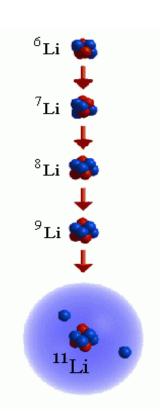
# Helmholtz International Summer School "Nuclear Theory and Astrophysical Applications" Dubna, Russia, July 10 – 22, 2017



# S. N. Ershov Joint Institute for Nuclear Research

HALO NUCLEI

## Halo is a widespread name for specific phenomena in different fields of physics



## in optics:

Halo is the name for a family of optical phenomena produced by light interacting with ice crystals suspended in the atmosphere. Halos can have many forms, ranging from colored or white rings to arcs and spots in the sky.

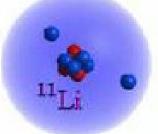
## in astrophysics:



Galactic halo is a region of scattered stars that surrounds spiral galaxies.

A dark matter halo is a hypothetical component of a galaxy that envelops the galactic disc and extends well beyond the edge of the visible galaxy.

## in nuclear structure:



The new structural dripline phenomenon with clusterization into an ordinary core nucleus and a veil of halo nucleons forming very dilute neutron matter

# **STRUCTURE**

# **ATOMIC**

electromagnetic forces between negatively charged electrons and positively charged nucleus

# NUCLEAR

Interactions between neutrons and protons via:

- strong forces
- electromagnetic forces
- weak forces

**SPACE SCALES** (1 fm = 
$$10^{-13}$$
 cm ):

$$\sim$$
 (  $0.3$  -  $2.3$  )· $10^5$  fm

$$\sim$$
 ( 2 - 6 ) fm

## **ENERGY SCALES:**

 $\sim$  electron volts

(Ionization energy for 
$${}^{1}H = 13.6 \text{ eV}$$
)

$$\sim \text{MeV} = 10^6 \text{ electronvolts}$$

(Binding energy for deuteron = 2.2 MeV)

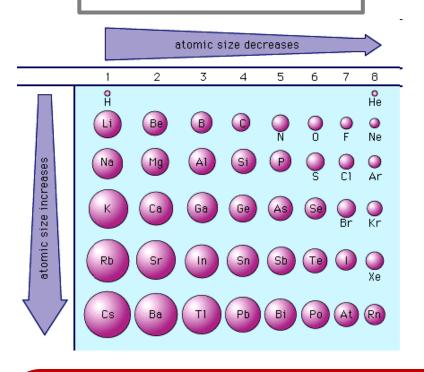
Atom has the very pronounced shell structure.

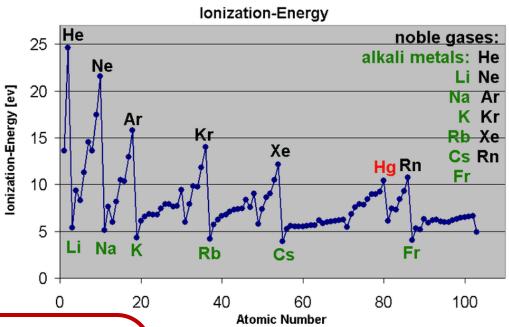
Noble gases correspond to the magic numbers: 2, 10, 18, 36, 54, 86

**18 18 32 32**  $1s^2\ 2s^2\ 2p^6\ 3s^2\ 3p^6\ 4s^2\ 3d^{10}\ 4p^6\ 5s^2\ 4d^{10}\ 5p^6\ 6s^2\ 4f^{14}\ 5d^{10}\ 6p^6\ 7s^2\ 5f^{14}\ 6d^{10}\ 7p^6$ 15 **Electron Configuration Table** 1 Mg 5|Fe 3d 1 Ca 2 Sc 6 Co 7 Ni 8 Cu 9 Zn 10 Ga Cr 4 Mn 1 Ge 2 As 1 Sr 3 Mo 4 Tc 5 Ru 6 Rh 7 Pd 8 Ag 9 Cd 10 In Sn 2Sb 5 Xe 5 Os 6 Ir 1 Ba 2 La\* 1 Hf 2 Ta 3 W 4 Re 7 Pt 8 Au 9 Hg 10 T 65 2+Ac 1Rf 1 Ra 2 Db 3 Sq 4 Bh 5 Hs 6 Mt 7 Ds 15 2 Nd 3Pm 4Sm 5Eu 6Gd 7Tb 8 Dy 9 Ho 10 Er 11 Tm 12 Yb 13 Lu 14 4Pu 5Am 6Cm 7Bk 8Cf 9Es 10Fm 11Md 12No 13Lr 14

