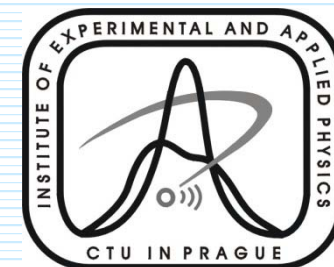
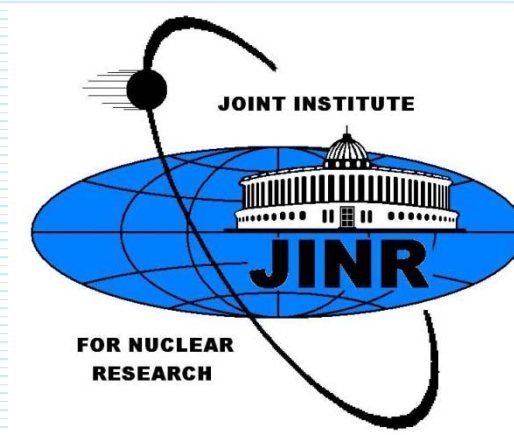


*Helmholtz International Summer School*  
**Nuclear Theory and Astrophysical Applications**  
**July 10-22, 2017**

**II. Nuclear physics aspects  
of neutrinoless double beta decay**

**Fedor Šimkovic**

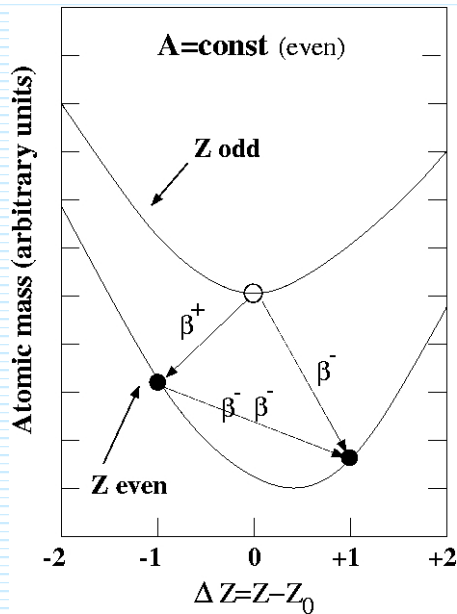


## OUTLINE

- *Introduction*
- **Nuclear matrix elements for the  $0\nu\beta\beta$ -decay**  
*different approaches*
- **QRPA with isospin restoration**  
*Is there a proportionality between  $0\nu\beta\beta$ -decay and  $2\nu\beta\beta$ -decay NMEs?*
- **Effect of quenching of  $g_A$  on the  $0\nu\beta\beta$ -decay half-life**  
*Improved description of the  $2\nu\beta\beta$ -decay => a possible way to fix value of  $g_A$*
- **Nuclear structure studies within schematic models**  
*violation of  $SU(4)$  symmetry, non-linear QRPA*
- *Conclusions*

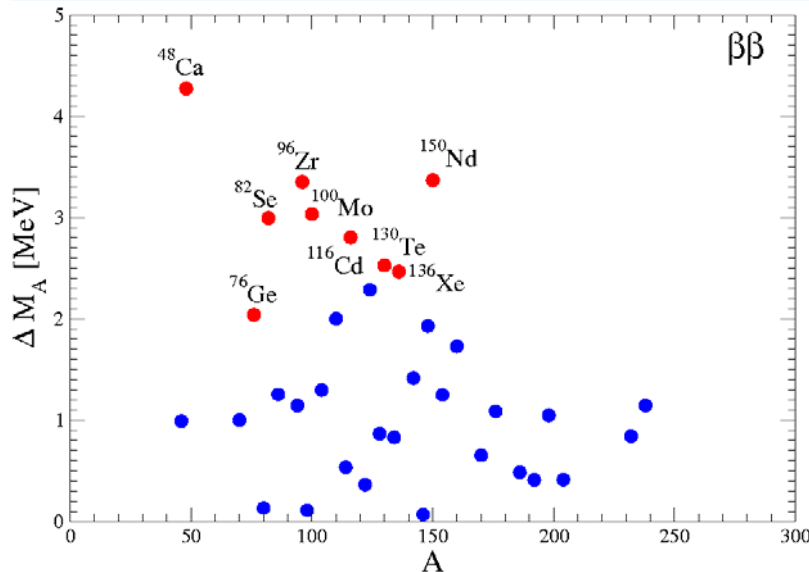
*Acknowledgements:* **A. Faesler** (Tuebingen), **P. Vogel** (Caltech), **J. Terasaki** (CTU Prague), **M. Krivoruchenko** (ITEP Moscow), **S. Petcov** (SISSA), **D. Štefánik** (Comenius U.) ...

The double beta decay process can be observed due to nuclear pairing interaction that favors energetically the even-even nuclei over the odd-odd nuclei



$$\frac{1}{T_{1/2}^{0\nu}} = \left| \frac{m_{\beta\beta}}{m_e} \right|^2 G^{01}(E_0, Z) |M^{0\nu}|^2$$

transition	$G^{01}(E_0, Z)$ $\times 10^{14}y$	$Q_{\beta\beta}$ [MeV]	Abund. (%)	$ M^{0\nu} ^2$
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	26.9	3.667	6	?
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	8.04	4.271	0.2	?
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	7.37	3.350	3	?
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	6.24	2.802	7	?
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	5.92	2.479	9	?
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	5.74	3.034	10	?
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	5.55	2.533	34	?
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	3.53	2.995	9	?
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	0.79	2.040	8	?

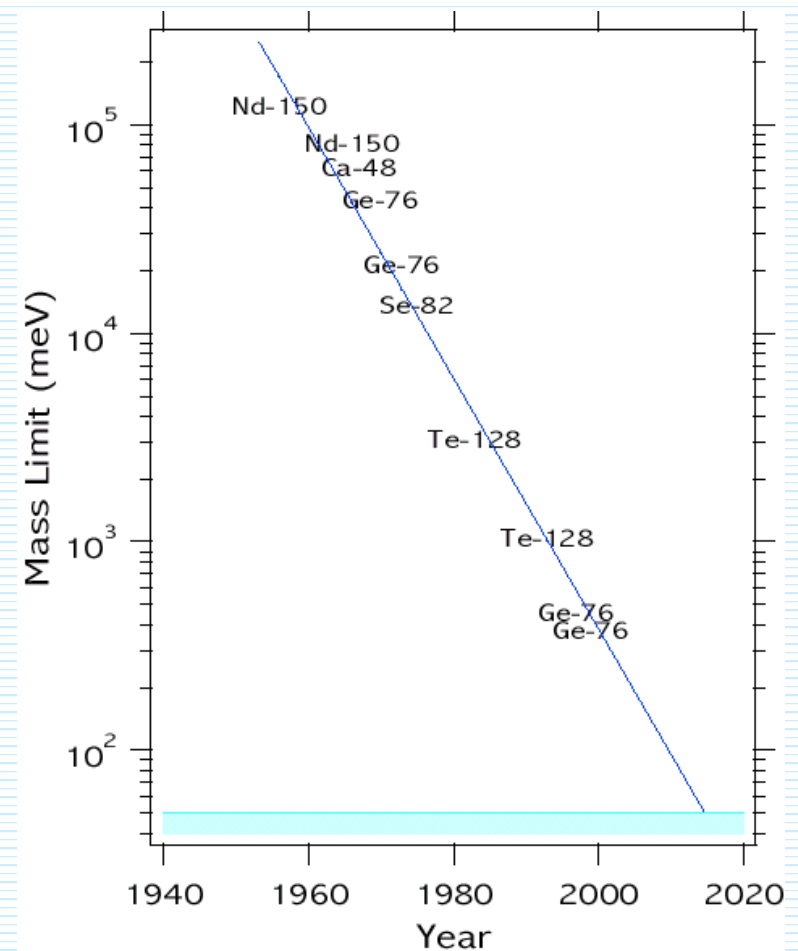


The NMEs for  $0\nu\beta\beta$ -decay must be evaluated using tools of nuclear theory

*If (or when) the  $0\nu\beta\beta$  decay is observed two theoretical problems must be resolved*

- 1) *What is the **mechanism of the decay**, i.e., what kind of virtual particle is exchanged between the affected nucleons (quarks).*
- 2) *How to relate the observed decay rate to the fundamental parameters, i.e., what is the value of the corresponding **nuclear matrix elements**.*

S.R. Elliott, P. Vogel,  
Ann.Rev.Nucl.Part.Sci. 52, 115 (2002)



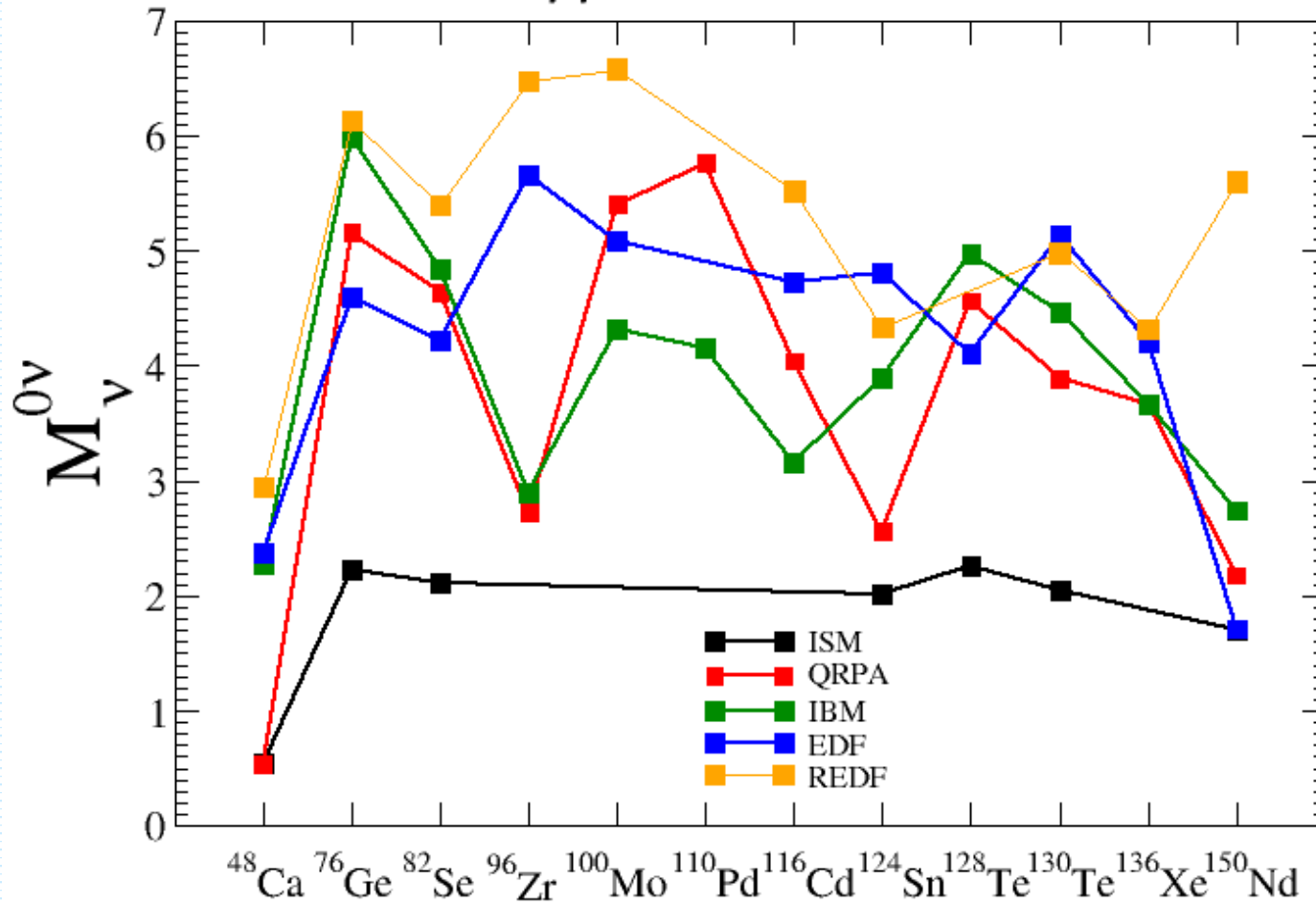
# *The $0\nu\beta\beta$ -decay: A nuclear physics problem*

*In double beta decay two neutrons bound in the ground state of an initial even-even nucleus are simultaneously transformed into two protons that are bound in the ground state or excited ( $0^+$ ,  $2^+$ ) states of the final nucleus*

*It is necessary to evaluate, with a sufficient accuracy, wave functions of both nuclei, and evaluate the matrix element of the  $0\nu\beta\beta$ -decay operator connecting them*

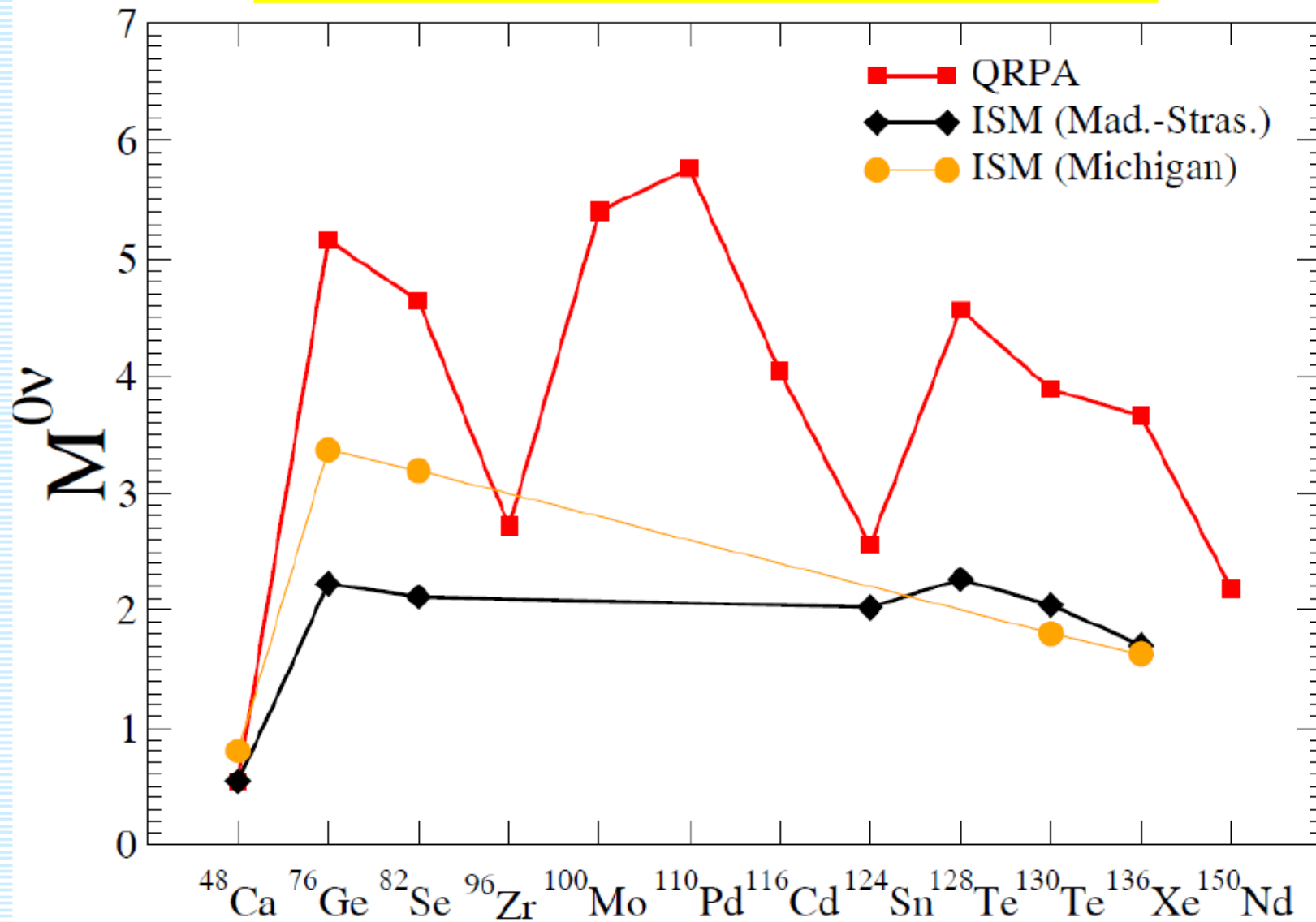
*This can not be done exactly, some approximation and/or truncation is always needed. Moreover, there is no other analogous observable that can be used to judge directly the quality of the result.*

## $0\nu\beta\beta$ NMEs -status 2016

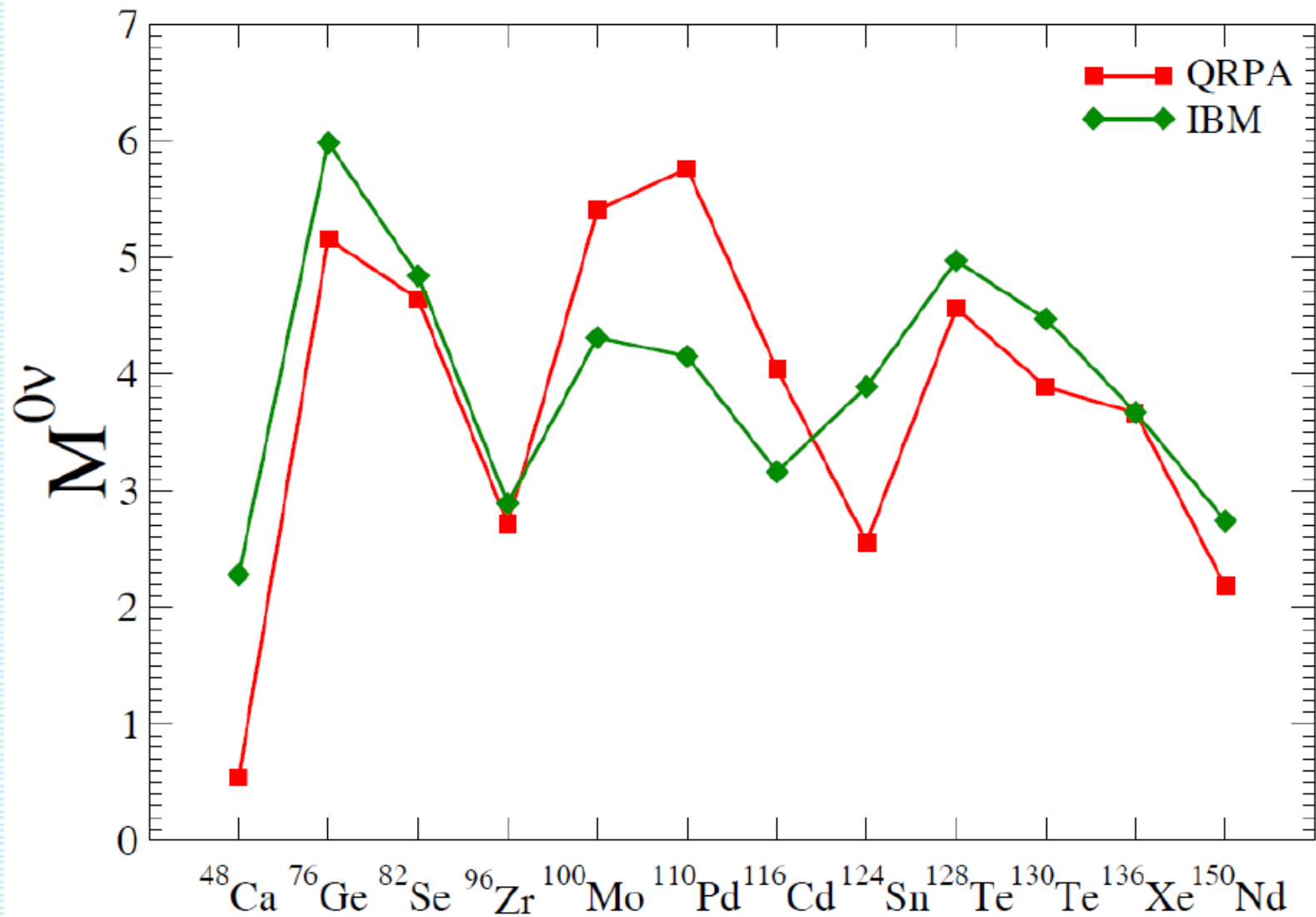


	mean field meth.	ISM	IBM	QRPA
Large model space	yes	no	yes	yes
Constr. Interm. States	no	yes	no	yes
Nucl. Correlations	limited	all	restricted	restricted

## QRPA versus Interacting Shell Model



## QRPA versus IBM



IBM: Barea, Kotila, Iachello, PRC (2013) 014315



Method	$g_A$	src	$M_\nu^{0\nu}$					
			$^{48}\text{Ca}$	$^{76}\text{Ge}$	$^{82}\text{Se}$	$^{96}\text{Zr}$	$^{100}\text{Mo}$	$^{110}\text{Pd}$
ISM-StMa	1.25	UCOM	0.85	2.81	2.64			
ISM-CMU	1.27	Argonne	0.80	3.37	3.19			
		CD-Bonn	0.88	3.57	3.39			
IBM	1.27	Argonne	1.75	4.68	3.73	2.83	4.22	4.05
QRPA-TBC	1.27	Argonne	0.54	5.16	4.64	2.72	5.40	5.76
		CD-Bonn	0.59	5.57	5.02	2.96	5.85	6.26
QRPA-Jy	1.26	CD-Bonn		5.26	3.73	3.14	3.90	6.52
dQRPA-NC	1.25	without		5.09				
PHFB	1.25	Argonne				2.84	5.82	7.12
		CD-Bonn				2.98	6.07	7.42
NREDF	1.25	UCOM	2.37	4.60	4.22	5.65	5.08	
REDF	1.25	without	2.94	6.13	5.40	6.47	6.58	
Mean value			1.34	4.55	4.02	3.78	5.57	6.12
variance			0.81	1.20	0.91	2.49	0.58	1.78

Method	$g_A$	src	$M_\nu^{0\nu}$					
			$^{116}\text{Cd}$	$^{124}\text{Sn}$	$^{128}\text{Te}$	$^{130}\text{Te}$	$^{136}\text{Xe}$	$^{150}\text{Nd}$
ISM-StMa	1.25	UCOM		2.62		2.65	2.19	
ISM-CMU	1.27	Argonne		2.00		1.79	1.63	
		CD-Bonn		2.15		1.93	1.76	
IBM	1.27	Argonne	3.10	3.19	4.10	3.70	3.05	2.67
QRPA-TBC	1.27	Argonne	4.04	2.56	4.56	3.89	2.18	
		CD-Bonn	4.34	2.91	5.08	4.37	2.46	3.37
QRPA-Jy	1.26	CD-Bonn	4.26	5.30	4.92	4.00	2.91	
dQRPA-NC	1.25	without				1.37	1.55	2.71
PHFB	1.27	Argonne			3.90	3.81		2.58
		CD-Bonn			4.08	3.98		2.68
NREDF	1.25	UCOM	4.72	4.81	4.11	5.13	4.20	1.71
REDF	1.25	without	5.52	4.33		4.98	4.32	5.60
Mean value			4.34	3.07	4.34	3.42	2.59	3.01
variance			0.79	1.01	0.23	1.67	1.10	1.34

