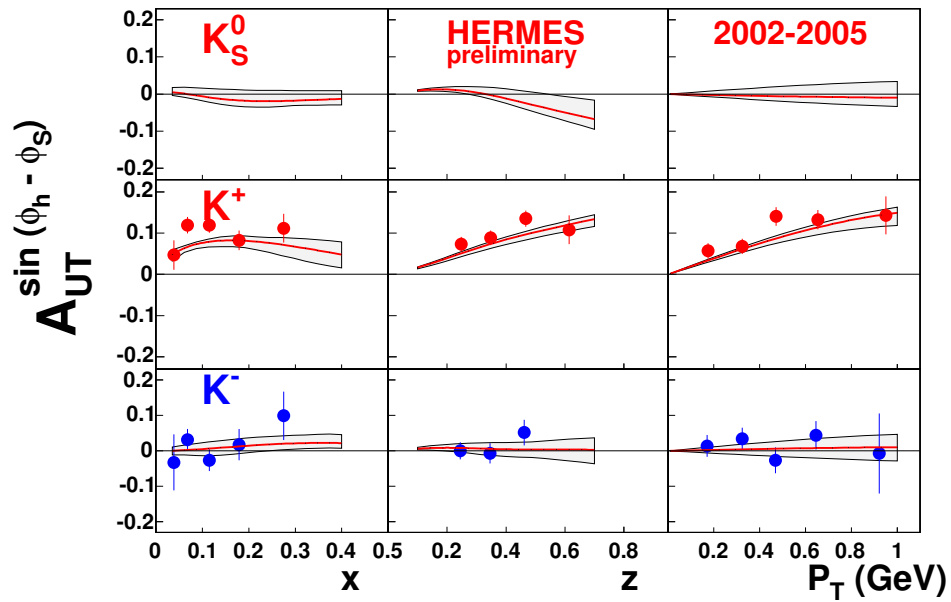


Fit of HERMES data [Diefenthaler et al. 2006],

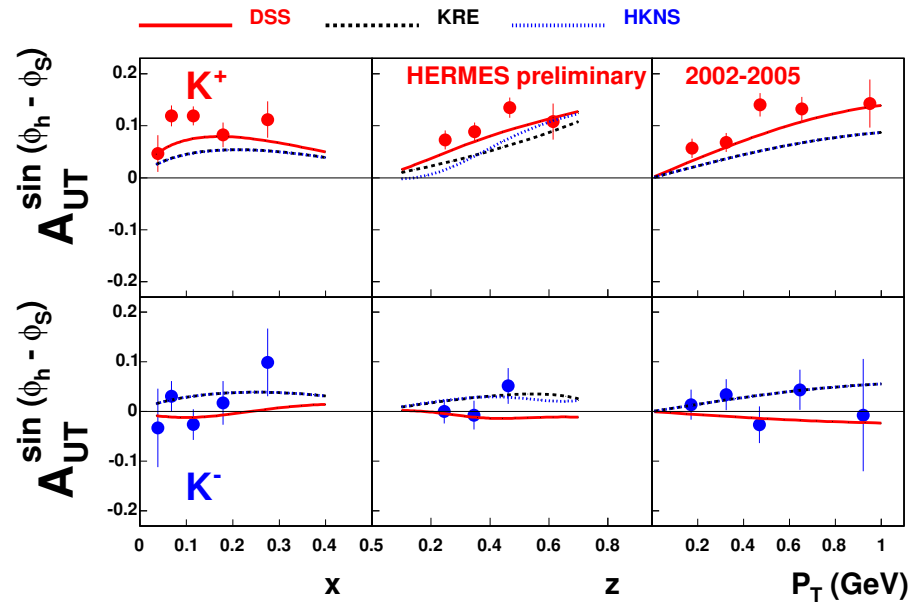


and COMPASS data [Martin et al. 2006]  
(deuterium target)

