# Mean Field Methods for Nuclear Structure

Part 1: Hartree-Fock and Hartree-Fock-Bogoliubov for Ground States

> Part 2: RPA and QRPA for Excitations

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# **Outline of part 1**

- Introduction
- Energy density functional
- Hartree-Fock (HF) and Hartree-Fock-Bogoliubov (HFB)
- Quasiparticle continuum: exact and approximate treatments
- Illustrative examples
- Summary

Microscopic approaches to manybody, finite nuclear systems

- Theoretical models based on effective interactions between nucleons:
- Nuclear shell model
- Mean field approaches (and beyond)
- molecular dynamics

\* going away from stability regions, we need a theoretical framework which can be predictive and able to handle new situations (continuum, pairing correlations in continuum).

\* the Hartree-Fock-Bogoliubov + Quasiparticle Random Phase Approximation can be used from unstable nuclei to neutron star crust. Hartree-Fock and HF-Bogoliubov  $|HF\rangle \doteq a_{i1}^+ a_{i2}^+ \dots a_{iA}^+ |0\rangle$ 

 $\left|HFB\right\rangle = \prod_{i} a_{i}^{\dagger} \prod_{p} \left(u_{p} + v_{p} a_{p}^{\dagger} a_{p}^{\dagger}\right) \left|0\right\rangle$ 

 $\beta_{k}^{\dagger} = \sum_{i} (u_{ik} a_{i}^{\dagger} + v_{ik} a_{i}) \qquad \beta_{k} |HFB\rangle = 0$ 

# Energy Density Functional in Hartree-Fock

$$H = \sum_{i}^{A} -\frac{\hbar^2}{2m} \nabla_i^2 + \sum_{i < j}^{A} V_{ij}$$

$$\begin{split} E &\equiv \int \mathcal{E} d^3 r \\ &= \sum_{\alpha\beta} \langle \varphi_{\alpha}| - \frac{\hbar^2}{2m} \nabla^2 |\varphi_{\beta}\rangle \rho_{\alpha\beta} + \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \langle \varphi_{\alpha}\varphi_{\beta}|V_{12}(1-P_{12})|\varphi_{\gamma}\varphi_{\delta}\rangle \rho_{\alpha\gamma}\rho_{\delta\beta} \end{split}$$

# **Effective Interactions**

particle-hole channel: Skyrme interaction

#### particle-particle channel: zero-range

$$\begin{split} V_{12} &= t_0 (1 + x_0 P^{\sigma}) \delta(\mathbf{r}) \\ &+ \frac{1}{2} t_1 (1 + x_1 P^{\sigma}) (\mathbf{P}^{\prime 2} \delta(\mathbf{r}) + \delta(\mathbf{r}) \mathbf{P}^2) \\ &+ t_2 (1 + x_2 P^{\sigma}) (\mathbf{P}^{\prime} \cdot \delta(\mathbf{r}) \mathbf{P}) \\ &+ i W_0 \sigma \cdot (\mathbf{P}^{\prime} \times \delta(\mathbf{r}) \mathbf{P}) \\ &+ \frac{1}{6} t_3 (1 + x_3 P^{\sigma}) \rho^{\alpha}(\mathbf{R}) \delta(\mathbf{r}) \; . \end{split}$$

$$V_{pp} = V_0 \left[ 1 - \eta \left( \frac{\rho}{\rho_0} \right)^{\alpha} \right] \delta(\mathbf{r_1} - \mathbf{r_2})$$

# Densities

• Normal density, or density matrix  $\rho_{ij} = \langle \Phi | a_j^{\dagger} a_i | \Phi \rangle$ 

 Abnormal density, or pairing tensor

$$\boldsymbol{\mathcal{K}}_{ij} = \left\langle \Phi \left| a_j a_i \right| \Phi \right\rangle$$

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 $\rho^{\dagger} = \rho$ 

### **One-body densities in Hartree-Fock**

$$\rho_q(\mathbf{r}) = \sum_{i,s} |\varphi_i^q(\mathbf{r},s)|^2 n_i^q , \qquad \rho = \rho_n + \rho_p ,$$

$$\tau_q(\mathbf{r}) = \sum_{i,s} |\nabla \varphi_i^q(\mathbf{r},s)|^2 n_i^q , \qquad \tau = \tau_n + \tau_p ,$$

$$\begin{aligned} \mathbf{J}_q(\mathbf{r}) &= \sum_{i,s,s'} \varphi_i^{q*}(\mathbf{r},s') \nabla \varphi_i^q(\mathbf{r},s) \times (s'|\sigma|s) n_i^q ,\\ \mathbf{J} &= \mathbf{J}_n + \mathbf{J}_p . \end{aligned}$$