**NMEs for  
unquenched value  
of  $g_A$**

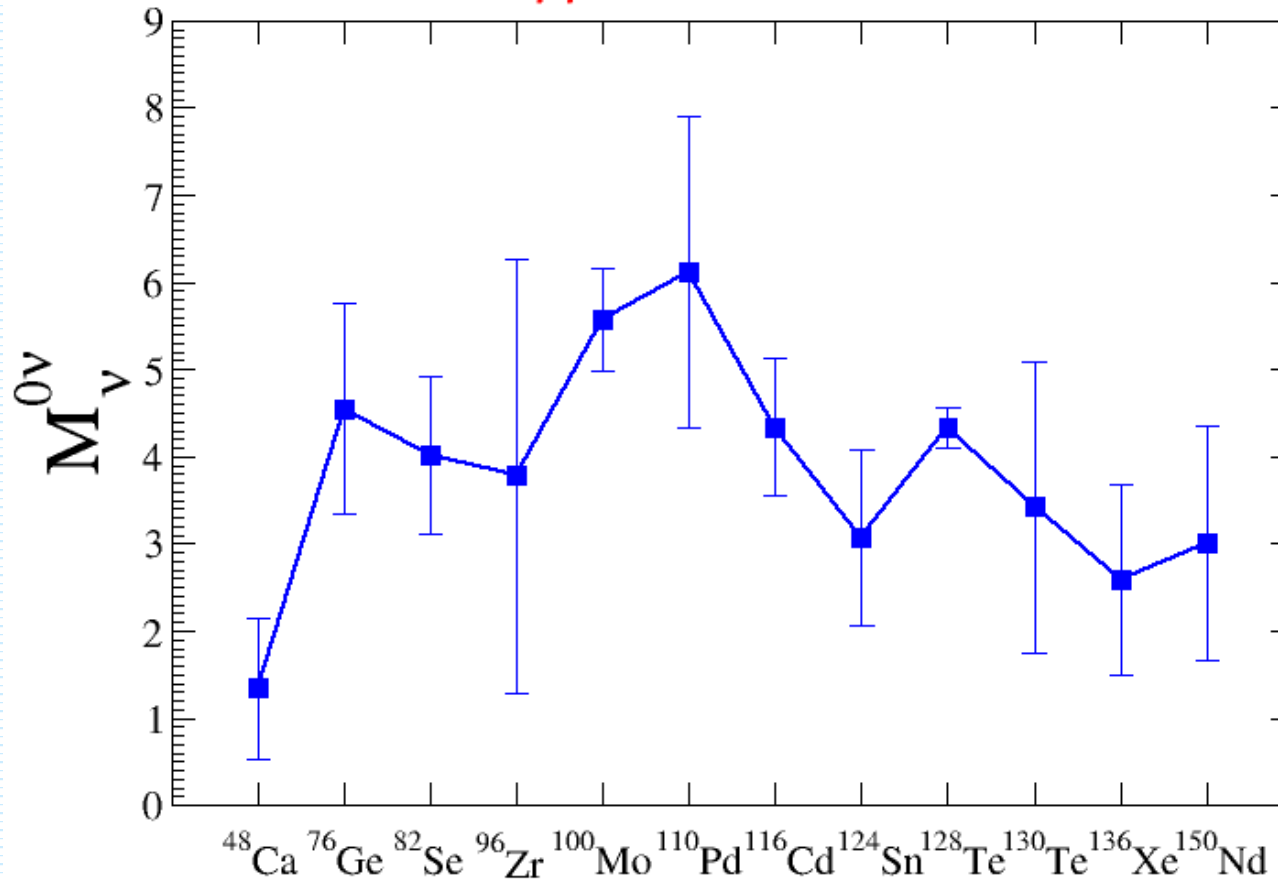
**Mean field approaches  
(PHFB, NREDF, REDF)  
⇒ Large NMEs**

**Interacting Shell Model  
(ISM-StMa, ISM-CMU)  
⇒ small NMEs**

**Quasiparticle Random  
Phase Approximation  
(QRPA-TBC, QRPA-Jy,  
dQRPA-NC)  
⇒ Intermediate NMEs**

**Interacting Boson Model  
(IBM)  
⇒ Close to QRPA results**

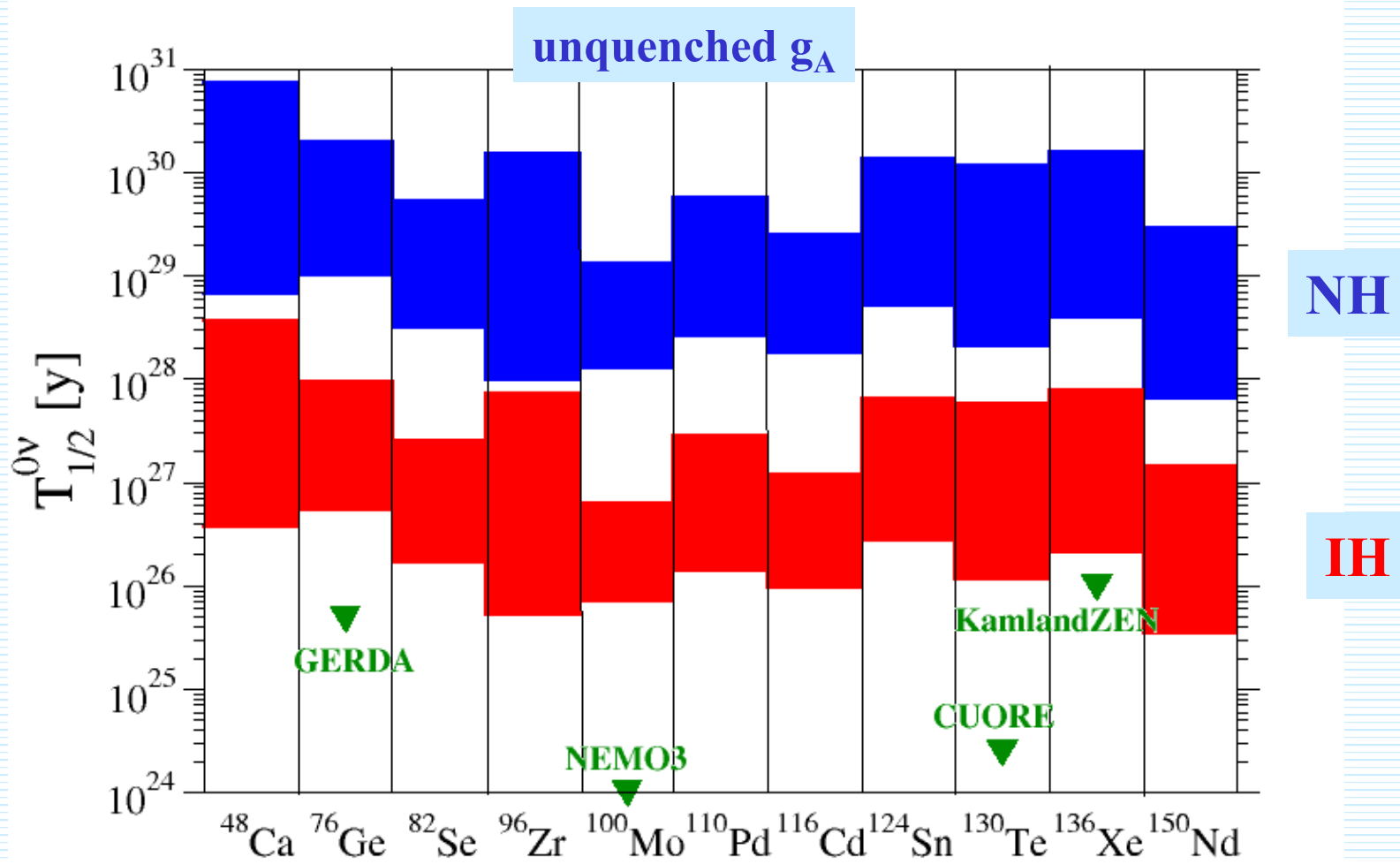
## $0\nu\beta\beta$ NMEs -status 2017



J.D.Vergados, H. Ejiri, , F.Š., Int. J. Mod. Phys. E25, 1630007(2016)

	mean field meth.	ISM	IBM	QRPA
Large model space	yes	no	yes	yes
Constr. Interm. States	no	yes	no	yes
Nucl. Correlations	limited	all	restricted	restricted

# 0νββ –half lives for NH and IH with included uncertainties in NMEs



**NH:**  $m_1 \ll m_2 \ll m_3$   $m_3 \simeq \sqrt{\Delta m^2}$

**IH:**  $m_3 \ll m_1 < m_2$   $m_1 \simeq m_2 \simeq \sqrt{\Delta m^2}$

$m_1 \ll \sqrt{\delta m^2}$ ,  $m_2 \simeq \sqrt{\delta m^2}$

$m_3 \ll \sqrt{\Delta m^2}$

$1.4 \text{ meV} \leq m_{\beta\beta} \leq 3.6 \text{ meV}$

**Lightest  $\nu$ -mass equal to zero**

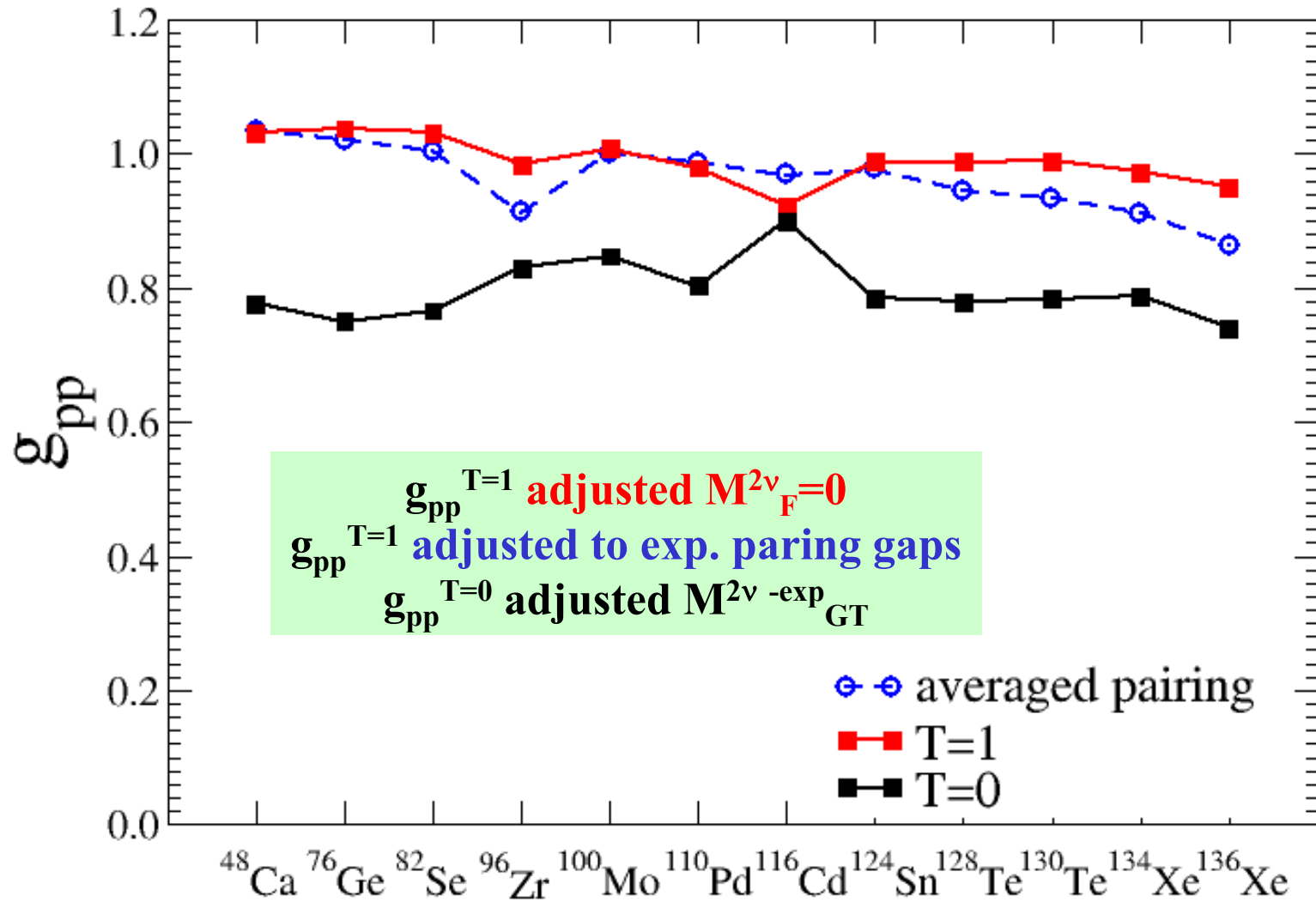
$20 \text{ meV} \leq m_{\beta\beta} \leq 49 \text{ meV}$

# **QRPA and isospin symmetry restoration**

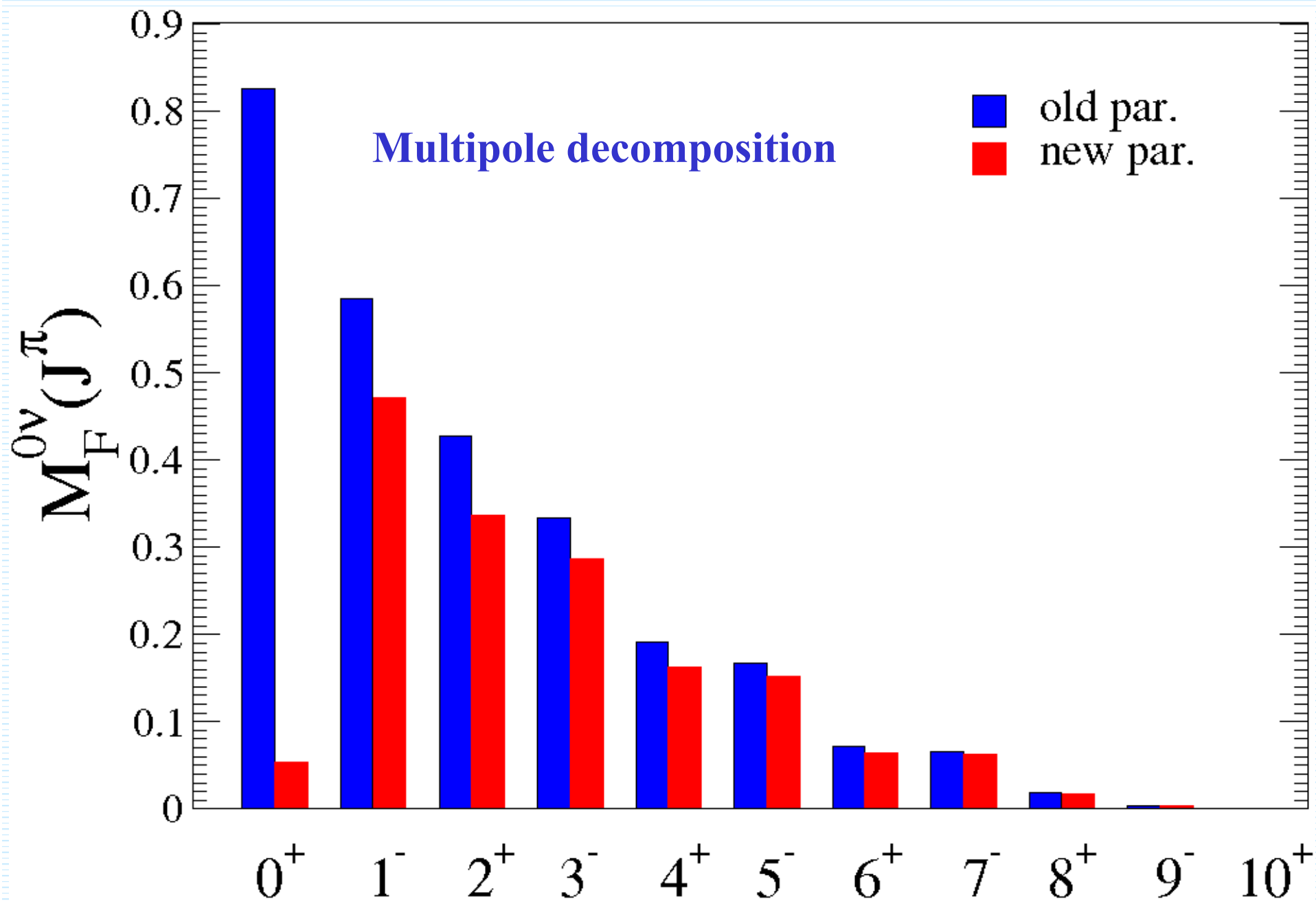
**F.Š., V. Rodin, A. Faessler, and P. Vogel**

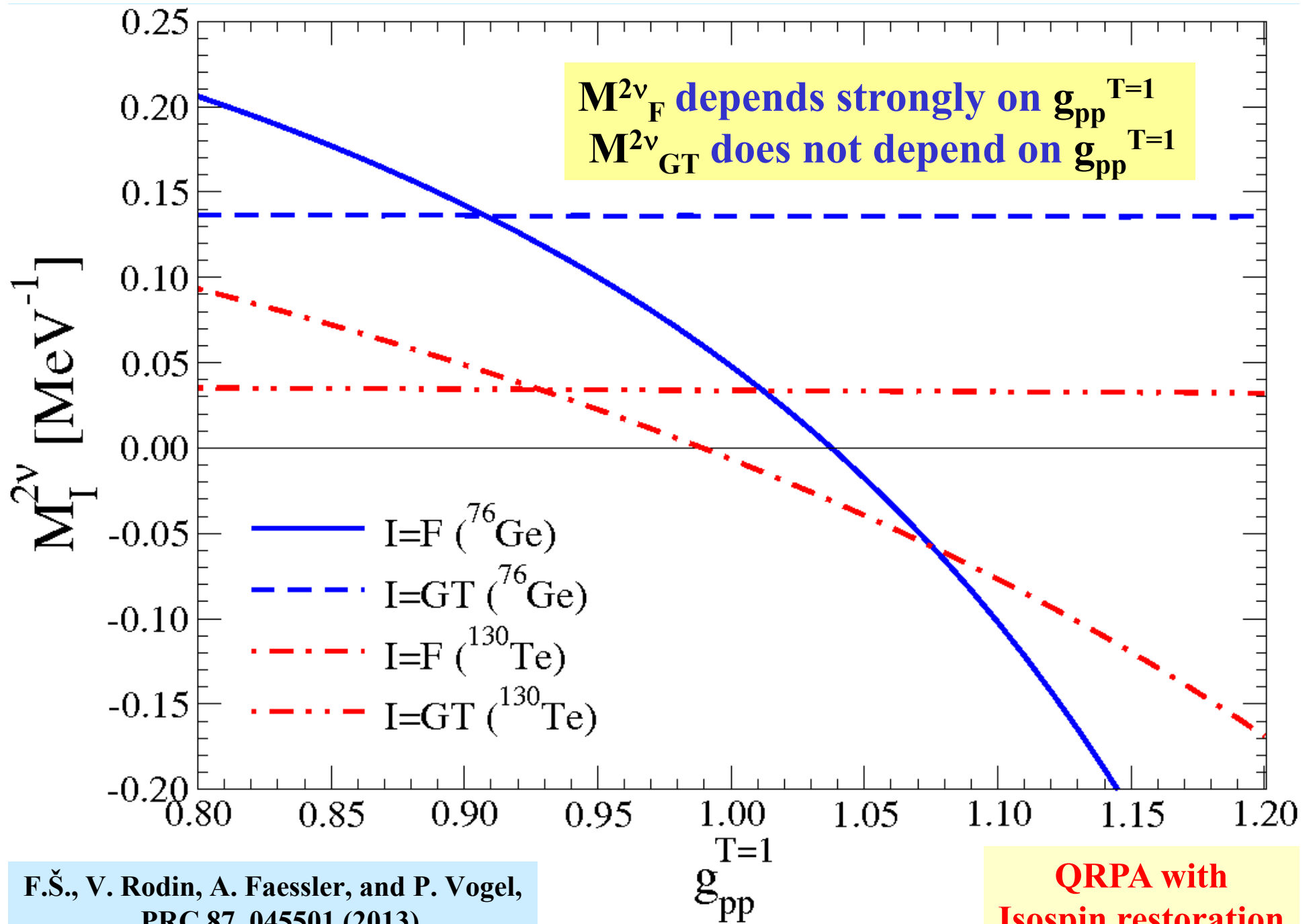
**PRC 87, 045501 (2013)**

Close values ■ and ■ => no new parameter

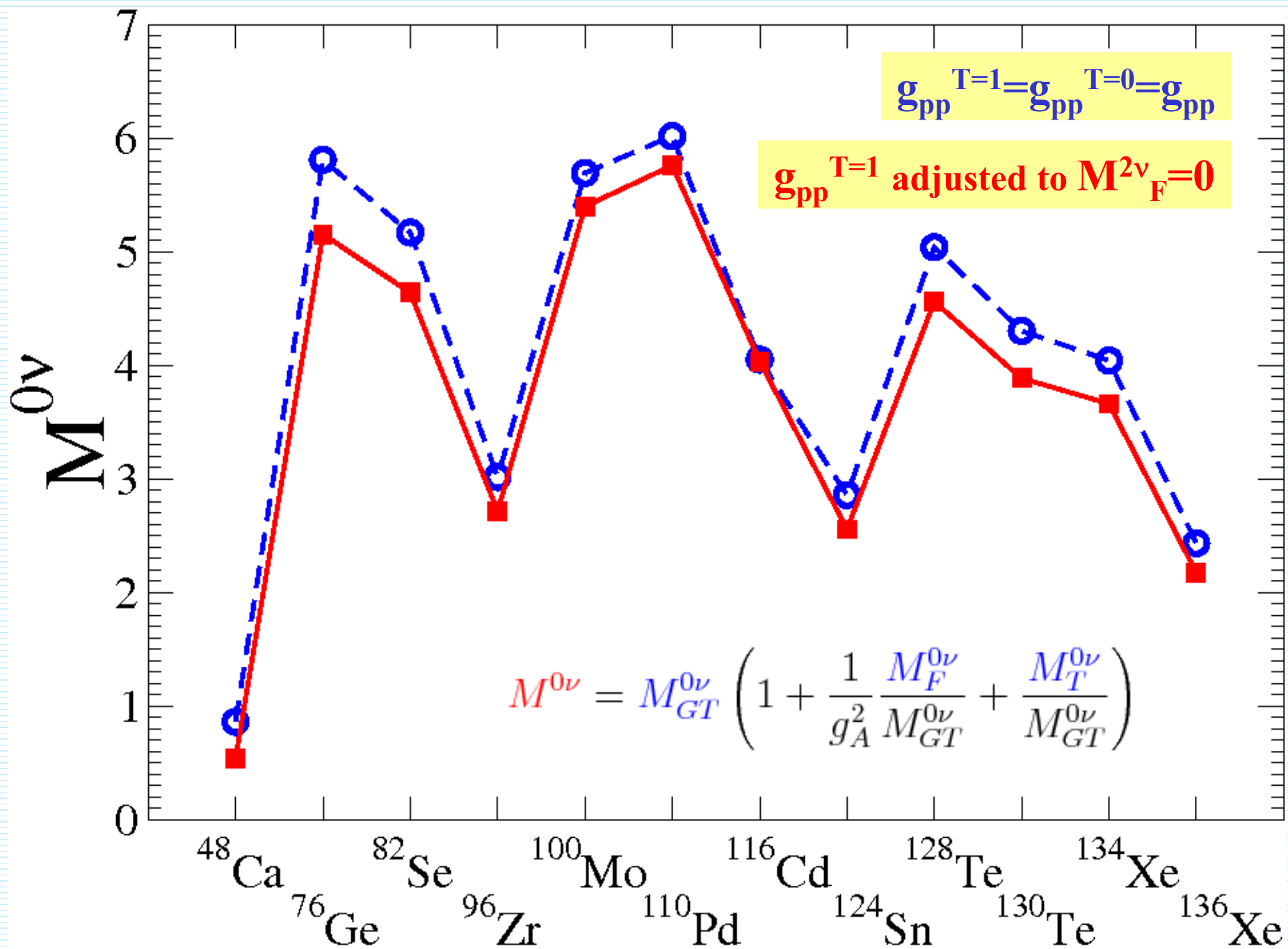


Separation of  $g_{pp}$  into  $g_{pp}^{T=0}$  and  $g_{pp}^{T=1}$





F.Š., V. Rodin, A. Faessler, and P. Vogel,  
 PRC 87, 045501 (2013)