## KAON SSAs



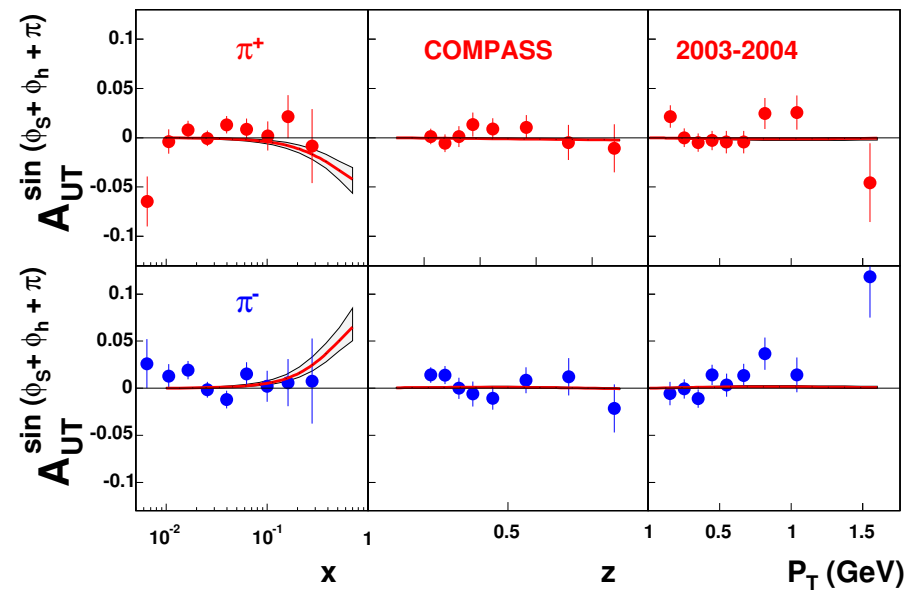
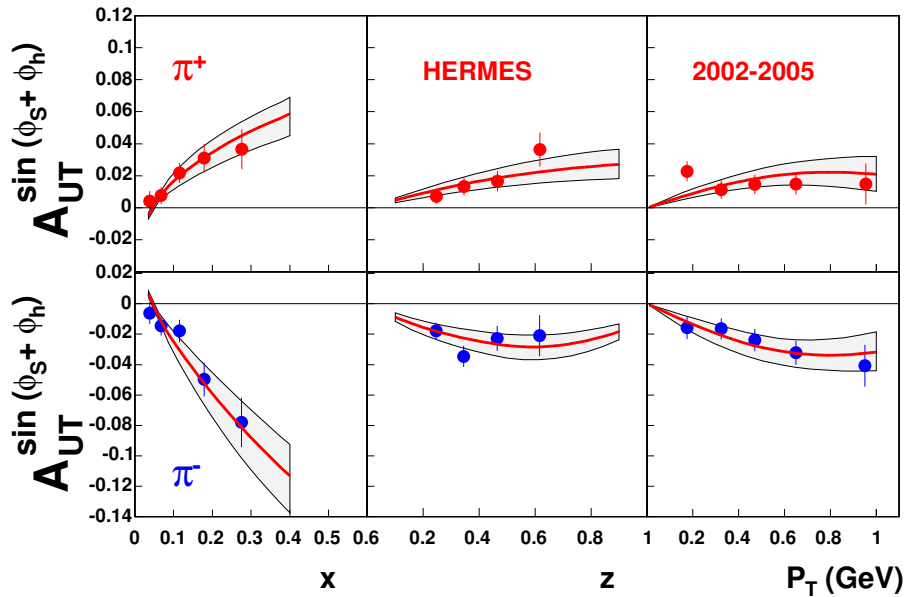
Fit of HERMES data [Diefenthaler et al. 2006],



Comparison of fits adopting different FFs

- Collins effect in SIDIS:  
NEW analysis [preliminary]