Generalisation to Hartree-Fock-Bogoliubov

$$\Phi_i(nljm, \mathbf{r}, \sigma) = R_i(nlj, r) \frac{1}{r} \mathcal{Y}_{lj}^m(\hat{\mathbf{r}}, \sigma) , \ i = 1, 2 ,$$

where:

$$\mathcal{Y}_{lj}^{m}(\hat{\mathbf{r}},\sigma) \equiv \sum_{m_l,m_\sigma} Y_{lm_l}(\theta,\phi) \chi_{1/2}(m_\sigma) (lm_l \frac{1}{2} m_\sigma | jm) \; .$$

In what follows we use for the upper and lower component of the radial wave functions the standard notation  $U_{nlj}(r)$  and  $V_{nlj}(r)$ .

# HFB densities in spherical case

Nuclear density

 Kinetic energy density

$$\rho(r) = \frac{1}{4\pi} \sum_{i} (2j_i + 1) V_i^*(r) V_i(r)$$

$$\kappa(r) = \frac{1}{4\pi} \sum_{i} (2j_i + 1) U_i^*(r) V_i(r)$$

$$\tau(r) = \frac{1}{4\pi} \sum_{i} (2j_i + 1) \left[ \left(\frac{dV_i}{dr} - \frac{V_i}{r}\right)^2 + \frac{l_i(l_i + 1)}{r^2} V_i^2 \right]$$

$$J(r) = \frac{1}{4\pi} \sum_{i} (2j_i + 1) [j_i(j_i + 1) - l_i(l_i + 1) - \frac{3}{4}] V_i^2$$

# The Hartree-Fock-Bogoliubov Equations

$$\begin{pmatrix} U_{\alpha}(\mathbf{r}) \\ V_{\alpha}(\mathbf{r}) \end{pmatrix} = \frac{1}{r} \begin{pmatrix} u_{\alpha}(r) \\ v_{\alpha}(r) \end{pmatrix} \mathbf{Y}_{\alpha}(\hat{r}, \sigma)$$

$$\begin{pmatrix} h(r) - \lambda & \Delta(r) \\ \Delta(r) & -h(r) + \lambda \end{pmatrix} \begin{pmatrix} U_i(r) \\ V_i(r) \end{pmatrix} = E_i \begin{pmatrix} U_i(r) \\ V_i(r) \end{pmatrix}$$

# Hartree-Fock field and pairing field

$$h(r) = h(\rho, \tau, \mathbf{J})$$

$$\Delta(r) = V_0 [1 - \eta(\frac{\rho}{\rho_0})^{\alpha}] \kappa(r).$$

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#### **Finite-Temperature HFB**

$$\begin{pmatrix} h_T(r) - \lambda & \Delta_T(r) \\ \Delta_T(r) & -h_T(r) + \lambda \end{pmatrix} \begin{pmatrix} U_i(r) \\ V_i(r) \end{pmatrix} = E_i \begin{pmatrix} U_i(r) \\ V_i(r) \end{pmatrix}$$

$$\rho_T(r) = \frac{1}{4\pi} \sum_{i} (2j_i + 1) [V_i^*(r)V_i(r)(1 - f_i) + U_i^*(r)U_i(r)f_i]$$

$$\kappa_{T} = \frac{1}{4\pi} \sum (2j_{i} + 1)U_{i}^{*}(r)V_{i}(r)(1 - 2f_{i})$$
 where

$$\Delta_{T}(\Gamma) = V_{pair} \kappa_{T}(\Gamma)$$
  
: 
$$f_{i} = (1 + e^{Ei/kT})^{-1}$$

N.S, Phys.Rev.C70 (2004) 025801

# Quasiparticle continuum



# Treatment of quasiparticle continuum (1)

The asymptotic behaviour of the HFB wave function is determined by the physical condition that at large distances the nuclear mean field  $\Gamma(r)$ and the pairing field  $\Delta(r)$  vanish.

