

Neutrinoless $\beta\beta$ decay nuclear matrix elements

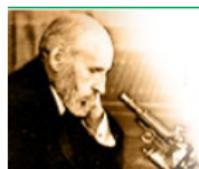
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“VIII International Pontecorvo Neutrino Physics School”
Sinaia (Romania), 8th September 2019



UNIVERSITAT DE
BARCELONA



Investigación
Programa
Ramón y Cajal

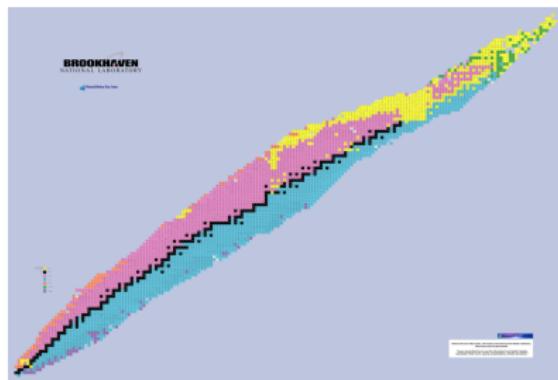
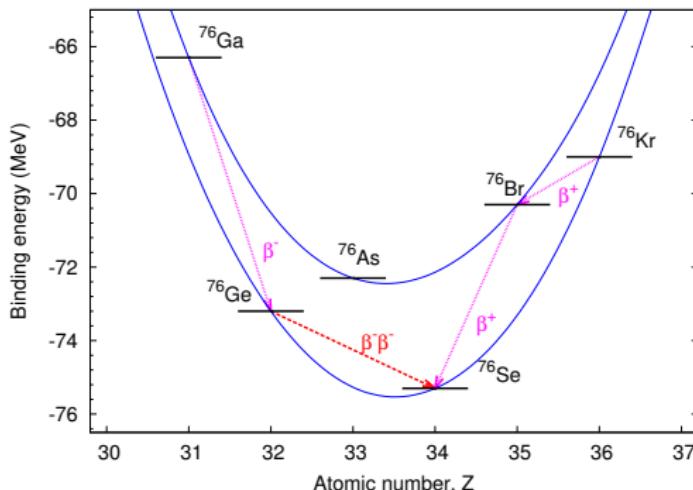
$\beta\beta$ decay

Second order process in the weak interaction

Only observable in nuclei where (much faster) β -decay is forbidden energetically due to nuclear pairing interaction

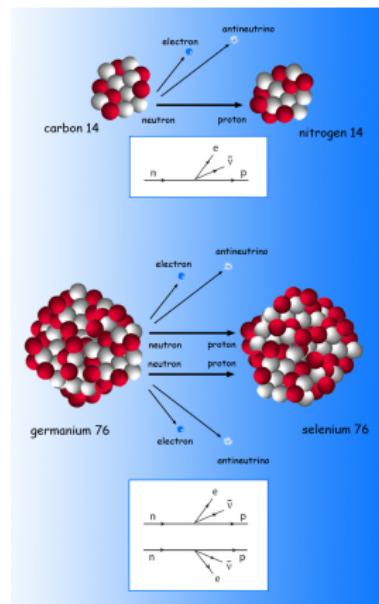
$$BE(A) = -a_v A + a_s A^{2/3} + a_c \frac{Z(Z-1)}{A^{1/3}} + \frac{(A-2Z)^2}{A} + \begin{cases} -\delta_{\text{pairing}} & N, Z \text{ even} \\ 0 & A \text{ odd} \\ \delta_{\text{pairing}} & N, Z \text{ odd} \end{cases}$$

or where β -decay is very suppressed by ΔJ (total angular momentum) difference between mother and daughter nuclei



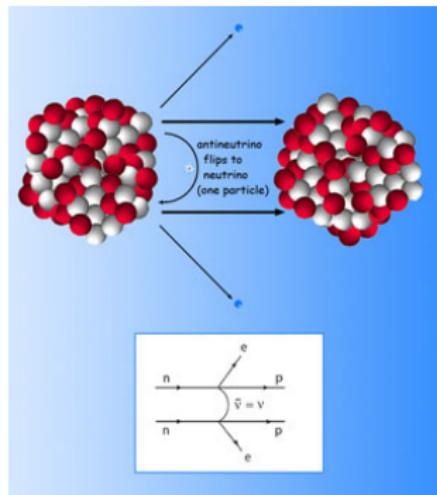
$\beta\beta$ decays: lepton-number conservation

Lepton number is conserved
in all physical processes
observed to date



β decay, $\beta\beta$ decay...

Uncharged massive particles
like Majorana neutrinos ($\nu = \bar{\nu}$)
theoretically allow lepton number violation
connected to matter dominance
over antimatter in universe



Neutrinoless $\beta\beta$ ($0\nu\beta\beta$) decay

Double-beta decay emitters

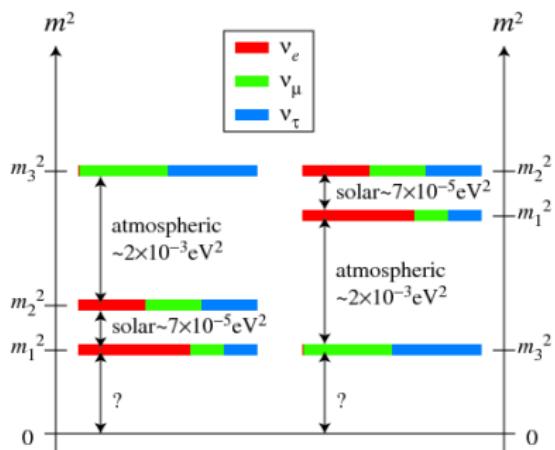
Only decay candidates with $Q_{\beta\beta} > 2$ MeV
experimentally interesting due to extremely long lifetimes
ECEC, EC β^+ and $\beta^+\beta^+$ also more suppressed