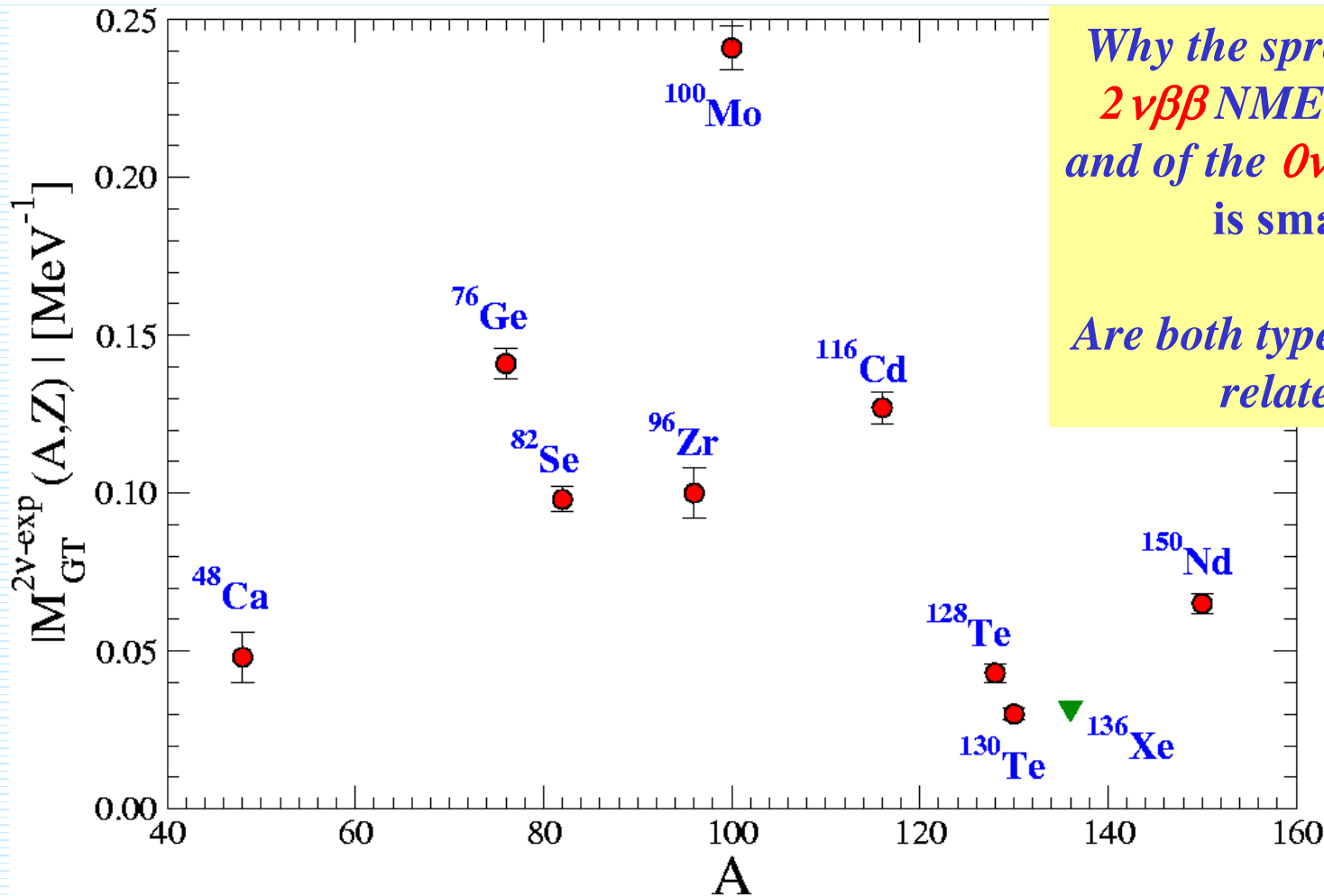
## *On the relation between 0 $\nu\beta\beta$ -decay and 2 $\nu\beta\beta$ -decay (GT) NMEs*

F.Š., R. Hodák, A. Faessler, P. Vogel, PRC 83, 015502 (2011)

$$M^{0\nu} = M_{GT}^{0\nu} \left( 1 + \frac{1}{g_A^2} \frac{M_F^{0\nu}}{M_{GT}^{0\nu}} + \frac{M_T^{0\nu}}{M_{GT}^{0\nu}} \right)$$

## *2νββ-decay NMEs*

$$\frac{1}{T_{1/2}^{2\nu-exp}} = G^{2\nu}(E_0, Z) g_A^4 |M_{GT}^{2\nu}|^2$$



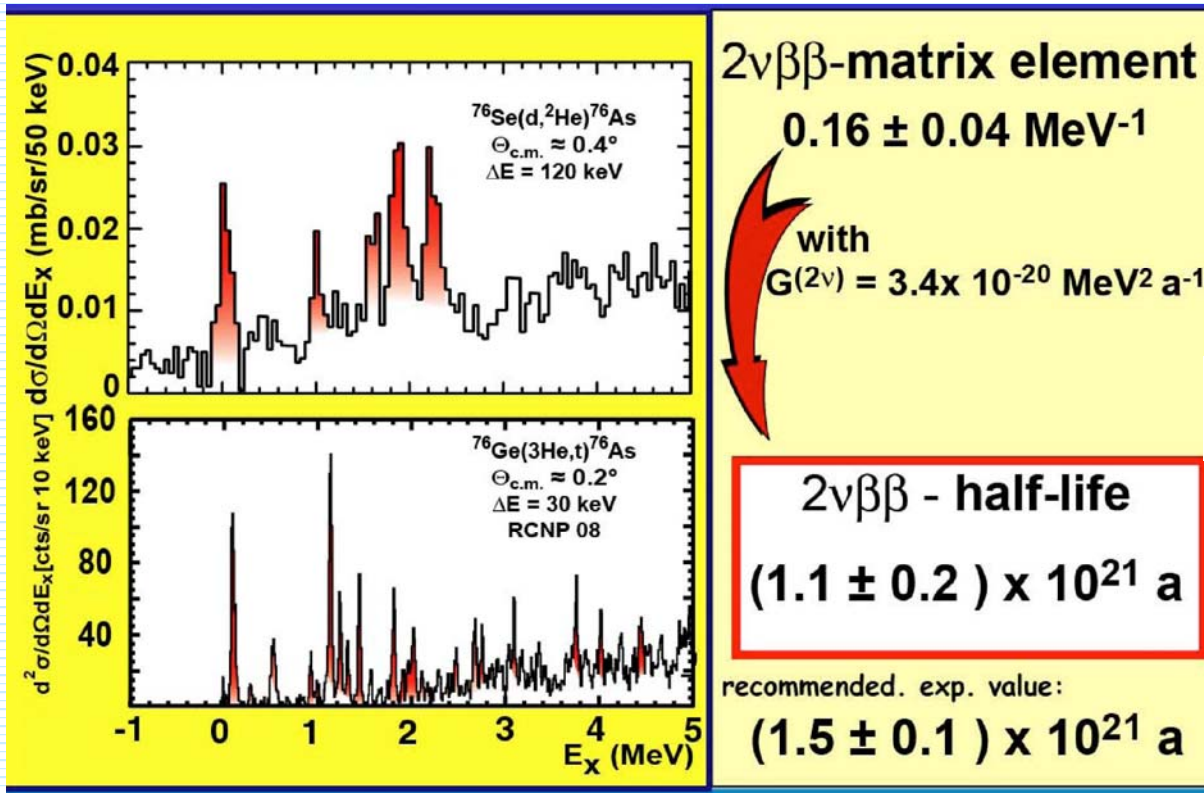
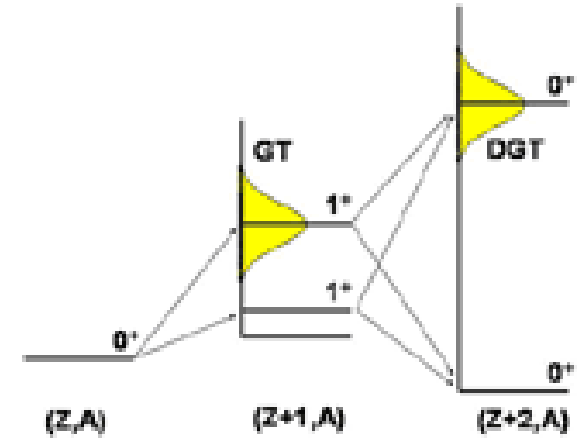
*Why the spread of the 2νββ NMEs is large and of the 0νββ NMEs is small?*

*Are both type of NMEs related?*

*Differences among 2νββ-decay NMEs: up to factor 10*

The cross sections of  $(t, {}^3\text{He})$  and  $(d, {}^2\text{He})$  reactions give  $B(GT^\pm)$  for  $\beta^+$  and  $\beta^-$ , product of the amplitudes  $(B(GT)^{1/2})$  entering the numerator of  $M_{GT}^{2\nu}$

$$M_{GT}^{2\nu} = \sum_m \frac{M_{GT}^{(+)}(m) M_{GT}^{(-)}(m)}{Q_{\beta\beta}/2 + m_e + E_x(1_m^+) - E_0}$$



Closure  $2\nu\beta\beta$ -decay  
*NME*

$$M_{GT-cl}^{2\nu} = \sum_m M_{GT}^{(+)}(m) M_{GT}^{(-)}(m)$$

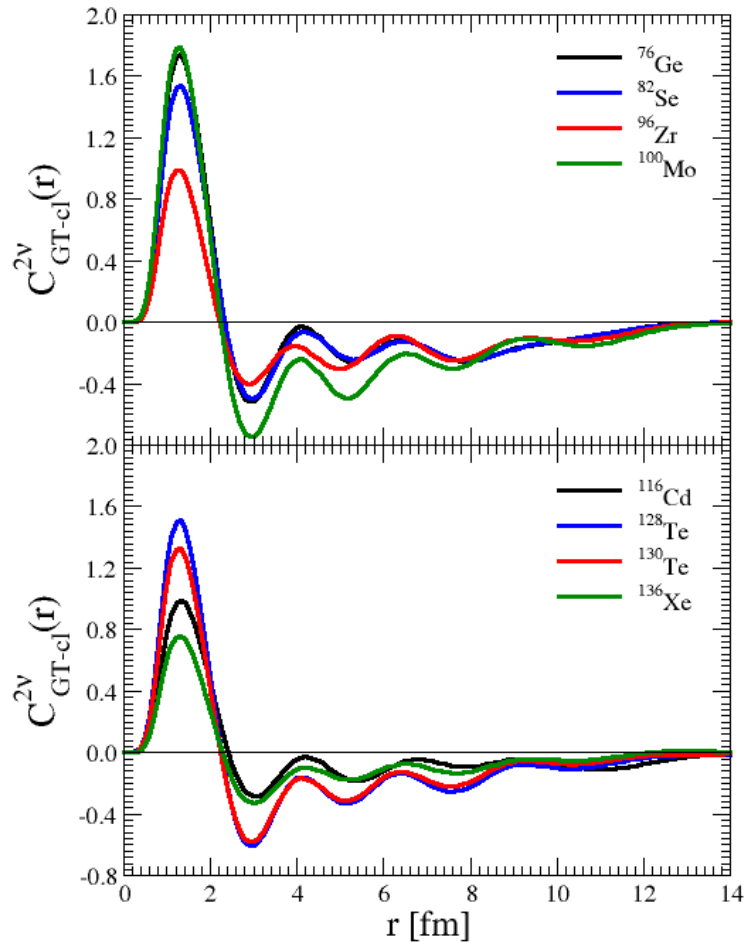
*SSD hypothesis*

$$g_A^2 M_{GT-cl}^{2\nu} = \frac{3 D}{\sqrt{ft_{EC} ft_{\beta^-}}}$$

Going to relative coordinates:

$$M_{GT-cl}^{2\nu} = \int_0^\infty C_{GT-cl}^{2\nu}(r) dr$$

*r*- relative distance  
of two nucleons



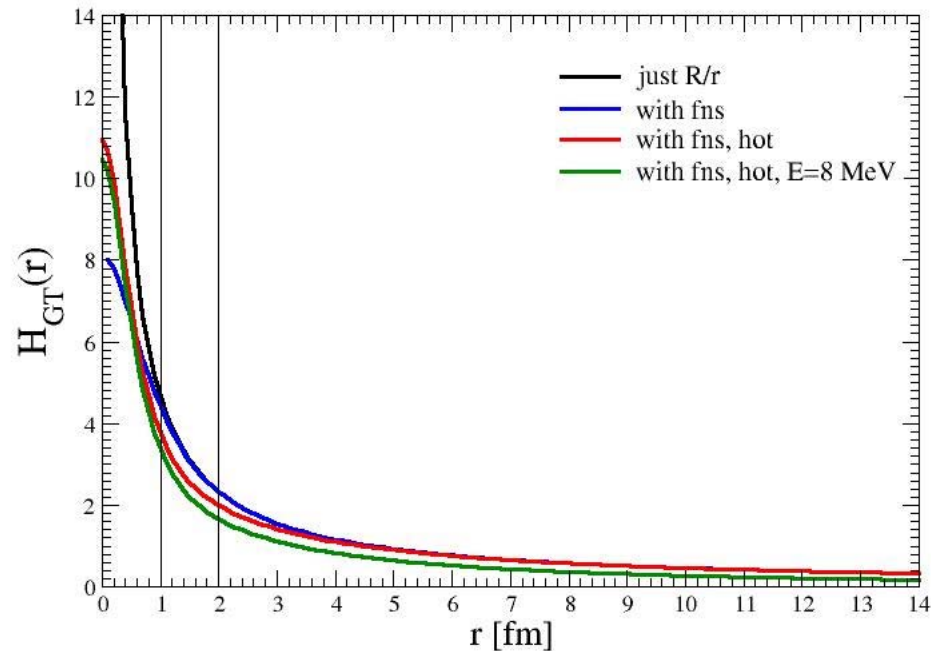
## A connection between closure $2\nu\beta\beta$ and $0\nu\beta\beta$ GT NMEs

F.Š., R. Hodák, A. Faessler, P. Vogel, PRC 83, 015502 (2011)

$$M_{GT}^{0\nu} = \int_0^\infty H_{GT}^{0\nu}(r) C_{GT-cl}^{2\nu}(r) dr$$

Neutrino potential

$$H(r) = R \frac{2}{\pi} \int_0^\infty j_0(qr) \frac{q}{q + \overline{E}} f_{FNS}^2(q^2) g_{HOT}(q^2) dr$$



Neutrino potential prefer short distances

## Closure $2\nu\beta\beta$ GT NME

The only non-zero contribution  
from  $J^\pi=1^+$

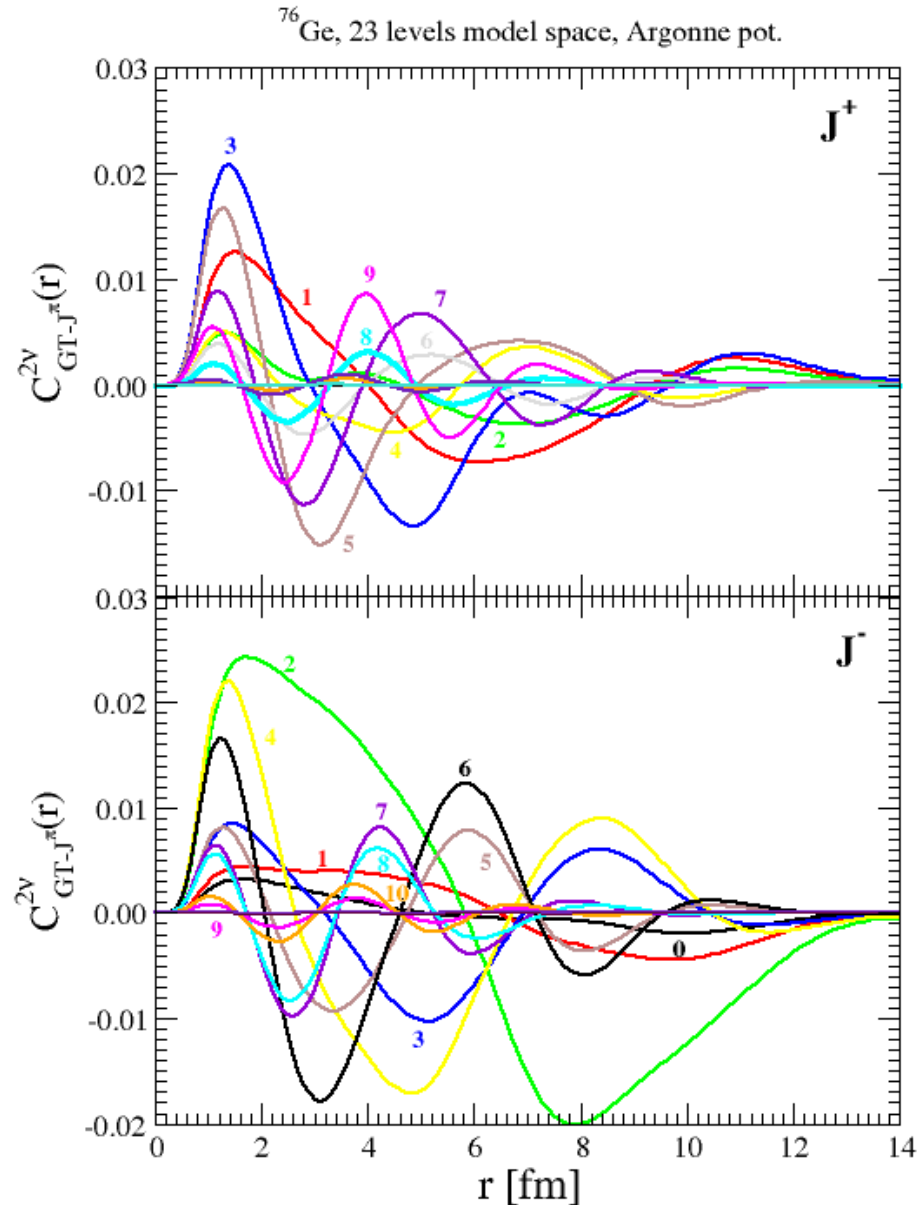
$$M_{GT-cl}^{2\nu} = \sum_{J^\pi, m} \langle 0_f^+ | \tau^+ \vec{\sigma} | J^\pi, m \rangle \cdot \langle J^\pi, m | \tau^+ \vec{\sigma} | 0_i^+ \rangle$$

$$\Rightarrow \sum_m \langle 0_f^+ | \tau^+ \vec{\sigma} | 1^+, m \rangle \cdot \langle 1^+, m | \tau^+ \vec{\sigma} | 0_i^+ \rangle$$

$$M_{GT-cl}^{2\nu} = \sum_{J^\pi} \int_0^\infty C_{GT-J^\pi}^{2\nu}(r) dr$$

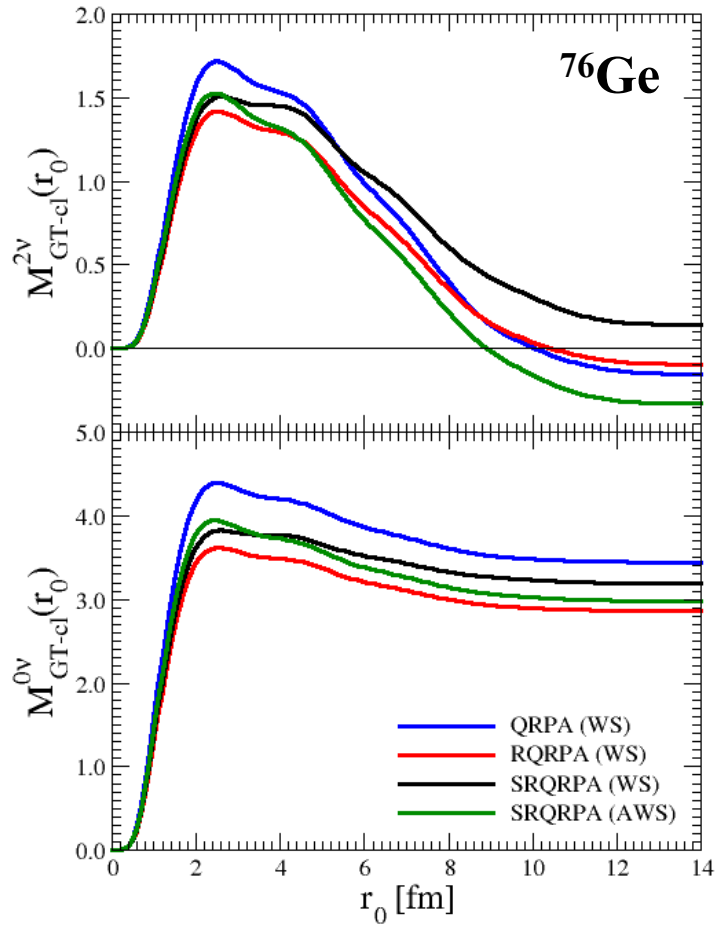
7/12/2017

F



$M^{0\nu}_{GT}$  depends weakly on  $g_A/g_{pp}$   
and QRPA approach unlike  $M^{2\nu}_{GT}$

Nucleon Nuclear physics

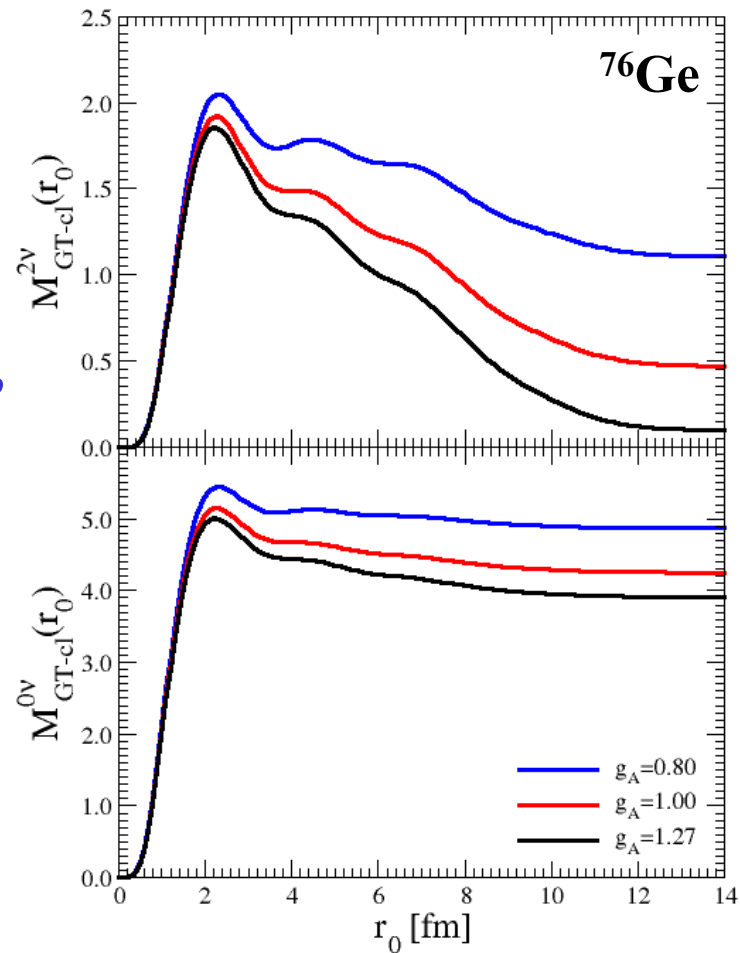


Different QRPA-like approaches

F.Š.,

$$M^{0\nu}_{GT}(r_0) = \int_0^{r_0} H^{0\nu}_{GT}(r) C^{2\nu}_{GT-cl}(r) dr$$

Nucleon Nuclear physics



Dependence on axial-vector coupling

## Phenomenological estimation of $M^{0\nu}_{GT}$

Nucleus	$T_{1/2}^{2\nu-exp}$ [y] [years]	$ M_{GT}^{2\nu-exp} $ [MeV <sup>-1</sup> ]	SSD		ChER		
			$ M_{GT-cl}^{2\nu} $	$ M^{0\nu-ph} $	$ M_{GT}^{2\nu} $ [MeV <sup>-1</sup> ]	$ M_{GT-cl}^{2\nu} $	$ M^{0\nu-ph} $
<sup>48</sup> Ca	$4.4 \times 10^{19}$	0.046	-	-	0.083	0.220	1.98
<sup>76</sup> Ge	$1.5 \times 10^{21}$	0.0136	-	-	0.159	0.522	5.46
<sup>96</sup> Zr	$2.3 \times 10^{19}$	0.090	-	-	-	0.222	3.45
<sup>100</sup> Mo	$7.1 \times 10^{18}$	0.231	0.350	4.02	-	-	-
<sup>116</sup> Cd	$2.8 \times 10^{19}$	0.126	0.349	4.21	0.064	0.305	3.67
<sup>128</sup> Te	$1.9 \times 10^{24}$	0.126	0.033	0.41	-	-	-