In the asymptotic region the equations for  $U_{nlj}$  and  $V_{nlj}$  are decoupled and one can easily see how the physical solutions must behave at infinity.

For a bound system ( $\lambda < 0$ ) there are two well separated regions in the quasiparticle spectrum:

- Between 0 and  $-\lambda$  the quasiparticle spectrum is discrete and both upper and lower components of the radial HFB wave function decay exponentially at infinity:

$$U_{lj}(E, r) = Ah_l^{(+)}(\alpha_1 r) , V_{lj}(E, r) = Bh_l^{(+)}(\beta_1 r) ,$$

where  $\alpha_1^2 = \frac{2m}{\hbar^2}(\lambda + E)$ ,  $\beta_1^2 = \frac{2m}{\hbar^2}(\lambda - E)$ . These solutions correspond to the bound quasiparticle spectrum.

# Treatment of quasiparticle continuum (2)

- For  $E > -\lambda$  the spectrum is continuous and the solutions are:

$$U_{lj}(E,r) = C[\cos(\delta_{lj})j_l(\alpha_1 r) - \sin(\delta_{lj})n_l(\alpha_1 r)],$$
  
$$V_{lj}(E,r) = D_1 h_l^{(+)}(\beta_1 r),$$

# Treatment of quasiparticle continuum (3)



FIG. 1. Phase shift (top) and its derivative (bottom) in the  $p_{1\prime 2}$  channel for a square well model.





# **Densities with continuum**

$$ho(\mathbf{r}) = \sum_{0 \leq E_{lpha} \leq -\lambda} |V_{lpha}(\mathbf{r})|^2 + \int_{-\lambda}^{E_{cutoff}} dE_{lpha} |V_{E_{lpha}}(\mathbf{r})|^2 ,$$

$$\kappa(\mathbf{r}) = \sum_{0 \le E_{\alpha} \le -\lambda} U_{\alpha}(\mathbf{r}) V_{\alpha}^{*}(\mathbf{r}) + \int_{-\lambda}^{E_{cutoff}} dE_{\alpha} U_{E_{\alpha}}(\mathbf{r}) V_{E_{\alpha}}^{*}(\mathbf{r}) .$$

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# Discretization by box boundary condition

 Alternatively, one can enclose the system in a box of radius R.

The quasiparticle spectrum is calculated with the boundary condition that the wave function vanishes at r=R.

 One thus obtains a discrete set of states forming a complete basis in the box.

# illustration: Ni isotopes



FIG. 4. Neutron pairing densities in HFB calculations in <sup>84</sup>Ni (a) and <sup>86</sup>Ni (b).

#### GRASSO, SANDULESCU, VAN GIAI, AND LIOTTA



FIG. 5. Two-neutron separation energies in HFB, HF-BCS, and HF approximations. For <sup>76</sup>Ni and <sup>78</sup>Ni the corresponding values extrapolated from experimental data [18] are also shown.



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### **Inner Crust Matter**



#### Crystal lattice structures

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#### **Elementary cells**



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#### **Density in the Wigner-Seitz Cells**



N.Sandulescu, Nguyen Van Giai, R.J.Liotta, Phys.Rev.C69(2004)045802 August 1st, 2005 Dub

#### Pairing Field in the Wigner-Seitz Cells



Can one relate Skyrme force to a nuclear matter microscopic theory?

 Density Matrix Expansion (DME) method of Negele-Vautherin (1972)

 From Brueckner Theory to Skyrme Energy Functional, L.G. Cao, U. Lombardo, C.W. Shen, NVG (2005):
 fit of EBHF results in nuclear matter to determine the force parameters.

### **Effective Masses**







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# Skyrme parameters from EBHF





FIG. 5: The symmetry energy from BHF calculations (squares) and the present fit (solid curve).

TABLE I: The Skyrme parameter set and the corresponding bulk properties of infinite nuclear matter.

	LNS
$t_0(MeVfm^3)$	-2484.97
$t_1(MeVfm^5)$	266.735
$t_2(MeVfm^5)$	-337.135
$t_3(MeVfm^{3+3\sigma})$	14588.2
$x_0$	0.06277
$x_1$	0.65845
$x_2$	-0.95382
$x_3$	-0.03413
$\sigma$	0.16667
$W_0(MeVfm^5)$	96.00
$ ho_0(fm^{-3})$	0.1746
E/A(MeV)	-15.32
$K_{\infty}(MeV)$	210.85
$\frac{m^*}{m}(isoscalar)$	0.825
$\frac{m^*}{m}(isovector)$	0.727
$a_s(MeV)$	33.4

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# Finite Nuclei

#### Density profiles

#### Energies and Radii



FIG. 7: Neutron and proton densities in  $^{208}{\rm Pb},$  calculated with LNS and SLy4 parametrizations.



