

International Summer School "Nuclear Theory and Astrophysical Applications" (NTAA17), Dubna, -10 - 22, 2017.

# Mean-field and beyond mean-field dynamical theories for nuclei



Some astrophysical motivations for the microscopic description of large amplitude collective motion



#### Solar abundancy

# Observation of today's abundancy



#### Light nuclei formation

$$p + p \rightarrow d + e^{+} + v_{e} \quad Q = 0.42 \text{ MeV}$$

$$p + e^{-} + p \rightarrow d + v_{e} \quad Q = 1.42 \text{ MeV}$$

$$d + p \rightarrow^{3}\text{He} + \gamma \quad Q = 5.49 \text{ MeV}$$

$$^{3}\text{He} + ^{3}\text{He} \rightarrow ^{4}\text{He} + 2p \quad Q = 12.96 \text{ MeV}$$

$$^{3}\text{He} + ^{4}\text{He} \rightarrow ^{7}\text{Be} + v_{e}$$

$$e^{-} + ^{7}\text{Be} \rightarrow ^{7}\text{Li} + v_{e} \quad Q = 0.86 \text{ MeV}$$

$$p + ^{7}\text{Li} \rightarrow 2 ^{4}\text{He}$$

$$^{7}\text{Be} + p \rightarrow ^{8}\text{B} + \gamma$$

$$^{8}\text{B} \rightarrow ^{8}\text{Be}^{*} + e^{+} + v_{e}$$

$$^{8}\text{Be}^{*} \rightarrow 2 ^{4}\text{He}$$

$$^{7}\text{Be} + p \rightarrow ^{4}\text{He}$$

$$^{7}\text{Be} + p \rightarrow ^{8}\text{B} + \gamma$$

$$^{8}\text{Be}^{*} \rightarrow 2 ^{4}\text{He}$$

$$^{7}\text{He} + \frac{1}{2} +$$

# Observation of today's abundancy







Observation of today's abundancy



Observation of today's abundancy

#### Solar abundancy



Synthesis of Nuclei with A < 60  

$${}^{12}C + {}^{12}C \rightarrow {}^{20}Ne + {}^{4}He$$
  
 ${}^{12}C + {}^{12}C \rightarrow {}^{23}Na + p$   
 ${}^{12}C + {}^{12}C \rightarrow {}^{23}Mg + n$   
 ${}^{12}C + {}^{12}C \rightarrow {}^{24}Mg + \gamma$   
 ${}^{16}O + {}^{16}O \rightarrow {}^{24}Mg + 2 {}^{4}He$   
 ${}^{16}O + {}^{16}O \rightarrow {}^{28}Si + {}^{4}He$   
 ${}^{16}O + {}^{16}O \rightarrow {}^{31}P + p$   
 ${}^{16}O + {}^{16}O \rightarrow {}^{31}S + n$ 

 $^{16}O + ^{16}O \rightarrow ^{32}S + \gamma$ 

Observation of today's abundancy





Observation of today's abundancy

The s-process compete with the r-process (rapid neutron capture) If neutron density is high



# Scope of the lecture :

# large amplitude collective motion described with microscopic theory

Basic aspects of quantum dynamics (Schroedinger, Liouville, Ehrenfest picture)

Information theory and selection of relevant degrees of freedom

Illustration on simple quantum mechanics models and many-body theory

Time dependent mean-field theory in nuclear physics

Illustration on collective motion, fusion, deep inelastic collisions

The dynamics of superfluid nuclei

Limitation of mean-field theory (complexity in nuclei)

Stochastic methods (phase-space approach, Stochastic TDHF, Auxiliary field, ...)

Illustrations



# Nuclei are complex quantum many-body systems

Goal:

Be able to describe in a unified way static and dynamical properties of these systems



### When is the formal solution useful?

For eigenstates of the Hamiltonian

$$\begin{aligned} |\Psi(t_0)\rangle &= |\Phi_i\rangle. \\ H|\Phi_i\rangle &= E_i |\Phi_i\rangle \end{aligned} \qquad \implies \qquad |\Psi(t)\rangle &= e^{\frac{1}{i\hbar}(t-t_0)H} |\Psi_i\rangle = e^{\frac{1}{i\hbar}(t-t_0)E_i} |\Phi_i\rangle \end{aligned}$$

If the initial state can be decomposed on eigenstates

$$|\Psi(t_0)\rangle = \sum_i c_i |\Phi_i\rangle. \implies |\Psi(t)\rangle = \sum_i c_i e^{\frac{1}{i\hbar}(t-t_0)E_i} |\Phi_i\rangle.$$

Difficulty

In complex systems this method can rarely be used

> Numerical methods for direct Schrödinger Eq. integration

Approximation should be made.



Partial Differential Equation (PDE) in x representation:

$$\begin{aligned} \langle x|\Psi(t)\rangle &= \Psi(x,t) \\ \langle x|\hat{p}|\Psi(t)\rangle &= -i\hbar\frac{\partial}{\partial x}\Psi(x,t) \end{aligned} \qquad i\hbar\frac{\partial}{\partial t}\Psi(x,t) = \left\{-\frac{\hbar^2}{2m}\frac{\partial^2}{\partial^2 x} + V(x)\right\}\Psi(x,t) \\ \langle x|V(\hat{x})|\Psi(t)\rangle &= V(x)\Psi(x,t) \end{aligned}$$

Quantum mechanics on a mesh



Methods for time integration

$$i\hbar \mathbf{F}(t) = \mathbf{H} \times \mathbf{F}(t)$$
  
 $\mathbf{F}(t + \Delta t) = \exp\left(\frac{\Delta t}{i\hbar}\mathbf{H}\right) \times \mathbf{F}(t)$ 

**Time discretization** 

time:  $\{t_i\}$  time-step:  $\Delta t$ 

### Time integration:

Direct

$$\exp\left(-\frac{\Delta t}{i\hbar}\mathbf{H}\right) \simeq 1 + \frac{\Delta t}{i\hbar}\mathbf{H} + \frac{1}{2!}\left(\frac{\Delta t}{i\hbar}\mathbf{H}\right)^2 + \cdots$$

 $(\Delta t)^n$ , non-unitary, any dim.

**Crank-Nicholson** 

$$\mathbf{F}(t + \Delta t) = \frac{1 - \frac{\Delta t}{2i\hbar}\mathbf{H}}{1 + \frac{\Delta t}{2i\hbar}\mathbf{H}}\mathbf{F}(t)$$

Split-Operator

$$\mathbf{F}(t + \Delta t) \simeq e^{-i\Delta t \frac{\mathbf{P}^2}{4\hbar m}} e^{-\frac{i}{\hbar}\Delta t \mathbf{V}} e^{-i\Delta t \frac{\mathbf{P}^2}{4\hbar m}} \times \mathbf{F}(t)$$

 $(\Delta t)^2$ , unitary, 1D only

#### $(\Delta t)^2$ , unitary, any dim.



Simple 1D Illustration



$$\rho(x) = |\Phi(x,t)|^2$$

Numerical issues

Same initial condition and Hamiltonian

-same  $\Delta x = 0.15~{
m fm}$ -different  $\Delta t$ 



How to know the correct values of parameters?