### Neutrino potential

$$H(r) = R \frac{2}{\pi} \int_0^\infty j_0(qr) \frac{q}{q + \bar{E}} f_{FNS}^2(q^2) g_{HOT}(q^2) dr$$

$$\begin{aligned} M_{GT}^{0\nu} &= H_{GT}(r=0) M_{GT-cl}^{2\nu} \\ &\quad - \int_0^\infty \mathcal{F}(r) C_{GT-cl}^{2\nu}(r) dr \\ &= M_{GT}^{0\nu-ph} - M_{GT}^{0\nu-rest} \end{aligned}$$

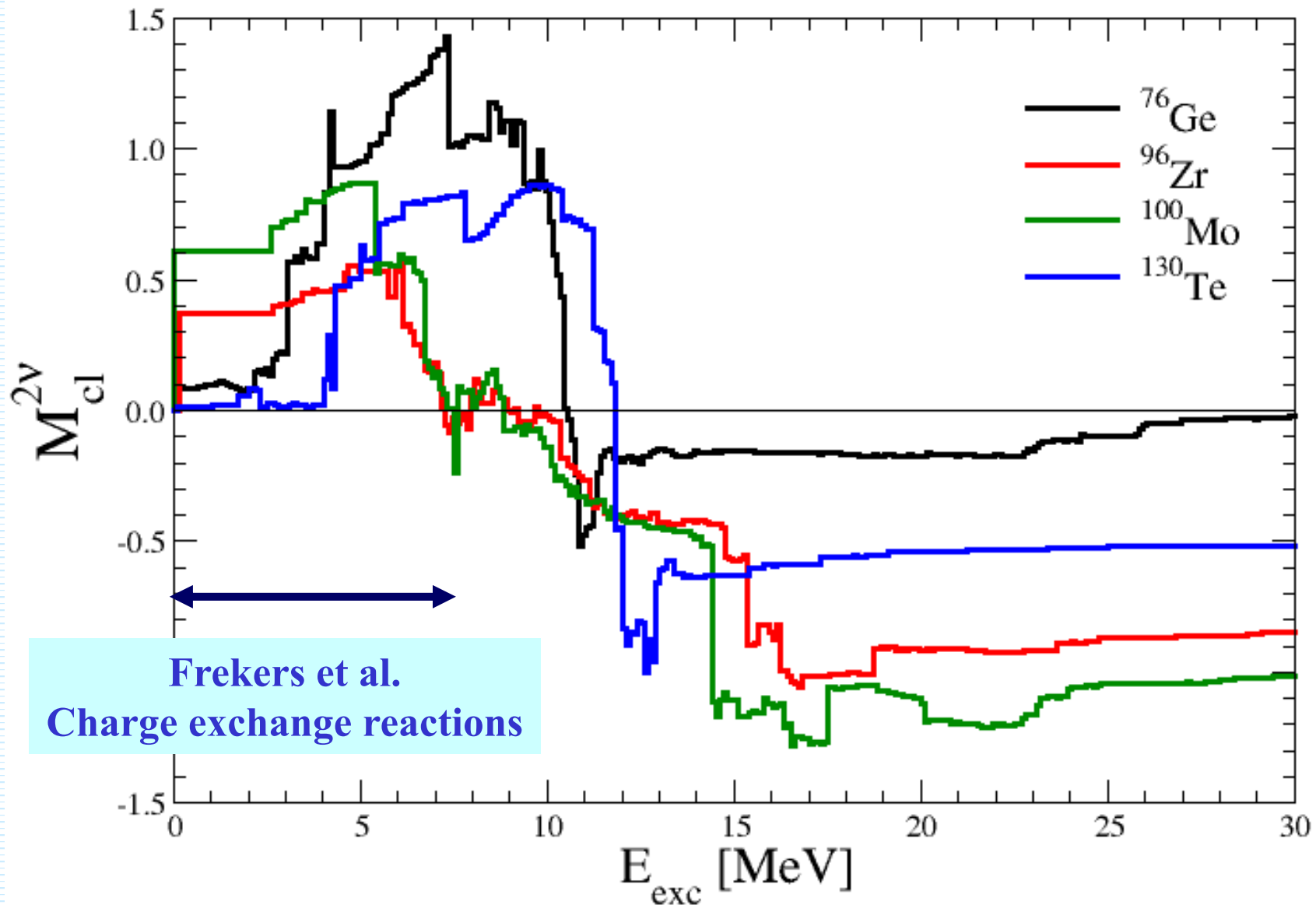
### with Taylor expansion

$$\begin{aligned} j_0(qr) &= 1 - \frac{1}{6}(qr)^2 + \frac{1}{120}(qr)^4 - \dots \\ &= 1 - \mathcal{F}(r) \end{aligned}$$

**A: Phenomen.  
prediction:  
Too large  
(~ factor 2)**

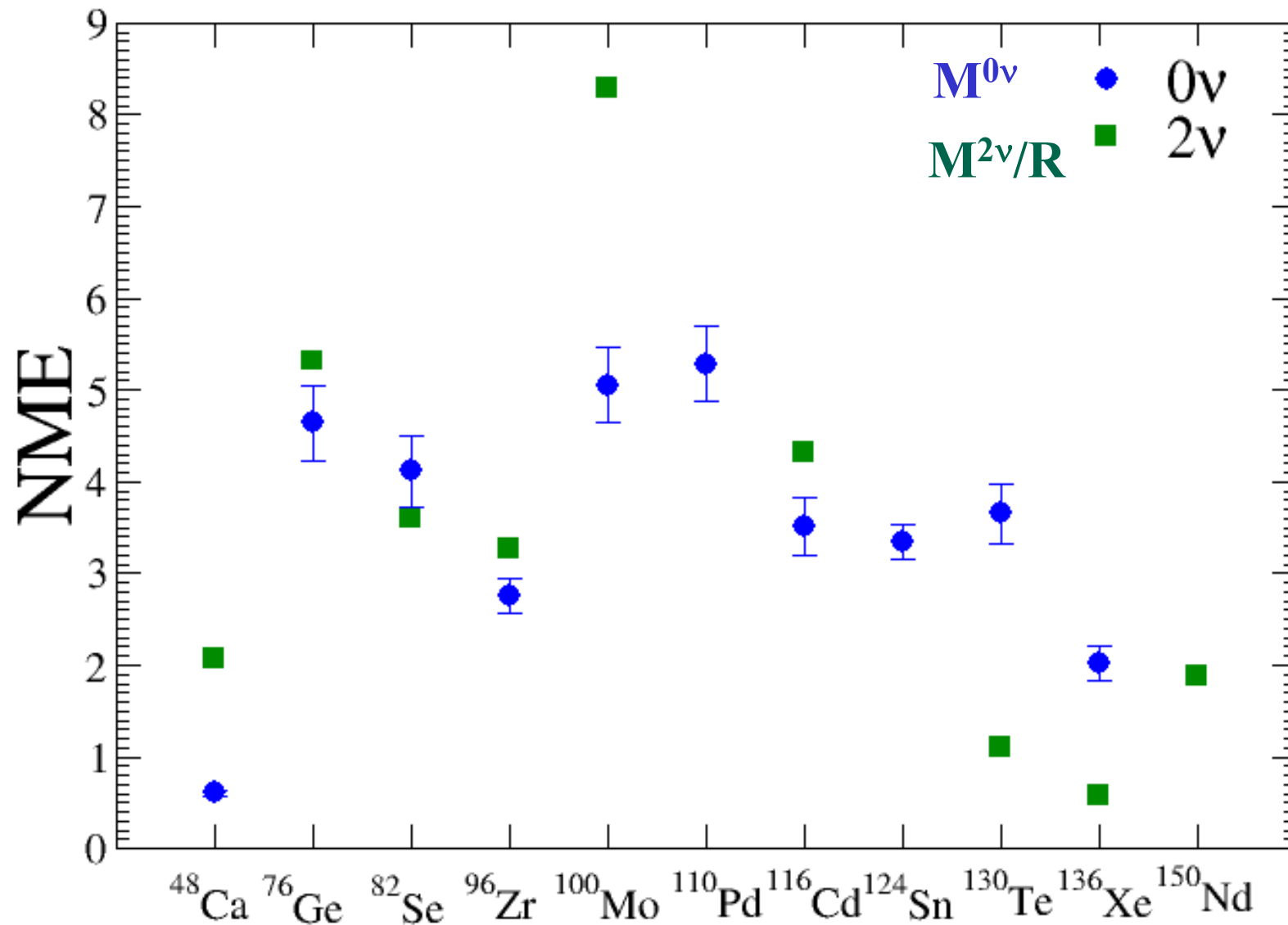
**B: Need to be  
calculated  
Not  
negligible**

**There is no proportionality between  $0\nu\beta\beta$ -decay and  $2\nu\beta\beta$ -decay NME!!!**



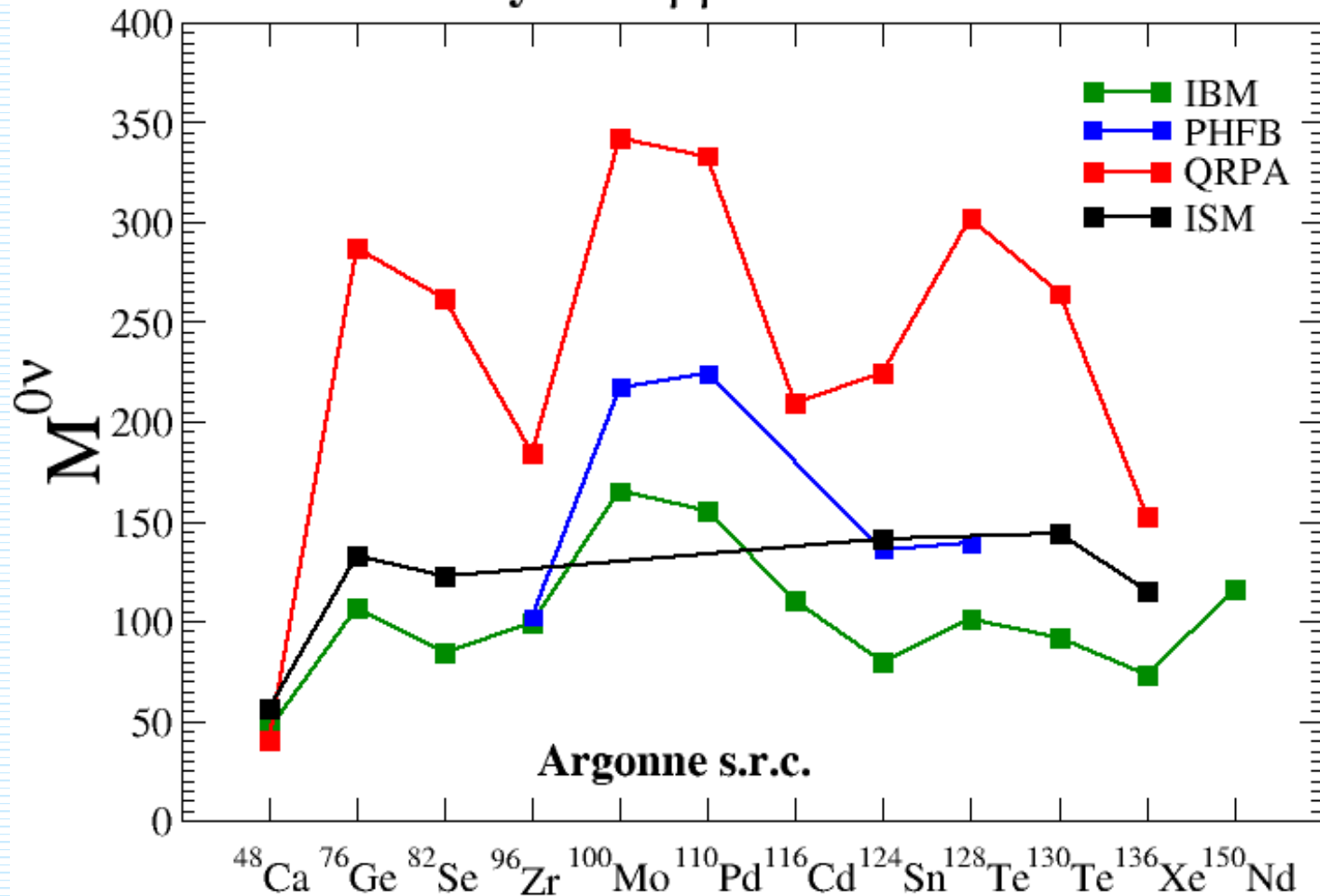


*Is there a connection between  $0\nu\beta\beta$  and  $2\nu\beta\beta$ -decay NME?*



F. Š., Nucl. Phys. B, Proc. Suppl. (2014) – Proceeding of NOW14 conference

## Heavy $\nu$ : $0\nu\beta\beta$ NMEs -status 2014

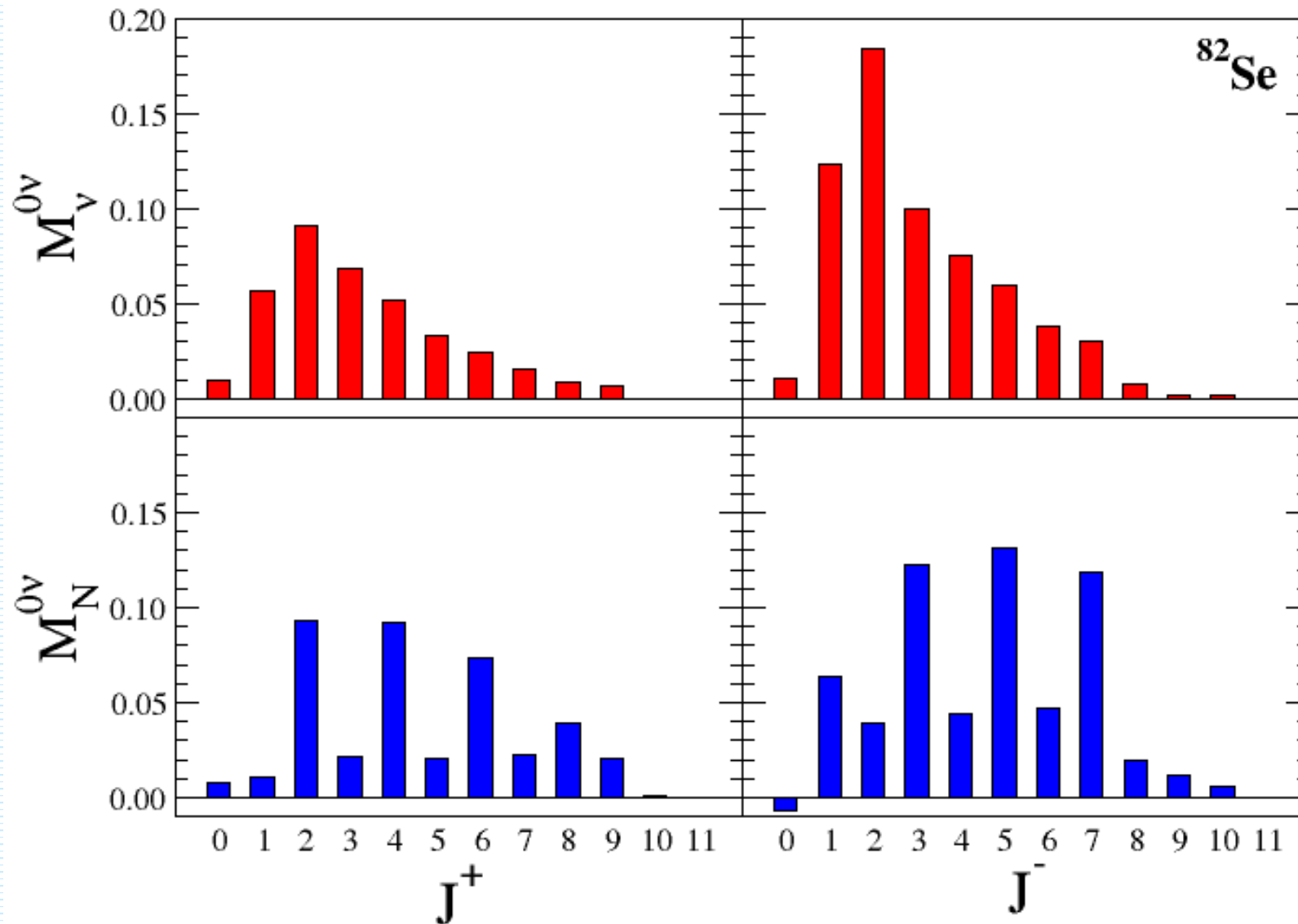


**PHFB:** K. Rath et al., PRC 85 (2012) 014308  
**IBM:** Barea, Kotila, Iachello, PRC (2013) 014315

Fedo

**QRPA:** Faessler, Gonzales, F. Š., Kovalenko, PRD 90 (2014) 096010  
 Vergados, Ejiri, F. Š., RPP 75 (2012) 106301  
**ISM:** Menendez, private communications

# *Multipole decomposition of NMEs normalized to unity*

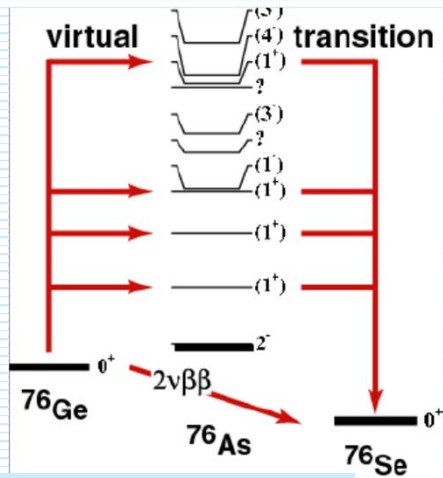


$g_A^4 = (1.269)^4 = 2.6$  **Quenching of  $g_A$**  (from exp.:  $T_{1/2}^{0\nu}$  up 2.5 x larger)

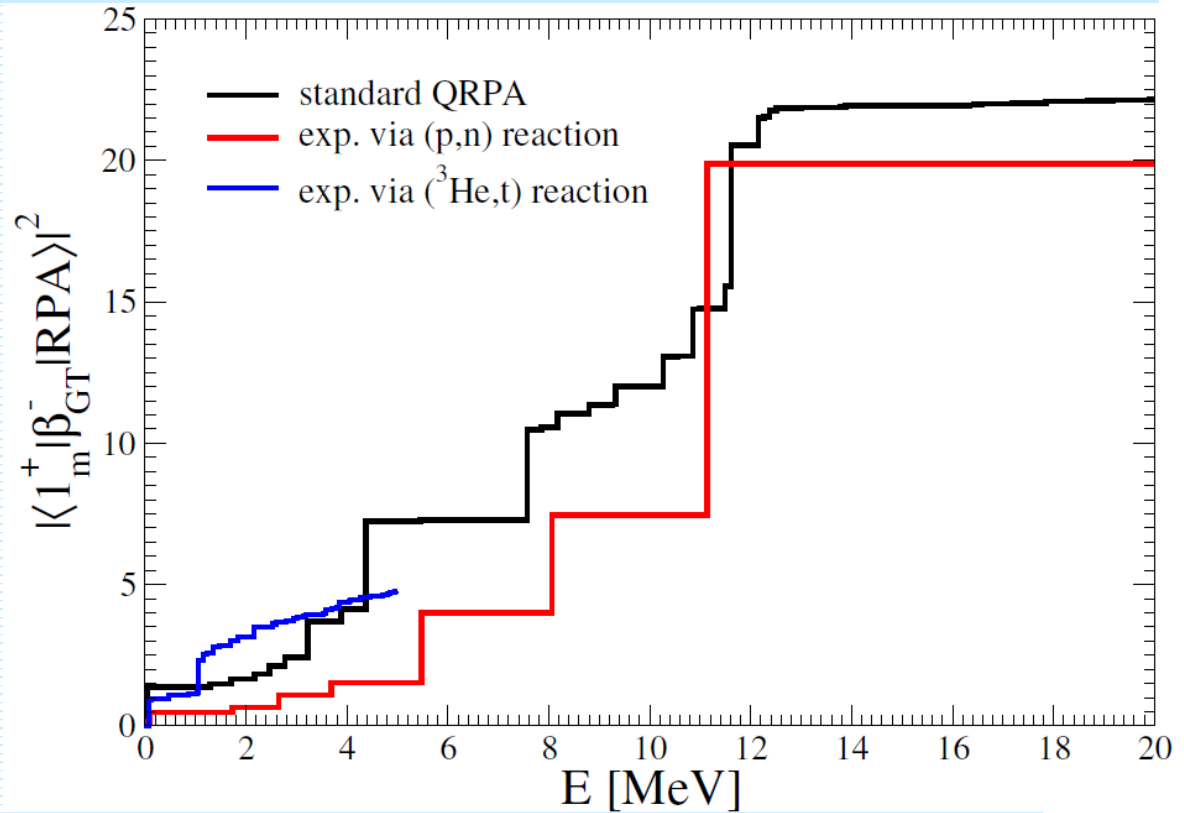
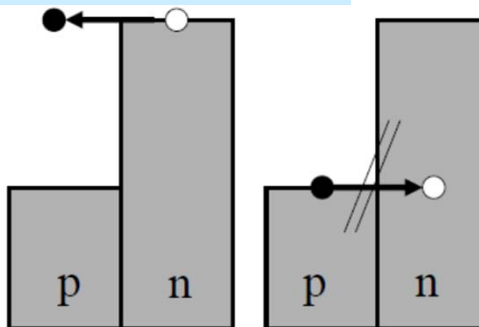
$(g_A^{\text{eff}})^4 = 1.0$

Strength of GT trans. (approx. given by Ikeda sum rule =  $3(N-Z)$ )  
has to be quenched to reproduce experiment

${}^{76}_{32}\text{Ge}_{44} \Rightarrow$   
 $S_{\beta^-} - S_{\beta^+} = 3(N-Z) = 36$



**Pauli blocking**



**Cross-section for charge exchange reaction:**

$\left[ \frac{d\sigma}{d\Omega} \right] = \left[ \frac{\mu}{\pi\hbar} \right]^2 \frac{k_f}{k_i} N_d |v_{\sigma\tau}|^2 |\langle f | \sigma\tau | i \rangle|^2$

$q = 0!!$

largest at 100 - 200 MeV/A

## Quenching of $g_A$ (from theory: $T_{1/2}^{0\nu}$ up 50 x larger)

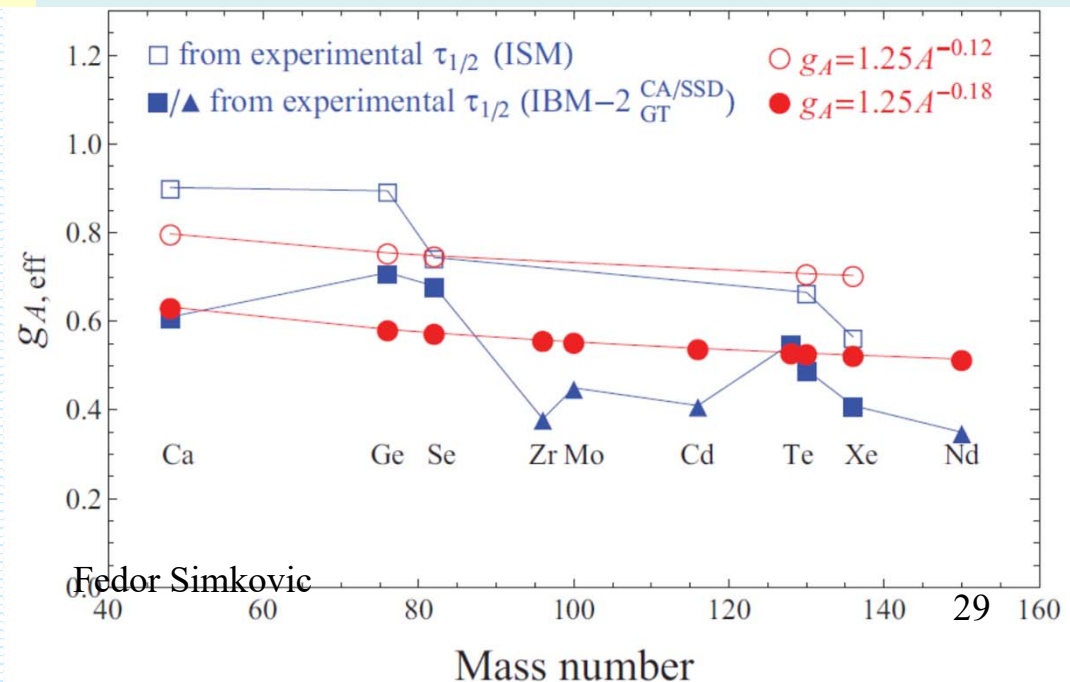
$(g_A^{\text{eff}})^4 \simeq 0.66$  ( $^{48}\text{Ca}$ ),  $0.66$  ( $^{76}\text{Ge}$ ),  $0.30$  ( $^{76}\text{Se}$ ),  $0.20$  ( $^{130}\text{Te}$ ) and  $0.11$  ( $^{136}\text{Xe}$ )

**The Interacting Shell Model (ISM)**, which describes qualitatively well energy spectra, does reproduce experimental values of  $M^{2\nu}$  only by consideration of significant quenching of the Gamow-Teller operator, typically by **0.45 to 70%**.