# SUMMARY

- A self-consistent theory of nuclear ground states.
- Pairing and continuum effects are treated.
- Applications to the description of unstable nuclei.
- Applications to the physics of the inner crust of neutron stars.

# Mean Field Methods for Nuclear Structure

Part 1: Hartree-Fock and Hartree-Fock-Bogoliubov for Ground States

Part 2: RPA and QRPA for Excitations

### **Outline of Part 2:**

- 1. Linear response theory: a brief reminder
- 2. Non-relativistic RPA (Skyrme)
- 3. Extension to QRPA
- 4. Illustrative cases
- 5. Summary

# Linear Response Theory

- In the presence of a time-dependent external field, the response of the system reveals the characteristics of the eigenmodes.
- In the limit of a weak perturbing field, the linear response is simply related to the exact two-body Green's function.
- The RPA provides an approximation scheme to calculate the two-body Green's function.

#### > Adding a time-dependent external field:

$$H = H_0 + Af(t)$$

$$i\hbar\frac{\partial}{\partial t}|\Phi_n\rangle_t = H|\Phi_n\rangle_t$$

$$i\hbar \frac{\partial}{\partial t} |\tilde{\Phi}_n\rangle = \tilde{A}f(t)|\tilde{\Phi}_n\rangle$$

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# First order response as a function of time

 $q(t) \equiv \langle \tilde{\Phi}_0 | \tilde{Q} | \tilde{\Phi}_0 \rangle_t - \langle \tilde{0} | \tilde{Q} | \tilde{0} \rangle$ 

$$q(t) = -\frac{1}{\hbar} \int_{-\infty}^{+\infty} R(t-t') f(t') dt'$$

 $R(t - t') = 0 \quad \text{if } t \le t',$  $-i\langle \tilde{0} | [\tilde{A}(t'), \tilde{Q}(t)] | \tilde{0} \rangle \quad \text{if } t \ge t'$ 

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Two-body Green's Function and density-density correlation function

$$G(\mathbf{r},\mathbf{r}';t-t') \equiv -i\langle \tilde{\Phi}_0 | T(\psi^{\dagger}(x)\psi(x)\psi^{\dagger}(x')\psi(x')) | \tilde{\Phi}_0 \rangle$$

$$Re \ G^{R}(\mathbf{r}, \mathbf{r}'; \omega) = Re \ G(\mathbf{r}, \mathbf{r}'; \omega),$$
$$Im \ G^{R}(\mathbf{r}, \mathbf{r}'; \omega) = sgn(\omega)Im \ G(\mathbf{r}, \mathbf{r}'; \omega)$$

$$R(t-t') = \int d^3r d^3r' A(\mathbf{r}) Q(\mathbf{r}') G^R(\mathbf{r},\mathbf{r}';t-t')$$

$$\delta\rho(\mathbf{r}) = -\int d^3r' G^R(\mathbf{r}, \mathbf{r}'; t - t') A(\mathbf{r}')$$

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Linear response function and Strength distribution

$$R(\omega) = \int d^3r d^3r' A(\mathbf{r}) Q(\mathbf{r}') \\ \times \sum_n \langle 0 | \psi^{\dagger}(\mathbf{r}) \psi(\mathbf{r}) | n \rangle \langle n | \psi^{\dagger}(\mathbf{r}') \psi(\mathbf{r}') | 0 \rangle \left[ \frac{1}{\omega_{n0} - \omega - i\eta} + \frac{1}{\omega_{n0} + \omega + i\eta} \right]$$

$$S(\omega) \equiv \sum_{n} |\langle 0|Q|n \rangle|^2 \delta(\omega - \omega_{n0})$$
$$= \frac{1}{\pi} Im \ R(\omega)$$

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# Main results:

The knowledge of the retarded Green's function gives access to:

- Excitation energies of eigenmodes (the poles)
- Transition probabilities (residues of the response function)

Transition densities (or form factors), transition currents, etc... of each excited state.