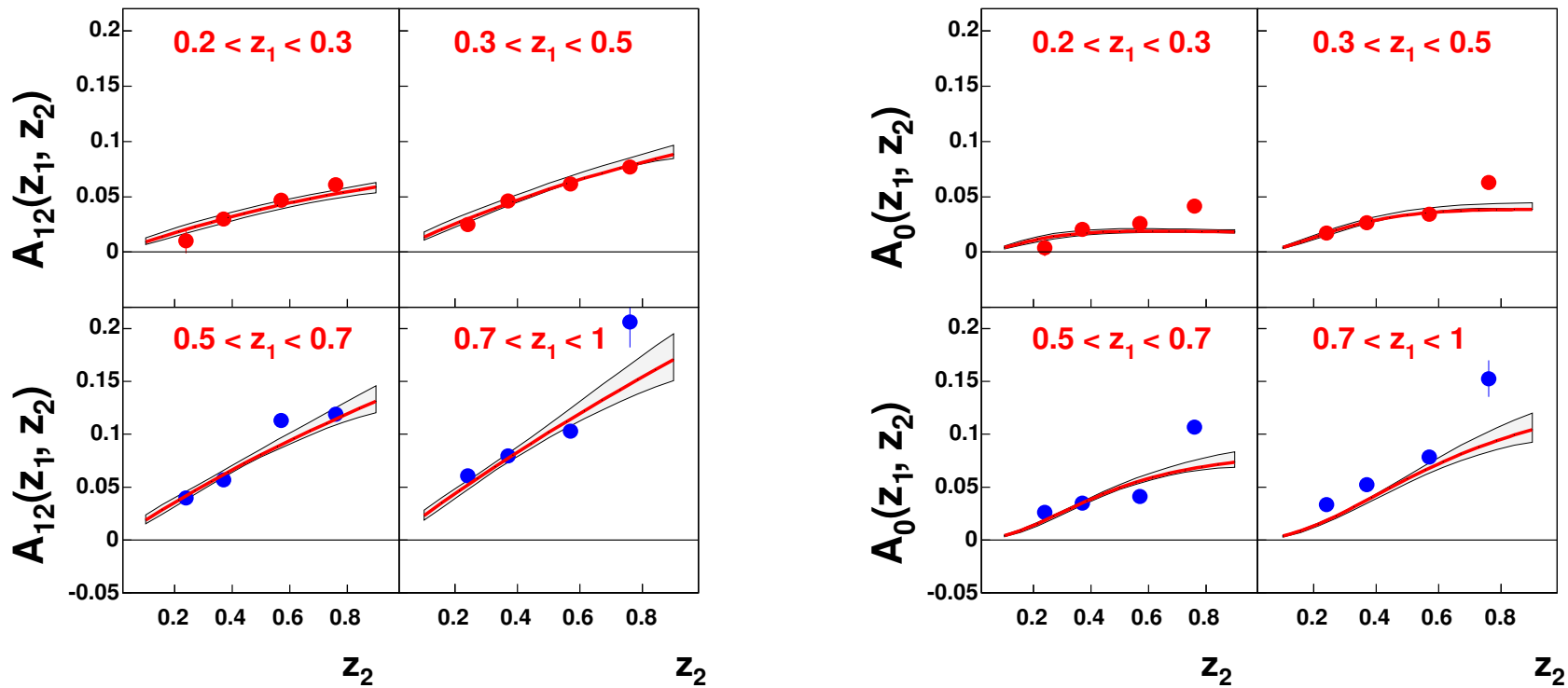
Anselmino et al. 2008



Preliminary fit of [left] HERMES data [Diefenthaler et al. 2007] (hydrogen target) and [right] COMPASS data [Alekseev et al. 2008] (deuterium target).

- Collins effect in  $e^+e^- \rightarrow \pi\pi + X$   
**NEW analysis** [preliminary]