Simple estimate

 $\Delta x.\Delta p \simeq 2\pi\hbar \qquad \Delta t.\Delta E \simeq 2\pi\hbar$  $\Delta x.p_{\max} \simeq 2\pi\hbar \qquad \Delta t.E_{max} \simeq 2\pi\hbar$  $E_{\rm max} \simeq p_{\rm max}^2 / 2m$  $\frac{\Delta t}{(\Delta r)^2} \simeq \frac{m}{\pi \hbar}$ Here:  $\frac{\Delta t}{(\Delta r)^2} \simeq \frac{1000}{3 \times 200} = 1.7$  $\Delta x = 0.15 \text{ fm} \square \Delta t \simeq 0.04 \text{ fm/c}$ 

#### Quantum nuclear dynamics

#### Example of realistic 3D application



#### **Experimental motivation**

#### Quantum nuclear dynamics

Illustration: time-dependent Schrödinger Eq. for nuclear break-up



<sup>58</sup>Ni break-up @44 MeV/A



$$i\hbar\partial_t |\Phi_{\alpha}(t)\rangle = \left\{ \frac{\mathbf{p}^2}{2m} + V_P(\vec{\mathbf{r}}, t) + V_T(\vec{\mathbf{r}}, t) \right\} |\Phi_{\alpha}(t)\rangle$$

Wood-Saxon potentials

$$V_{P/T}(\vec{r},t) = \frac{V_0}{1 + \exp\{|\vec{r} - \vec{r}_{T/P}(t)|/a\}}$$

+ 3D Split-operator





#### Quantum nuclear dynamics

#### Illustration: time-dependent Schrödinger Eq. for nuclear break-up



DL, Scarpaci, Chomaz, NPA658 (1999)

# Observables, Densities and information/complexity reduction

#### Quantum dynamics from a simple perspective

Observable evolution

$$|\Psi(t)\rangle ~ \square \rangle \langle \hat{O}(t) \rangle = \langle \Psi(t) | \hat{O} | \Psi(t) \rangle$$



From the Schrödinger Equation

$$-i\hbar \frac{d}{dt} \langle \Psi | = \langle \Psi | H$$
 and  $i\hbar \frac{d}{dt} | \Psi \rangle = H | \Psi \rangle$ 

Liouville von-Neumann Equation

$$\begin{split} i\hbar\frac{d}{dt}D &= \Bigl(i\hbar\frac{d}{dt}|\Psi\rangle\Bigr)\langle\Psi| + |\Psi\rangle\Bigl(i\hbar\frac{d}{dt}\langle\Psi|\Bigr) = H|\Psi\rangle\langle\Psi| - |\Psi\rangle\langle\Psi|H\\ & \fbox{} i\hbar\frac{d}{dt}D = [H,D] \end{split}$$

**Ehrenfest Theorem** 

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Dimension

$$i\hbar \frac{d}{dt} \langle O \rangle = \operatorname{Tr} \left( O \frac{dD}{dt} \right) = \operatorname{Tr} \left( O[H, D] \right)$$
$$i\hbar \frac{d}{dt} \langle O \rangle = \operatorname{Tr} \left( [O, H] D \right) = \langle [O, H] \rangle$$
Schrödinger

Densities can describe systems that could not easily be described by a single wave-packet.

**Example 1: Quantum statistical Mechanics** 

$$D = \sum |\Psi_i 
angle \mathcal{P}_i \langle \Psi_i|$$
  
 $D^2 - D = \sum |\Psi_i 
angle (\mathcal{P}_i^2 - \mathcal{P}_i) \langle \Psi_i|$   
N.B.:  $D^2 = D$  pure state case

Example 2: Non-equilibrium quantum dynamics with dissipation/irreversible process



Lindblad equation

$$\frac{d}{dt}D = \frac{1}{i\hbar}[H, D(t)]$$
$$-\frac{1}{2\hbar^2}\sum_k \gamma_k \left(2A_k D(t)A_k - A_k A_k D(t) - D(t)A_k A_k\right)$$

Non-Hamiltonian evolution

# Why we need to select specific degrees of freedom?



-In most realistic situations, the number of DOF is very large
-All DOF cannot be followed in time simultaneously
-Some DOF are irrelevant for the considered process.

# Information reduction

-The idea is to focus on the relevant DOF.

Use of variational principles.



a: lots of interesting aspects come from the coupling between relevant and irrelevant DOF.

Necessity to account for this coupling

Minimize the action

$$S = \int_{t_0}^{t_1} ds \left\langle \Psi(t) \right| i\hbar \partial_t - H \left| \Psi(t) \right\rangle$$

under the constraint

$$\left. \delta \Psi(t_0) \right\rangle = 0$$
 and  $\left. \left< \delta \Psi(t_1) \right| = 0$ 



How does it works?

Using the component  $\Psi_i(t) = \langle i | \Psi(t) \rangle$ 

$$S = \int_{t_0}^{t_1} ds \sum_i \left\{ i\hbar \Psi_i^*(t) \partial_t \Psi_i(t) - \sum_i \Psi_i^*(t) H_{ij} \Psi_j(t) \right\}$$
$$\mathcal{H}[\Psi, \Psi^*]$$

Variation with respect to:

 $\delta \Psi_{i}^{*}$   $i\hbar \partial_{t} \Psi_{i} = \partial \mathcal{H} / \partial \Psi_{i}^{*}$   $= \sum_{j} H_{ij} \Psi_{j}$   $i\hbar \partial_{t} |\Psi\rangle = H |\Psi\rangle$ 

 $\delta \Psi_i$  (after integration by part)

$$i\hbar\partial_t \Psi_i^* = -\partial \mathcal{H}/\partial \Psi_i$$
$$= -\sum_j H_{ij} \Psi_j^*$$
$$-i\hbar\partial_t \langle \Psi | = \langle \Psi | H$$

#### Variational principle in quantum mechanics

Selection of degrees of freedom

Selection of trial states with specific rules of variation:



$$S = \int_{t_0}^{t_1} ds \left\langle \mathbf{Q} \right| i\hbar \partial_t - H \left| \mathbf{Q} \right\rangle$$

$$\langle \delta \mathbf{Q} | = \langle \mathbf{Q} | \sum_{\alpha} \delta q_{\alpha}^{*}(t) A_{\alpha}$$

$$| \delta \mathbf{Q} \rangle = \sum_{\alpha} \delta q_{\alpha} A_{\alpha} | \mathbf{Q} \rangle$$

$$i\hbar \langle \dot{\mathbf{Q}} | A_{\alpha} | \dot{\mathbf{Q}} \rangle = \langle \mathbf{Q} | A_{\alpha} H | \mathbf{Q} \rangle$$

$$i\hbar \langle \dot{\mathbf{Q}} | A_{\alpha} | \mathbf{Q} \rangle = -\langle \mathbf{Q} | H A_{\alpha} | \mathbf{Q} \rangle$$

$$i\hbar \frac{d \langle A_{\alpha} \rangle}{dt} = \langle [A_{\alpha}, H] \rangle$$

$$Ehrenfest theorem$$

#### Variational principle in quantum mechanics

#### Selection of degrees of freedom



The use of variational principle with specific class of trial states insure optimal dynamics of the variables  $\langle A_{\alpha} \rangle$  for short time