Transition	$T^{2\nu\beta\beta}$ (y)	$Q_{\beta\beta}$ (MeV)	Ab. (%)	
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	$4.4 \cdot 10^{19}$	4.274	0.2	CANDLES
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	$1.7 \cdot 10^{21}$	2.039	8	GERDA, MAJORANA, LEGEND
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	$9.2 \cdot 10^{19}$	2.996	9	SuperNEMO
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	$2.3 \cdot 10^{19}$	3.350	3	
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	$7.1 \cdot 10^{18}$	3.034	10	AMoRE, CUPID
$^{110}\text{Pd} \rightarrow ^{110}\text{Cd}$		2.013	12	
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	$2.9 \cdot 10^{19}$	2.802	7	COBRA
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$		2.288	6	
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	$6.9 \cdot 10^{20}$	2.530	34	CUORE, SNO+
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	$2.2 \cdot 10^{21}$	2.462	9	nEXO, KamLAND-Zen, NEXT, DARWIN
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	$8.2 \cdot 10^{18}$	3.667	6	

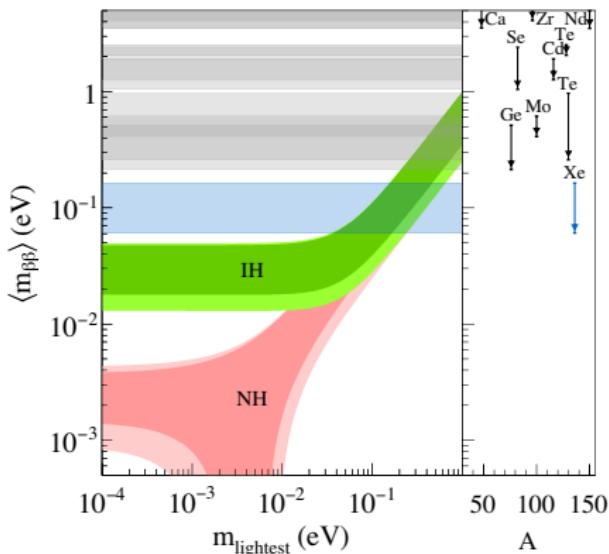
Worldwide running and planned experiments on different isotopes

Next generation $0\nu\beta\beta$: inverted hierarchy

Decay rate sensitive to
neutrino masses, hierarchy
 $m_{\beta\beta} = |\sum U_{ek}^2 m_k|$



$$T_{1/2}^{0\nu\beta\beta} (0^+ \rightarrow 0^+)^{-1} = G_{0\nu} g_A^4 |M^{0\nu\beta\beta}|^2 m_{\beta\beta}^2$$



Matrix elements assess if
next generation experiments
fully explore “inverted hierarchy”

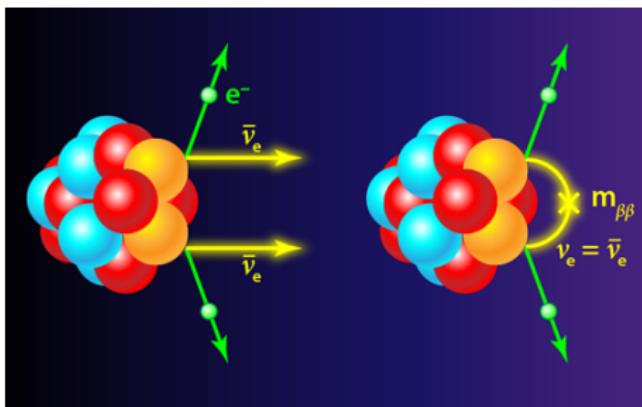
KamLAND-Zen, PRL117 082503(2016)

Calculating nuclear matrix elements

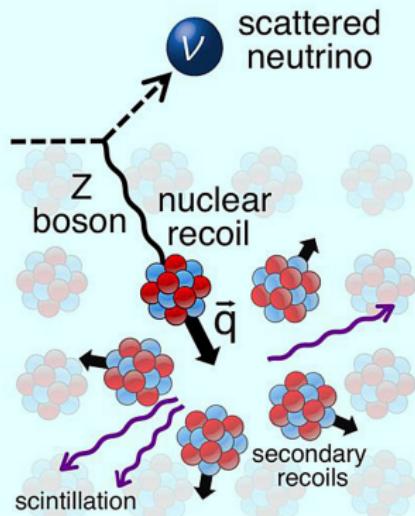
Nuclear matrix elements needed in low-energy new physics searches

$$\langle \text{Final} | \mathcal{L}_{\text{leptons-nucleons}} | \text{Initial} \rangle = \langle \text{Final} | \int dx j^\mu(x) J_\mu(x) | \text{Initial} \rangle$$

- Nuclear structure calculation of the initial and final states:
Shell model, QRPA, IBM,
Energy-density functional
Ab initio many-body theory
GFMC, Coupled-cluster, IM-SRG...
- Lepton-nucleus interaction:
Hadronic current in nucleus:
phenomenological,
effective theory of QCD



Coherent ν -nucleus scattering, dark matter detection



Coherent ν -nucleus scattering

Neutral current process, tiny cross-section

Neutrinos couple to neutrons,
complements EM interactions



Dark matter scattering off nuclei

What is dark matter made of?

Outline

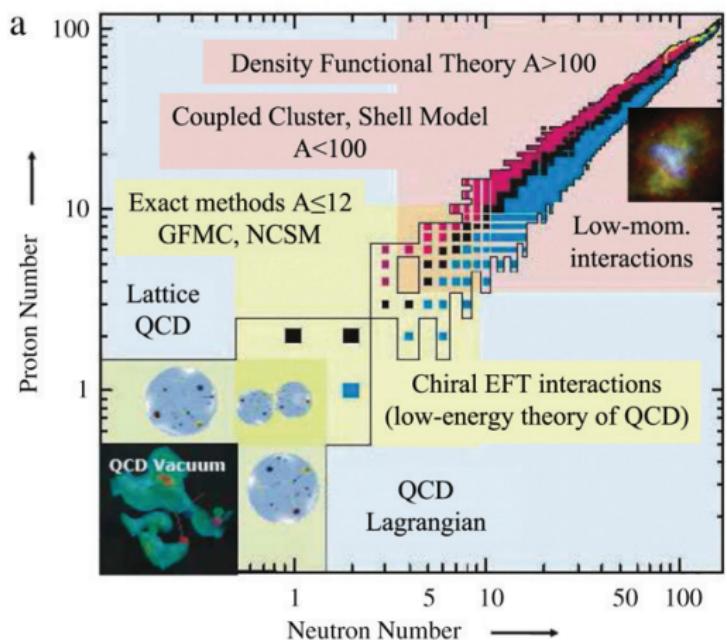
- 1 Nuclear structure: initial and final states
- 2 β decay: operator and nuclear matrix elements
- 3 $\beta\beta$ decay operators
- 4 $0\nu\beta\beta$ decay nuclear matrix elements