# TDHF and RPA (1)

$$H_{HF} = K + U(\rho_0), \qquad \rho_0(\mathbf{r}) = \sum_{i \le k_F} \varphi_i^*(\mathbf{r}) \varphi_i(\mathbf{r})$$

Add a small, time-dependent perturbation  $A(e^{-i\omega t} + e^{i\omega t})$ 

$$\phi_i = \varphi_i + \lambda_i(\mathbf{r})e^{i\omega t} + \chi_i(\mathbf{r})e^{-i\omega t}$$

$$i\frac{\partial}{\partial t}\phi_i = (H(t) - \epsilon_i)\phi_i$$

$$H(t) = H_{HF} + \left[ \left( A(\mathbf{r}) + \frac{\partial U}{\partial \rho} \delta \rho \right) e^{-i\omega t} + h.c. \right]$$

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# TDHF and RPA (2)

$$\chi_{i} = \frac{1}{\omega - H_{HF} + \epsilon_{i} + i\eta} (A + \frac{\partial U}{\partial \rho} \delta \rho) \varphi_{i}$$
$$\lambda_{i} = -\frac{1}{\omega + H_{HF} - \epsilon_{i} + i\eta} (A + \frac{\partial U}{\partial \rho} \delta \rho^{*}) \varphi_{i}$$
$$\delta \rho(\mathbf{r}) = -\int d^{3}r' G^{(0)}(\mathbf{r}, \mathbf{r}'; \omega) [A(\mathbf{r}') + \frac{\partial U}{\partial \rho} \delta \rho(\mathbf{r}')]$$

$$G^{(0)}(\mathbf{r},\mathbf{r}';\omega) \equiv \sum_{i} \varphi_{i}^{*}(\mathbf{r}) \langle \mathbf{r} | \frac{1}{H_{HF} - \epsilon_{i} - \omega - i\eta} + \frac{1}{H_{HF} - \epsilon_{i} + \omega - i\eta} | \mathbf{r}' \rangle \varphi_{i}(\mathbf{r}')$$
$$= \sum_{i < F,m > F} \varphi_{i}^{*}(\mathbf{r}) \varphi_{m}(\mathbf{r}) [\frac{1}{\epsilon_{m} - \epsilon_{i} - \omega - i\eta} + \frac{1}{\epsilon_{m} - \epsilon_{i} + \omega - i\eta}] \varphi_{m}^{*}(\mathbf{r}') \varphi_{i}(\mathbf{r}')$$

#### And by comparing with p.6

$$\begin{split} G(\mathbf{r},\mathbf{r}';\omega) \ &= \ G^{(0)}(\mathbf{r},\mathbf{r}';\omega) + \int d^3r'' G^{(0)}(\mathbf{r},\mathbf{r}'';\omega) \frac{\partial U(\mathbf{r}'')}{\partial\rho} G(\mathbf{r}'',\mathbf{r}';\omega) \\ &= \ G^{(0)}(\mathbf{r},\mathbf{r}';\omega) + \int d^3r'' G^{(0)}(\mathbf{r},\mathbf{r}'';\omega) \frac{\partial U(\mathbf{r}'')}{\partial\rho} G^{(0)}(\mathbf{r}'',\mathbf{r}';\omega) + \dots \end{split}$$

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# Residual p-h interaction $H = \sum_{i}^{A} K_{i} + \sum_{i < j}^{A} v(i, j)$

$$E \equiv \langle 0|H|0\rangle$$
  
=  $\sum_{\alpha\gamma} \langle \alpha| - \frac{\hbar^2 \Delta}{2m} |\gamma\rangle \rho_{\alpha\gamma} + \frac{1}{2} \sum_{\alpha\beta\gamma\delta} \langle \alpha\beta|v(1,2)(1-P_{12})|\gamma\delta\rangle \rho_{\alpha\gamma}\rho_{\beta\delta}$ 

$$\begin{aligned} \langle \alpha | K + U | \gamma \rangle &= \frac{\partial E}{\partial \rho_{\alpha \gamma}} \\ &= \langle \alpha | - \frac{\hbar^2 \Delta}{2m} | \gamma \rangle + \sum_{\beta \delta} \langle \alpha \beta | v(1,2)(1-P_{12}) | \gamma \delta \rangle \rho_{\beta \delta} \\ &+ \frac{1}{2} \sum_{\alpha' \gamma' \beta \delta} \langle \alpha' \beta | \frac{\partial v}{\partial \rho_{\alpha \gamma}} (1-P_{12}) | \gamma' \delta \rangle \rho_{\alpha' \gamma'} \rho_{\beta \delta} \end{aligned}$$

$$\frac{\partial U_{\alpha\gamma}}{\partial \rho_{\beta\delta}} = \langle \alpha\beta | v(1,2)(1-P_{12}) | \gamma\delta \rangle + \dots$$