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Gaussian coherent state

Goal: Find an approximation of the dynamics imposing that the state remains Gaussian



$$(x,p) \Longrightarrow (a,a^{\dagger})$$

$$x = \frac{1}{\sqrt{2\eta}} \left( a + a^{\dagger} \right) \qquad p = i\hbar \sqrt{\frac{\eta}{2}} \left( a^{\dagger} - a \right)$$
$$\implies a = \sqrt{\frac{\eta}{2}} x + \frac{i}{\hbar \sqrt{2\eta}} p$$

Coherent states might be defined as eigenstates of a with complex eigenvalues

$$\langle x|a|\alpha\rangle = \left\{\frac{1}{\sqrt{2\eta}}\frac{\partial}{\partial x} + \sqrt{\frac{\eta}{2}}x\right\}\Phi_{\alpha}(x) = \alpha\Phi_{\alpha}(x)$$

$$\Phi_{\alpha}(x) = \left(\frac{\eta}{\pi}\right)^{1/4} \exp\left(-\frac{\eta}{2}\left(x-q_0\right)^2 + i\frac{p_0x}{\hbar} - i\frac{p_0q_0}{2\hbar}\right)$$

Gaussian coherent state

Information reduction with coherent state

$$\Phi_{\alpha}(x) = \left(\frac{\eta}{\pi}\right)^{1/4} \exp\left(-\frac{\eta}{2} \left(x - q_0\right)^2 + i\frac{p_0 x}{\hbar} - i\frac{p_0 q_0}{2\hbar}\right) = \Phi_{(p_0, q_0)}(x)$$
with  $\langle x \rangle = q_0$ 
 $\langle p \rangle = p_0$ 

All the information on the system is contained in  $\left(p_{0},q_{0}
ight)$ 

For any observable 
$$\langle O \rangle = \mathcal{O}(p_0, q_0)$$

Example: 
$$\Delta x = \langle x^2 \rangle - \langle x \rangle^2 = \frac{1}{2\eta} (\alpha^2 + (\alpha^*)^2 + 2\alpha\alpha^* + 1 - \{\alpha + \alpha^*\}^2) = \frac{1}{2\eta}$$
$$\implies \langle x^2 \rangle = q_0^2 + \frac{1}{2\eta}$$

Similarly  $\langle p^2 
angle = p_0^2 + \hbar^2 \eta/2$  ,

$$\langle x^3 \rangle = q_0^3 + \frac{3}{2\eta} q_0$$
,  $\langle x^4 \rangle = q_0^4 + \frac{3}{\eta} q_0^2 + \frac{3}{4\eta^2}$ , ...

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Gaussian coherent state

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Variational principle

**Explicit Equation of motion** 

 $\frac{dq_0}{dt} = \frac{p_0}{m}$  $\frac{dp_0}{dt} = -aq_0 - b\left(q_0^2 + \frac{1}{2\eta}\right) - c\left(q_0^3 + \frac{3}{2\eta}q_0\right)$ 

Comparison with direct Ehrenfest Theorem application

$$\frac{d}{dt}\langle x\rangle = -\frac{i}{\hbar}\langle [x,H]\rangle = \frac{\langle p\rangle}{m} = \frac{p_0}{m}$$
$$\frac{d}{dt}\langle p\rangle = -\frac{i}{\hbar}\langle [p,H]\rangle = -a\langle x\rangle - b\langle x^2\rangle - c\langle x^3\rangle$$

with

$$\langle x \rangle = q_0 \quad \langle x^2 \rangle = q_0^2 + \frac{1}{2\eta} \qquad \langle x^3 \rangle = q_0^3 + \frac{3}{2\eta}q_0$$

The equivalence only holds for relevant degrees of freedom!

Like classical Hamilton Eq.

Gaussian state in harmonic potential

$$H = \frac{p^2}{2m} + \frac{1}{2}ax^2 + \frac{1}{3}bx^3 + \frac{1}{4}cx^4$$

$$(\int_{1}^{10} \int_{2}^{10} \int_{2$$

Case 1 
$$b = c = 0$$
  

$$\frac{d}{dt} \langle x \rangle = \frac{\langle p \rangle}{m} \qquad \frac{d}{dt} \langle p \rangle = -a \langle x \rangle$$

$$\stackrel{(i)}{\longrightarrow} \text{Evolution of x and p is exact}$$

$$\stackrel{(i)}{\longrightarrow} \stackrel{(i) 25}{19.25}_{17.5} \stackrel{(i) 0}{\longrightarrow} \stackrel{(i) 0}{50} \stackrel{(i) 0}{100} \stackrel{(i) 0}{150} \stackrel{(i) 0}{200} \stackrel{(i) 0}{250}$$

Gaussian state in slightly anharmonic potential



Due to the coupling to irrelevant DOF Damping might occur

#### Gaussian state in strongly potential



Strongly anharmonic potential induces a strong coupling between relevant and irrelevant space (and the approximation fails)

#### TO GO MESSAGE

from the first lecture



Application to the nuclear Many-Body problem
#### The Nuclear Energy Density Functional: Goal

Starting point: 
$$H = \sum_{i} T(i) + \sum_{i < j} V^{(2)}(i, j) + \sum_{i < j < k} V^{(3)}(i, j, k)$$

Goal: Map the nuclear many-body problem into an "independent" particle problem



$$\Psi(r_1,\cdots,r_{12},\cdots,r_{123},\cdots)$$

through an effective average potential

#### Strategy



Identify relevant degrees of freedom (one-body DOF)

Use appropriate trial states in the variational principle (Slater Det. wave-function)



 $\begin{array}{l} |-\rangle \text{ Vacuum} & \text{By definition: } a_i |-\rangle = 0 \\\\ \text{Single-particle creation: } a_i^{\dagger} |-\rangle = |i\rangle \\\\ \text{Single-particle annihilation: } a_i |j\rangle = |-\rangle \langle i|j\rangle \\\\ \text{Two-body states: } a_i^{\dagger} a_j^{\dagger} |-\rangle = |ij\rangle \\\\ (a_i^{\dagger})^2 |-\rangle = 0 \quad \text{(fermions)} \end{array}$ 

Fermionic anti-commutation rules:

$$[a_i^{\dagger}, a_j^{\dagger}]_+ = a_i^{\dagger} a_j^{\dagger} + a_j^{\dagger} a_i^{\dagger} = 0$$
$$[a_i, a_j]_+ = a_i a_j + a_j a_i = 0$$
$$[a_i, a_j^{\dagger}]_+ = a_i a_j^{\dagger} + a_i^{\dagger} a_i = \langle i|j\rangle$$

Size

 $[\Omega]^2$ 

 $[\Omega(\Omega-1)/2]^2$ 

Observable expressions

one-body 
$$O^{(1)} = \sum_{ij} \langle i|O_1|j\rangle a_j^{\dagger}a_i$$
  
two-body  $O^{(2)} = \frac{1}{4} \sum_{ij,kl} \langle ij|\tilde{O}_{12}|kl\rangle a_i^{\dagger}a_j^{\dagger}a_la_k$   
three-body  $O^{(3)} = \frac{1}{6} \sum_{ijk,lmn} \langle ijk|\tilde{O}_{123}|lmn\rangle a_i^{\dagger}a_j^{\dagger}a_k^{\dagger}a_la_ma_n$ 