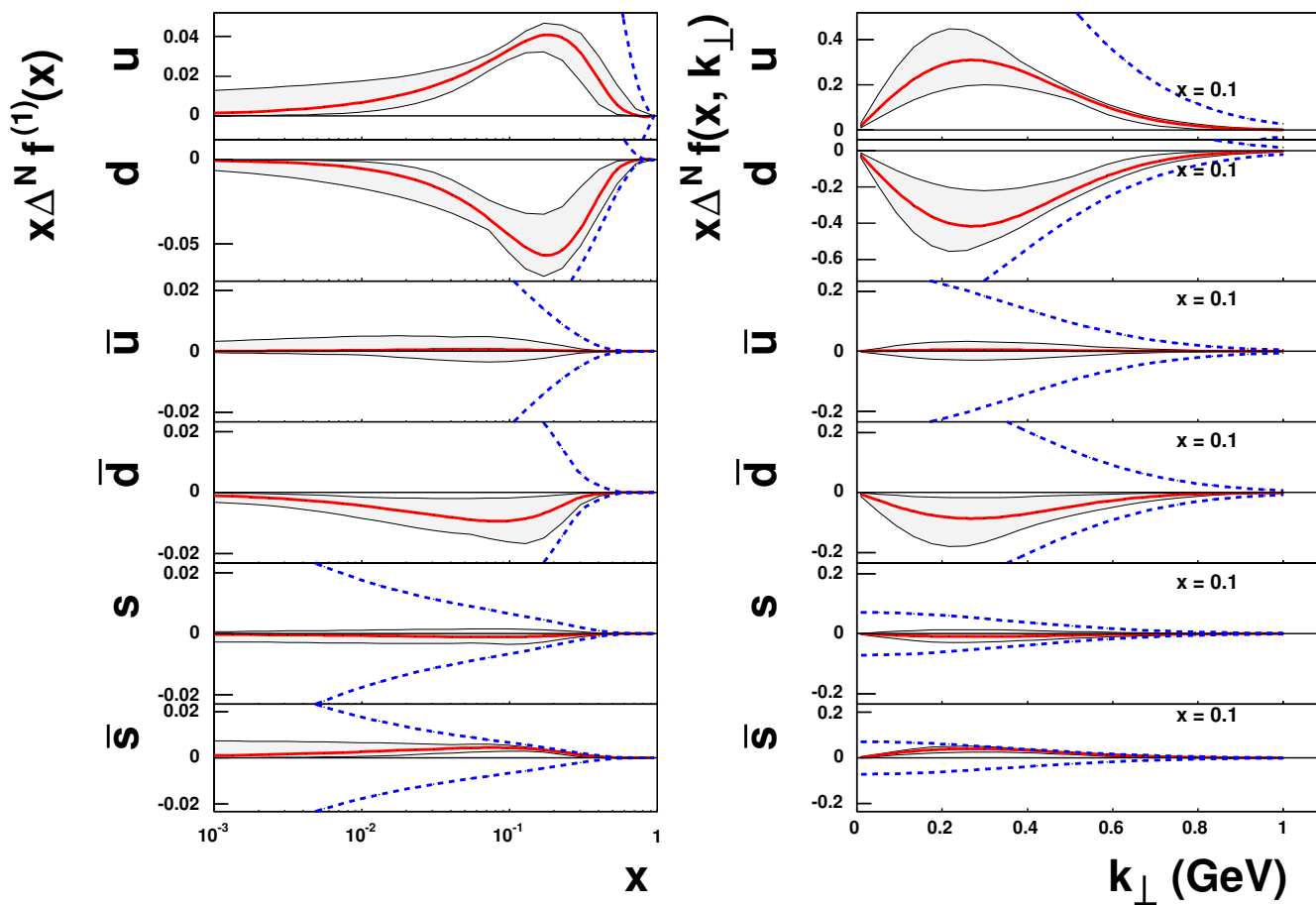
Anselmino *et al.* 2008



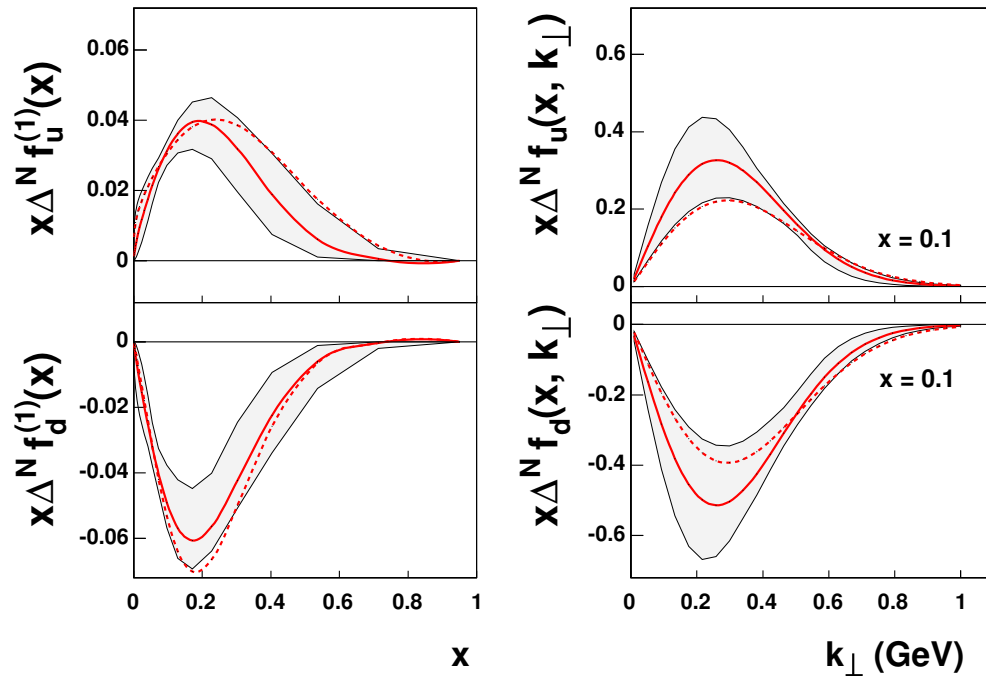
Preliminary fit of data on  $e^+e^- \rightarrow h_1 h_2 X$  from Belle Collaboration. [Ogawa *et al.* 2007].

New extraction of the Sivers function ( $\pi$  and  $K^\pm$  data)

Anselmino et al. 2008



Sivers function: valence quarks



Notice:

$$A_{UT}^{\pi^+}(p^\uparrow) > 0 \text{ (HERMES)}$$

$$A_{UT}^\pi(D^\uparrow) \simeq 0 \text{ (COMPASS)}$$

$$A_{UT}(D^\uparrow) \simeq (\Delta^N f_u + \Delta^N f_d)(4D_u + D_d)$$

$$\Rightarrow \Delta^N f_u > 0, \Delta^N f_d < 0$$

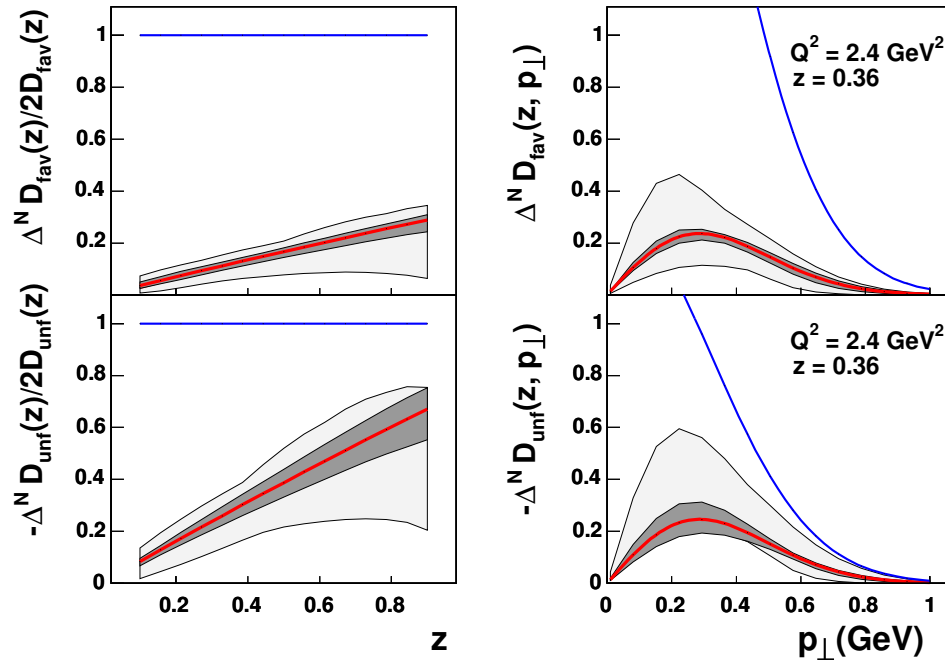
and similar size

Burkardt sum rule [Burkardt '04]:  $\sum_a \langle k_\perp^a \rangle = 0$   $\langle k_\perp^a \rangle \equiv \int d^2 k_\perp \mathbf{k}_\perp \hat{f}_{a/p^\uparrow}$

$$\langle k_\perp^u \rangle = 96 \text{ MeV} \quad \langle k_\perp^d \rangle = -113 \text{ MeV} \quad \langle k_\perp^{\text{sea}} \rangle = -14 \text{ MeV}$$