# ATOMIC SIZES

# **IONIZATION ENERGIES**





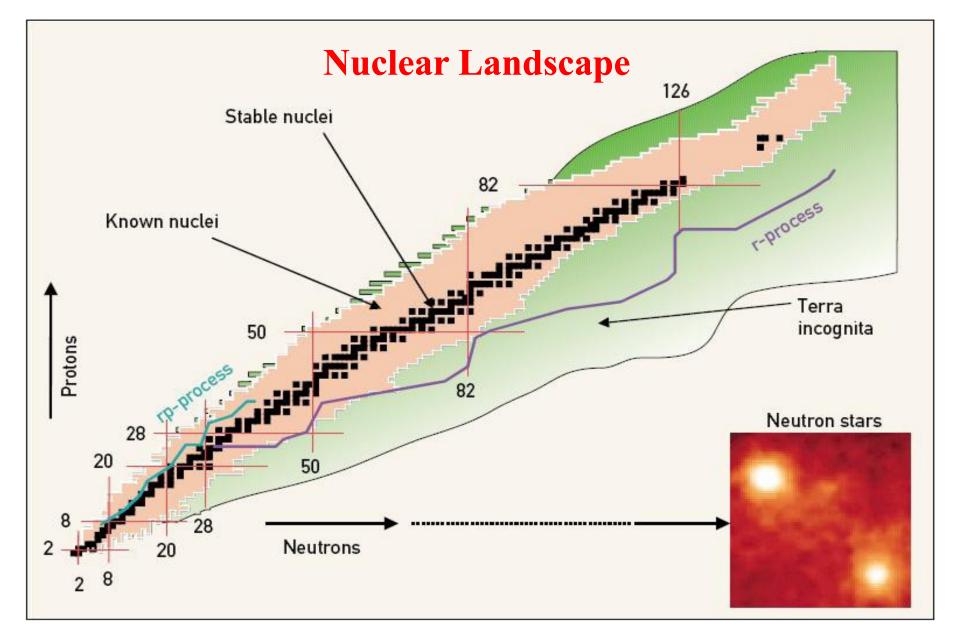
Atoms lack a well-defined outer boundary.

The radius varies with:

- the location of an atom on the atomic chart
- the type of chemical bond
- the number of neighboring atoms

Despite conceptual difficulties atomic radii vary in a predictable and explicable manner across the periodic table

$$H_0 = \sum_{i=1}^{Z} \left( \frac{T_i}{r_i} - \frac{Ze^2}{r_i} \right) + \sum_{i < j}^{Z} \frac{e^2}{r_{ij}}$$



Presently  $\sim 3600$  nuclei have been observed, less than 300 nuclei are stable (with a lifetime greater than  $10^9$  years)

## NUCLEAR STRUCTURE NEAR THE VALLEY OF STABILITY

exhibit similar binding for neutrons and protons density and diffuseness of the surface are nearly constant

the resulting shell structure is well established





magic numbers

**Nobel Prize Medal** 

(2, 8, 20, 28, 50, 82, 126) are the same for neutrons and protons stable double-magic nuclei:  ${}^4_2\mathrm{He}_2$ ,  ${}^{16}_8\mathrm{O}_8$ ,  ${}^{40}_{20}\mathrm{Ca}_{20}$ ,  ${}^{48}_{20}\mathrm{Ca}_{28}$ ,  ${}^{208}_{82}\mathrm{Pb}_{126}$  nuclear potential is well parametrized

pronounced shell closures define the effective degrees of freedom needed for a quantitative understanding of atomic nuclei

A.N. Bohr, B.R. Mottelson, L.J. Raynwater, nobel prize in 1975 "for the discovery of the connection between collective motion and particle motion in atomic nuclei and the development of the theory of the structure of the atomic nucleus based on this connection"

# NUCLEUS: proton/neutron shells

# ATOM: electron shells

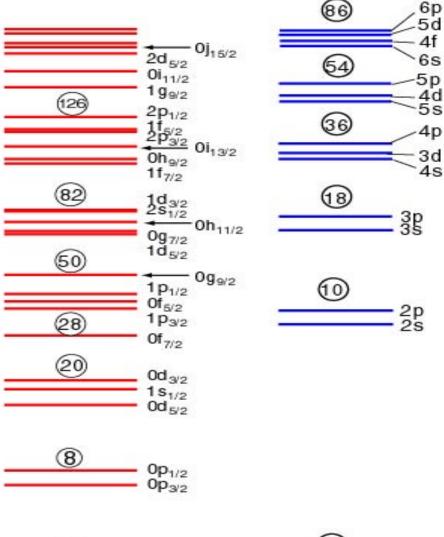
Nuclei with magic numbers of neutrons and protons have