#### **Density matrices**

DefinitionInformation contentone-body<br/> $\langle i|\rho^{(1)}|j\rangle = \langle a_j^{\dagger}a_i\rangle$ <br/>two-body<br/> $\langle kl|\rho^{(2)}|ij\rangle = \langle a_i^{\dagger}a_j^{\dagger}a_la_k\rangle$  $\langle O^{(1)}\rangle = \operatorname{Tr}(O^{(1)}\rho^{(1)})$ <br/> $\langle O^{(2)}\rangle = \frac{1}{4}\operatorname{Tr}(\tilde{O}^{(2)}\rho^{(2)})$ 

 $\langle klm | \rho^{(3)} | ijn \rangle = \langle a_i^{\dagger} a_j^{\dagger} a_n^{\dagger} a_m a_l a_k \rangle \quad \langle O^{(3)} \rangle = \frac{1}{6} \operatorname{Tr}(\tilde{O}^{(3)} \rho^{(3)}) \qquad [\Omega(\Omega - 1)(\Omega - 2)/3!]^2$   $[\Omega]: \text{ size of the single-particle space}$ 

#### Independent particle states: Slater determinants

Reduction of information to one-body DOF

The two-particles case  $|i,j
angle=a_{i}^{\dagger}a_{j}^{\dagger}|angle$ 

$$\Phi_{ij}(r_1, r_2) = \langle r_2 r_1 | i, j \rangle = \langle -|a_{r_2} a_{r_1} a_i^{\dagger} a_j^{\dagger}| - \rangle$$
  
=  $\langle r_1 | i \rangle \langle r_2 | j \rangle - \langle r_2 | i \rangle \langle r_1 | j \rangle = \phi_i(r_1) \phi_j(r_2) - \phi_i(r_2) \phi_j(r_1)$ 

$$\Phi_{ij}(r_1, r_2) = \frac{1}{\sqrt{2!}} \begin{vmatrix} \phi_i(r_1) & \phi_i(r_2) \\ \phi_j(r_1) & \phi_j(r_2) \end{vmatrix} = \frac{1}{\sqrt{2!}} \mathcal{A}(\phi_i(r_1), \phi_i(r_2))$$

The N-particles case 
$$|i_1, \cdots, i_N\rangle = \frac{1}{\sqrt{N!}}a^{\dagger}_{i_1}\cdots a^{\dagger}_{i_N}|-\rangle$$

Associated density matrices

one-body 
$$\langle r|\rho^{(1)}|r'\rangle = \langle r|\left(\sum_{i}|i\rangle\langle i|\right)|r'\rangle$$
  $\longrightarrow$   $\rho_{1} = \sum |i\rangle\langle i|$   
two-body  $\rho_{12} = \rho_{1}\rho_{2}(1-P_{12})$  (with  $P_{12}|ij\rangle = |ji\rangle$ )  
three-body  $\rho_{123} = \rho_{1}\rho_{2}\rho_{3}(1-P_{12})(1-P_{13}-P_{23})$ 

#### Local rules of transformation between Slater determinants



 $|\Psi + \delta \Psi\rangle = (1 + \sum_{\alpha} \delta q_{\alpha} A_{\alpha} + \cdots) |\Psi\rangle = e^{\sum_{\alpha} \delta q_{\alpha} A_{\alpha}} |\Psi\rangle$ Here  $|\Psi
angle \propto \prod^N \, c^\dagger_lpha |angle$  $\alpha = 1$ We complete occupied states  $\sum_{\alpha} |\alpha\rangle\langle\alpha| + \sum_{\bar{\alpha}} |\bar{\alpha}\rangle\langle\bar{\alpha}| = 1$ 

he new state 
$$|\Psi + \delta \Psi \rangle = e^{\sum_{\beta \bar{\beta}} \delta Z_{\beta \bar{\beta}} a^{\dagger}_{\bar{\beta}} a_{\beta}} |\Psi \rangle = e^{\hat{Z}} |\Psi \rangle$$
  
Is a Slater determinant  $|\Psi + \delta \Psi \rangle = \prod_{\alpha'=1}^{N} c^{\dagger}_{\alpha'} |-\rangle$ 

Single-particle space



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$$\text{Proof:} \quad e^{\hat{Z}} |\Psi\rangle = e^{\hat{Z}} c^{\dagger}_{\alpha_1} e^{-\hat{Z}} e^{\hat{Z}} c^{\dagger}_{\alpha_2} e^{-\hat{Z}} \cdots e^{\hat{Z}} c^{\dagger}_{\alpha_N} e^{-\hat{Z}} |-\rangle$$

$$e^{\hat{Z}}c_{\alpha_i}^{\dagger}e^{-\hat{Z}} = c_{\alpha_i}^{\dagger} + [\hat{Z}, c_{\alpha_i}^{\dagger}] + \frac{1}{2!}[\hat{Z}, [\hat{Z}, c_{\alpha_i}^{\dagger}]] + \cdots$$
$$[\hat{Z}, c_{\alpha_i}^{\dagger}] = \sum_{\beta} Z_{\alpha_i\bar{\beta}}c_{\bar{\beta}}^{\dagger}$$

$$e^{\hat{Z}}c^{\dagger}_{\alpha_{i}}e^{-\hat{Z}} = c^{\dagger}_{\alpha_{i}'} = c^{\dagger}_{\alpha_{i}} + \sum_{\beta} Z_{\alpha_{i}\bar{\beta}}c^{\dagger}_{\bar{\beta}}$$

From variational principle

$$S = \int_{t_0}^{t_1} ds \left\langle \Psi(t) \right| i\hbar \partial_t - H \left| \Psi(t) \right\rangle \Longrightarrow S = \int_{t_0}^{t_1} dt \sum_{\alpha} \int_{\mathbf{r}} d^3 \mathbf{r} \Big\{ i\hbar \phi_{\alpha}^*(i) \partial_t \phi_{\alpha}^*(i) - \mathcal{H}(\phi_{\alpha}, \phi_{\alpha}^*) \Big\}$$

For two-body hamiltonian

$$\mathcal{H} = \sum_{ij\alpha} t_{ij} \phi_{\alpha}^{*}(i) \phi_{\alpha}(j) + \frac{1}{2} \sum_{ijkl\alpha\beta} \tilde{v}_{ij,kl} \phi_{\alpha}^{*}(i) \phi_{\beta}^{*}(j) \phi_{\alpha}(k) \phi_{\beta}(l)$$

Mean-field equation of motion (in r-space)

$$i\hbar\partial_{t}\phi_{\alpha}(\mathbf{r}) = -\frac{\hbar^{2}}{2m}\Delta\phi_{\alpha}(\mathbf{r}) + U_{\mathrm{H}}(\mathbf{r})\phi_{\alpha}(\mathbf{r}) + \int d\mathbf{r}' U_{\mathrm{ex}}(\mathbf{r},\mathbf{r}')\phi_{\alpha}(\mathbf{r}')$$
Direct term  $U_{\mathrm{H}}(\mathbf{r}) = \int d\mathbf{r}' v(\mathbf{r}-\mathbf{r}')\rho(\mathbf{r}',\mathbf{r}')$ 
Exchange term  $U_{\mathrm{ex}}(\mathbf{r},\mathbf{r}') = -v(\mathbf{r}-\mathbf{r}')\rho(\mathbf{r},\mathbf{r}')$ 
 $i\hbar\frac{d\langle A_{\alpha}\rangle}{dt} = \langle [A_{\alpha},H]\rangle \implies i\hbar\frac{d}{dt}\langle a_{i}^{\dagger}a_{j}\rangle = \langle [a_{i}^{\dagger}a_{j},H]\rangle \implies i\hbar\partial_{t}\rho = [h_{\mathrm{MF}}[\rho],\rho]$ 

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#### Application of Hartree-Fock (HF) theory to nuclei

Nuclear matter properties

#### Calculation from bare soft NN interaction



Bogner, Schwenk, Furnstahl, Nogga, NPA 763 (2005).