Outline

- 1 Nuclear structure: initial and final states
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Nuclear Structure from First Principles

All nuclear structure calculations are, to some extent, phenomenological



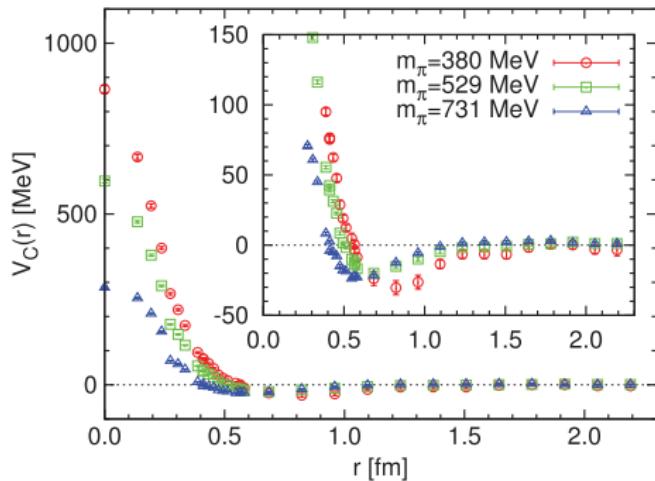
Relevant degrees of freedom:
protons and neutrons
Many-body problem
too hard in general,
approximations are needed

Nuclear force at low
(nuclear structure) energies:
adjustments to reproduce
finite nuclei needed

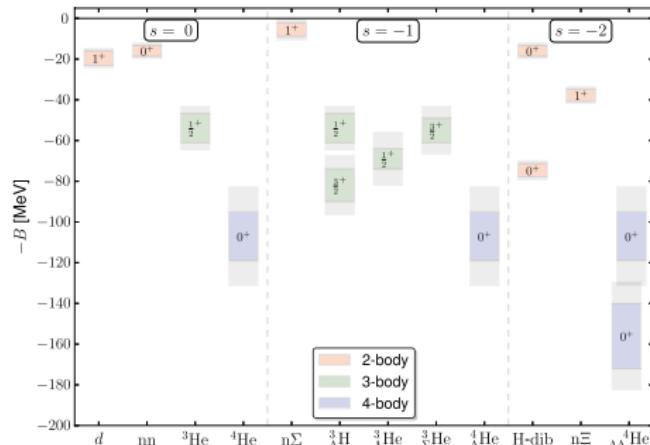
**Can we connect
nuclear structure
calculations to quantum
chromodynamics (QCD)?**

Lattice QCD

QCD non-perturbative at low energies relevant for nuclear structure
Lattice QCD solves the QCD Lagrangian in discretized space-time Lattice



HALQCD Collaboration



NPLQCD Collaboration

Nuclear potentials, and lightest nuclei and hypernuclei solved
at non-physical pion mass $m_\pi \sim 400 - 800$ MeV, ongoing improvements

Theory for nuclear forces

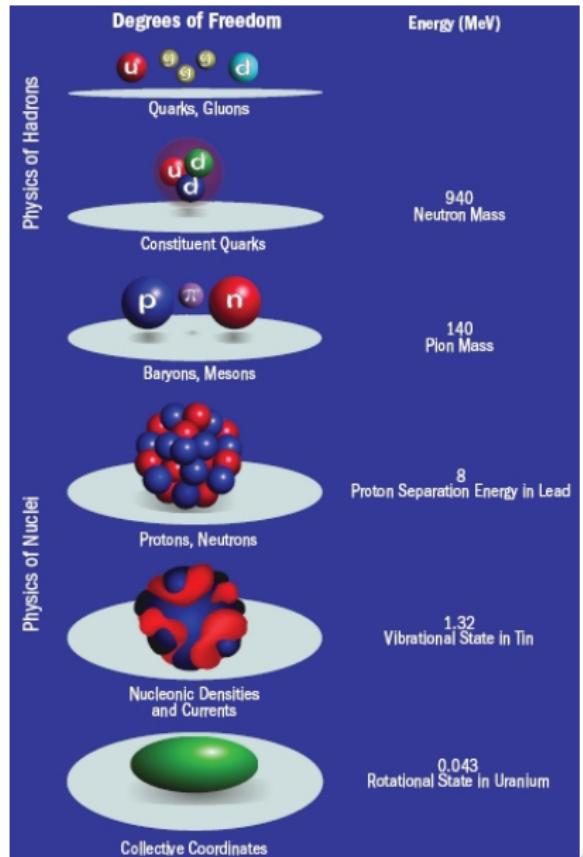
Difficult to find NN potential with consistent NNN forces and connected to QCD...

Use concept of separation of scales!

The energy scale relevant determines the degrees of freedom

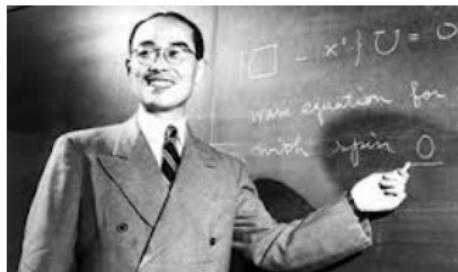
For nuclear structure,
typical energies of interest
point to nucleons and pions
(pions are particularly light mesons!)

Effective theory with nucleons and pions
as degrees of freedom,
with connection to QCD



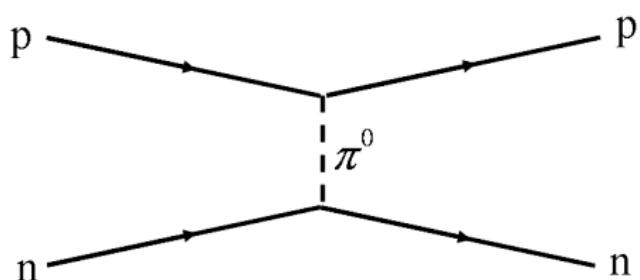
Nuclear interaction: Yukawa

The foundation for a theory of the nuclear interaction given by Yukawa (1935)



Predicted new particle (pion!) responsible for attractive nuclear force $r \sim 1 \text{ fm} \Rightarrow m \sim 1/r$

Pion discovered in 1947!