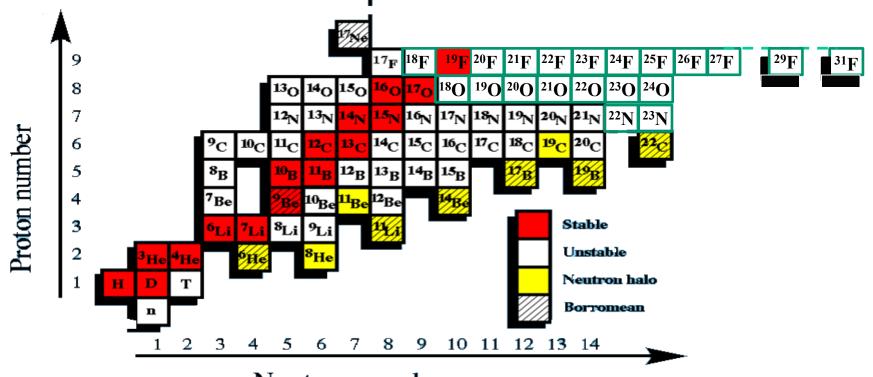
- large binding energies
- high natural abundances
- high energy of first excited state

• . .

Shell-model potential

$$V(r) = V_c(r) + V_{ls}(L \cdot S)$$





Neutron number

Chains of the lightest isotopes (He, Li, Be, B, ...) end up with two neutron halo nuclei

Two neutron halo nuclei ( <sup>6</sup>He , <sup>11</sup>Li , <sup>14</sup>Be , ... ) are Borromean systems and break into three fragments

One neutron halo nuclei ( <sup>11</sup>Be , <sup>19</sup>C , ... ) break into two fragments

Borromean system: three fragments are bound together but two-body subsystems are all unbound

#### DEFINITION OF A NUCLEUS

many combinations of neutrons and protons can make up a nucleus of a given mass

> What system of nucleons can we call a nucleus?

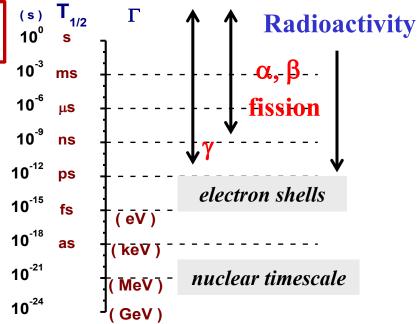


A possible limit could be set by:

• the definition of radioactivity

lifetimes longer than  $10^{-12}$  s





a lower limit for the process to be called radioactivity

• definition of the International Union of Pure and Applied Chemistry (IUPAC) ( Pure Appl. Chem. 63 (1991) 879 )

existing for at least  $10^{-14}$  s



time for a nucleus to acquire its outer electrons

# DEFINITION OF THE DRIPLINES

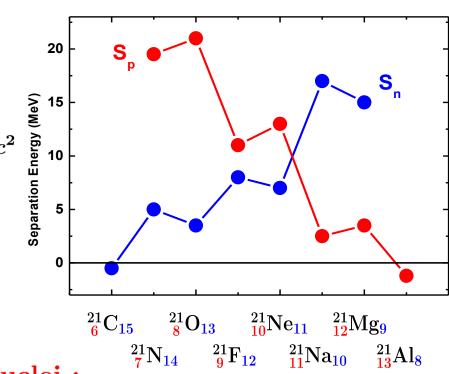
The nuclear binding in nuclei with an extreme excess of neutrons or protons may not be sufficient to bind the last neutron or proton

$$BE(N, \mathbf{Z}) = \mathbf{Z}M_{H}c^{2} + NM_{n}c^{2} - M(N, \mathbf{Z})c^{2}$$

$$S_{n} = BE(N, \mathbf{Z}) - BE(N - 1, \mathbf{Z})$$

$$S_{p} = BE(N, \mathbf{Z}) - BE(N, \mathbf{Z} - 1)$$

the dripline is the boundary where neutron  $S_n$  or proton  $S_p$  separation energies cross zero



## The unbound nuclei:

- can have fairly long lifetimes along the proton dripline (because of the Coulomb barrier)
- extremely short lived beyond the neutron dripline (absence of the Coulomb barrier)

the proton dripline has been reached for elements up to Z=83 the neutron dripline has been reached for elements up to Z<10

# Conceptual framework of nuclear structure is the nuclear shell model

Qualitative picture:

nucleons are moving almost independently in a mean-field (self-consistent) potential obtained by averaging out the interactions between a single nucleon and all remaining protons and neutrons.