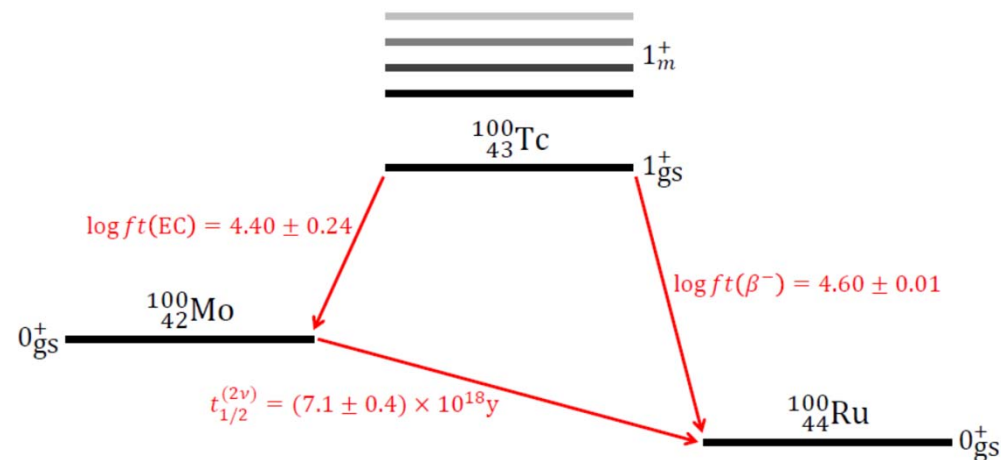
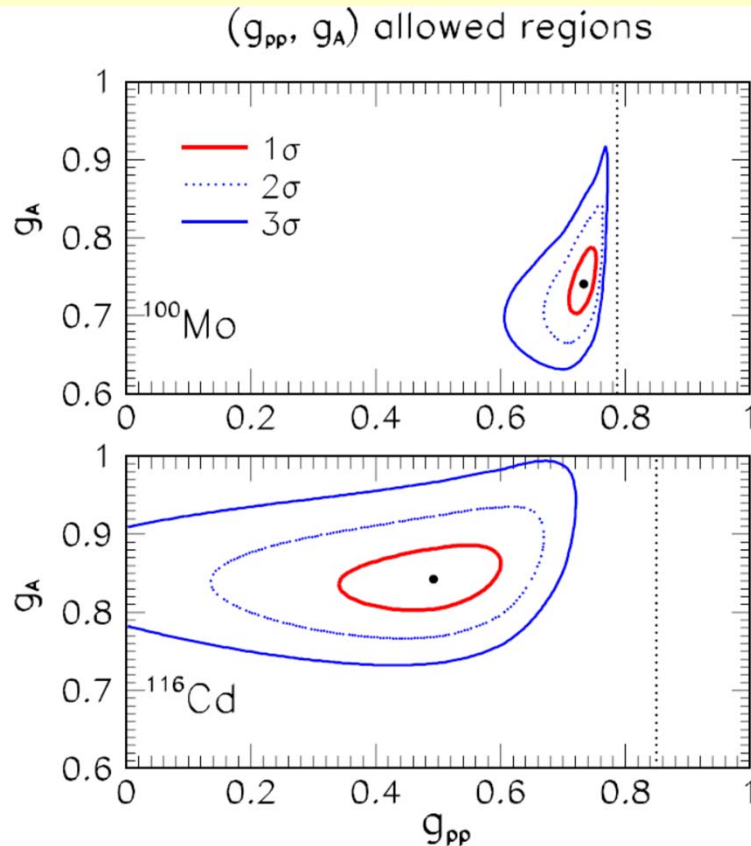
$(g_A^{\text{eff}})^4 \simeq (1.269 A^{-0.18})^4 = 0.063$  (**The Interacting Boson Model**). This is an incredible result. The quenching of the axial-vector coupling within the IBM-2 is more like **60%**.

J. Barea, J. Kotila, F. Iachello, PRC 87, 014315 (2013).

It has been determined by theoretical prediction for the  **$2\nu\beta\beta$ -decay half-lives**, which were based on within **closure approximation** calculated corresponding NMEs, with the measured half-lives.



$(g_A^{\text{eff}})^4 = 0.30$  and  $0.50$  for  $^{100}\text{Mo}$  and  $^{116}\text{Cd}$ , respectively (**The QRPA prediction**).  $g_A^{\text{eff}}$  was treated as a completely free parameter alongside  $g_{pp}$  (used to renormalize particle-particle interaction) by performing calculations within the QRPA and RQRPA. It was found that a least-squares fit of  $g_A^{\text{eff}}$  and  $g_{pp}$ , where possible, to the  **$\beta$ -decay rate** and  **$\beta$ +/**EC rate**** of the  $J = 1^+$  ground state in the intermediate nuclei involved in double-beta decay in addition to the  **$2\nu\beta\beta$  rates** of the initial nuclei, leads to an effective  $g_A^{\text{eff}}$  of about **0.7** or **0.8**.



Extended calculation also for neighbour isotopes performed by

F.F. Depisch and J. Suhonen, arXiv:1606.02908[nucl-th]

r Simkovic

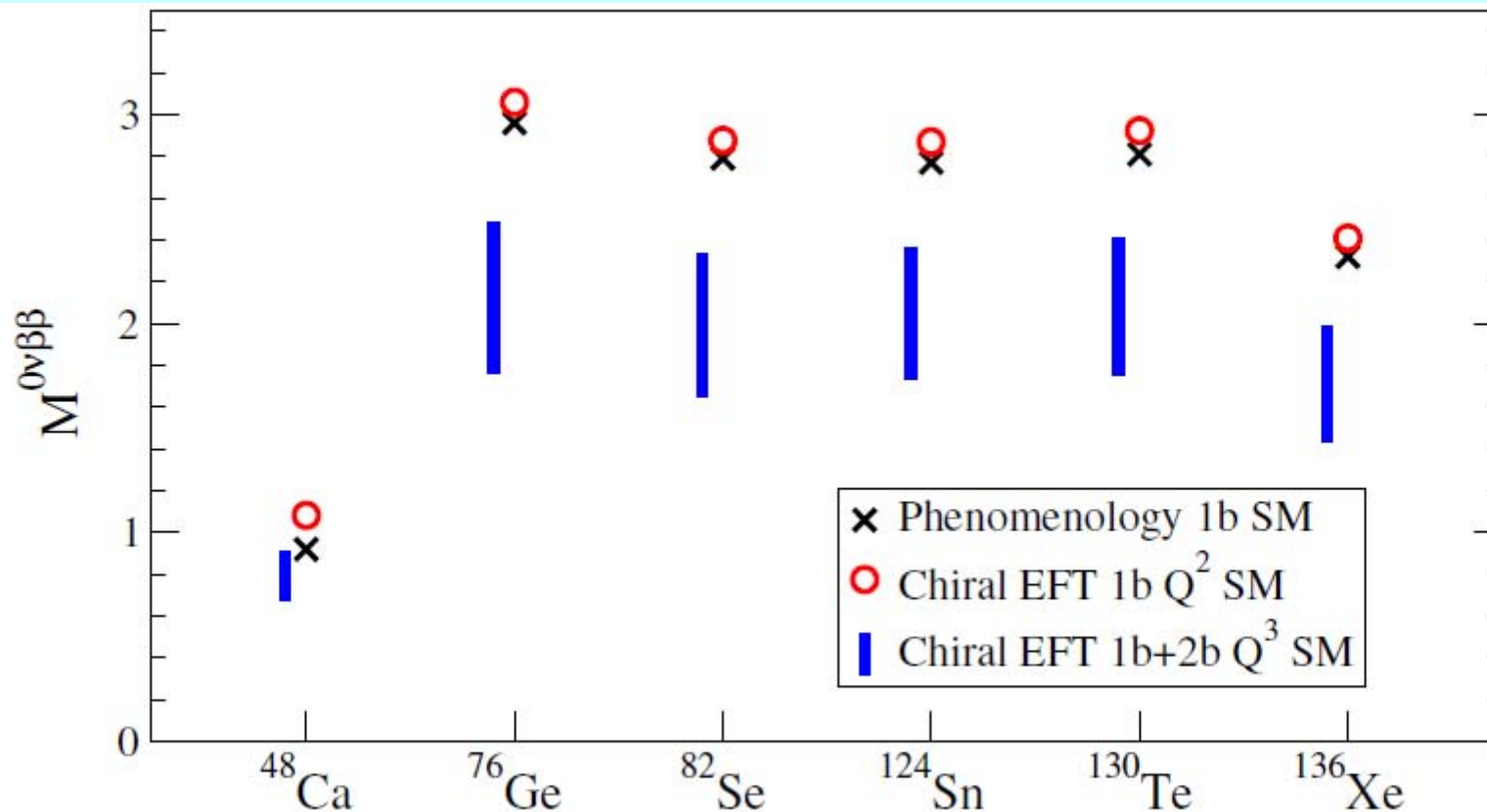
Dependence of  $g_A^{\text{eff}}$  on  $A$  was not established.

# Quenching of $g_A$ and two-body currents

Menendez, Gazit, Schwenk, *PRL* 107 (2011) 062501; MEDEX13 contribution

$$\mathbf{J}_{i,2b}^{\text{eff}} = -g_A \boldsymbol{\sigma}_i \tau_i^- \frac{\rho}{F_\pi^2} \left[ \frac{2}{3} c_3 \frac{p^2}{4m_\pi^2 + p^2} + I(\rho, P) \left( \frac{1}{3} (2c_4 - c_3) + \frac{1}{6m} \right) \right] = -g_A \delta(p) \boldsymbol{\sigma}_i \tau_i^-$$

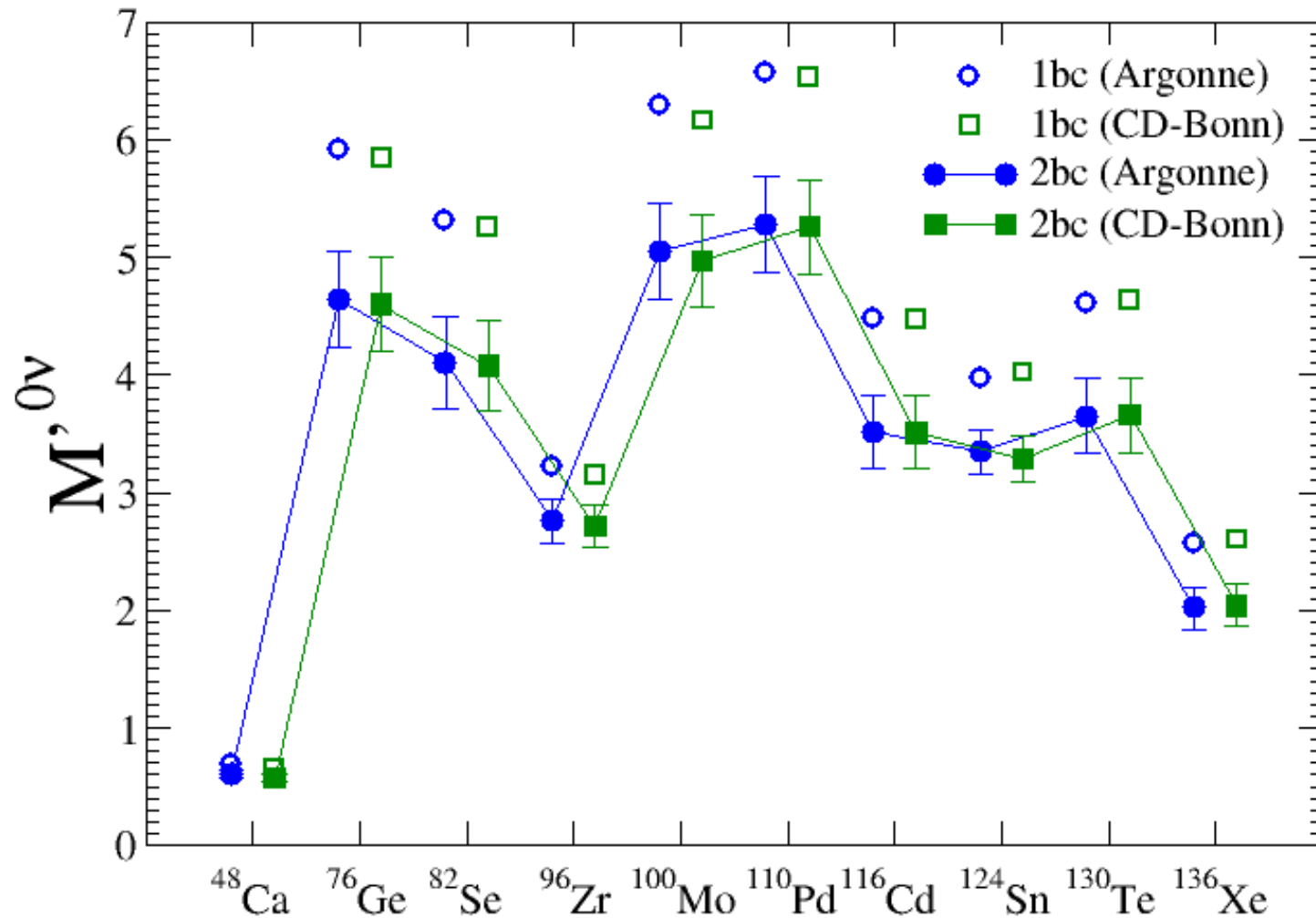
The  $0\nu\beta\beta$  operator calculated within effective field theory. Corrections appear as 2-body current predicted by EFT. The 2-body current contributions are related to the quenching of Gamow-Teller transitions found in nuclear structure calc.



# Quenching of $g_A$ , two-body currents and QRPA

(Suppression of the  $0\nu\beta\beta$ -decay NME of about 20%)

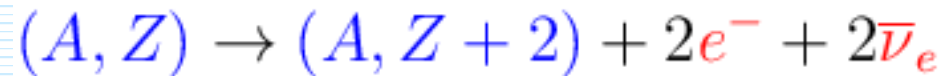
Engel, Vogel, Faessler, F.Š., PRC 89 (2014) 064308



But, a strong suppression of  $2\nu\beta\beta$ -decay half-life, ( $g_A^{\text{eff}} = g_A \delta(p=0) = 0.7-1.0$ )



*Understanding of the  $2\nu\beta\beta$ -decay NMEs is of crucial importance for correct evaluation of the  $2\nu\beta\beta$ -decay NMEs*



*Both  $2\nu\beta\beta$  and  $0\nu\beta\beta$  operators connect the same states.  
Both change two neutrons into two protons.*

*Explaining  $2\nu\beta\beta$ -decay is necessary but not sufficient*

**There is no reliable calculation of the  $2\nu\beta\beta$ -decay NMEs**

**Calculation via intermediate nuclear states: QRPA (sensitivity to pp-int.)  
ISM (quenching, truncation of model space, spin-orbit partners)**

**Calculation via closure NME: IBM, PHFB**

**No calculation: EDF**

# Improved description of the $2\nu\beta\beta$ -decay rate

F.Š., R. Dvornický, D. Štefánik and A. Faessler, to be submitted

$$\left[T_{1/2}^{2\nu\beta\beta}\right]^{-1} = \frac{m_e}{8\pi^7 \ln 2} (G_\beta m_e^2)^4 (g_A^{\text{eff}})^4 I^{2\nu}$$

Half-life without  
factorization  
of NMEs and phase space

$$\begin{aligned} I^{2\nu} &= \frac{1}{m_e^{11}} \int_{m_e}^{E_i - E_f - m_e} F_0(Z_f, E_{e_1}) p_{e_1} E_{e_1} dE_{e_1} \\ &\times \int_{m_e}^{E_i - E_f - E_{e_1}} F_0(Z_f, E_{e_2}) p_{e_2} E_{e_2} dE_{e_2} \\ &\times \int_0^{E_i - E_f - E_{e_1} - E_{e_2}} E_{\nu_1}^2 E_{\nu_2}^2 \mathcal{A}^{2\nu} dE_{\nu_1} \end{aligned}$$

$$\mathcal{A}^{2\nu} = \left[ \frac{1}{4} |M_{GT}^K + M_{GT}^L|^2 + \frac{1}{12} |M_{GT}^K - M_{GT}^L|^2 \right]$$

$$M_{GT}^{K,L} = m_e \sum_n M_n \frac{E_n - (E_i + E_f)/2}{[E_n - (E_i + E_f)/2]^2 - \epsilon_{K,L}^2}$$

$$M_n = \langle 0_f^+ \| \sum_m \tau_m^- \sigma_m \| 1_n^+ \rangle \langle 1_n^+ \| \sum_m \tau_m^- \sigma_m \| 0_i^+ \rangle$$

$$\epsilon_K = (E_{e_2} + E_{\nu_2} - E_{e_1} - E_{\nu_1})/2$$

$$\epsilon_L = (E_{e_1} + E_{\nu_2} - E_{e_2} - E_{\nu_1})/2$$

$$M_{GT}^{K,L} = m_e \sum_n M_n \frac{E_n - (E_i + E_f)/2}{[E_n - (E_i + E_f)/2]^2 - \epsilon_{K,L}^2}$$

Standard approximation  
which allows factorization  
of NME and phase space

$$M_{GT}^{K,L} \simeq M_{GT}^{2\nu} = m_e \sum_n \frac{M_n}{E_n - (E_i + E_f)/2}$$

Let perform Taylor expansion

$$\frac{\epsilon_{K,L}}{E_n - (E_i + E_f)/2}$$

$$\epsilon_{K,L} \in \left(-\frac{Q}{2}, \frac{Q}{2}\right)$$

$$E_n - \frac{E_i + E_f}{2} = \frac{Q}{2} + m_e + (E_n - E_i) > |\epsilon_{K,L}|$$

## Improved description of the $0\nu\beta\beta$ -decay rate

$$\left[ T_{1/2}^{2\nu\beta\beta} \right]^{-1} \equiv \frac{\Gamma^{2\nu}}{\ln(2)} \simeq \frac{\Gamma_0^{2\nu} + \Gamma_2^{2\nu} + \Gamma_4^{2\nu}}{\ln(2)}$$

Taylor expansion up to  $\varepsilon^4$

$$G_J^{2\nu} = \frac{c_{2\nu}}{m_e^{11}} \int_{m_e}^{E_i - E_f - m_e} F_0(Z_f, E_{e_1}) p_{e_1} E_{e_1} dE_{e_1}$$

$$\times \int_{m_e}^{E_i - E_f - E_{e_1}} F_0(Z_f, E_{e_2}) p_{e_2} E_{e_2} dE_{e_2}$$

$$\times \int_0^{E_i - E_f - E_{e_1} - E_{e_2}} E_{\nu_1}^2 E_{\nu_2}^2 \mathcal{A}_J^{2\nu} dE_{\nu_1}, \quad (J=0, 2, 4, 22)$$

$$\frac{\Gamma_0^{2\nu}}{\ln(2)} = (g_A^{\text{eff}})^4 \mathcal{M}_0 G_0^{2\nu}$$

$$\frac{\Gamma_2^{2\nu}}{\ln(2)} = (g_A^{\text{eff}})^4 \mathcal{M}_2 G_2^{2\nu}$$

$$\frac{\Gamma_4^{2\nu}}{\ln(2)} = (g_A^{\text{eff}})^4 (\mathcal{M}_4 G_4^{2\nu} + \mathcal{M}_{22} G_{22}^{2\nu})$$

$$\mathcal{A}_0^{2\nu} = 1 \quad \mathcal{A}_2^{2\nu} = \frac{\varepsilon_K^2 + \varepsilon_L^2}{(2m_e)^2},$$

$$\mathcal{A}_{22}^{2\nu} = \frac{\varepsilon_K^2 \varepsilon_L^2}{(2m_e)^4} \quad \mathcal{A}_2^{2\nu} = \frac{\varepsilon_K^4 + \varepsilon_L^4}{(2m_e)^4}$$