Spin dependent
Isospin dependent
Non-central interaction,
Tensor component
 $S_{12} = \{3(\sigma_1 \cdot \hat{r})(\sigma_2 \cdot \hat{r}) - \sigma_1 \cdot \sigma_2\}$

$$V(r) = -\frac{m_\pi^2 g_A^2}{f_\pi^2} (\tau_1 \cdot \tau_2) \left[\sigma_1 \cdot \sigma_2 + S_{12} \left(1 + \frac{3}{mr} + \frac{3}{(mr)^2} \right) \right] \frac{e^{-mr}}{mr}$$

Effective theories

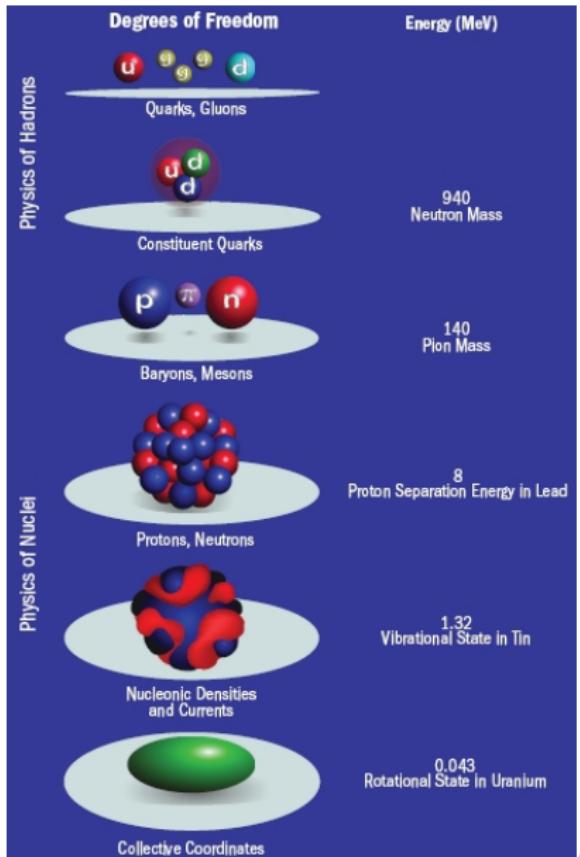
Effective theory:
approximation of the full theory
valid at relevant scales

Expansion in terms of small parameter:
typical scale / breakdown scale

In an effective theory
the physics resolved
at relevant energies is explicit

Terms at different orders given by
symmetries of the full theory

Unresolved physics
encoded in Low Energy Couplings

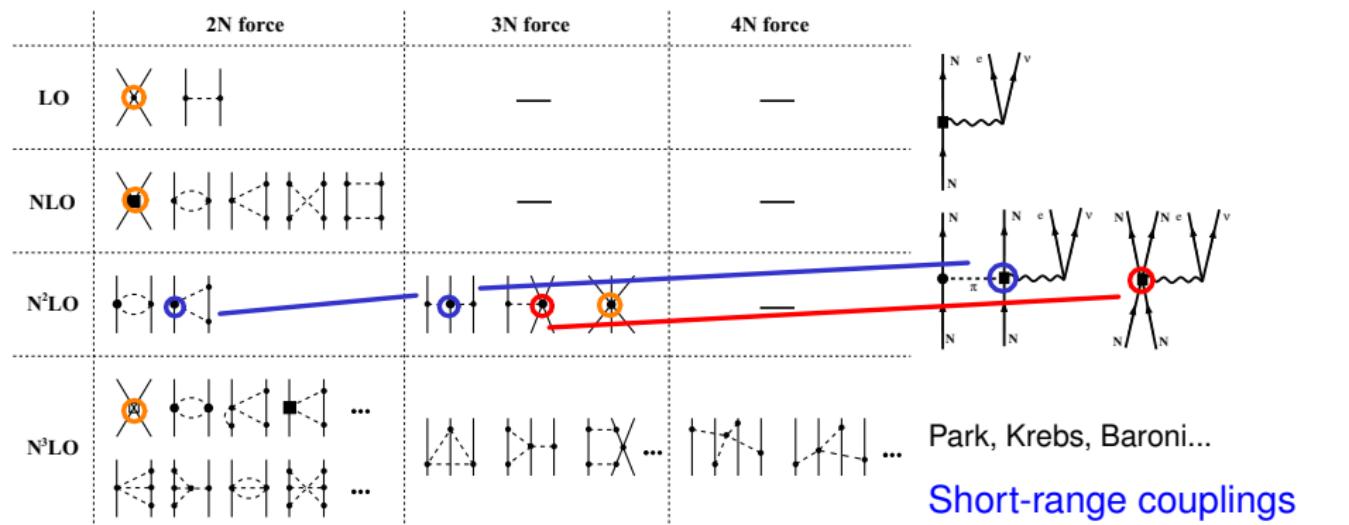


Chiral Effective Field Theory (EFT)

Chiral EFT: low energy approach to QCD, nuclear structure energies

Approximate chiral symmetry: pion exchanges, contact interactions

Systematic expansion: nuclear forces and electroweak currents



Weinberg, van Kolck, Kaplan, Savage, Wise, Meißner, Epelbaum...

How does chiral EFT work?

The chiral EFT Lagrangian is an expansion, in different orders of pion-pion, pion-nucleon and nucleon-nucleon parts

$$\begin{aligned}\mathcal{L}_{\chi EFT} &= \mathcal{L}^{(0)} + \mathcal{L}^{(1)} + \mathcal{L}^{(2)} + \dots \\ &= \mathcal{L}_{\pi\pi}^{(0)} + \mathcal{L}_{\pi N}^{(0)} + \mathcal{L}_{NN}^{(0)} + \mathcal{L}_{\pi\pi}^{(1)} + \mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{NN}^{(1)} + \dots\end{aligned}$$

For example:

$$\mathcal{L}_{\pi\pi}^{(0)} = \frac{f_\pi^2}{4} \text{Tr} \left[\partial^\mu U \partial_\mu U^\dagger + m_\pi^2 (U + U^\dagger) \right], \quad U = \exp \left[i \frac{\boldsymbol{\pi} \cdot \boldsymbol{\tau}}{f_\pi} \right]$$

$$\mathcal{L}_{\pi N}^{(0)} = \bar{N} \left(i \gamma_\mu \mathcal{D}^\mu + \frac{g_A}{2} \gamma^\mu \gamma_5 u(\pi)_\mu - M \right) N$$

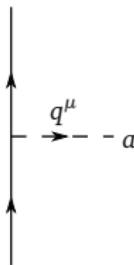
...