Quantitative results:

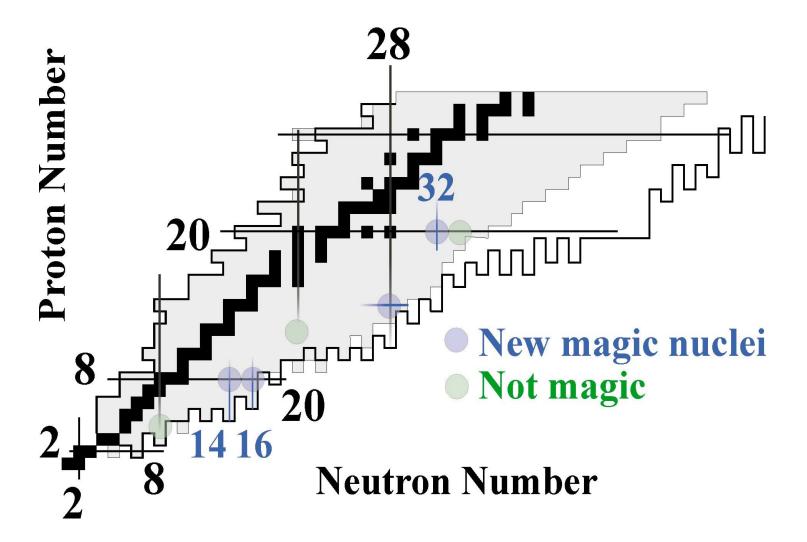
accounting for the residual interaction between the nucleons

Nuclear structure of exotic nuclei is different from that around the stability line and represent a formidable challenge for the nuclear many-body theories and their power to predict nuclear properties

## Unique factors for exotic nuclei

- the weak binding, closeness of the particle continuum (a large diffuseness of the nuclear surface, extreme spatial dimensions for the outermost nucleons)
- exotic combinations of proton and neutron numbers (prospects for completely new structural phenomena)

#### EVOLUTION OF THE SHELL STRUCTURE TOWARDS TO THE DRIPLINE



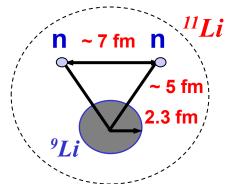
No shell closure for N=8 and 20 for drip-line nuclei New shell closure at N=14, 16, 32, ...

# Neutron halo nuclei

```
( <sup>6</sup>He, <sup>11</sup>Li, <sup>11</sup>Be, <sup>14</sup>Be, <sup>17</sup>B, ... )
```

weakly bound systems with large extension few-body clusterization

"Residence in forbidden regions" Appreciable probability for dilute nuclear matter extending far out into classically forbidden region



$$\epsilon(^{11}Li) = 0.4 \text{ MeV}$$
 $\epsilon(^{11}Be) = 0.5 \text{ MeV}$ 
 $\epsilon(^{6}He) = 0.97 \text{ MeV}$ 