## Nuclear Energy Density Functional based on effective interaction

Illustration with the Skyrme Functional

Vautherin, Brink, PRC (1972)

$$\begin{aligned} v(\mathbf{r}_{1} - \mathbf{r}_{2}) &= t_{0} \left( 1 + x_{0} \hat{P}_{\sigma} \right) \delta(\mathbf{r}) \\ &+ \frac{1}{2} t_{1} \left( 1 + x_{1} \hat{P}_{\sigma} \right) \left[ \mathbf{P}^{\prime 2} \, \delta(\mathbf{r}) + \delta(\mathbf{r}) \, \mathbf{P}^{2} \right] \\ &+ t_{2} \left( 1 + x_{2} \hat{P}_{\sigma} \right) \mathbf{P}^{\prime} \cdot \delta(\mathbf{r}) \, \mathbf{P} \\ &+ i W_{0} \sigma. \left[ \mathbf{P}^{\prime} \times \delta(\mathbf{r}) \, \mathbf{P} \right] \\ &+ \frac{1}{6} t_{3} \left( 1 + x_{3} \hat{P}_{\sigma} \right) \rho^{\alpha}(\mathbf{R}) \, \delta(\mathbf{r}) \end{aligned}$$

$$\begin{aligned} \mathcal{E} &= \langle \Psi | H(\rho) | \Psi \rangle = \int \mathcal{H}(r) d^{3}\mathbf{r} \\ \mathcal{H} &= \mathcal{K} + \mathcal{H}_{0} + \mathcal{H}_{3} + \mathcal{H}_{eff} \\ &+ \mathcal{H}_{fin} + \mathcal{H}_{so} + \mathcal{H}_{sg} + \mathcal{H}_{Coul} \end{aligned}$$
$$\begin{aligned} \mathcal{H}_{0} &= \frac{1}{4} t_{0} \big[ (2 + x_{0}) \rho^{2} - (2x_{0} + 1)(\rho_{p}^{2} + \rho_{n}^{2}) \big] \\ \mathcal{H}_{3} &= \frac{1}{24} t_{3} \rho^{\alpha} \big[ (2 + x_{3}) \rho^{2} - (2x_{3} + 1)(\rho_{p}^{2} + \rho_{n}^{2}) \big] \end{aligned}$$
$$\begin{aligned} \mathcal{H}_{eff} &= \frac{1}{8} \big[ t_{1} (2 + x_{1}) + t_{2} (2 + x_{2}) \big] \tau \rho \\ &+ \frac{1}{8} \big[ t_{2} (2x_{2} + 1) - t_{1} (2x_{2} + 1) \big] (\tau_{p} \rho_{p} + \tau_{n} \rho_{n}) \end{aligned}$$
$$\begin{aligned} \mathcal{H}_{fin} &= \frac{1}{32} \big[ 3t_{1} (2 + x_{1}) - t_{2} (2 + x_{2}) \big] (\nabla \rho)^{2} \end{aligned}$$

$$\mathcal{H}_{\text{fin}} = \frac{1}{32} \left[ 3t_1(2+x_1) - t_2(2+x_2) \right] (\nabla \rho)^2 - \frac{1}{32} \left[ 3t_1(2x_1+1) + t_2(2x_2+1) \right] \left[ (\nabla \rho_p)^2 + (\nabla \rho_n)^2 \right]$$

$$\mathcal{H}_{so} = \frac{1}{2} W_0 \big[ \mathbf{J} . \nabla \rho + \mathbf{J}_p . \nabla \rho_p + \mathbf{J}_n . \nabla \rho_n \big]$$
  
$$\mathcal{H}_{sg} = -\frac{1}{16} (t_1 x_1 + t_2 x_2) \mathbf{J}^2 + \frac{1}{16} (t_1 - t_2) \big[ \mathbf{J}_p^2 + \mathbf{J}_n^2 \big]$$

Functional of  $\rho, \rho_n, \rho_p, \tau, \tau_n, \tau_p, \mathbf{J}, ...$ Around 10-14 parameters to be adjusted





In practice

1

$$\{\varphi_{\alpha}\} \Longrightarrow \rho \Longrightarrow h_{\mathrm{MF}}[\rho] \Longrightarrow \{\varphi_{\alpha}\} \Longrightarrow \cdots$$

#### Ground state Energy





#### Ground state density

# Time-Dependent Mean-Field For collective motion





#### **Constrained** calculations

Nuclei at various shapes  $\delta \langle \Psi | H - \lambda Q - E | \Psi \rangle = 0$ 

Thermodynamics of nuclei  $\delta \langle \Psi | H - TS - \mu N | \Psi \rangle = 0$  with  $S = -\text{Tr}(D \ln D)$ 

Here 
$$\rho = \sum |i\rangle n_i \langle i|$$
  $S[n_i] = -\sum_i [n_i \log(n_i) + (1 - n_i) \log(1 - n_i)]$   
 $n_i = 1/(1 + exp\{(\varepsilon_i - \mu)/T\})$ 



#### **Collective motion**

#### Constrained mean-field versus dynamics



**Time evolution** 





#### Difficulties



# Time-Dependent Mean-Field for low energy collisions





#### **Reactions with Nuclei**



#### Important parameters

#### Mass/Charge:

Projectile  $(N_P, Z_P)$ Target  $(N_T, Z_T)$ Impact parameter: b  $L = r \land p = bp_{ini}$ Beam Energy:  $E_B/A$ 

 $E_B^{Fus} \simeq 5 \ MeV.A$ 







#### <sup>16</sup>O+<sup>208</sup>Pb @ 74.45 MeV



#### **Reactions with Nuclei**

### Example of application: reaction time

#### **Re-separation**



Simenel, Avez, DL, (2008) arXiv:0806.2614.





S.E. Koonin, Prog. Nucl. Part. Phys. 4 (79) 283. K.T.R. Davies et al, Treat. Heavy Ion Sciences, 4 (85) 1.