Phase space factors

7/12/2017

nucl.	$2\nu\beta\beta$ -decay			
	$G_0^{2\nu}$ [yr <sup>-1</sup> ]	$G_2^{2\nu}$ [yr <sup>-1</sup> ]	$G_4^{2\nu}$ [yr <sup>-1</sup> ]	$G_{22}^{2\nu}$ [yr <sup>-1</sup> ]
<sup>76</sup> Ge	4.816 10 <sup>-20</sup>	1.015 10 <sup>-20</sup>	1.332 10 <sup>-21</sup>	6.284 10 <sup>-22</sup>
<sup>82</sup> Se	1.591 10 <sup>-18</sup>	7.037 10 <sup>-19</sup>	1.952 10 <sup>-19</sup>	8.931 10 <sup>-20</sup>
<sup>100</sup> Mo	3.303 10 <sup>-18</sup>	1.509 10 <sup>-18</sup>	4.320 10 <sup>-19</sup>	1.986 10 <sup>-19</sup>
<sup>130</sup> Te	1.530 10 <sup>-18</sup>	4.953 10 <sup>-19</sup>	9.985 10 <sup>-20</sup>	4.707 10 <sup>-20</sup>
<sup>136</sup> Xe	1.433 10 <sup>-18</sup>	4.404 10 <sup>-19</sup>	8.417 10 <sup>-20</sup>	3.986 10 <sup>-20</sup>

$$\mathcal{M}_0 = |M_{GT-1}^{2\nu}|^2$$

$$\mathcal{M}_2 = \Re\{M_{GT-1}^{2\nu}M_{GT-3}^{2\nu}\}$$

$$\mathcal{M}_{22} = \frac{1}{3} |M_{GT-3}^{2\nu}|^2$$

$$\mathcal{M}_4 = \frac{1}{3} |M_{GT-3}^{2\nu}|^2 + \Re\{M_{GT-1}^{2\nu}M_{GT-5}^{2\nu}\}$$

$$M_{GT-1}^{2\nu} \equiv M_{GT}^{2\nu}$$

$$M_{GT-3}^{2\nu} = \sum_n M_n \frac{4 m_e^3}{(E_n - (E_i + E_f)/2)^3}$$

$$M_{GT-5}^{2\nu} = \sum_n M_n \frac{16 m_e^5}{(E_n - (E_i + E_f)/2)^5}$$

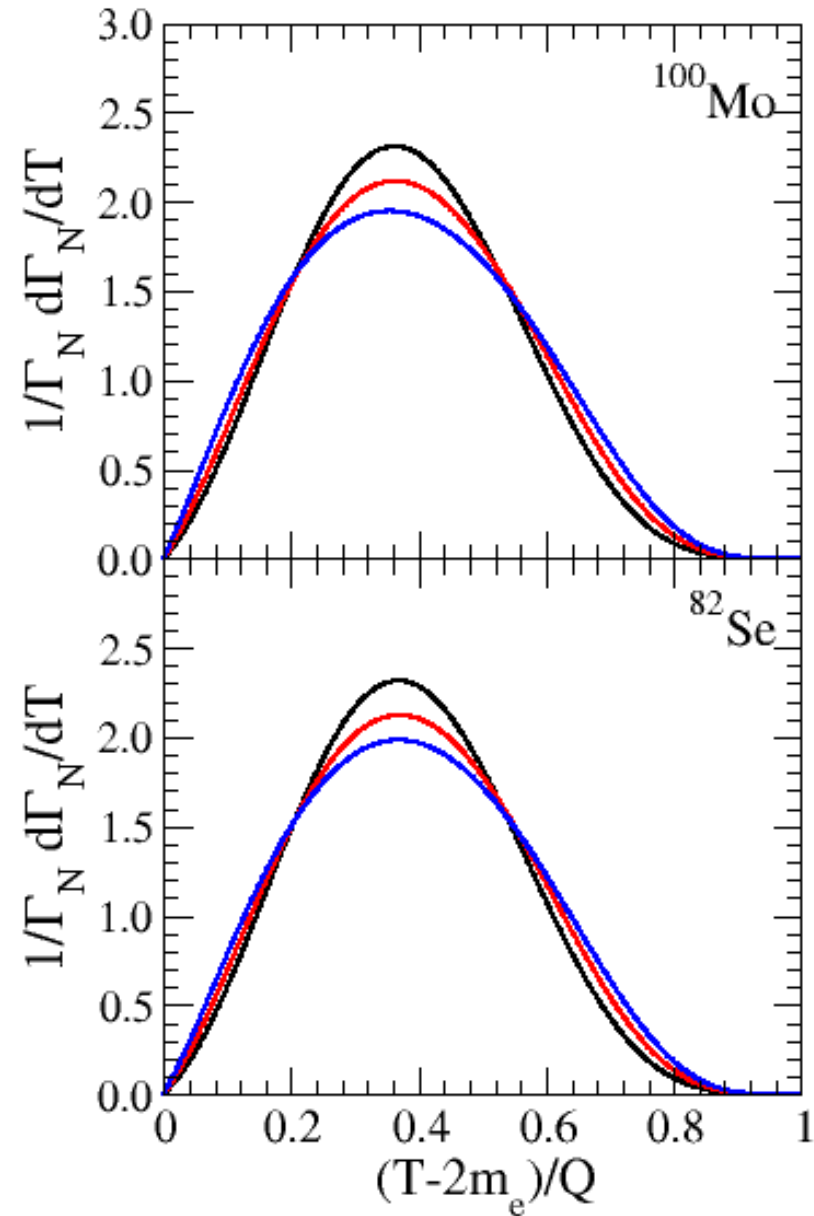
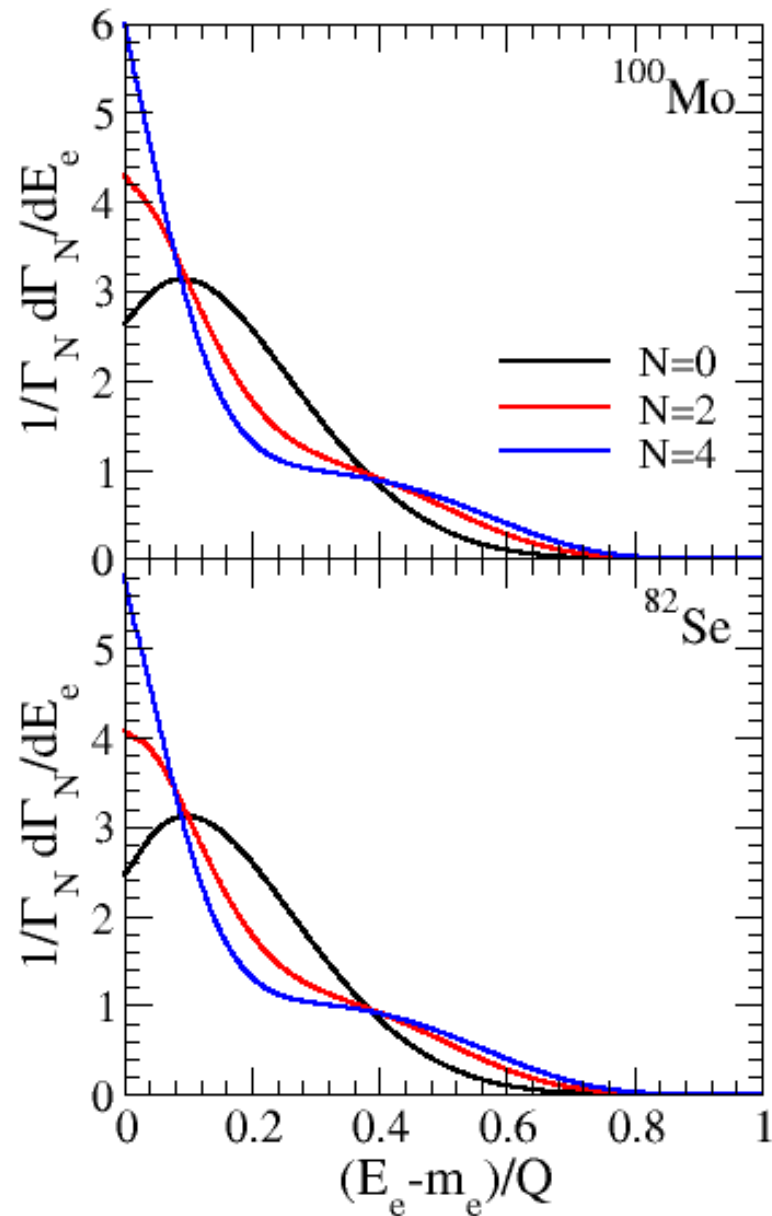
3 different NMEs

QRPA

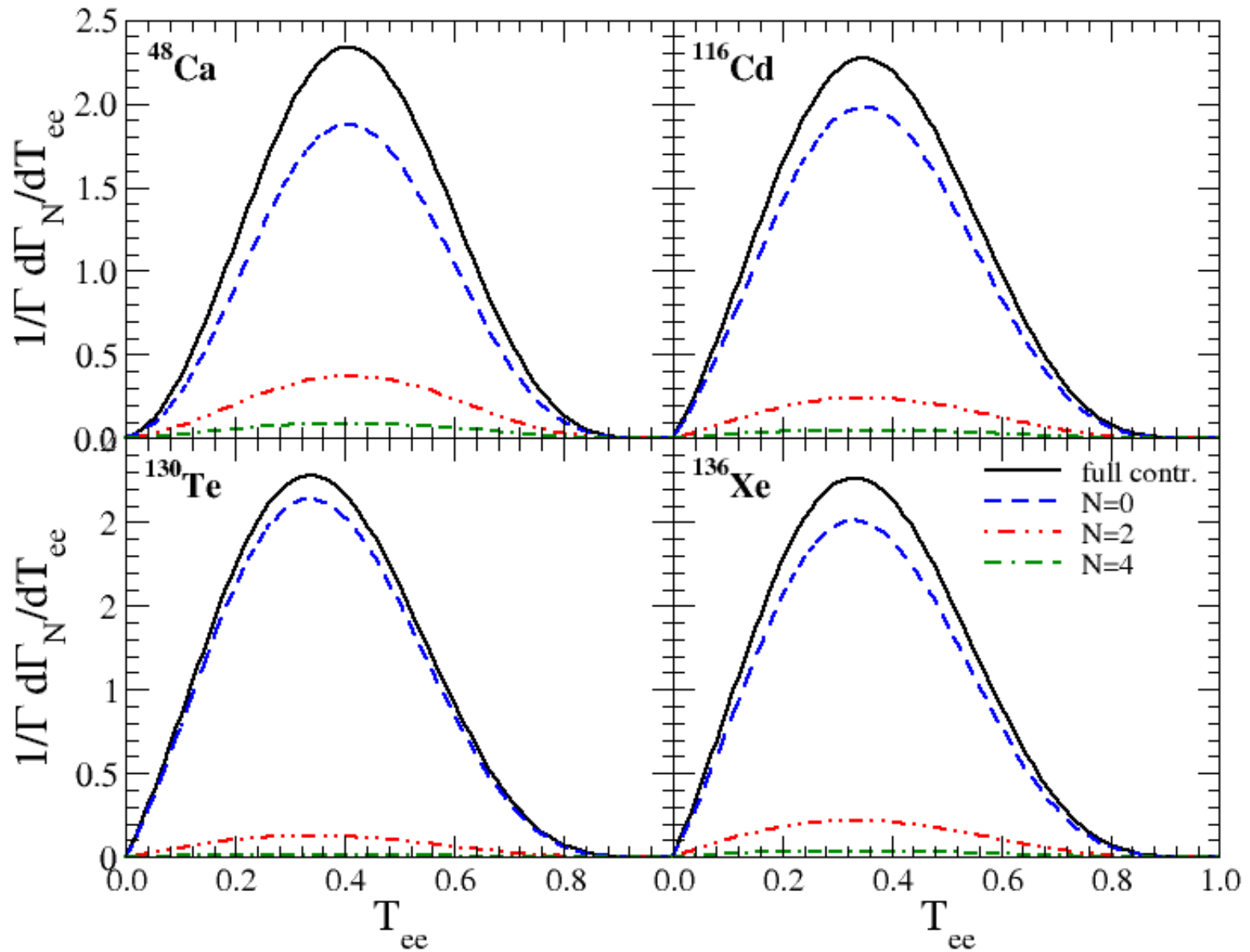
$2\nu\beta\beta$ -decay NMEs and their ratios

nucl.	$g_A^{\text{eff}}$	$M_{GT-1}^{2\nu}$	$M_{GT-3}^{2\nu}$	$M_{GT-5}^{2\nu}$	$\xi_{13}^{2\nu}$	$\xi_{15}^{2\nu}$	$P_0^{2\nu}$	$P_2^{2\nu}$	$P_4^{2\nu}$	$T_{1/2}^{2\nu\text{-exp}}$ [yr]
$^{76}\text{Ge}$	0.800	0.175	0.0214	0.00445	0.1220	0.0254	0.9741	0.0250	0.0009	$1.65 \cdot 10^{21}$
	1.000	0.111	0.0133	0.00263	0.1204	0.0237	0.9745	0.0247	0.0008	
	1.269	0.689	0.00716	0.00716	0.1040	0.0170	0.9780	0.0214	0.0006	
$^{82}\text{Se}$	0.800	0.124	0.0216	0.00645	0.1745	0.0521	0.9213	0.0711	0.0076	$0.92 \cdot 10^{20}$
	1.000	0.0795	0.0129	0.00355	0.1620	0.0446	0.9271	0.0664	0.0065	
	1.269	0.0498	0.00643	0.00136	0.1290	0.0272	0.9421	0.0538	0.0041	
$^{100}\text{Mo}$	0.800	0.292	0.123	0.0453	0.4230	0.1553	0.8163	0.1578	0.0259	$7.1 \cdot 10^{18}$
	1.000	0.184	0.0876	0.0322	0.4752	0.1745	0.7972	0.1731	0.0297	
	1.269	0.112	0.0633	0.0233	0.5646	0.2075	0.7661	0.1976	0.0363	
$^{130}\text{Te}$	0.800	0.0466	0.00873	0.00239	0.1873	0.0512	0.9389	0.0569	0.0042	$6.9 \cdot 10^{20}$
	1.000	0.0298	0.00577	0.00144	0.1937	0.0482	0.9371	0.0588	0.0041	
	1.269	0.0185	0.00373	0.00078	0.2015	0.0420	0.9352	0.0610	0.0038	
$^{136}\text{Xe}$	0.800	0.0268	0.00706	0.00232	0.2637	0.0866	0.9190	0.0745	0.0065	$2.19 \cdot 10^{21}$
	1.000	0.0170	0.00526	0.00169	0.3098	0.0995	0.9059	0.0863	0.0078	
	1.269	0.0104	0.00403	0.00126	0.3867	0.1207	0.8848	0.1051	0.0101	

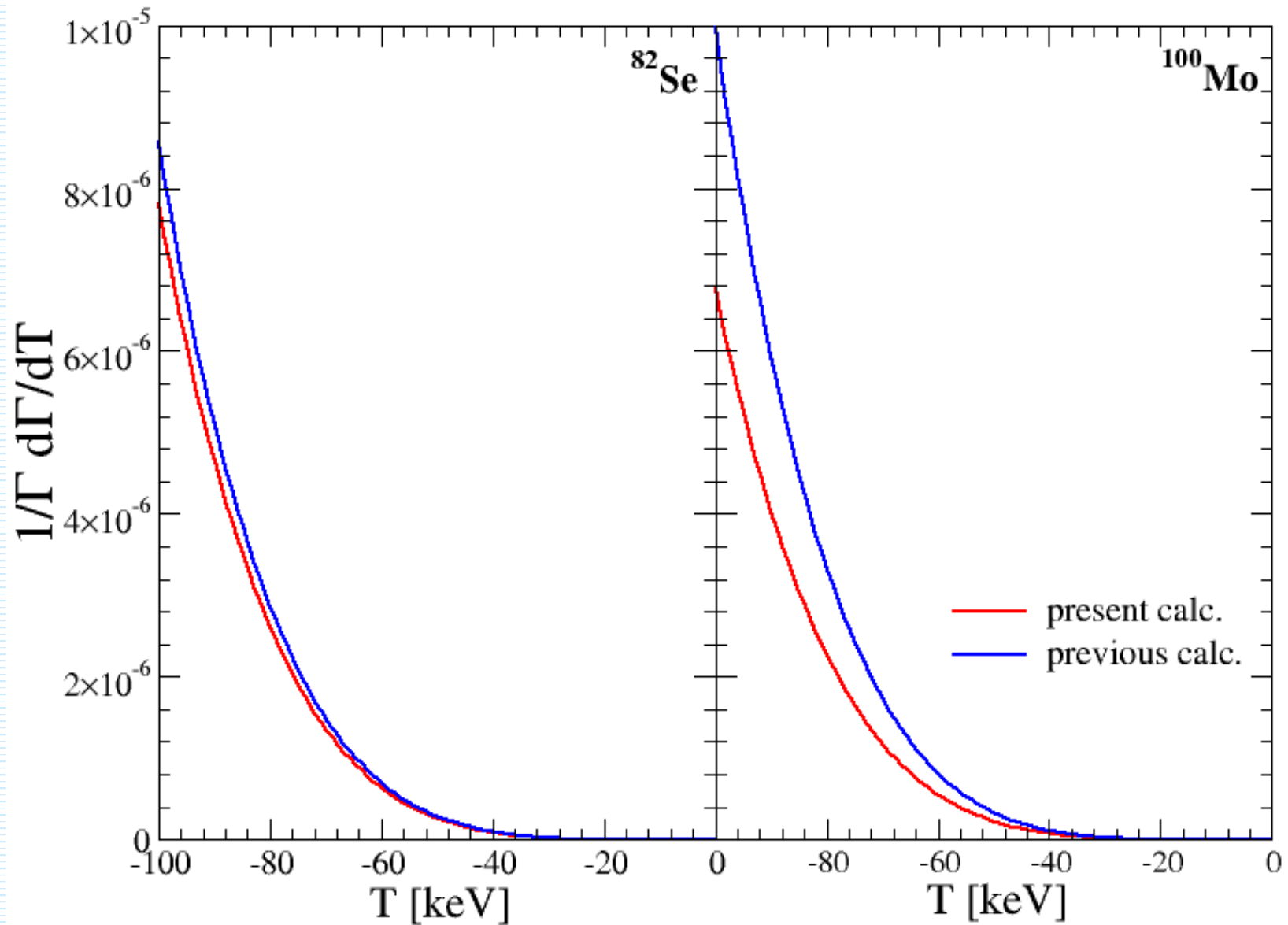
## Normalized to unity different partial energy distributions



## The sum electron energy distribution



**The endpoint of the spectrum of differential decay rate vs. the sum of kinetic energy of emitted electrons**





## The half-life and ratios of NMEs

$$\begin{aligned} \left[ T_{1/2}^{2\nu\beta\beta} \right]^{-1} &= \left( g_A^{\text{eff}} \right)^4 \left| M_{GT-1}^{2\nu} \right|^2 \left( G_0^{2\nu} + \Re\{\xi_{13}^{2\nu}\} G_2^{2\nu} \right. \\ &\quad \left. + \frac{1}{3} \left| \xi_{13}^{2\nu} \right|^2 G_{22}^{2\nu} + \left( \frac{1}{3} \left| \xi_{13}^{2\nu} \right|^2 + \Re\{\xi_{15}^{2\nu}\} \right) G_4^{2\nu} \right) \end{aligned} \quad \begin{aligned} \xi_{13}^{2\nu} &= \frac{M_{GT-3}^{2\nu}}{M_{GT-1}^{2\nu}} \\ \xi_{15}^{2\nu} &= \frac{M_{GT-5}^{2\nu}}{M_{GT-1}^{2\nu}} \end{aligned}$$

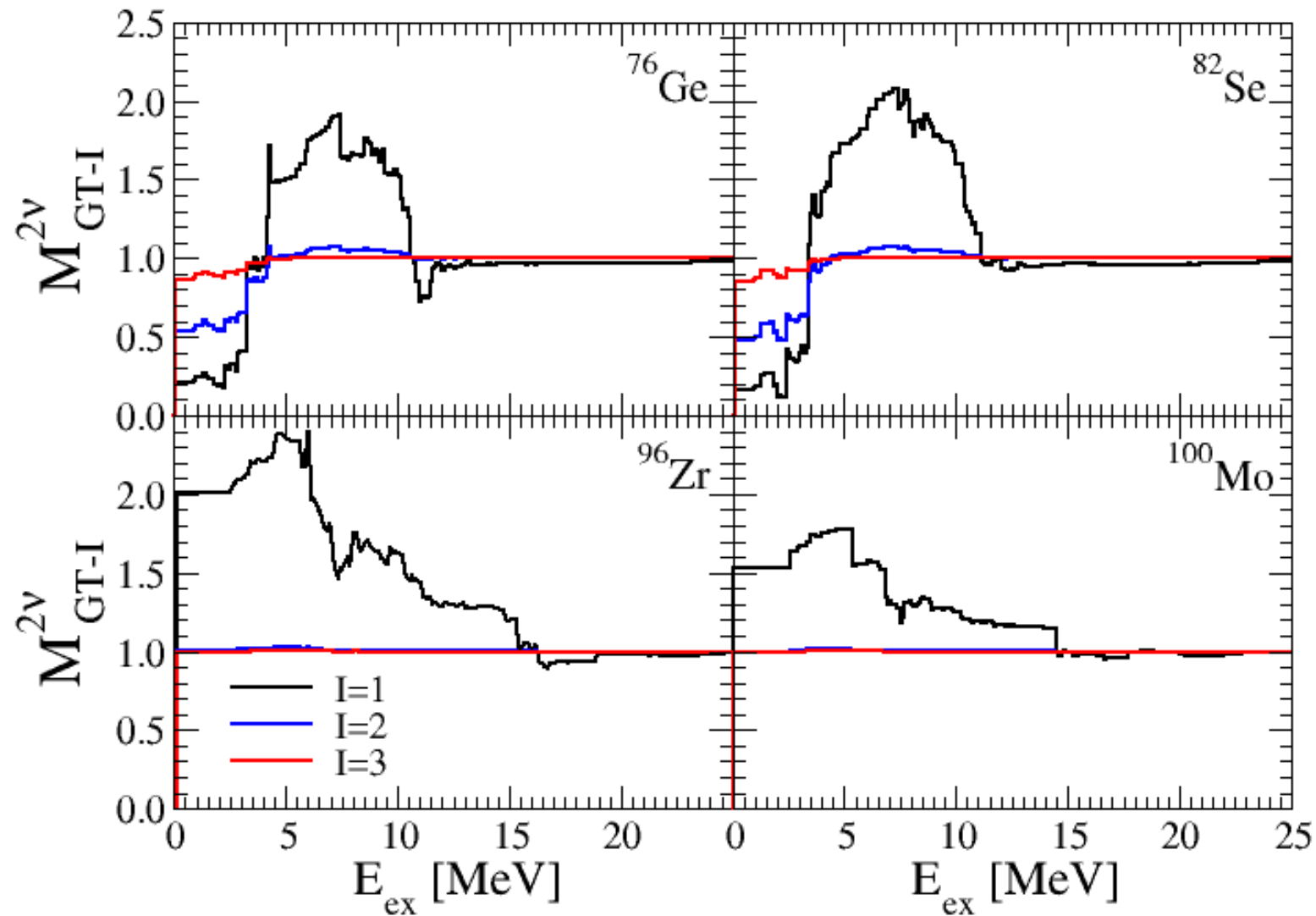
## The half-life expressed with only one ratio of NMEs

$$\left[ T_{1/2}^{2\nu\beta\beta} \right]^{-1} \simeq \left( g_A^{\text{eff}} \right)^4 \left| M_{GT-3}^{2\nu} \right|^2 \frac{1}{\left| \xi_{13}^{2\nu} \right|^2} \left( G_0^{2\nu} + \xi_{13}^{2\nu} G_2^{2\nu} \right)$$

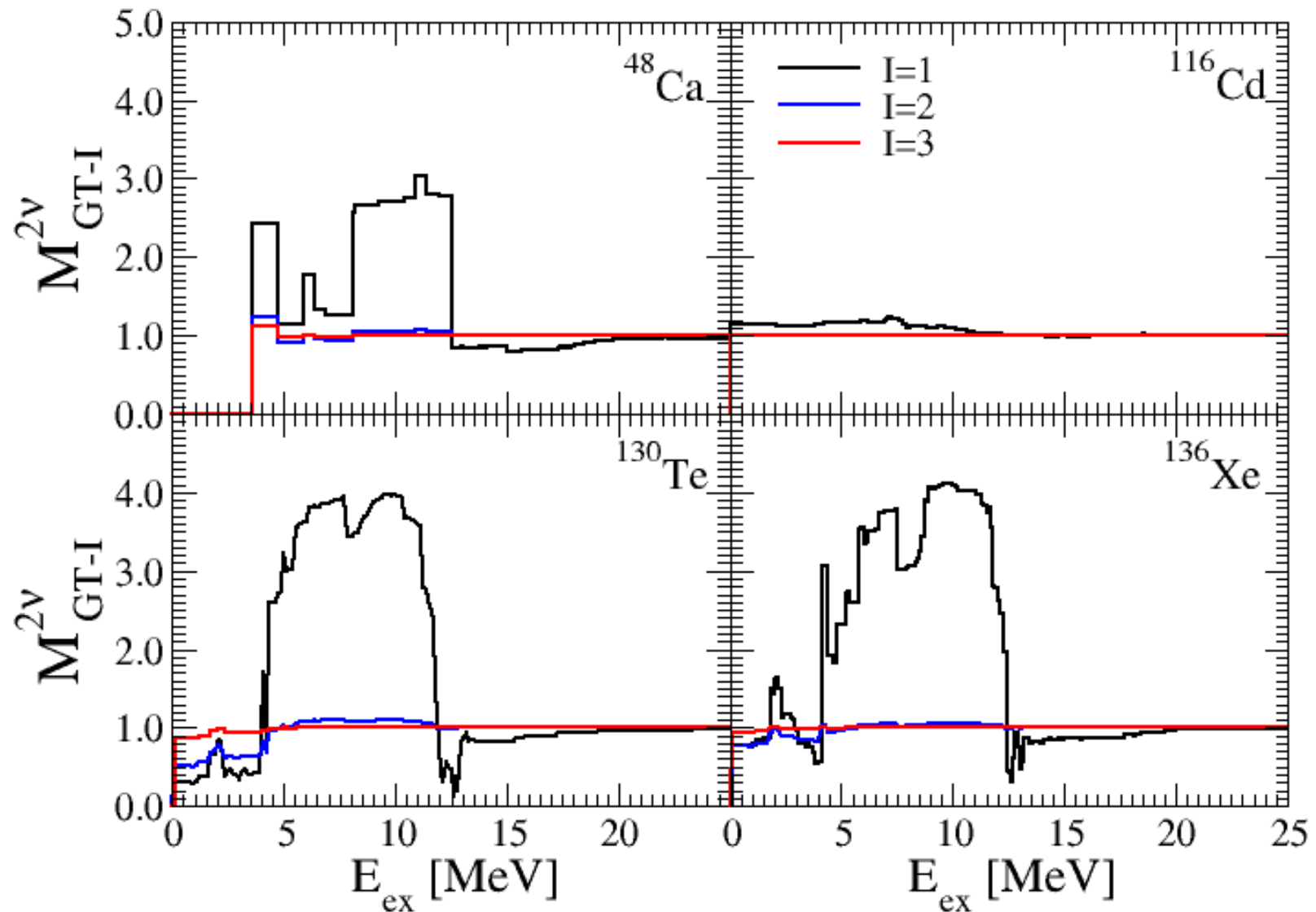
The  $g_A^{\text{eff}}$  can be determined with measured half-life and ratio of NMEs and calculated NME dominated by transitions through low lying states of the intermediate nucleus (ISM?)