Large size of halo nuclei

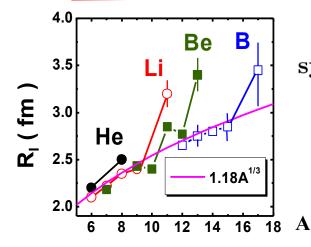
$$\left\{ \langle r^2(^{11}Li) \rangle^{1/2} \sim 3.5 fm \ (r.m.s. ext{ for } A \sim 48) 
ight.$$

```
Two-neutron halo nuclei (11Li, 6He, 14Be, 17B, ...)
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**Borromean** systems

# Peculiarities of halo nuclei: the example of <sup>11</sup>Li

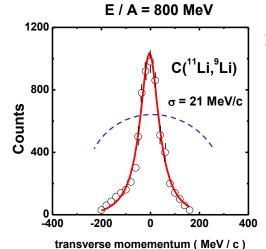
- (i) weakly bound: the two-neutron separation energy ( $\sim 400 \text{ KeV}$ )  $\sim 10 \text{ times less than the energy of the first excited state in }^9\text{Li}$
- (ii) large size:  $^{11}$ Li interaction cross section  $\sim 30\%$  larger than for  $^{9}$ Li



This is very unusual for strongly interacting systems held together by short-range interactions

Interaction radii: 
$$\sigma_I = \pi (R_{proj} + R_{tar})^2$$
  
light targets: E/A = 790 MeV

- I. Tanihata et al., PRL 55 (1985) 2676
- (iii) very narrow (in comparison to stable nuclei) fragment momentum



distributions of both neutrons and <sup>9</sup>Li measured in high energy breakup reactions of <sup>11</sup>Li

No narrow fragment distributions in breakup on other fragments, say <sup>8</sup>Li or <sup>8</sup>He

( naive picture )
narrow momentum distributions
large spatial extensions

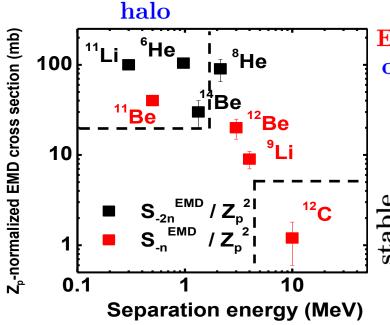
# ${f (IV)}$ Relations between interaction and neutron removal cross sections

$\boxed{A + {}^{12}C}$	$\sigma_I \text{ (mb)}$	$\sigma_{-2n} \text{ (mb)}$	$\sigma_{-4n}$ (mb)
$^9{ m Li}$	$796 \pm 6$		
$^{11}{ m Li}$	$1060\pm10$	$220\pm40$	
$^4{ m He}$	$503\pm5$		
$^6{ m He}$	$722\pm5$	$189 \pm 4$	
$^8{ m He}$	$817\pm 6$	$202\pm17$	$95\pm 5$

$$E/A = 790 \; {
m MeV}$$
 $\sigma_I(A=C+xn) = \sigma_I(C) + \sigma_{-xn}$ 
Strong evidence for the well defined clusterization into the core and two neutrons

Tanihata I. et al.
PRL, 55 (1987) 2670; PL, B289 (1992) 263

# ${f (V)}$ Electromagnetic dissociation cross sections (EMDC)



EMDC per unit charge for halos are orders of magnitude larger than for stable nuclei

Evidence for a rather large difference between charge and mass centers in a body fixed frame

concentration of the dipole strength at low excitation energies

T. Kobayashi, Proc. 1st Int. Conf. On Radiactive Nuclear Beams, 1990.

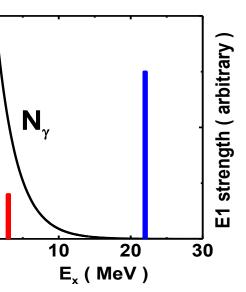
## SOFT EXCITATION MODES

(peculiarities of low energy halo continuum)

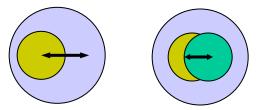
## 



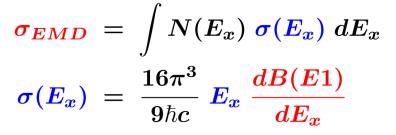
specific nuclear property of extremely neutron-rich nuclei

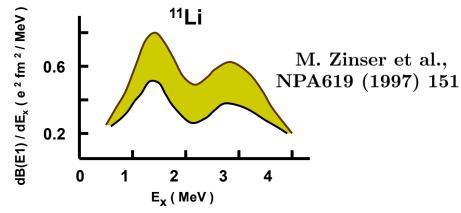


soft DR normal GDR



 $E_x \sim 1 \; \mathrm{MeV} \sim 20 \; \mathrm{MeV}$ 





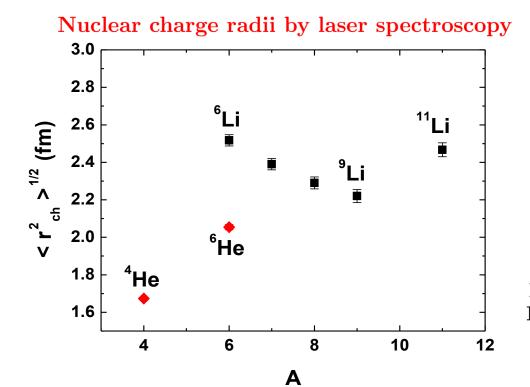
excitations of soft modes with

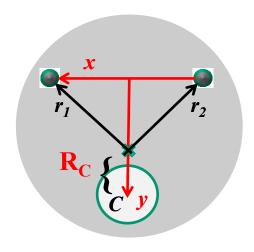
- different multipolarity
- collective excitations versus direct transition from weakly bound to continuum states