$\Rightarrow$  little room for the gluon Sivers function

## Collins function [NEW preliminary analysis]

Anselmino *et al.* 2008

Consistent with other extractions [Efremov *et al.* 2006, Vogelsang & Yuan 2005]

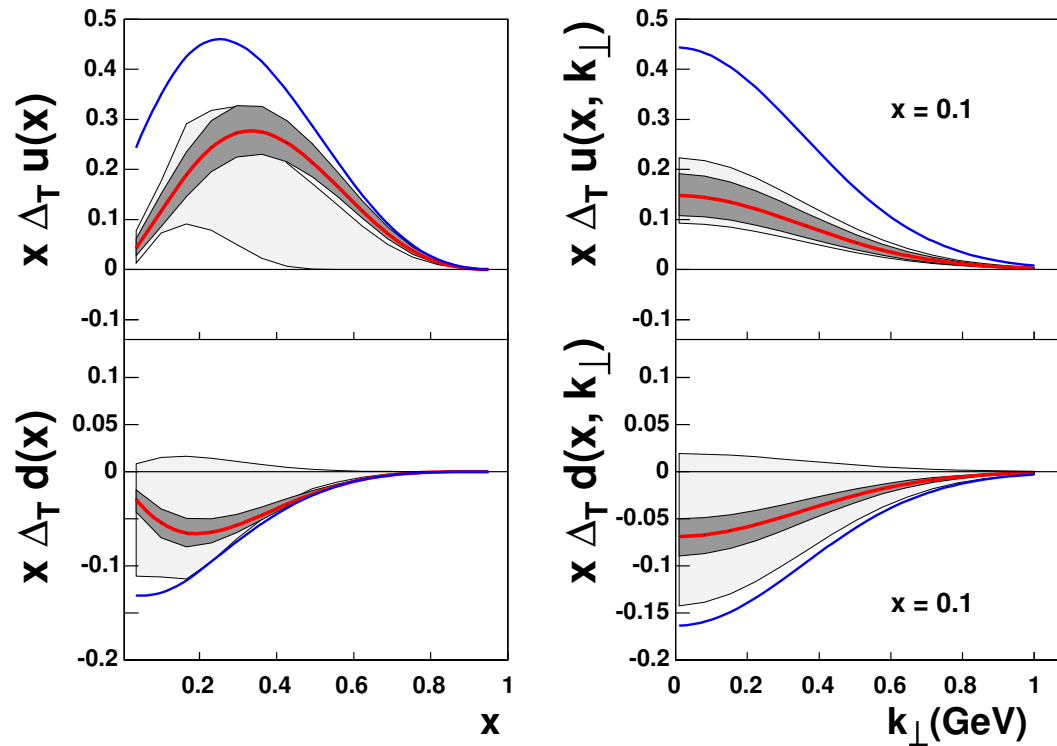
$$A_{UT}^{\pi^+}(p) \simeq 4\Delta_T u \Delta^N D_{\text{fav}} + \Delta_T d \Delta^N D_{\text{unf}}$$

$$A_{UT}^{\pi^-}(p) \simeq 4\Delta_T u \Delta^N D_{\text{unf}} + \Delta_T d \Delta^N D_{\text{fav}}$$

larger  $|A_{UT}^{\pi^-}| \Rightarrow$  large and negative unfav. FF

Transversity function [NEW analysis: upgrade of 2007 **First extraction**]