Evaluate these expressions to lowest orders in pion fields
obtain Feynman diagrams for each vertex λ_i

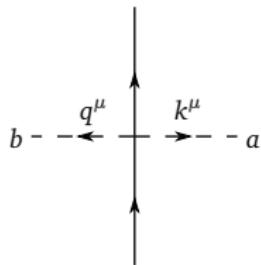
The chiral order of a diagram is $\nu = 2(N - C) + 2L + \sum_i \lambda_i$
with N nucleons, C disconnected parts and L loops

Examples of chiral EFT diagrams

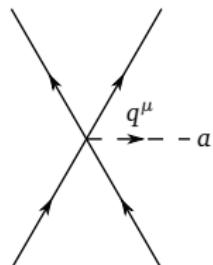
Feynman diagrams are read off from the Lagrangian:



(a)



(b)



(c)

$$\text{LO} \quad -\frac{g_A}{2F_\pi}\gamma_5 \not{q} \tau^a$$

$$\frac{1}{4F_\pi^2}\epsilon^{abc} \not{q} \tau^c$$

—

$$\begin{aligned} \text{NLO} \quad &— \quad \frac{2i}{F_\pi^2} \left(c_4 \epsilon^{abc} \frac{\tau^c}{2} k_\mu q_\nu \sigma^{\mu\nu} - c_3 k_\mu q^\mu \delta^{ab} - 2c_1 m_\pi^2 \delta_{ab} \right) \quad \frac{d_1}{F_\pi} \tau^a \boldsymbol{\sigma}_1 \cdot \mathbf{q} + (1 \leftrightarrow 2) \\ &+ \frac{d_2}{F_\pi} (\boldsymbol{\tau}_1 \times \boldsymbol{\tau}_2)^a \mathbf{q} \cdot (\boldsymbol{\sigma}_1 \times \boldsymbol{\sigma}_2) \end{aligned}$$

for the lowest order pion-nucleon diagrams from $\mathcal{L}_{\pi\pi}^{(0)} + \mathcal{L}_{\pi N}^{(0)} + \mathcal{L}_{NN}^{(1)}$

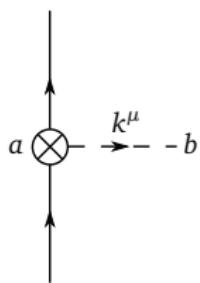
Chiral EFT currents

In addition, from the Chiral EFT Lagrangian we obtain the currents on how nucleons (and pions) couple to different probes of scalar, vector, axial... character

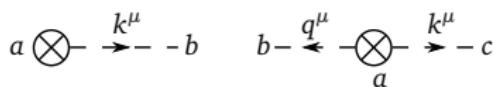
This is consistent with nuclear forces (same couplings)!



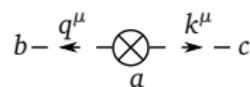
(a)



(b)



(c)



(d)

LO axial $i g_A \gamma^\mu \gamma_5 \frac{\tau^a}{2}$

$$-\frac{i}{F_\pi} \epsilon^{abc} \gamma^\mu \frac{\tau^c}{2}$$

$$F_\pi k^\mu \delta^{ab}$$

—

LO vector $i \gamma^\mu \frac{\tau^a}{2}$

$$-i \frac{g_A}{F_\pi} \epsilon^{abc} \gamma^\mu \gamma_5 \frac{\tau^c}{2}$$

$$-\epsilon^{abc} k^\mu$$

NLO axial — $\frac{2}{F_\pi} \left(-c_4 \epsilon^{abc} \frac{\tau^c}{2} k_\nu \sigma^{\mu\nu} + c_3 k^\mu \delta^{ab} \right)$

—

—

NLO vector —

—



Calculations with NN, NN+3N forces

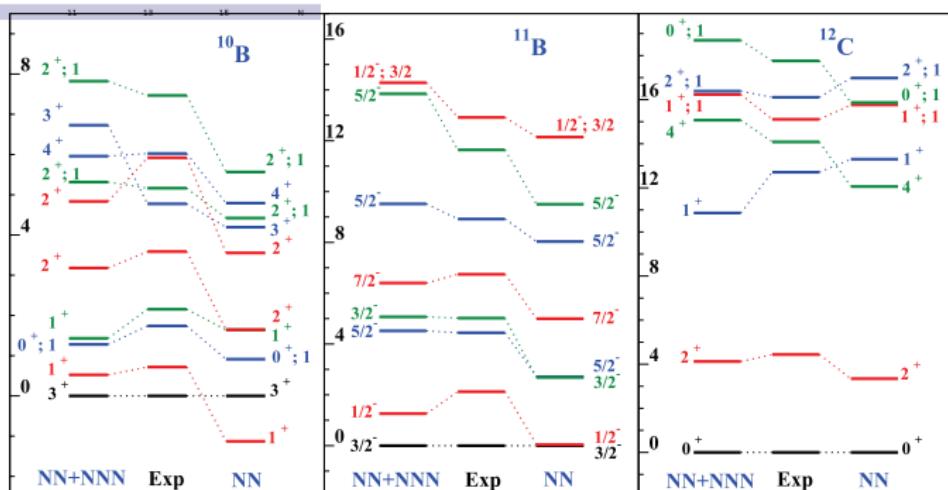
Z		14F	15F	16F	17F	18F	19F	20F	21F	22F	23F	24F	25F	26F
		120	130	140	150	160	170	180	190	200	210	220	230	240
7		10M	11N	12N	13N	14N	15N	16N	17N	18N	19N	20N	21N	22N
8		8C	9C	10C	11C	12C	13C	14C	15C	16C	17C	18C	19C	20C
8Be		6Be	7Be	8Be	9Be	10Be	11Be	12Be	13Be	14Be	15Be	16Be		
3		4Li	5Li	6Li	7Li	8Li	9Li	10Li	11Li	12Li	13Li			
1		2H	3H	4H	5H	6H	7H							
	1	5	5	5	7									