# (VI) Ground state properties of <sup>11</sup>Li and <sup>9</sup>Li

	$^9{ m Li}$	$^{11}{ m Li}$
Spin $J^{\pi}$ :	$3/2^-$	$3/2^-$
quadrupole moments:	-27.4 $\pm$ 1.0 mb	$-31.2\pm4.5\;\mathrm{mb}$
magnetic moments:	$3.4391\pm0.0006$ n.m.	$3.6678 \pm 0.0025 \text{ n.m.}$

Previous peculiarities cannot arise from large deformations. Core is not significantly perturbed by the two valence neutrons

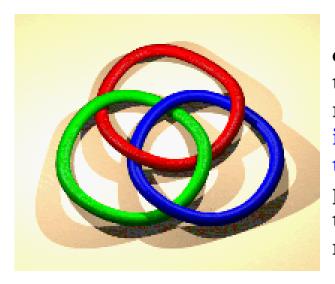




R. Sanches et al., PRL 96 (2006) 033002L.B. Wang et al., PRL 93 (2004) 142501

(VII) The three-body system <sup>11</sup>Li (<sup>9</sup>Li + n + n) is Borromean (neither the two neutron nor the core-neutron subsystems are bound)

Three-body correlations are the most important, due to them the system becomes bound.



"The Borromean rings, the heraldic symbol of the Princes of Borromeo, are carved in the stone of their castle in Lake Maggiore in northern Italy. The three rings are interlocked in such a way that any of them were removed, the other two would also fall apart. In nuclear physics <sup>11</sup>Li and <sup>6</sup>He have been found to have this property (although for quite different physical reasons) when described in a three-body model. "

M.V. Zhukov et al., Phys. Rep. 231 (1993) 151

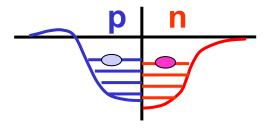
## STABLE NUCLEI

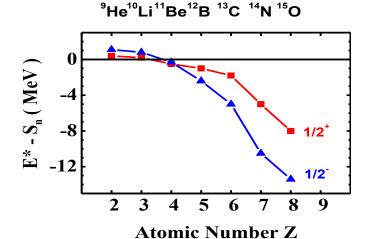
 ${
m N}~/~{
m Z} \sim 1-1.5 \ \epsilon_S \sim 6$  -  $8~{
m MeV}$ 



 $ho_0 \sim 0.16 \ fm^{-3}$ 

proton and neutrons homogeneously mixed, no decoupling of proton and neutron distributions





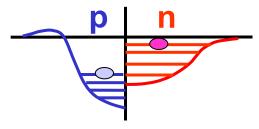
## UNSTABLE NUCLEI

$$rac{N \ / \ Z \sim 0.6 - 4}{\epsilon_S \sim 0 - 40 \ MeV}$$

decoupling of proton and neutron distributions



neutron halos and neutron skins



## Prerequisite of the halo formation:

low angular momentum motion for halo particles and few-body dynamics

$$rac{1s- ext{intruder level}}{^{11} ext{Be parity inversion of g.s.}}$$
  $^{10} ext{Li g.s.}: \left[\pi 0p_{3/2}igotimes 
u 1s_{1/2}
ight]_{2^{-}}$ 

# PECULIARITIES OF HALO

in ground state

weakly bound, concentration of

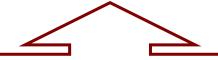
with large extension and space granularity



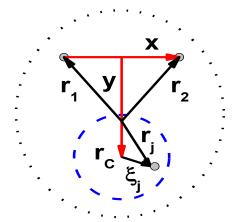
elastic scattering some inclusive observables (reaction cross sections, ...)

concentration of the transition strength near break up threshold – soft modes

in low-energy continuum



nuclear reactions (transition properties)



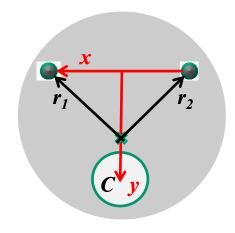
BASIC dynamics of halo nuclei

Decoupling of halo and nuclear core degrees of freedom

Dominance of few-body dynamics

$$\Phi(\bar{r}_1,\ldots,\bar{r}_A) = \varphi(\bar{\xi}_1,\ldots,\bar{\xi}_C) \; \psi(\bar{x},\bar{y})$$

## FEW-BODY CLUSTER MODELS



## The Schrodinger equation

$$H_{A}\Psi(\mathbf{r}_{1},\cdots,\mathbf{r}_{A})=E\Psi(\mathbf{r}_{1},\cdots,\mathbf{r}_{A})$$