*Anselmino et al. 2008*

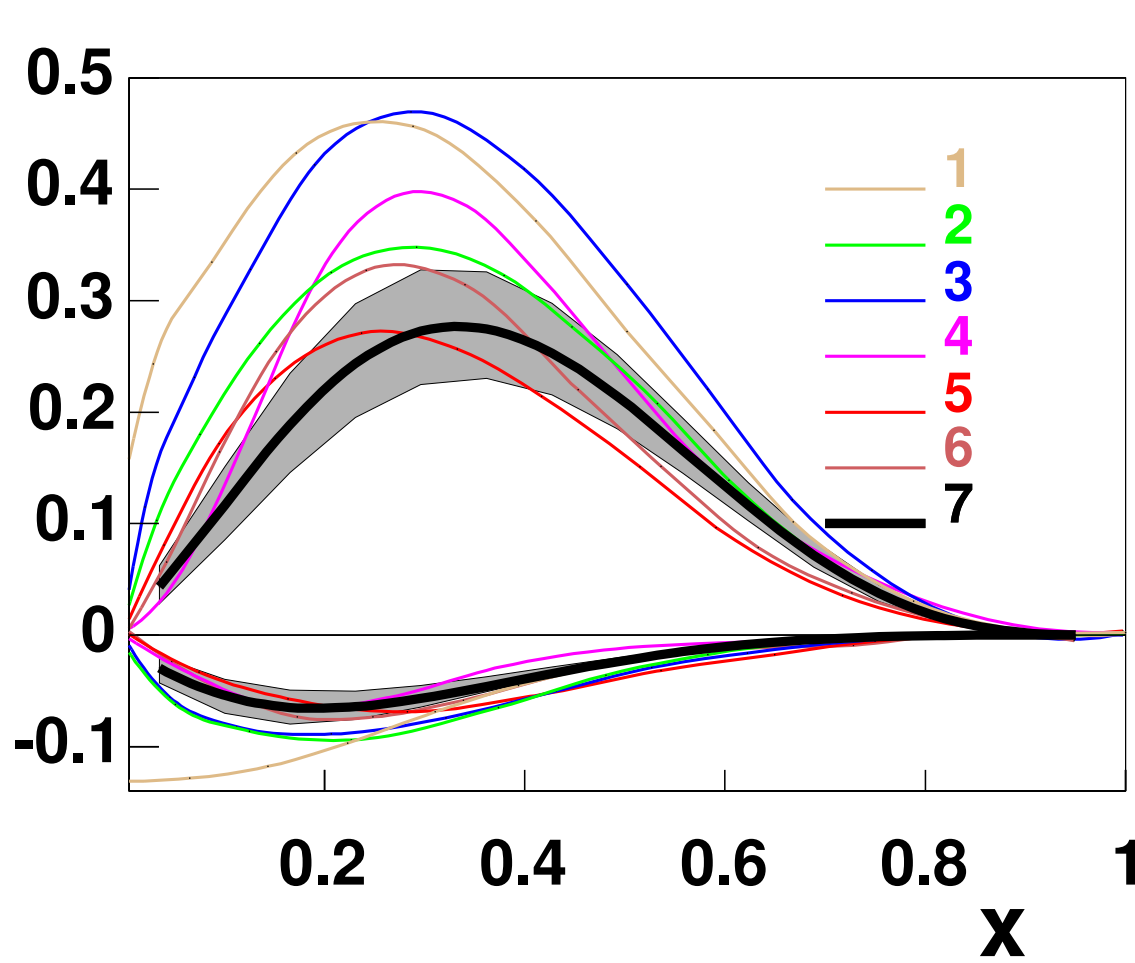


Errors strongly reduced!  $\Delta_T u$ : larger;

Tensor charge:  $\delta u = 0.59^{+0.14}_{-0.13}$   $\delta d = -0.20^{+0.05}_{-0.07}$  at  $Q^2 = 0.8 \text{ GeV}^2$



Transversity: Comparison with models

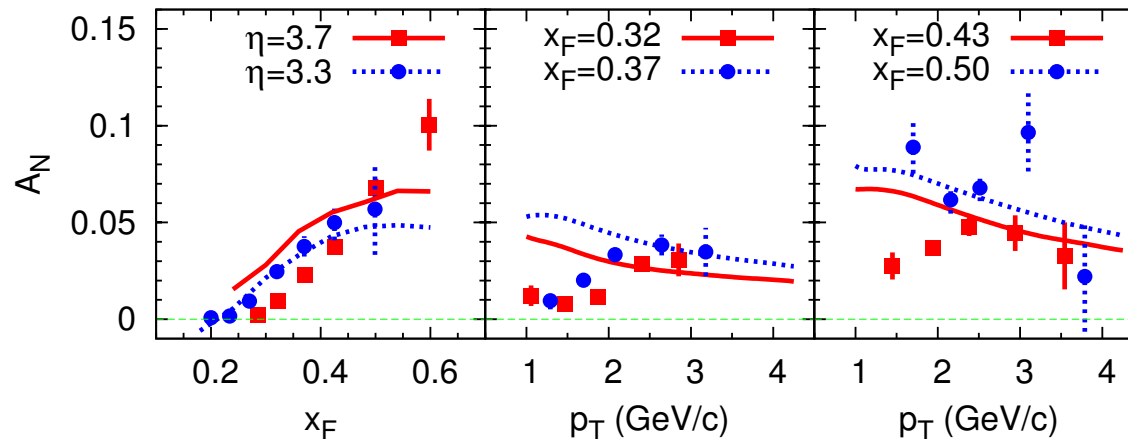


- 1 *Soffer et al. 2002*
- 2 *Korotkov et al. 2001*
- 3 *Schweitzer et al. 2001*
- 4 *Wakamatzu 2007*
- 5 *Pasquini et al. 2005*
- 6 *Cloet et al. 2008*
- 7 **Our improved analysis**

Prague, July 20-26, 2008

## SSAs: from SIDIS to $pp$ collisions

Adopting Sivers & Collins functions as in the old fits with KRE set  
(*Boglione, UD, Murgia '08*)