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# Analytic summation of singleparticle continuum

$$\langle \mathbf{r} | \frac{1}{z + i\eta - H_{HF}} | \mathbf{r}' \rangle = \frac{1}{rr'} \sum_{ljm} g_{lj}(r, r'; z) \mathcal{Y}_{lj}^{m\dagger}(\hat{r}) \mathcal{Y}_{lj}^{m}(\hat{r}')$$

$$H_{HF}^{lj} = -\frac{d}{dr} \left(\frac{\hbar^2}{2m^*}\right) \frac{d}{dr} + \frac{\hbar^2}{2m^*} \frac{l(l+1)}{r^2} + U_{lj}(r)$$

$$g_{lj}(r,r';z) = \sum_{n} \langle r | n \rangle \frac{1}{z + i\eta - \epsilon_n} \langle n | r' \rangle$$

$$g_{lj}(r,r';z) = \frac{2m^*}{\hbar^2} \frac{1}{W(w,u)} u(r_{<}) w(r_{>})$$

1) u, w are regular and irregular solutions satisfying appropriate asymptotic conditions

2) This analytic summation is not possible if potential U is non-local.

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# Approximate treatments of continuum

Calculate positive-energy s.p. states with scattering asymptotic conditions, and sum over an energy grid along the positive axis, up to some cut-off

Sum over discrete states of positive energy calculated with a box boundary condition.

### Finite temperature

$$\theta(\epsilon_F - \epsilon_i) \rightarrow f_i(T) = \frac{1}{1 + exp[(\epsilon_i - \mu)/T]}$$

$$\sum_{i < F} \rightarrow \sum_{all \ i} f_i(T)$$

Applications: evolution of escape widths and Landau damping of IVGDR with temperature .

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# RPA on a p-h basis

$$H = H_{HF} + V_{res}$$

 $V_{res} \neq V$  if density – dependent V $d^+_{\alpha} |\tilde{0}\rangle = |d_{\alpha}\rangle$ , energy  $\omega_{\alpha}$  $d_{\alpha} |\tilde{0}\rangle = 0$ 

$$d_{\alpha}^{+} = \sum_{mi} X_{mi}^{(\alpha)} a_{m}^{+} a_{i} - Y_{mi}^{(\alpha)} a_{i}^{+} a_{m}$$

$$\begin{vmatrix} A & B \\ -B - A \end{vmatrix} \begin{vmatrix} X^{(\alpha)} \\ Y^{(\alpha)} \end{vmatrix} = \omega_{\alpha} \begin{vmatrix} X^{(\alpha)} \\ Y^{(\alpha)} \end{vmatrix}$$

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# A and B matrices

$$A_{mi,nj} = \langle \tilde{0} | [a_i^+ a_m, H, a_n^+ a_j] | \tilde{0} \rangle$$
  

$$\simeq \langle HF | [a_i^+ a_m, H, a_n^+ a_j] | HF \rangle$$
  

$$= (\epsilon_m - \epsilon_i) \delta_{mn} \delta_{ij} + \langle mj | V_{res} | ni \rangle$$

$$B_{mi,nj} = -\langle \tilde{0} | [a_m^+ a_i, H, a_n^+ a_j] | \tilde{0} \rangle$$
  

$$\simeq -\langle HF | [a_m^+ a_i, H, a_n^+ a_j] | HF \rangle$$
  

$$= \langle mn | V_{res} | ji \rangle$$

$$[P, Q, R] = \frac{1}{2}([P, [Q, R]] + [[P, Q], R])$$

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## **Restoration of symmetries**

- Many symmetries are broken by the HF meanfield approximation: translational invariance, isospin symmetry, particle number in the case of HFB, etc...
- If RPA is performed consistently, each broken symmetry gives an RPA (or QRPA) state at zero energy (the spurious state)

The spurious state is thus automatically decoupled from the physical RPA excitations

This is not the case in phenomenological RPA.