Ab initio many-body calculations feasible in light nuclei

No Core Shell Model
Green's Function Monte Carlo

NN forces do not reproduce binding energies and spectra: need 3N forces

Agrees with experience from Shell Model in heavier systems

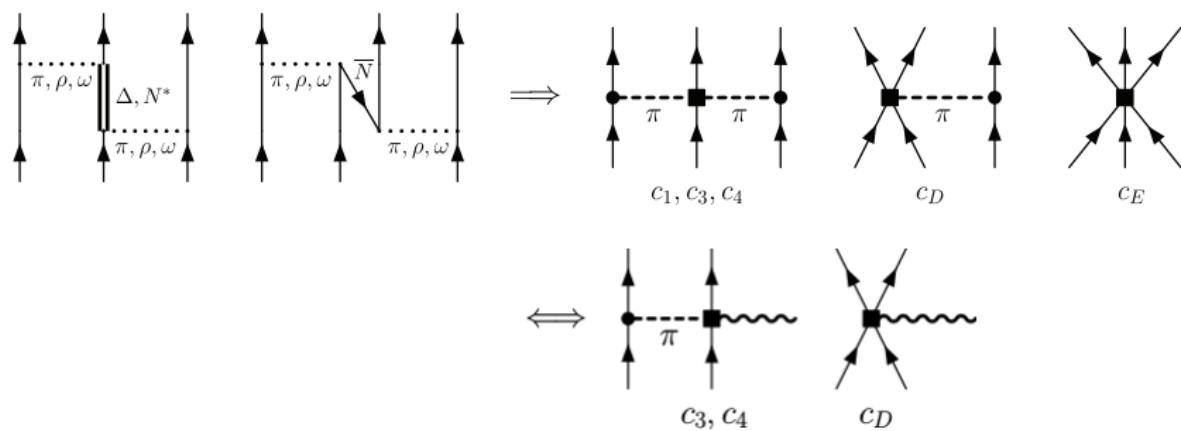


Three-nucleon forces, meson-exchange currents

Forces between 3 nucleons, external probe couplings to 2 nucleons known in nuclear theory for a long time

Fujita and Miyazawa PTP17 (1957), Towner Phys. Rep. 155 (1987)...

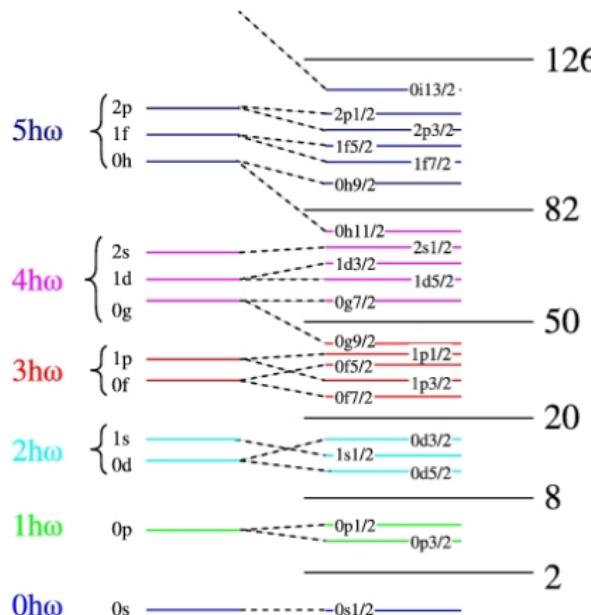
3N forces, 2b currents needed because of missing degrees of freedom
(N-body forces appear in any effective theory)



The Δ isobar, with $M_\Delta = 1232$ MeV

relatively low excitation of the nucleon, $M_N = 939$ MeV

The (no core) shell model



$$\text{Dim} \sim \binom{(p+1)(p+2)_\nu}{N} \binom{(p+1)(p+2)_\pi}{Z}$$

The (no core) Shell Model

Many-body wave function
linear combination of
Slater Determinants
from single particle states in the basis
(3D harmonic oscillator)

$$\begin{aligned}|i\rangle &= |n_i l_i j_i m_{j_i} m_{t_i}\rangle \\ |\phi_\alpha\rangle &= a_{i1}^+ a_{j2}^+ \dots a_{kA}^+ |0\rangle \\ |\Psi\rangle &= \sum_\alpha c_\alpha |\phi_\alpha\rangle \\ H|\Psi\rangle &= E|\Psi\rangle\end{aligned}$$

Dimensions increase
combinatorially...

Ab initio calculations for oxygen

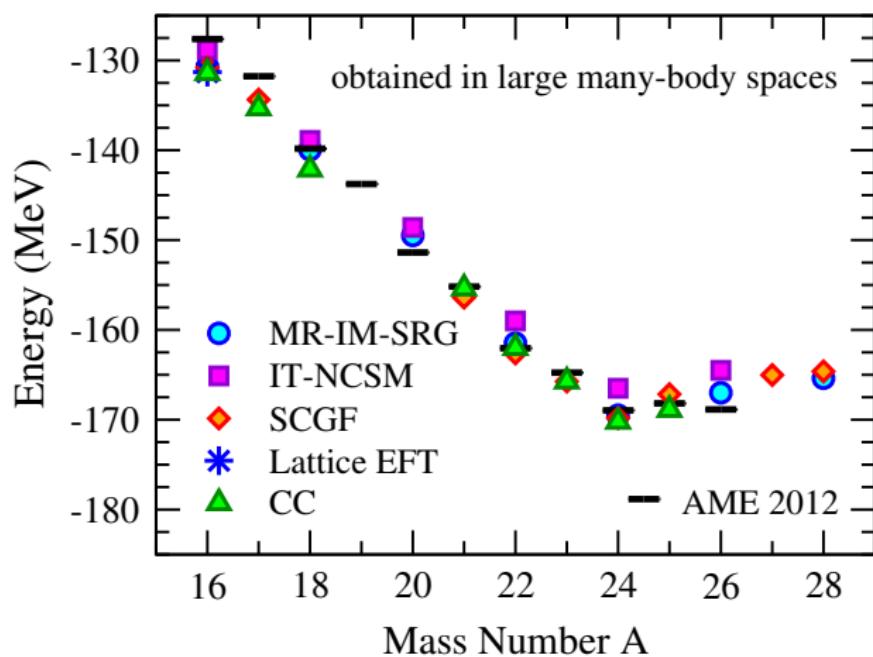
Ab initio calculations by different approaches,
treating explicitly all nucleons as degrees of freedom