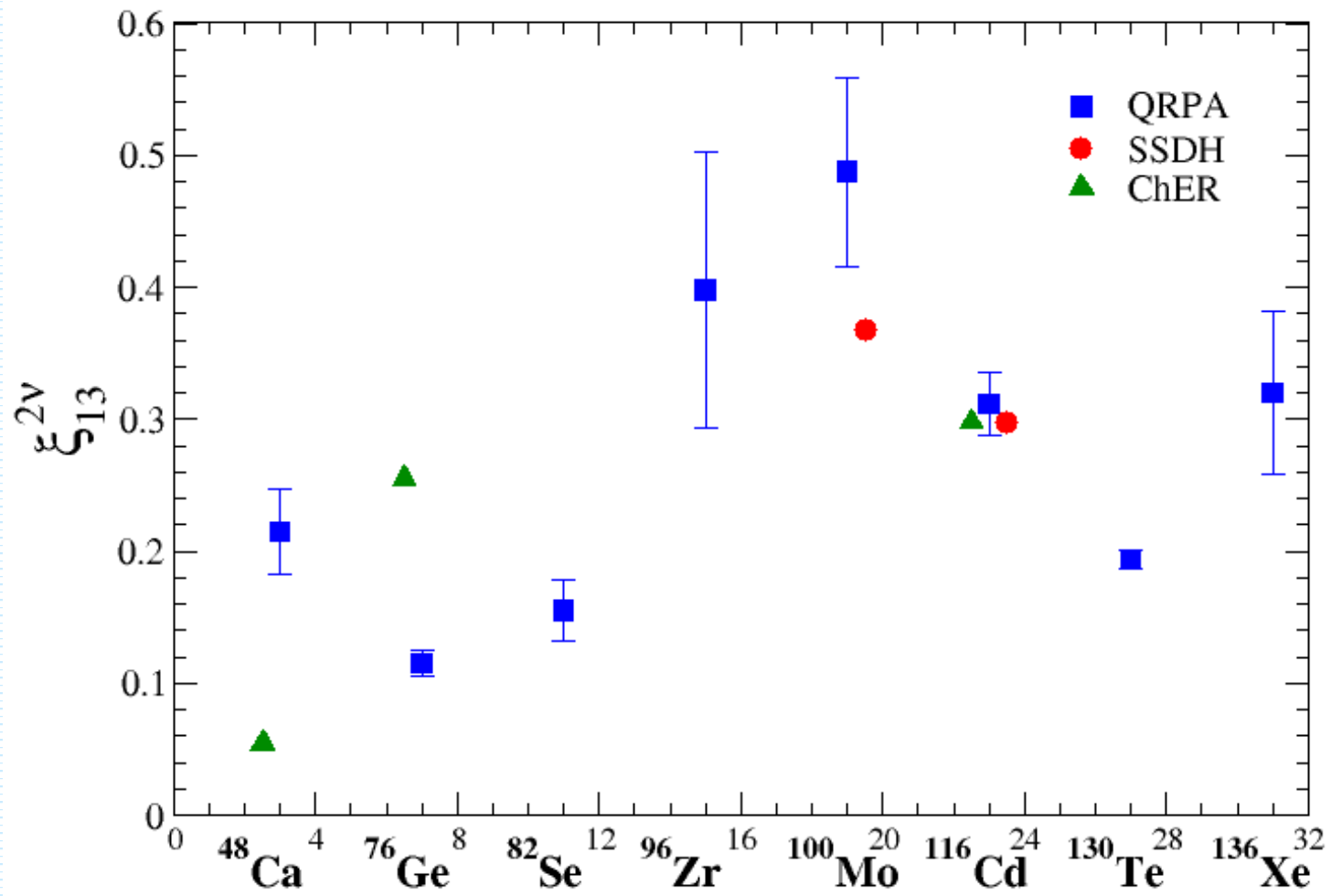
$$\left( g_A^{\text{eff}} \right)^2 = \frac{1}{\left| M_{GT-3}^{2\nu} \right|} \frac{\left| \xi_{13}^{2\nu} \right|}{\sqrt{T_{1/2}^{2\nu-\text{exp}} \left( G_0^{2\nu} + \xi_{13}^{2\nu} G_2^{2\nu} \right)}}$$

## The running sum of the $2\nu\beta\beta$ -decay NMEs



## The running sum of the $2\nu\beta\beta$ -decay NMEs



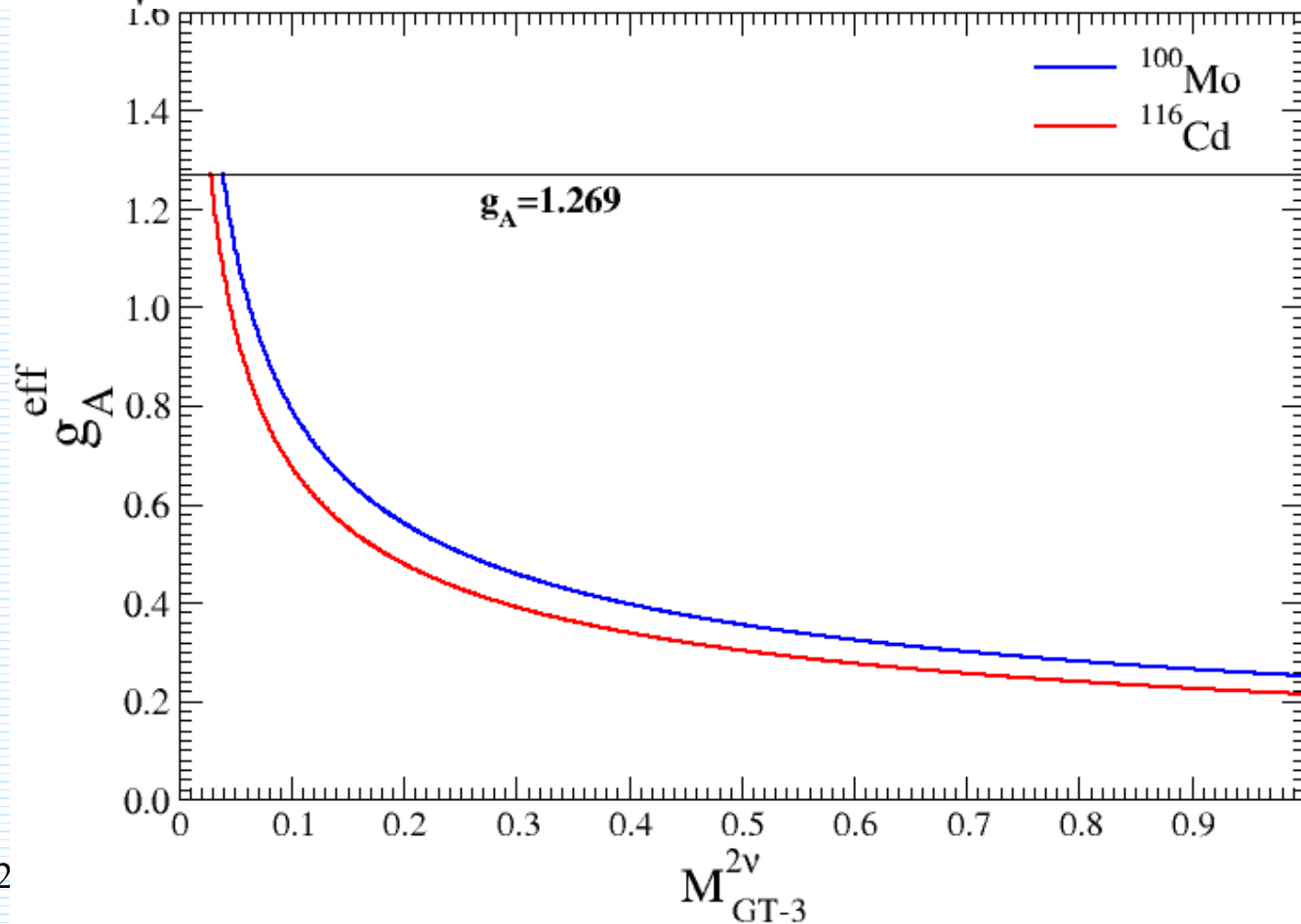


**Solution: NEMO3/Supernemo measurement of  $\xi$  and calculation of  $M_{GT-3}$**

$$\left[T_{1/2}^{2\nu\beta\beta}\right]^{-1} \simeq \left(g_A^{\text{eff}}\right)^4 \left|M_{GT-3}^{2\nu}\right|^2 \frac{1}{|\xi_{13}^{2\nu}|^2} \left(G_0^{2\nu} + \xi_{13}^{2\nu} G_2^{2\nu}\right)$$

$$g_A^{\text{eff}}(^{100}\text{Mo}) = \frac{0.251}{\sqrt{M_{GT-3}^{2\nu}}}$$

$$g_A^{\text{eff}}(^{100}\text{Cd}) = \frac{0.214}{\sqrt{M_{GT-3}^{2\nu}}}$$



# *The DBD Nuclear Matrix Elements and the SU(4) symmetry*

D. Štefánik, F.Š., A. Faessler, PRC 91, 064311 (2015)

## Suppression of the Two Neutrino Double Beta Decay by Nuclear Structure Effects

P. Vogel, M.R. Zirnbauer, PRL (1986) 3148

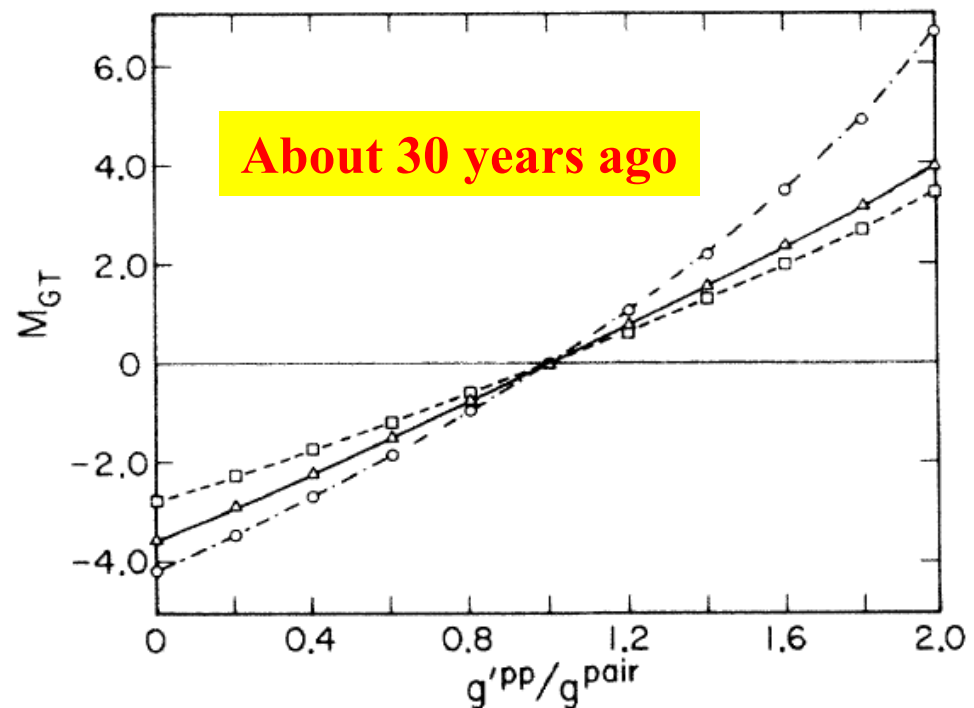
O. Civitarese, A. Faessler, T. Tomoda,  
PLB 194 (1987) 11

E. Bender, K. Muto, H.V. Klapdor,  
PLB 208 (1988) 53

...

The isospin is known to be a  
good approximation in nuclei

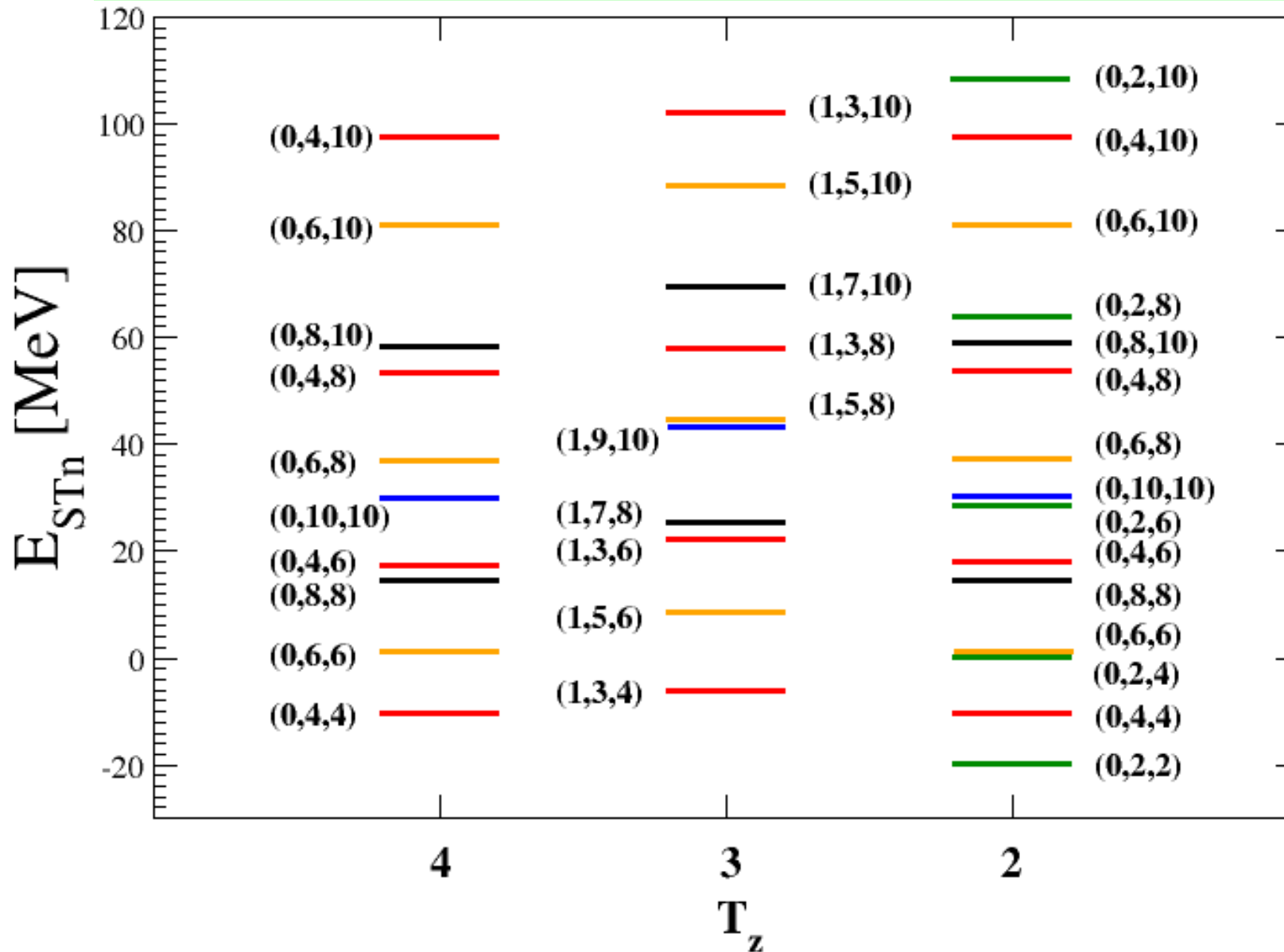
In heavy nuclei the SU(4) symmetry  
is strongly broken  
by the spin-orbit splitting.



What is beyond this behavior? Is it an artifact of the QRPA?

## Energies of excited states for the case of conserved SU(4) symmetry

$M_F=0, M_{GT}=0$  (see SU(4) multiplets)



s.p. mean-field

Conserves SU(4) symmetry

$$H = \underbrace{e_n N_n + e_p N_p - g_{pair} \left( \sum_{M_T=-1,0,1} A_{0,1}^\dagger(0, M_T) A_{0,1}(0, M_T) + \sum_{M_S=-1,0,1} A_{1,0}^\dagger(M_S, 0) A_{1,0}(M_S, 0) \right)}_{H_0} + g_{ph} \sum_{a,b} E_{a,b}^\dagger E_{a,b}$$

$$+ \underbrace{(g_{pair} - g_{pp}^{T=0}) \sum_{M_S=-1,0,1} A_{1,0}^\dagger(M_S, 0) A_{1,0}(M_S, 0) + (g_{pair} - g_{pp}^{T=1}) A_{0,1}^\dagger(0, 0) A_{0,1}(0, 0)}_{H_I}.$$

**H<sub>I</sub> violates SU(4) symmetry**

$g_{pair}$  - strength of isovector like nucleon pairing (L=0, S=0, T=1, M<sub>T</sub>=±1)

$g_{pp}^{T=1}$  - strength of isovector spin-0 pairing (L=0, S=0, T=1, M<sub>T</sub>=0)

$g_{pp}^{T=0}$  - strength of isoscalar spin-1 pairing (L=0, S=1, T=0)

$g_{ph}$  - strength of particle-hole force

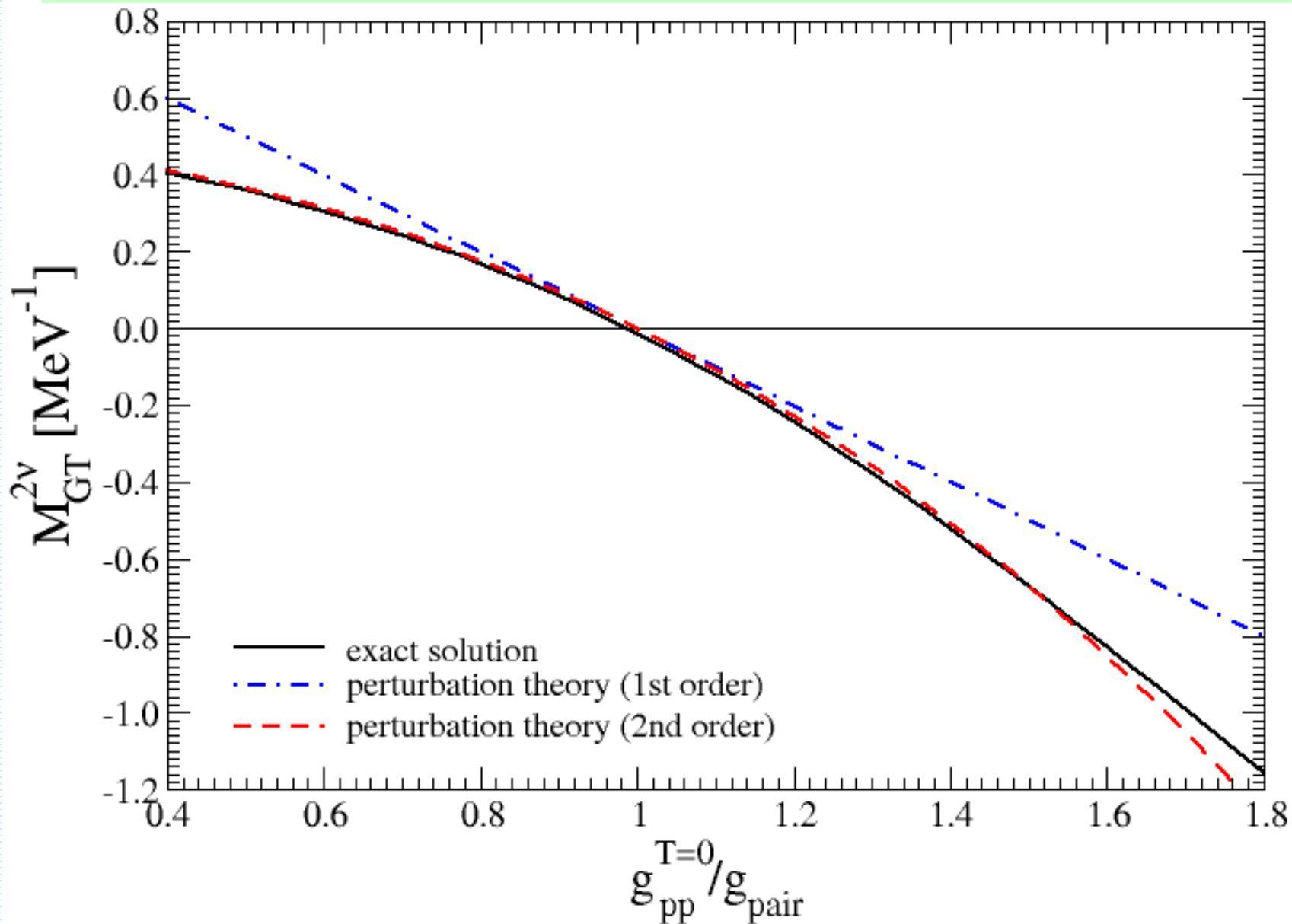
**M<sub>F</sub> and M<sub>GT</sub>** do not depend on the mean-field part of **H** and are governed by a weak violation of the **SU(4)** symmetry by the particle-particle interaction of **H**

$$M_F^{2\nu} = - \frac{48 \sqrt{\frac{33}{5}} (g_{pair} - g_{pp}^{T=1})}{(5g_{pair} + 3g_{ph})(10g_{pair} + 6g_{ph})}$$