Total hamiltonian of the three-body cluster models  $(A = A_C + 2)$ 

$$H_{A} = H_{A_{C}} + T_{x,y} + V(r_{1}, r_{2}) + \sum_{i=1}^{A_{C}} V(r_{1}, r_{i}) + \sum_{i=1}^{A_{C}} V(r_{2}, r_{i})$$

wave function is factorized into a sum of products from two parts

$$\Psi(\mathbf{r}_1,\cdots,\mathbf{r}_A) = \sum_{i} \phi_i(\mathbf{r}_1,\cdots,\underline{\mathbf{r}}_{A_C}) \, \psi_i(\mathbf{x},\mathbf{y})$$

The sum may include core excitations

$$H_{A_C} \phi_i(\mathbf{r}_1, \cdots, \mathbf{r}_{A_C}) = \epsilon_i \phi_i(\mathbf{r}_1, \cdots, \mathbf{r}_{A_C})$$

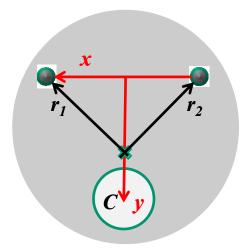
## Calculations of the bound states and continuum wave functions

## Borromean nature of halo nuclei

(no bound states between pairs of clusters)



## one type of the wave function asymptotic behaviour



$$egin{aligned} oldsymbol{
ho^2} &= \ \mu_x ar{\mathbf{x}}^2 + \mu_y ar{\mathbf{y}}^2 \ oldsymbol{lpha_{
ho}} &= rctan(rac{\sqrt{\mu_x} \, oldsymbol{x}}{\sqrt{\mu_y} \, oldsymbol{y}}) \ oldsymbol{\Omega_5^{
ho}} &= \ \{lpha_{
ho}, \hat{oldsymbol{x}}, \hat{oldsymbol{y}}\} \end{aligned}$$

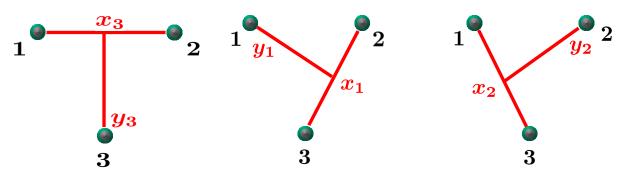
$$\{\bar{\mathbf{x}},\,\bar{\mathbf{y}}\}\Rightarrow\{\rho\,,\,\Omega_{5}^{\rho}\}$$

$$\begin{array}{cccc}
\rho^2 &=& \mu_x \bar{\mathbf{x}}^2 + \mu_y \bar{\mathbf{y}}^2 \\
\alpha_\rho &=& \arctan(\frac{\sqrt{\mu_x} \, \mathbf{x}}{\sqrt{\mu_y} \, \mathbf{y}}) \\
\Omega_5^\rho &=& \{\alpha_\rho, \hat{\mathbf{x}}, \hat{\mathbf{y}}\} \\
\end{array}$$

$$\begin{array}{cccc}
\frac{\kappa^2}{2m} &=& \frac{\bar{\mathbf{k}_x}^2}{2\mu_x} + \frac{\bar{\mathbf{k}_y}^2}{2\mu_y} \\
\alpha_\kappa &=& \arctan(\frac{\sqrt{\mu_y} \, \mathbf{k_x}}{\sqrt{\mu_x} \, \mathbf{k_y}}) \\
\Omega_5^\kappa &=& \{\alpha_\kappa, \hat{\mathbf{k}_x}, \hat{\mathbf{k}_y}\}
\end{array}$$

$$\{ar{\mathbf{k}}_{m{x}},\,ar{\mathbf{k}}_{m{y}}\}\Rightarrow\{m{\kappa}\,,\,m{\Omega}_{m{5}}^{m{\kappa}}\}$$

 $\{\rho,\kappa\}$  are independent of the Jacobi system



The bound state wave function  $(\gamma = \{K, l_x, l_y, L, S, I, j\})$ 

$$\Psi_{JM}(\mathbf{r}_1,\cdots,\mathbf{r}_A) = rac{1}{
ho^{5/2}} \sum_{\gamma} oldsymbol{\chi}_{\gamma}^{J}(
ho) \, \left[ oldsymbol{\Upsilon}_{KL}^{l_x l_y}(oldsymbol{\Omega}_{5}^{
ho}) \otimes [\chi_s \otimes oldsymbol{\phi_{nI}}]_j 
ight]_{JM}$$

The continuum wave function at the positive energy

$$egin{aligned} \Psi_{s
u IM_{I}}^{(\pm)}(\mathbf{k_{x},k_{y}};\mathbf{r}_{i}) &= \sum_{\gamma} \imath^{K} \left( s
u IM_{I} \mid jm_{j} 
ight) \left( LM_{L} \, jm_{j} \mid JM_{J} 
ight) \Upsilon_{KL}^{l_{x}l_{y}*}(\Omega_{5}^{\kappa}) imes \ & imes rac{1}{
ho^{5/2}} \sum_{\gamma'} oldsymbol{\chi}_{\gamma',\gamma}^{J}(\kappa,oldsymbol{
ho}) \, \, \left[ \Upsilon_{K'L'}^{l_{x}'l_{y}'}(\Omega_{5}^{
ho}) \otimes \left[ \chi_{s'} \otimes \phi_{n'I'} 
ight]_{j'} 