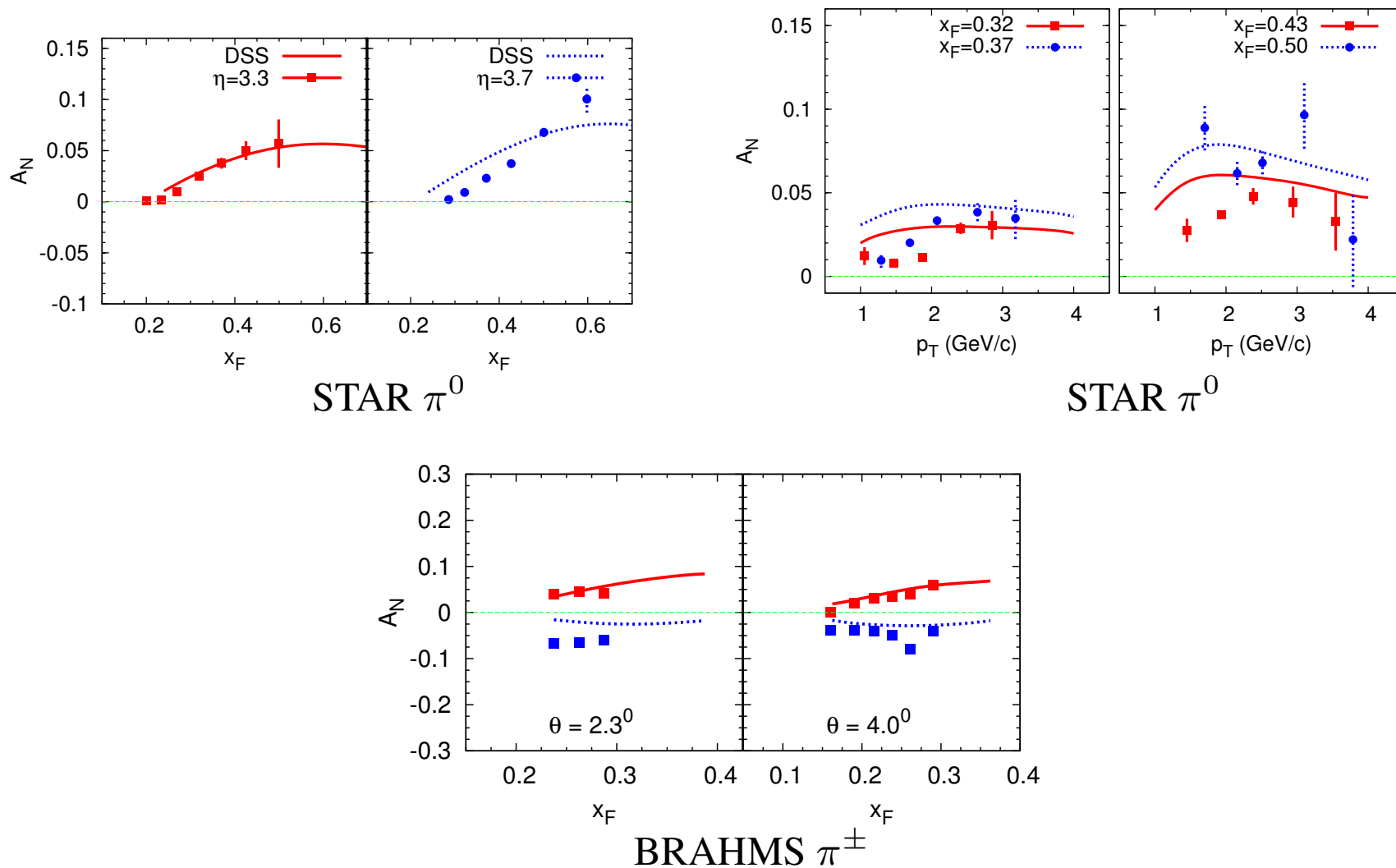


**PREDICTIONS** and comparison to STAR  $A_N(\pi^0)$  data.

Notice: extrapolation to large  $x$  region (uncovered in SIDIS).

A better strategy: use of  $pp$  data and fit to SIDIS data.

Sivers effect from SIDIS + *pp* scan ( $\chi_{\text{dof}} \simeq 1.2$ )



- A global description of  $A_{UT}^{\text{Sivers}}$  and  $A_N$  (in terms of Sivers effect) seems possible.
- Collins effect (reassessed!: *Yuan '08*): in progress (new fit and scan)
- GOAL (future): a complete global analysis of Sivers and Collins effects in SIDIS,  $e^+e^-$  and  $pp \rightarrow hX$ .

A guidance to look for universality breaking effects (future)

- intermediate goal: disentangling Sivers and Collins effect in  $pp$  collisions

$pp \rightarrow \text{jet}(\gamma) + X$  or  $pp \rightarrow \text{jet } \gamma + X$  (Sivers effect)

$pp \rightarrow \text{jet } \pi + X$  (Collins effect) [*Yuan '08, UD-Murgia '08 (in progress)*]