#### **Reactions with Nuclei**

Example of application: nucleus-nucleus potential/effect of dynamics



Interplay between fusion and deformation is included in a semi-classical way: Different orientation lead to different barriers



#### From Simenel, EPJA 48 (2012)



Vibrations can be excited during the approaching phase leading to barrier fluctuations

Important : the excited collective degrees of freedom are not pre-selected

However, collective space is not quantized Missing quantum fluctuations

#### **Reactions with Nuclei**

#### Example of application: dissipation



#### **Fusion reactions**

Dissipative aspects



Large Amplitude Collective Motion and dissipative aspects (multi-nucleon transfer, quasi-fission)



FIG. 1. (Color online) Quasifission in the reaction  ${}^{40}\text{Ca}+{}^{238}\text{U}$  at  $E_{\text{c.m.}} = 209$  MeV with impact parameter b = 1.103 fm (L = 20). Shown is a contour plot of the time evolution of the mass density.

Oberacker et al, Phys. Rev. C90 (2014)



Effect of superfluidity On nuclear reactions

#### Generalities

#### Pairing effect on nuclear dynamic



# Systematic study of the pairing Influence on nuclear dynamics



Scamps, Lacroix, PRC (2014)

#### 2n-break-up reactions



Assié and Lacroix, PRL102 (2009)

2n-transfer reactions



Scamps, Lacroix, PRC 87 (2013)



 $\rho(r)$ 

 $\Delta_n(\mathrm{MeV})$ 

10

20

30

40

Pairing interaction

= 20

N = 50

50

Neutron number

#### EDF: Pairing correlations in nuclei $|\Phi_0\rangle = \Pi_i a_i^{\dagger} |-\rangle \implies |\Phi_0\rangle = \Pi \beta_{\alpha}^{\dagger} |-\rangle \quad \text{or} \quad |\Phi_0\rangle = \Pi_i (u_i + v_i a_i^{\dagger} a_{\overline{i}}^{\dagger}) |-\rangle$ EDF: $\mathcal{E}_{SR}\left[\rho,\kappa,\kappa^*\right] = \sum t_{ii}\rho_{ii} + \frac{1}{2}\sum \overline{v}_{ijij}^{\rho\rho}\rho_{ii}\rho_{jj} + \frac{1}{4}\sum \overline{v}_{i\overline{\imath}j\overline{\jmath}}^{\kappa\kappa}\kappa_{i\overline{\imath}}\kappa_{j\overline{\jmath}}$ $\Phi_0 \to \{\rho, \kappa\} \to \mathcal{E}_{SR}$ Pairing channel $v^{\kappa\kappa} = v_0 \left( 1 - \alpha \left[ \frac{\rho}{\rho_0} \right]^{\beta} \right) \delta(r_1 - r_2)$ Particle number non-conservation $|\Phi_0\rangle = \sum c_n |\Psi_n\rangle$ $|c_n|^2$ $\rho_0$ **Mixed Pairing Surface Pairing** $\cdots N-1 \quad N \quad N+1 \quad \cdots$ $\Delta_n = B(N,Z) - \frac{B(N+1,Z) + B(N-1,Z)}{2}$ No breaking Breaking Ε (Slater det.) (quasi-particle) $\Delta = 12A^{-\frac{1}{2}}$ N = 82 $N \approx 126$ $\bar{\Delta}$ $\langle N^2 \rangle - \langle N \rangle^2$ 120 130 140 150

(order parameter)

#### Nuclear reaction on a mesh



TDHF is a standard tool  $|\Phi_i
angle$  : Slater

$$i\hbar \frac{d\rho}{dt} = [h(\rho), \rho]$$
 Single-particle evolution

Simenel, Lacroix, Avez, arXiv:0806.2714v2

Introduction of pairing: TDHFB

$$i\hbar \frac{d}{dt}\mathcal{R} = [\mathcal{H}(\mathcal{R}), \mathcal{R}] \qquad \qquad \mathcal{R} = \begin{pmatrix} \rho & \kappa \\ -\kappa^* & 1-\rho \end{pmatrix}$$



Quasi-particle evolution

(Active Groups: France, US, Japan...)

BCS limit of TDHFB (also called Canonical basis TDHFB)

TDHFB = 1000 \* (TDHF)

Avez, Simenel, and Chomaz, PRC78, (2008)

$$|\Phi(t)\rangle = \prod_{k>0} \left( u_k(t) + v_k(t)a_k^{\dagger}(t)a_{\bar{k}}^{\dagger}(t) \right) |-\rangle.$$

Less demanding than TDHFB

Neglect  $\Delta_{ij}$ 



Reasonable results for collective motion Ebata, Nakatsukasa et al, PRC82 (2010)

Sometimes more predictive than TDHFB

Lbata, Nakatsukasa et al, 1 NCO2 (2010)

Scamps, Lacroix, Bertsch, Washiyama, PRC85 (2012)



Scamps, Lacroix, PRC 87 (2013).



#### **Fission process**

Macroscopic picture



Direct contact with exp. Interest:

- The many-body facets of fission
  - **Fission life-time**
  - > Exotic nuclei production

> Nuclear reactors



#### **Fission process**

#### Microscopic description



Experimental kinetic energy of the fissioning fragments





$$\alpha + \alpha + \alpha \rightarrow^{12} C$$

**Reactions of astrophysical interest** 

Microscopic description

<sup>q</sup> <sup>4</sup>He <sup>4</sup>He <sup>2</sup> <sup>4</sup>He <sup>4</sup>He <sup>2</sup> <sup>4</sup>He <sup>12</sup>C <sup>2</sup>

## Formation of <sup>12</sup>C In the Universe (also <sup>16</sup>O)

- Be has 10<sup>-16</sup>s lifetime and not found in nature
- In stars due to <sup>4</sup>He abundance small amount of <sup>8</sup>Be always present
- <sup>4</sup>He+<sup>8</sup>Be combine to form resonant state of <sup>12</sup>C (Hoyle state)
- Excited state decays to ground state via an intermediate state
- Use TDHF to study the dynamics of this process



Umar, Maruhn, Itagaki, Oberaker Phys. Rev. Lett. 104, 212503 (2010)
Beyond mean-field Approaches (deterministic and stochastic methods)

#### Microscopic theory

#### Intrinsic limitations of mean-field theory

# No spontaneous symmetry breaking



#### Mean-field is almost a classical theory in collective space



#### Intrinsic limitations of mean-field theory



#### Microscopic theory

Challenges beyond mean-field



<B>

One Body space

Projection technique

Exact evolution

lean-field

 $\leq A_2 >$ 

Y. Abe et al, Phys. Rep. 275 (1996) DL, Ayik, Chomaz , Progress in Part. and Nucl. Phys. 52 (2004)

Short time evolution

$$\begin{split} i\hbar \frac{d}{dt}\rho_{1} &= [h_{MF},\rho_{1}] + Tr_{2} [v_{12},C_{12}] \\ i\hbar \frac{d}{dt}\rho_{12} &= [h_{MF}(1) + h_{MF}(2),\rho_{12}] \\ &+ (1-\rho_{1})(1-\rho_{2})v_{12}\rho_{1}\rho_{2} - \rho_{1}\rho_{2}v_{12}(1-\rho_{1}) \end{split}$$

Correlation  $C_{12} = \rho_{12} - (\rho_1 \rho_2)_A$ 

<A1

Approximate long time evolution+Projection (Nakajima-Zwanzig)

$$i\hbar \frac{d}{dt}\rho_{1} = [h_{MF}, \rho_{1}] + Tr_{2} [v_{12}, C_{12}]$$
with
$$C_{12}(t) = -\frac{i}{\hbar} \int_{t_{0}}^{t} U_{12} (t, s) F_{12} (s) U_{12}^{\dagger} (t, s) ds + \delta \mathcal{O}_{2}(t)$$
projected two-body
propagated initial
correlation