ight]_{JM} \end{aligned}$$

Set of coupled Schrödinger equations for radial wave functions

$$\left(-\frac{\hbar^2}{2\mu}\left[\frac{d^2}{d\rho^2}-\frac{(K+3/2)(K+5/2))}{\rho^2}\right]+\epsilon_{\gamma}-E\right)\boldsymbol{\chi}_{\boldsymbol{\gamma},\boldsymbol{\gamma'}}^{\boldsymbol{J}}(\rho)=-\sum_{\boldsymbol{\gamma''}}V_{\boldsymbol{\gamma},\boldsymbol{\gamma''}}^{\boldsymbol{J}}(\rho)\,\boldsymbol{\chi}_{\boldsymbol{\gamma''},\boldsymbol{\gamma'}}^{\boldsymbol{J}}(\rho)$$

Hyperspherical harmonics  $\Upsilon^{l_x,l_y}_{KLM}(\Omega_5)$   $(K=2n+l_x+l_y)$ 

$$egin{aligned} oldsymbol{\Upsilon}_{KLM}^{l_x,l_y}(\Omega_5^
ho) &= \psi_K^{l_x,l_y}(lpha_
ho) \left[ Y_{l_x}(\hat{x}) \otimes Y_{l_y}(\hat{y}) 
ight]_{LM} \ \psi_K^{l_x,l_y}(lpha) &= N_K^{l_x,l_y} \left( \sinlpha 
ight)^{l_x} \left( \coslpha 
ight)^{l_y} P_n^{(l_x+1/2,l_y+1/2)}(\cos2lpha) \ oldsymbol{\Upsilon}_{KLM}^{l_x',l_y'}(\Omega_5') &= \sum_{l_x,l_x} \left\langle l_x,l_y \mid l_x',l_y' 
ight
angle_{KL} oldsymbol{\Upsilon}_{KLM}^{l_x,l_y}(\Omega_5) \end{aligned}$$

boundary condition of the radial wave function at the origin

$$\chi_{\gamma}^{J}(
ho o 0) o 0$$

asymptotic behaviour of the bound state radial wave function

$$m{\chi_{\gamma}^{J}}(
ho
ightarrow0)
ightarrow\exp(-\kappa_{n}\,
ho),\;\;\kappa_{n}=\sqrt{2m\mid E_{n}-\epsilon_{\gamma}\mid/\hbar^{2}}$$

asymptotic behaviour of the continuum radial wave function

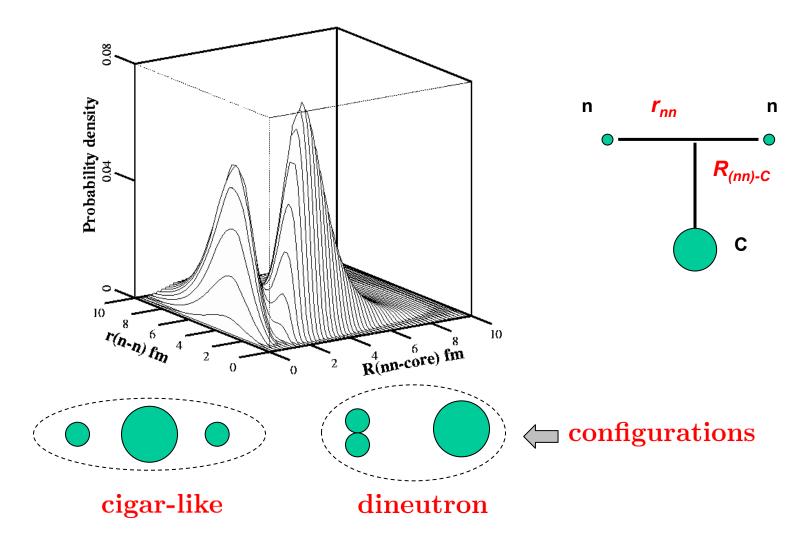
$$egin{aligned} oldsymbol{\chi_{\gamma',\gamma}^{J}}(\kappa,
ho o\infty) &
ightarrow rac{\imath}{\sqrt{2\pi}}rac{1}{\sqrt{k_{\gamma}\;k_{\gamma'}}}\left(H_{K+2}^{(-)}(k_{\gamma}\;
ho)\;\delta_{\gamma,\gamma'}-H_{K'+2}^{(+)}(k_{\gamma'}\;
ho)\;S_{\gamma',\gamma}
ight) \ k_{\gamma} &= \sqrt{2m\;|\;E-\epsilon_{\gamma}\;|\;/\hbar^2} \end{aligned}$$

In collisions we explore the transition properties of nuclei from ground state to continuum states

$$\langle oldsymbol{\Psi^{(-)}(ar{k}_x,ar{k}_y)} \mid\mid \sum_p rac{\delta(r-r_p)}{rr_p} \left[ Y_L(\hat{r}_p) imes \sigma_p 
ight]_J \mid\mid oldsymbol{\Psi}_{gr.st.} 
angle$$

# Correlation density for the ground state of <sup>6</sup>He

$$P(r_{nn},R_{nn-C}) = r_{nn}^2 R_{nn-C}^2 \; rac{1}{2J+1} \sum_M \int \; d\Omega_{nn} \; d\Omega_{nn-C} \; | \; \Phi_{JM}(ar{r}_{nn}^2,ar{R}_{nn-C}^2) \; |^2$$



# CONCLUSIONS

- Near the dripline nuclear structure may be dramatically different. Reaching the limits of nuclear stability offers unique opportunities to understand basic nuclear properties
- Halo new structural dripline phenomenon with clusterization into an ordinary core nucleus and a veil of halo nucleons forming very dilute neutron matter, have already been discovered in reaction with radioactive ion beams
- Development of new experimental techniques for production and/or detection of radioactive beams is the way to unexplored "TERRA INCOGNITA"