### Sum rules

$$m_{k} = \sum_{n} |\langle n|Q|0\rangle|^{2} \omega_{n0}^{k}$$
$$= \int S(\omega) \omega^{k} d\omega$$

For odd k, RPA sum rules can be calculated from HF, without performing a detailed RPA calculation.
 k=1: Thouless theorem
 k=-1: Constrained HF
 K=3: Scaling of HF

# Phenomenological RPA

- The HF mean field is replaced by a parametrized mean field (harmonic oscillator, Woods-Saxon potential, ...)
- The residual p-h interaction is adjusted (Landau-Migdal form, meson exchange, ...)
- Useful in many situations (e.g., double-beta decay)

Difficulty to relate properties of excitations to bulk properties (K, symmetry energy, effective mass, ...). Finite rank form of Skyrme HF-RPA (V.Voronov, Ch. Stoyanov et al.)

Separable interaction

 $V_{mi,nj} \approx \chi D_{mi} D_{nj}$   $A_{mi,nj} = \varepsilon_{mi} \delta_{mn} \delta_{ij} + \chi D_{mi} D_{nj} \sim \sim \sim \varepsilon_{mi} = \varepsilon_m - \varepsilon_i$   $B_{mi,nj} = \chi D_{mi} D_{nj}$ 

$$\sum_{m\,i} D_{m\,i}^{2} \left( \frac{1}{\varepsilon_{m\,i} - \omega} + \frac{1}{\varepsilon_{m\,i} + \omega} \right) = -\frac{1}{\chi}$$

Finite rank separable form

$$V_{mi,nj} \simeq \chi \sum_{k} D_{mi}^{(k)} D_{nj}^{(k)}$$

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# QRPA (1)

- The scheme which relates RPA to linearized TDHF can be repeated to derive QRPA from linearized Time-Dependent Hartree-Fock-Bogoliubov (cf. E. Khan et al., Phys. Rev. C 66, 024309 (2002))
- Fully consistent QRPA calculations, except for 2body spin-orbit, can be performed (M. Yamagami, NVG, Phys. Rev. C 69, 034301 (2004)).

# QRPA(2)

- If Vpp is zero-range, one needs a cut-off in qp space, or a renormalisation procedure a la Bulgac. Then, one cannot sum up analytically the qp continuum up to infinity
- If Vpp is finite range (like Gogny force) one cannot solve the Bethe-Salpeter equation in coordinate space
- It is possible to sum over an energy grid along the positive axis (Khan - Sandulescu et al., 2002).

# The QRPA Green's Function

The QRPA equation is derived as the small amplitude limit of the time-dependent HFB equation[7]. The linear response equation is

$$\delta \rho_{\alpha}(\mathbf{r}) = \int d\mathbf{r}' \int d\mathbf{r}'' \sum_{\beta,\gamma} G_{0}^{\alpha,\beta}(\mathbf{r},\mathbf{r}') V_{\beta,\gamma}(\mathbf{r}',\mathbf{r}'') \delta \rho_{\gamma}(\mathbf{r}'') + \int d\mathbf{r}' \sum_{\beta} G_{0}^{\alpha,\beta}(\mathbf{r},\mathbf{r}') F_{\beta}(\mathbf{r}'), \qquad (5)$$

where  $\delta \rho_{\alpha}$  is the transition density,  $G_0^{\alpha,\beta}$  is the unperturbed Green's function and  $F_{\alpha}$  is the external field. The index  $\alpha$  runs  $\alpha = ph, \Delta, \partial^{\pm}, l_{+}^{(\pm)}, l_{-}^{(\pm)}, pp, hh$ . By definition, the QRPA Green's function G relates the perturbing external field to the transition density,

$$\delta\rho_{\alpha}(\boldsymbol{r}) = \int d\boldsymbol{r}' \sum_{\beta} G^{\alpha,\beta}(\boldsymbol{r},\boldsymbol{r}') F_{\beta}(\boldsymbol{r}').$$
(6)

Combining with Eq.(5), we obtain the generalized Bethe-Salpeter type equation,

$$G^{\alpha,\beta}(\boldsymbol{r},\boldsymbol{r}') = G_0^{\alpha,\beta}(\boldsymbol{r},\boldsymbol{r}') + \int d\boldsymbol{r}'' \int d\boldsymbol{r}''' \sum_{\gamma,\delta} G_0^{\alpha,\gamma}(\boldsymbol{r},\boldsymbol{r}'') V_{\gamma,\delta}(\boldsymbol{r}'',\boldsymbol{r}''') G^{\delta,\beta}(\boldsymbol{r}''',\boldsymbol{r}').$$
(7)