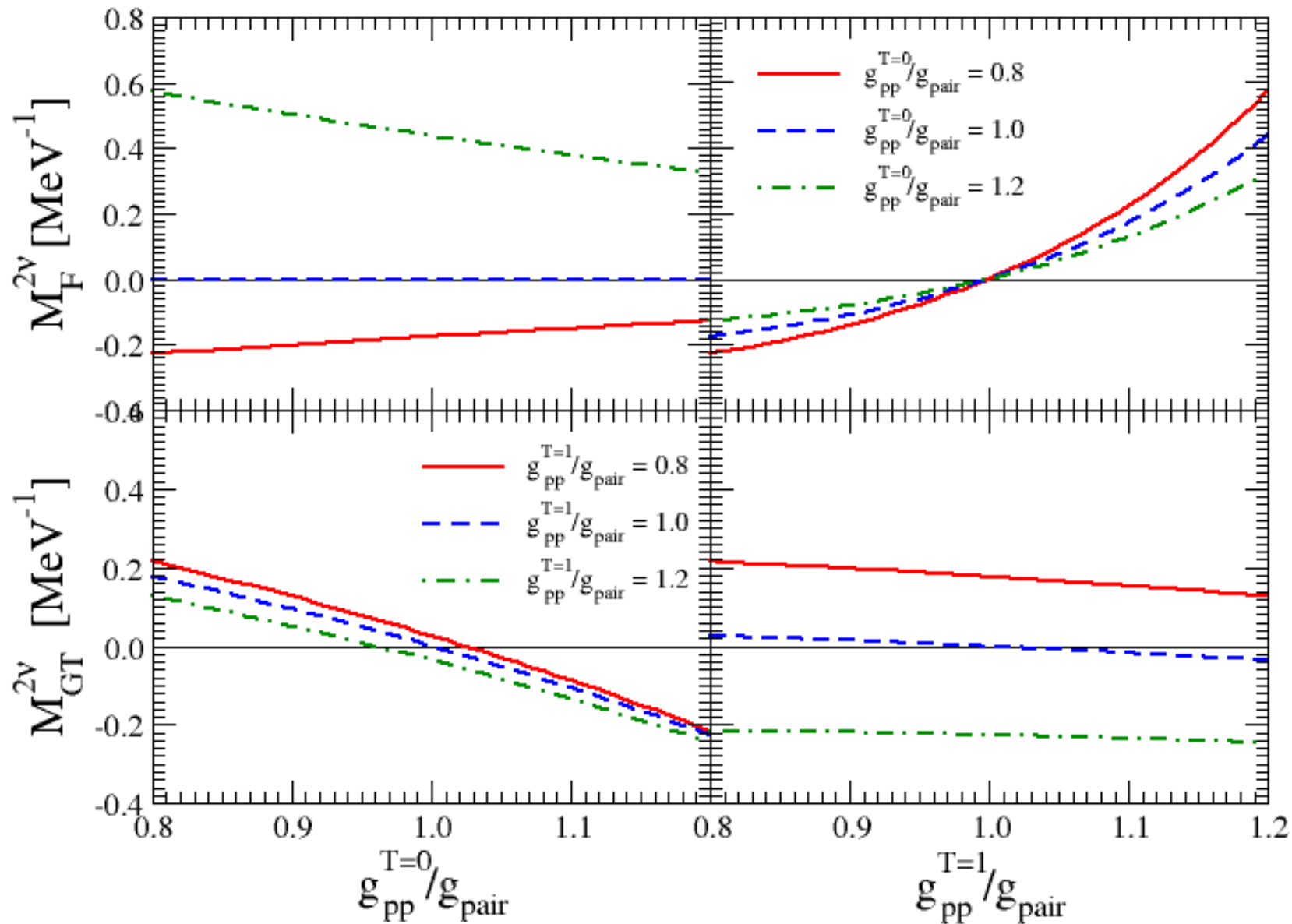
$$M_{GT}^{2\nu} = \frac{144 \sqrt{\frac{33}{5}}}{5g_{pair} + 9g_{ph}} \left\{ \frac{(g_{pair} - g_{pp}^{T=0})}{(10g_{pair} + 20g_{ph})} + \frac{2g_{ph}(g_{pair} - g_{pp}^{T=1})}{(10g_{pair} + 20g_{ph})(10g_{pair} + 6g_{ph})} \right\}$$



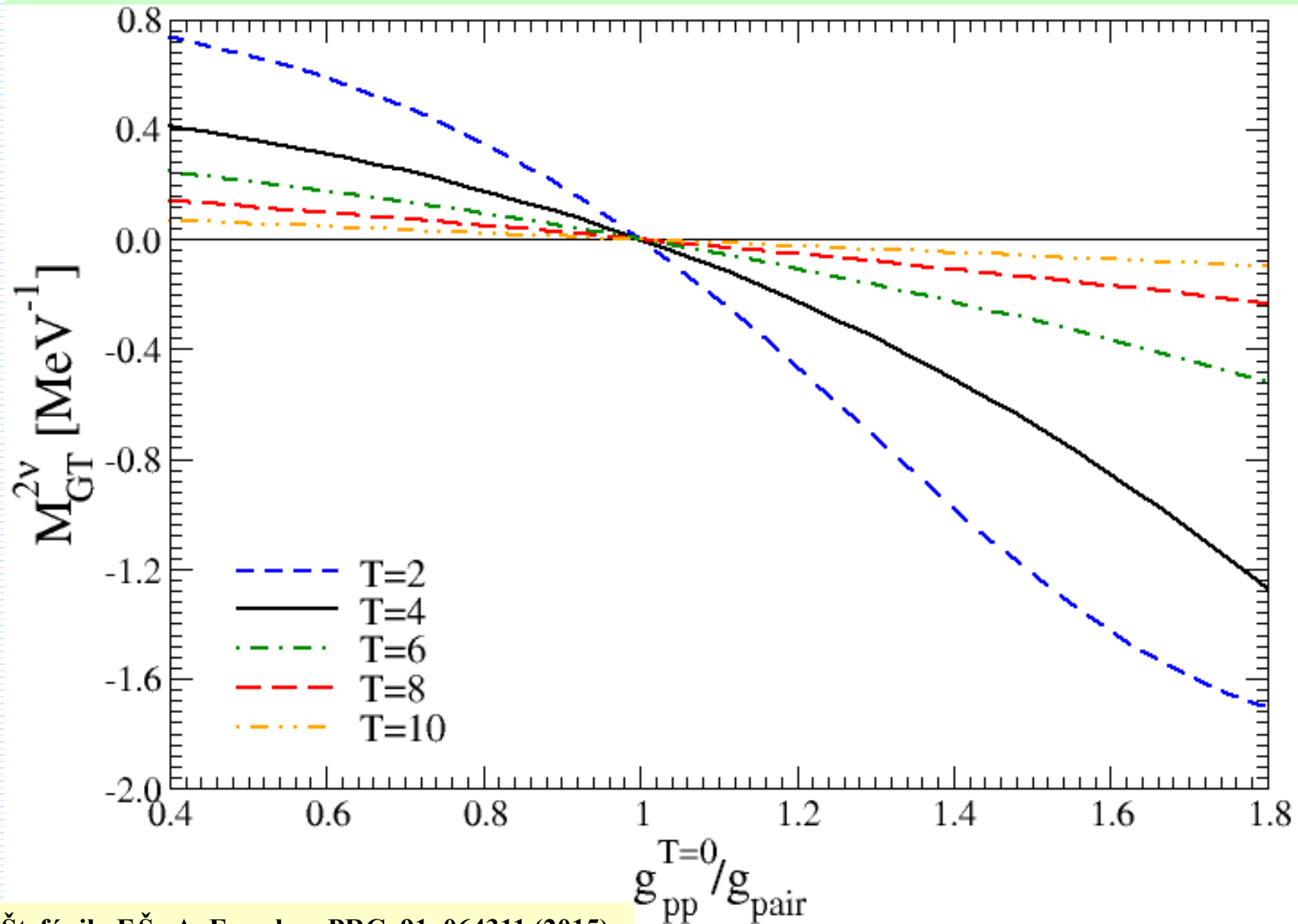
$M_{GT}$  up to the second order of perturbation theory due to violation of the **SU(4)** symmetry by the particle-particle interaction of **H**



Results confirm dependence of  $M_F$  and  $M_{GT}$  on  $g_{pp}^{T=0}$  and  $g_{pp}^{T=1}$  by the QRPA

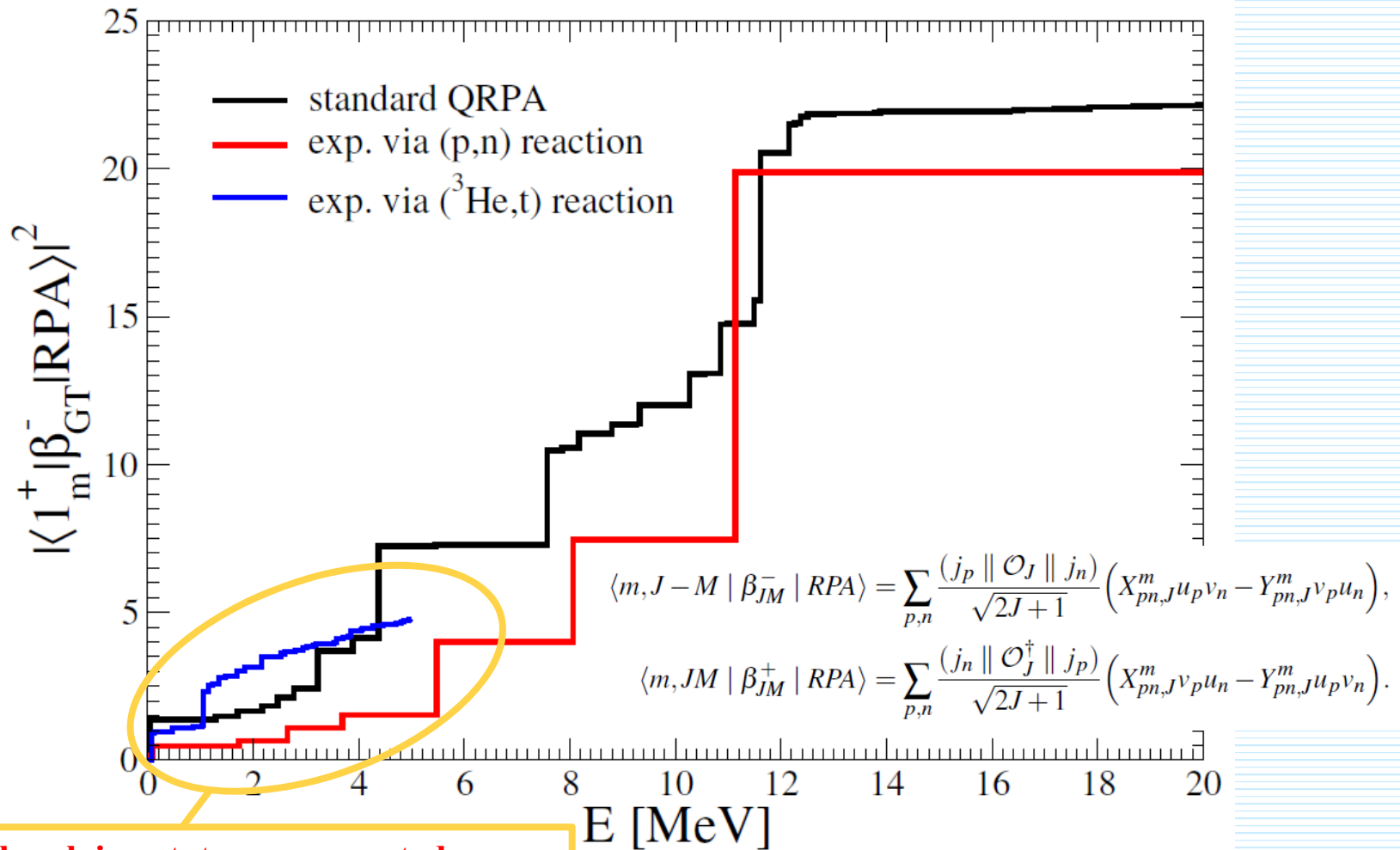


By assuming a fixed violation of the  $SU(4)$  symmetry by particle-particle int.  
 $M_{GT}^{2\nu}$  decreases by increase of **isospin** of the ground state



# $\beta^-$ transitions in the standard QRPA

Calculate what can be confronted with experiment.



- low-lying states are expected to be important for  $2\nu\beta\beta$  decay
- we need improvement in this region

## **Limitations of the standard QRPA**

**We want to fix the following limitations of the standard QRPA:**

- 1. Due to the QBA Pauli principle is broken and the QRPA collapses for the higher values of coupling parameters, which might be of physical interest.**
- 2. Excited states of multi-phonon structure are neglected. Only the linear terms in phonon operator are considered.**

# Reproduction of exact solutions of Lipkin model by nonlinear higher random-phase approximation

J. Terasaki, A. Smetana, F. Š., M.I. Krivoruchenko, arXiv:1701.08368 [nucl-th]

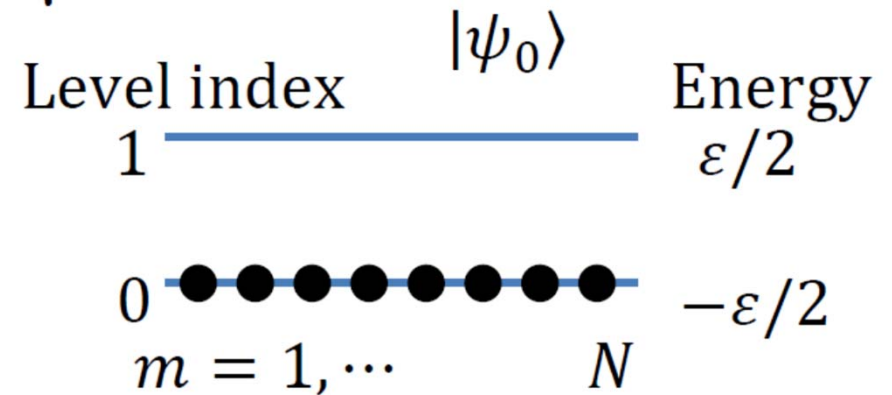
## Hamiltonian

$$H = \varepsilon J_z + \frac{V}{2} (J_+^2 + J_-^2)$$

Useful for test of theory often used.

H.J. Lipkin et al., N.P. **62**, 188 (1965)

## Lipkin model



## The nonlinear phonon operator

$$Q_k^{ot} = \sum_{l=1}^n (X_{2l-1}^k \mathcal{J}_+^{2l-1} + Y_{2l-1}^k \mathcal{J}_-^{2l-1}),$$

(odd-order subspace)

$$Q_k^{et} = c_k + \sum_{l=1}^n (X_{2l}^k \mathcal{J}_+^{2l} + Y_{2l}^k \mathcal{J}_-^{2l}),$$

(even-order subspace)

## Algebra

$$\begin{aligned} [J_z, J_+] &= J_+ \\ [J_z, J_-] &= -J_- \\ [J_+, J_-] &= 2J_z \end{aligned}$$

## RPA ground state

$$Q_k |\Psi_0\rangle = 0$$

**Eigen states, wave functions, total energies, excitation energies and phonon-creation operators obtained for  $N=2$  by **the nonlinear higher RPA**.**

Eigenstate	Wave function	Total energy
Ground	$ \Psi_0\rangle = \frac{V}{\sqrt{2E_{10}^o(E_{10}^o-\varepsilon)}} \left(1 - \frac{E_{10}^o-\varepsilon}{2V} J_+^2\right)  \psi_0\rangle$	$-E_{10}^o$
Odd-order excited	$Q_1^{o\dagger}  \Psi_0\rangle = \frac{1}{\sqrt{2}} J_+  \psi_0\rangle$	0
Even-order excited	$Q_1^{e\dagger}  \Psi_0\rangle = \frac{V}{\sqrt{2E_{10}^o(E_{10}^o+\varepsilon)}} \left(1 + \frac{E_{10}^o+\varepsilon}{2V} J_+^2\right)  \psi_0\rangle$	$E_{10}^o$

Eigenstate	Excitation energy	Phonon-creation operator
Ground	0	
Odd-order excited	$E_{10}^o = \sqrt{\varepsilon^2 + V^2}$	$Q_1^{o\dagger} = \frac{\sqrt{E_{10}^o}}{2\varepsilon} \left( \frac{V}{ V } \sqrt{E_{10}^o + \varepsilon} J_+ + \sqrt{E_{10}^o - \varepsilon} J_- \right)$
Even-order excited	$E_{10}^e = 2E_{10}^o$	$Q_1^{e\dagger} = \frac{V}{ V } \left( \frac{V}{2\varepsilon} + \frac{E_{10}^o+\varepsilon}{4\varepsilon} J_+^2 + \frac{E_{10}^o-\varepsilon}{4\varepsilon} J_-^2 \right)$

RPA  
equation

$$\begin{pmatrix} A^o & B^o \\ B^o & A^o \end{pmatrix} \begin{pmatrix} X_k^o \\ Y_k^o \end{pmatrix} = E_{k0}^o \begin{pmatrix} U^o & O \\ O & -U^o \end{pmatrix} \begin{pmatrix} X_k^o \\ Y_k^o \end{pmatrix}$$

$$A_{ij}^o = \langle \Psi_0 | [\mathcal{J}_-^{2i-1}, H, \mathcal{J}_+^{2j-1}] | \Psi_0 \rangle$$

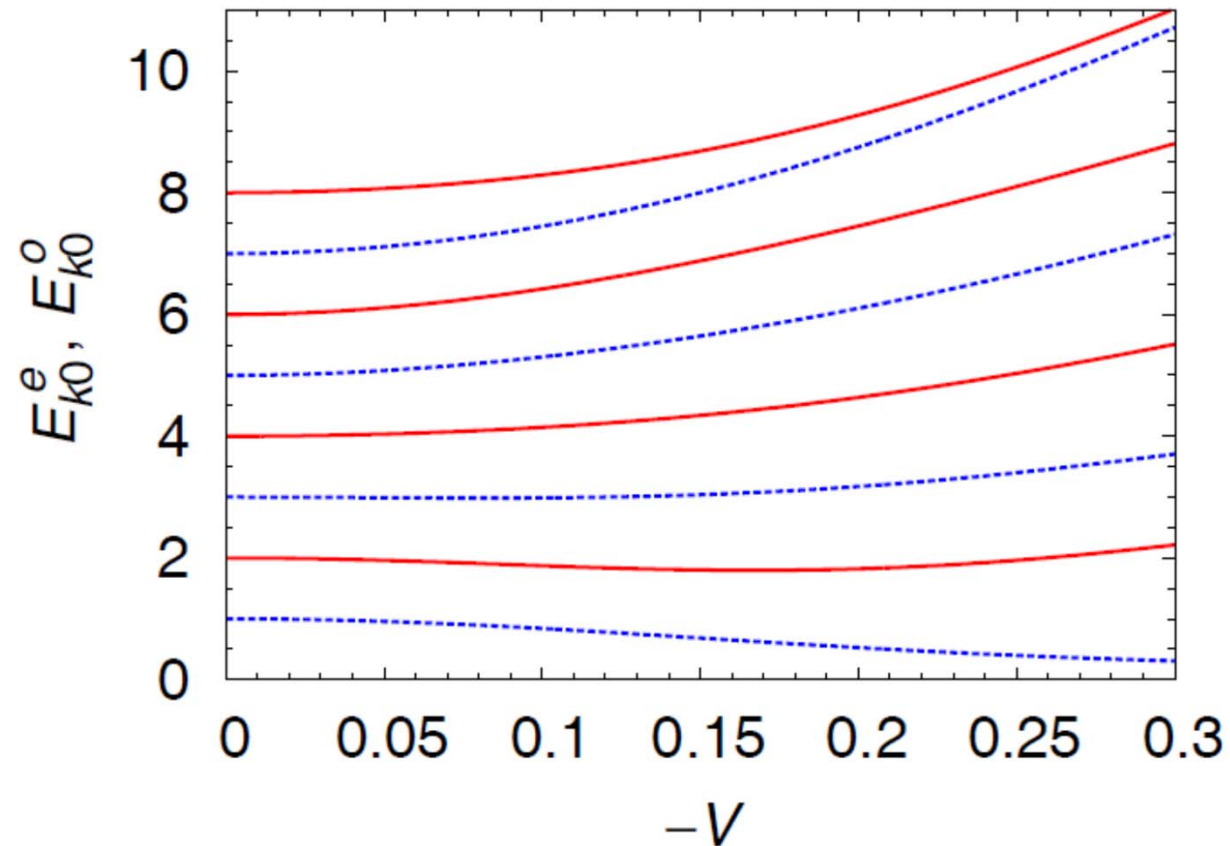
$$B_{ij}^o = \langle \Psi_0 | [\mathcal{J}_-^{2i-1}, H, \mathcal{J}_-^{2j-1}] | \Psi_0 \rangle$$

$$U_{ij}^o = \langle \Psi_0 | [\mathcal{J}_-^{2i-1}, \mathcal{J}_+^{2j-1}] | \Psi_0 \rangle$$

$$[A, B, C] = (1/2)[[A, B], C] + (1/2)[A, [B, C]]$$

**N=8,  $\epsilon=1$**   
**Breaking point**  
**of RPA**  
**is  $V=-0.143$**

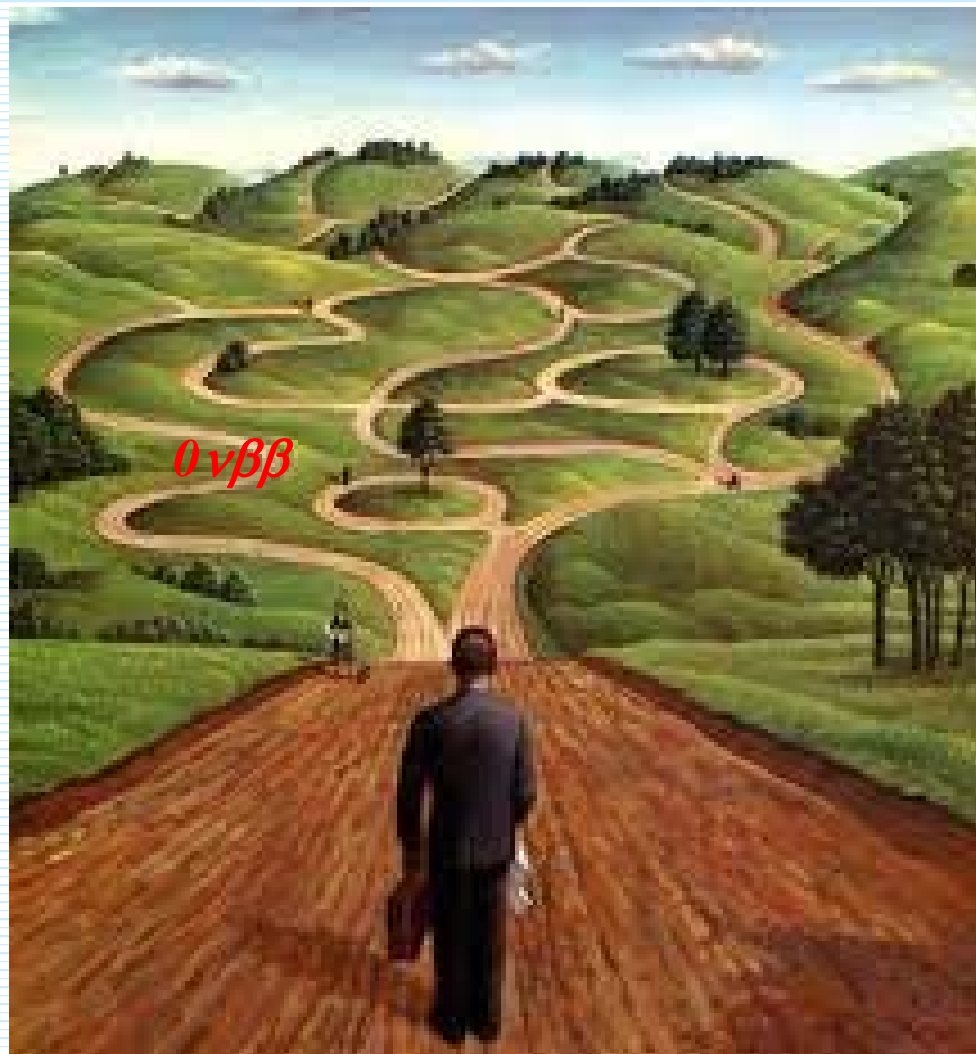
Exact  
agreement  
of **RPA** results  
with those  
obtained by  
**diagonalization**  
of **H**





## Instead of Conclusions

Progress  
in  
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calculations  
is  
highly  
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