No-core shell model
(Importance-truncated)

In-medium SRG

Self-consistent
Green's function

Coupled-cluster



Benchmark with the same initial Hamiltonian

Coupled Cluster, In-Medium SRG

The Coupled Cluster method is based on a reference state and acting particle-hole excitation operators impose no particle-hole excitations in the reference state

$$|\Psi\rangle = e^{-(T_1 + T_2 + T_3 \dots)} |\Phi\rangle$$

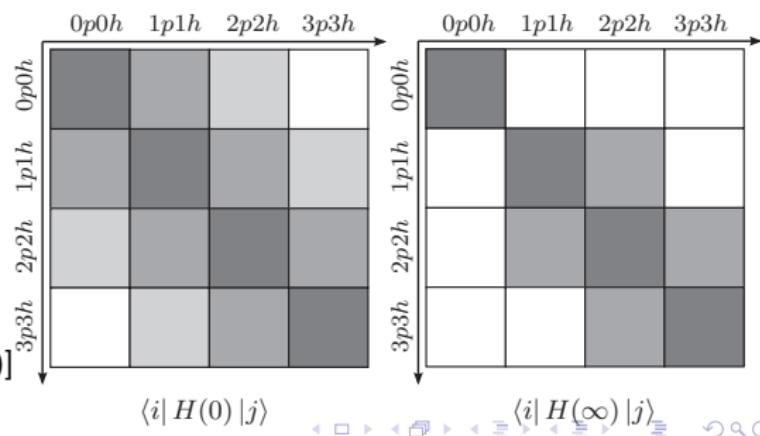
with $T_1 = \sum_{\alpha, \bar{\alpha}} t_{\alpha}^{\bar{\alpha}} \{a_{\bar{\alpha}}^\dagger, a_\alpha\}$, $T_2 = \sum_{\alpha\beta, \bar{\alpha}\bar{\beta}} t_{\alpha\beta}^{\bar{\alpha}\bar{\beta}} \{a_{\bar{\alpha}}^\dagger a_{\bar{\beta}}^\dagger, a_\alpha a_\beta\}$, ...

solve $\langle \Phi_{\alpha}^{\bar{\alpha}} | e^{\sum T_i} H e^{-\sum T_i} | \Phi \rangle = 0$, $\langle \Phi_{\alpha\beta}^{\bar{\alpha}\bar{\beta}} | e^{\sum T_i} H e^{-\sum T_i} | \Phi \rangle = 0$

The In-medium similarity renormalization group method uses a similarity (unitary) transformation to decouple reference state from particle-hole excitations

$$H = T + V \rightarrow H(s) = U(s) H U^\dagger(s)$$

$$\frac{dH}{ds} = [\eta(s), H(s)] \text{ with } \eta(s) = [G(s), H(s)]$$



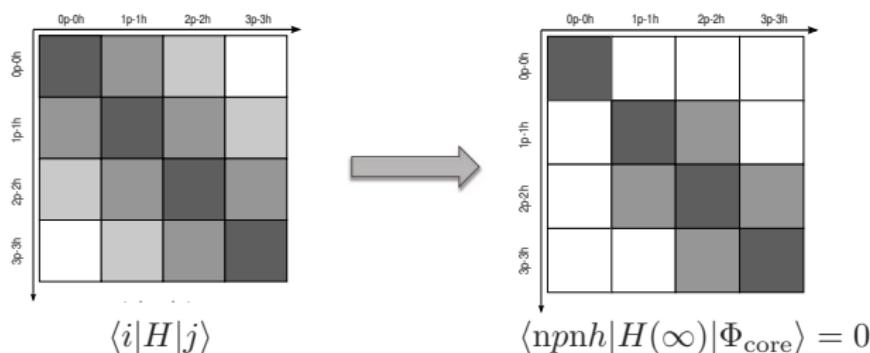
Effective Shell Model interactions

Coupled Cluster:

Solve coupled-cluster equations for
core (reference state $|\Phi\rangle$), $A+1$ and $A+2$ systems

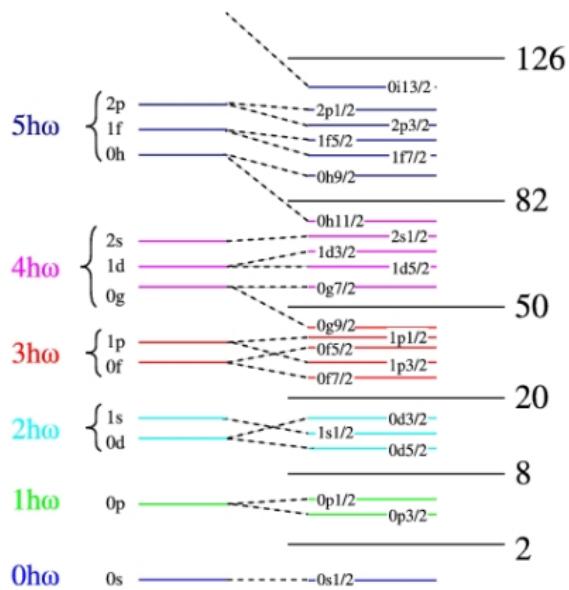
Project the coupled-cluster solution into valence space
(Okubo-Lee-Suzuki transformation)

In-medium similarity
renormalization group
decouple
core from excitations
decouple A particles in
valence space from rest



In addition to H_{eff} , these non-perturbative methods provide the core energy

Nuclear shell model (with core)



The Shell Model solves the many-body problem by direct diagonalization in a relatively small configuration space

The total space is separated into

- Outer orbits: orbits that are always empty
- Valence space: the space in which we explicitly solve the problem
- Inner core: orbits that are always filled

Diagonalization in valence space: $H|\Psi\rangle = E|\Psi\rangle \rightarrow H_{\text{eff}}|\Psi\rangle_{\text{eff}} = E|\Psi\rangle_{\text{eff}}$
where H_{eff} includes the effect of inner core and outer orbits

Nuclear shell model: computational power

Computational power critical for size of nuclear shell model configuration space to be considered



1 major oscillator shell
 $\sim 10^9$ Slater dets.