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# External field and Strength distribution

In the case of transition from the ground state to excited states within the same nucleus, only the (ph, ph) component of G is acting. Because we consider only spin independent response, the strength function is given by

$$S(\omega) = -\frac{1}{\pi} Im \int d\mathbf{r} \int d\mathbf{r}' F_{ph}^*(\mathbf{r}) G^{ph,ph}(\mathbf{r},\mathbf{r}') F_{ph}(\mathbf{r}').$$
(8)

The external field for isoscalar quadrupole response is

$$F_{ph,\mu}^{ISQ} = \sum_{i} r_i^2 Y_{2\mu}(\hat{r}_i)$$
(9)

and for isovector dipole response,

$$F_{ph,\mu}^{IVD} = e \frac{Z}{A} \sum_{i_n=1}^{N} r_{i_n} Y_{1\mu} \left( \hat{\boldsymbol{r}}_{i_n} \right) - e \frac{N}{A} \sum_{i_p=1}^{Z} r_{i_p} Y_{1\mu} \left( \hat{\boldsymbol{r}}_{i_p} \right).$$
(10)

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# 2+ states in 120Sn



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### 2+ states in 120Sn, with smearing



### 3- states in 120Sn, with smearing



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# Evolution of pairing in N=20 isotones



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# Effect of pairing on 2+ states



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#### Wigner-Seitz cells



#### **Supergiant resonances**



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# Concluding Remarks

> The RPA and QRPA approach in coordinate space has its own advantage. > But: very complicated to include 2-body spin-orbit! Necessary to work in configuration space (matrix form) for full self-consistency. To be explored: deformed RPA and QRPA with Skyrme forces.

# Pairing window method

$$D_{\alpha\beta}(i) = \phi_{\alpha}(r_i)\phi_{\beta}(r_i)\langle j_{\alpha}l_{\alpha}||Y_L||j_{\beta}l_{\beta}\rangle \frac{u_{\alpha}v_{\beta} + (-)^L v_{\alpha}u_{\beta}}{\sqrt{2L+1}}(1+\delta_{\alpha,\beta})^{-1/2}, \qquad (15)$$

where  $v_{\alpha}^2$  is the BCS occupation probability and  $u_{\alpha}^2 = 1 - v_{\alpha}^2$ .  $E_{\alpha}$  is the quasi-particle

as the RPA response function. The free response function in the BCS approximation (14) thus becomes

$$\Pi_{0}(i,j;\omega) = -\sum_{\alpha \leq \beta} D_{\alpha\beta}(i) D_{\alpha\beta}(j) \left( \frac{1}{E_{\alpha} + E_{\beta} - \omega - i\eta} + \frac{1}{E_{\alpha} + E_{\beta} + \omega - i\eta} \right) -\sum_{\alpha} \phi_{\alpha}(r_{i}) \phi_{\alpha}(r_{j}) v_{\alpha}^{2} \sum_{j_{k} l_{k}} \langle j_{\alpha} l_{\alpha} || Y_{L} || j_{k} l_{k} \rangle^{2} \frac{1}{2L + 1} \times \left\{ \left\langle r_{i} \left| \frac{1}{E_{\alpha} + \hat{h} - \lambda - \omega - i\eta} + \frac{1}{E_{\alpha} + \hat{h} - \lambda + \omega - i\eta} \right| r_{j} \right\rangle -\sum_{\beta} \delta_{j_{k}, j_{\beta}} \delta_{l_{k}, l_{\beta}} \phi_{\beta}(r_{i}) \phi_{\beta}(r_{j}) \left( \frac{1}{E_{\alpha} + \epsilon_{\beta} - \lambda - \omega - i\eta} + \frac{1}{E_{\alpha} + \epsilon_{\beta} - \lambda + \omega - i\eta} \right) \right\}, \quad (16)$$

where the summations of  $\alpha$  and  $\beta$  are restricted to the states within the pairing active space. The last term in Eq. (16) is a correction for a double-counting of excitations within the pairing active space, which stems from the substitution of the completeness relation

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