Dissipation (Extended TDHF)  $i\hbar \frac{d}{dt}\rho = [h_{MF}, \rho] + K(\rho)$ 

Dissipation and fluctuation  $i\hbar \frac{d}{dt}\rho = [h_{MF}, \rho] + K(\rho) + \delta K(\rho)$ Random initial  $\square$ condition

$$i\hbar \frac{\partial}{\partial t}\rho_1 = [h_1[\rho], \rho_1] + \frac{1}{2} \text{Tr}_2 [\bar{v}_{12}, C_{12}]$$
  
with

$$C_{12}(t) = -\frac{i}{\hbar} \int_{t_0}^t U_{12}(t,s) F_{12}(s) U_{12}^{\dagger}(t,s) ds + \delta C_{12}(t)$$

$$(1-\rho_1)(1-\rho_2)v_{12}\rho_1\rho_2 - \rho_1\rho_2v_{12}(1-\rho_1)(1-\rho_2)$$

Non-Markovian master equation

$$\frac{d}{dt}n_{\lambda}(t) = \int_{t_0}^{t} dt' \left\{ \bar{n_{\lambda}}(t') \mathcal{W}_{\lambda}^+(t,t') - n_{\lambda}(t') \mathcal{W}_{\lambda}^-(t,t') \right\}$$

**1D** 

Example: two interacting fermions

in 1dimension

#### **Occupation number evolution**



DL, Chomaz, Ayik, Nucl. Phys. A (1999).

# First application : Nuclear break-up of correlated systems

**Physical Intuition** 





application to collective motion



#### application to collective motion





# Stochastic methods

To treat quantum fluctuations (stochastic mean-field)

To treat direct two-body collisions (stochastic TDHF)

To treat all correlations (Auxiliary field quantum Monte-Carlo)

Question: Is it possible to recover some of the quantum mechanics aspects by considering an ensemble of independent mean-field trajectories?



#### **Initial fluctuations**



#### Correlations that built up in time Direct NN collisions



### **All Correlations**

D. Lacroix and S. Ayik EPJA Review (2016)

Including quantum fluctuations (Phase-space methods)

## Strategy to construct a stochastic mean-field theory

Collective phase-space



Ayik, Phys. Lett. B 658, (2008).

Mean-Field theory

Quantum fluctuations

The dynamics is described by a set of mean-field evolutions with random initial conditions

$$\frac{d\langle A_{\alpha}\rangle}{dt} = \mathcal{F}\left(\{\langle A_{\beta}\rangle\}\right) \text{ at all time } \sigma_Q^2 = \langle A^2\rangle - \langle A\rangle^2$$

Stochastic Mean-Field



$$\frac{dA_{\alpha}^{(n)}}{dt} = \mathcal{F}\left(\{A_{\beta}^{(n)}\}\right)$$

at all time

$$\Sigma_C^2 = \overline{A^{(n)}A^{(n)}} - \overline{A^{(n)}}^2$$

Constraint:  $\Sigma_C^2(t=0) = \sigma_Q^2(t=0)$ 

### The stochastic mean-field (SMF) concept applied to many-body problem





Quantum fluctuations



The dynamics is described by a set of mean-field evolutions with random initial conditions

Ayik, Phys. Lett. B 658, (2008).

The average properties of initial sampling should identify with properties of the mean-field.

SMF in density matrix space

$$\rho(\mathbf{r}, \mathbf{r}', t_0) = \sum_{i} \Phi_i^*(\mathbf{r}, t_0) n_i \Phi_j(\mathbf{r}', t_0)$$

$$\rho^{\lambda}(\mathbf{r}, \mathbf{r}', t_0) = \sum_{ij} \Phi_i^*(\mathbf{r}, t_0) \rho_{ij}^{\lambda} \Phi_j(\mathbf{r}', t_0)$$

$$Q(t_0)$$

$$Q^{\lambda}(t_0)$$

$$Q^{\lambda}(t_0)$$

$$\overline{Q}^{\lambda}(t_0) = Q(t_0)$$

$$\sigma_Q(t_0) = \overline{(Q^{\lambda}(t_0) - \overline{Q^{\lambda}(t_0)}^2)}$$

The case of spontaneous symmetry breaking





### Description of large amplitude collective motion with SMF

The stochastic mean-field solution



Lacroix, Ayik, Yilmaz, PRC 85 (2012)

#### **Application to fusion reactions**

# Stochastic semi-classical treatment of discrete channels



Ayik, Yilmaz, Lacroix, PRC81 (2010)

#### **Application to fusion reactions**

# Stochastic semi-classical treatment of discrete channels



# Application to fission: current quasi-static picture

#### Fission as a multi-dimensional process





Neutron number N Staszczak, Baran, Dobaczewski, and Nazarewicz Phys. Rev. C 80, 014309 (2009)

T. Ichikawa, Iwamoto, Möller, and Sierk, Phys. Rev. C 86 (2012)



Several fission paths



Emergence of the notion of fission modes (multimodal fission)

Beyond the quasi-static picture?

# How modes are populated-role of dynamics?



Fission is a quantum dynamical
 Process (quantum tunneling,
 Entanglement... )

$$i\hbar \frac{\partial g(\mathbf{q},t)}{\partial t} = \hat{H}_{\text{coll}}(\mathbf{q})g(\mathbf{q},t).$$

Regnier, et al, Phys. Rev. C 93 (2016)



# Application to fission



Including binary collisions The Stochastic TDHF method GOAL: Restarting from an uncorrelated state  $D = |\Phi_0\rangle \langle \Phi_0|$  we should:

1-have an estimate of  $D = |\Psi(t)\rangle \langle \Psi(t)|$ 

2-interpret it as an average over jumps between "simple" states

Weak coupling approximation : perturbative treatment Reinhard and Suraud, Ann. of Phys. 216 (1992)  $| \Psi(t') \rangle \ = \ | \Phi(t') \rangle - \frac{i}{\hbar} \, \int \delta v_{12}(s) \, | \Phi(s) \rangle \, ds - \frac{1}{2\hbar^2} T \left( \int \int \delta v_{12}(s) \delta v_{12}(s') ds ds' \right) | \Phi(s) \rangle$  Residual interaction in the mean-field interaction picture

Statistical assumption in the Markovian limit :



We assume that the residual interaction can be treated as an ensemble of two-body interaction:

 $\begin{cases} \overline{\delta v_{12}(s)} = 0\\ \\ \overline{\delta v_{12}(s)\delta v_{12}(s')} \propto \overline{\delta v_{12}^2(s)} e^{-(s-s')^2/2\tau^2} \end{cases}$ 



Average Density Evolution:

$$\overline{\Delta D} = \frac{\Delta t}{i\hbar} [H_{MF}, D] - \frac{\tau \Delta t}{2\hbar^2} \overline{[\delta v_{12}, [\delta v_{12}, D]]}$$

One-body density Master equation step by step

Initial simple state

$$D = |\Phi\rangle \langle \Phi|$$
$$\rho = \sum_{\alpha} |\alpha\rangle \langle \alpha|$$