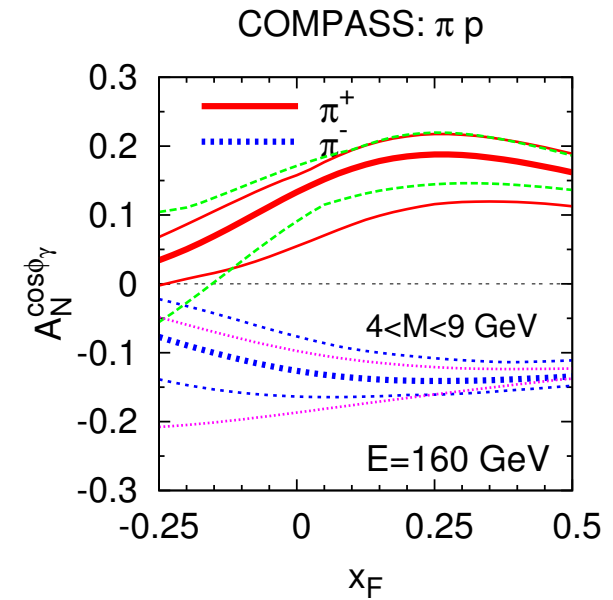
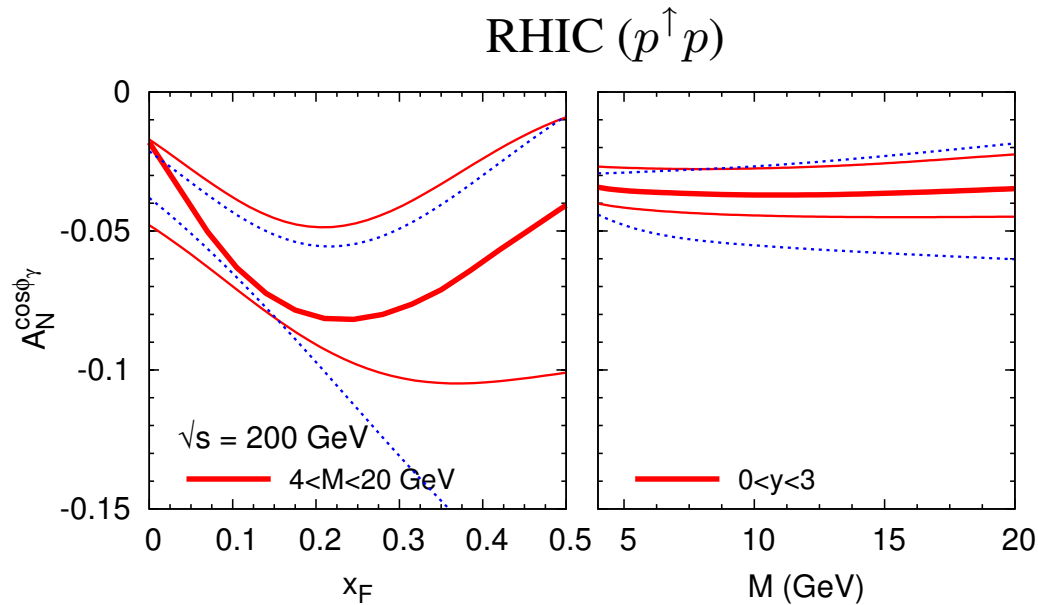
and naturally

$pp \rightarrow \ell^+ \ell^- + X$  (safe process)

Importance of  $A_N$  in DY integrated over lepton angular variables

clean access to Sivers effect: *Anselmino, UD, Murgia '03, Efremov et al. '05, Vogelsang-Yuan '05, Anselmino et al. '05*

- modified universality (change of sign w.r.t. SIDIS): crucial test (*Collins '02*)
- small and intermediate  $x$  region at RHIC
- large  $x$  region at COMPASS (uncovered in SIDIS)



$A_N^{\sin(\phi_S - \phi_\gamma)}$  for DY from fits to SIDIS (free and  $pp$ -scan fit). [ $\phi_S = \pi/2$ ]

COMPASS:  $\pi^- p^\uparrow: \bar{u}u \rightarrow \ell^+ \ell^-$

- **Sign: definite !**
- access to large  $x$  region.

## Conclusions

- Azimuthal and transverse SSAs: powerful tool, recent and important progress
- extractions of Sivers, transversity and Collins functions from SIDIS and  $e^+e^-$ :
- Collins effect as a polarimeter to access  $\Delta_T q$ . Large (negative) unfavoured FF.
- First extraction of transversity distribution:  $u$  and  $d$  smaller than their Soffer bounds
- Sizeable Sivers function for valence quarks; little room for gluon Sivers function;



## Conclusions

- Azimuthal and transverse SSAs: powerful tool, recent and important progress
- extractions of Sivers, transversity and Collins functions from SIDIS and  $e^+e^-$ :
- Collins effect as a polarimeter to access  $\Delta_T q$ . Large (negative) unfavoured FF.
- First extraction of transversity distribution:  $u$  and  $d$  smaller than their Soffer bounds
- Sizeable Sivers function for valence quarks; little room for gluon Sivers function;

## Open issues:

- $Q^2$ -evolution of TMDs
- modified universality: to be checked [ $\Delta f_{\uparrow}|_{\text{DIS}} = -\Delta f_{\uparrow}|_{\text{DY}}$ ]
- SSAs in SIDIS: binning in  $x, z, P_{\perp}$  and error correlation matrix  
large (low)  $x$  region still uncovered [JLAB(COMPASS)]
- SSAs in  $p^{\uparrow}p \rightarrow CX$ : disentangling TMD approach and Twist-3 formalism
- SSAs in  $p^{\uparrow}p \rightarrow \text{jet } \pi X$ : universality and separation of Sivers and Collins effects