2p-2h nature of the interaction  $|\tilde{\alpha}\rangle$ 

Separability of the interaction  $v_{12} = \sum_{\lambda} O_{\lambda}(1)O_{\lambda}(2)$ 

$$\overline{\Delta D} = \frac{\Delta t}{i\hbar} [H_{MF}, D] - \frac{\tau \Delta t}{2\hbar^2} \overline{[\delta v_{12}, [\delta v_{12}, D]]}$$

$$i\hbar \frac{d}{dt}\rho = [h_{MF}, \rho] - \frac{\tau}{2\hbar^2} \mathcal{D}(\rho)$$
with  $\langle j | \mathcal{D} | i \rangle = \overline{\langle [[a_i^+ a_j, \delta v_{12}], \delta v_{12}] \rangle}$ 

$$\mathcal{D}(\rho) = Tr_2 [v_{12}, C_{12}]$$
with  $C_{12} = (1 - \rho_1)(1 - \rho_2)v_{12}\rho_1\rho_2$ 

$$-\rho_1\rho_2v_{12}(1 - \rho_1)(1 - \rho_2)$$

$$\mathcal{D}(\rho) = \sum_k \gamma_k (A_k A_k \rho + \rho A_k A_k - 2A_k \rho A_k)$$

- Dissipation contained in Extended TDHF is included
- The master equation is a Lindblad equation
- Associated SSE DL, PRC73 (2006)

200 nK

1D bose condensate with gaussian two-body interaction

N-body density:  $D = |N:\alpha\rangle\langle N:\alpha|$ 

SSE on single-particle state :

Including all correlations The Quantum Monte-Carlo approach

#### Self-interacting vs Open Quantum systems



Towards Exact stochastic methods for N-body and Open systems



#### More insight in mean-field dynamics:

Exact state Trial states  $|\Psi(t)\rangle \longrightarrow \begin{cases} |Q(t)\rangle \\ |Q + \delta Q\rangle = e^{\sum_{\alpha} \delta q_{\alpha} A_{\alpha}} |Q\rangle \end{cases}$ 

The approximate evolution is obtained by minimizing the action:

$$S = \int_{t_0}^{t_1} \mathrm{d}s \langle Q | \mathrm{i}\hbar\partial_t - H | Q \rangle$$



# Included part: average evolution $i\hbar \frac{d\langle A_{\alpha} \rangle}{dt} = \langle [A_{\alpha}, H] \rangle \bigoplus_{\text{evolution}}^{\text{exact Ehrenfest}} evolution$ $H = \mathcal{P}_{1}H + (1 - \mathcal{P}_{1})H$

### Missing part: correlations

$$|dQ\rangle = \sum_{\alpha} dq_{\alpha} A_{\alpha} |dQ\rangle = \frac{dt}{i\hbar} \mathcal{P}_{1}(t) H |Q\rangle$$
$$\implies i\hbar \frac{d\langle A_{\alpha} A_{\beta} \rangle}{dt} \neq \langle [A_{\alpha} A_{\beta}, H] \rangle$$

# Hamiltonian splitting

 $H = \mathcal{P}_1 H + (1 - \mathcal{P}_1) H$ 

# System Environment



The idea is now to treat the missing information as the *Environment* for the Relevant part (*System*)





Reduction of the information: I want to simulate the expansion with Gaussian wavefunction having fixed widths.  $\langle x^2 \rangle = cte$ ,  $\langle p^2 \rangle = cte$ Mean-field evolution: *t>0* **Relevant/Missing information: Trial states Relevant degrees Missing information**  $|Q + \delta Q\rangle = e^{\sum_{\alpha} \delta q_{\alpha} A_{\alpha}} |Q\rangle$ of freedom  $\langle x^2 \rangle$ ,  $\langle p^2 \rangle$ ,  $\langle xp \rangle$  $\langle x \rangle, \langle p \rangle$ Coherent states  $|\alpha + d\alpha\rangle = e^{d\alpha a^+} |\alpha\rangle$  $\langle a^{+2} \rangle, \langle a^2 \rangle, \langle a^+ a \rangle$  $\langle a^+ \rangle, \langle a \rangle$ 

Stochastic c-number evolution



$$D = rac{|lpha
angle\langleeta|}{\langleeta|lpha
angle}$$
 with  $rac{\langleeta+deta| = \langleeta|e^{deta^*a}}{|lpha+dlpha
angle = e^{dlpha a^+}|lpha
angle}$ 

# 

#### Nature of the stochastic mechanics

with 
$$\overline{\mathrm{d}\chi_1\,\mathrm{d}\chi_2} = \frac{\hbar^2\eta}{2m}\,\mathrm{d}t$$

the quantum wave spreading can be simulated by a classical brownian motion in the complex plane



# D. Lacroix, Ann. Phys. 322 (2007) Starting point: $H = \sum_{ii} \langle i | T | j \rangle a_i^+ a_j + \frac{1}{2} \sum_{ijkl} \langle i j | v_{12} | lk \rangle a_i^+ a_j^+ a_l a_k$ $D_{ab} = |\Phi_a\rangle \langle \Phi_b| \quad \text{with} \quad \langle \Phi_b | \Phi_a \rangle = 1$ $\rho_1 = \sum |\alpha_i\rangle \langle \beta_i|$

Ehrenfest theorem 🗪 BBGKY hierarchy	
$\mathrm{i}\hbarrac{\mathrm{d}}{\mathrm{d}t} ho_1=[h_{\mathrm{MF}}, ho_1],$	$v_{12} = \sum O_{\lambda}(1)O_{\lambda}(2)$
$i\hbar \frac{d}{dt}\rho_{12} = [h_{\rm MF}(1) + h_{\rm MF}(2), \rho_{12}]$	$\overline{\lambda}$
$+ (1 - \rho_1)(1 - \rho_2)v_{12}\rho_1\rho_2 - \rho_1\rho_2v_{12}(1 - \rho_1)(1 - \rho_2)$	

 The method is general. the SSE are deduced easily
 extension to Stochastic TDHFB DL, arXiv nucl-th 0605033
 The mean-field appears naturally and the interpretation is easier
 the numerical effort can be reduced by reducing the number of observables Observables  $\langle j|\rho_1|i\rangle = \langle a_i^+a_j\rangle$ Fluctuations  $\langle ij|\rho_{12}|kl\rangle = \langle a_k^+a_l^+a_ja_i\rangle$ 

# Stochastic one-body evolution

$$d\rho_1 = [h_{MF}, \rho_1] + \sum_{\lambda} d\xi_{\lambda}^{[2]} (1 - \rho_1) O_{\lambda} \rho_1 + \sum_{\lambda} d\eta_{\lambda}^{[2]} (1 - \rho_1) O_{\lambda} \rho_1$$

with 
$$\overline{d\xi_{\lambda}^{[2]}d\xi_{\lambda'}^{[2]}} = -\overline{d\eta_{\lambda}^{[2]}d\eta_{\lambda'}^{[2]}} = \delta_{\lambda\lambda'}\frac{dt}{i\hbar}$$



#### Summary, stochastic methods for Many-Body Fermionic and bosonic systems