Caurier et al. RMP77 (2005)



> 1 major oscillator shells
 $\sim 10^{11}$ Slater dets.

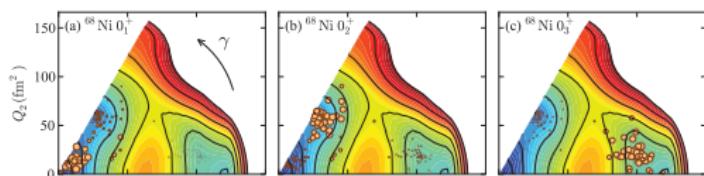
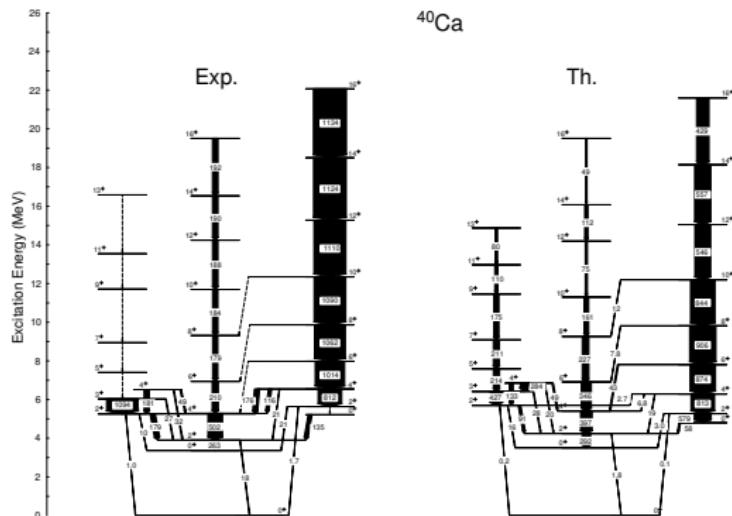
Caurier et al. RMP77 (2005)

$\gtrsim 10^{24}$ Slater dets. with Monte Carlo SM

Otsuka, Shimizu, Tsunoda

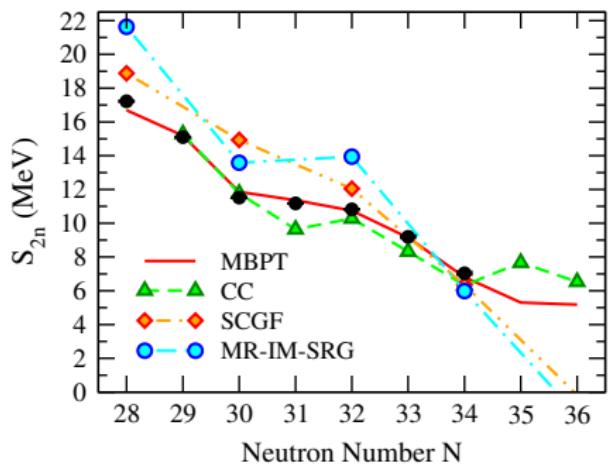
Nuclear shell model: examples

The Shell Model is the method of choice for shell model nuclei:
energies, deformation, electromagnetic and beta transition rates...



Shell evolution in medium-mass nuclei

Calculations with NN+3N forces predict doubly-magic nuclei ^{52}Ca , ^{54}Ca , ^{78}Ni groundbreaking mass / 2^+ measurements at ISOLDE / RIBF



LETTER

doi:10.1038/nature12226

Masses of exotic calcium isotopes pin down nuclear forces

F. Wienhöfer¹, D. Beck², K. Blaum³, Ch. Borgmann⁴, M. Breitenfeldt⁴, R. B. Cakir^{2,3}, S. George¹, F. Herfurth³, J. D. Holt^{2,3}, M. Kowalska³, S. Kreim^{2,3}, D. Lunney², V. Manea³, J. Menéndez^{2,3}, D. Neidhart², M. Rosenbusch³, L. Schweikhard¹, A. Schwenk^{2,3}, J. Simons^{2,3}, J. Stasja³, R. N. Wolf² & K. Zuber¹⁰



ARTICLE

https://doi.org/10.1038/nature12226

^{78}Ni revealed as a doubly magic stronghold against nuclear deformation

R. Tantalo^{1,2}, C. Santandrea^{1,2}, P. Doorenbos^{1,2}, A. Oberleitner^{1,2}, K. Yoneda², G. Attalikis¹, H. Ishii³, T. Ceder¹, P. Gómez¹, M. Horikoshi¹, T. Iwasa¹, T. Kondo¹, T. Matsuo¹, T. Nakamura¹, S. Mizutori¹, S. Morozubski¹, T. Munkhbileg¹, M. Nakata¹, F. Nowacki¹, K. Ogrzol^{1,2}, H. Ono¹, T. Otsuka^{1,2,3}, C. Pene¹, S. Perl¹, A. Petyavina¹, E. C. Pollock¹, A. Povys¹, T. Y. Poulose¹, H. Sakurai¹, A. Schwengenberger¹, C. Shugay¹, S. Strutinski¹, M. Tadokoro¹, T. Tanaka¹, T. Terasawa¹, T. Ueda¹, T. Ueda¹, T. Ueda¹, S. Francisco¹, F. Giacoppo¹, A. Gottschalk¹, K. Hachitsuka-Kley¹, Z. Korkmaz¹, S. Koyama^{1,2}, Y. Kubota^{1,2}, J. Lellert¹, M. Letermann¹, C. Leuschke¹, R. Loney^{1,2}, K. Matsuo¹, T. Müssack¹, S. Nakamura¹, L. Ohrn^{1,2}, S. Ozta¹, Z. Sahrae¹, C. Sharot¹, F.-A. Söderström¹, I. Stoica¹, D. Superhely¹, T. Suzuki¹, D. Szilas¹, Z. Taja¹, T. Werner¹, T. Watanabe¹, B. Y. Yamada¹

Many-body methods for $0\nu\beta\beta$ decay

Different many-body methods are used in $0\nu\beta\beta$ decay

- Nuclear shell Model

Madrid-Strasbourg, Michigan, Bucharest, Tokyo

Relatively small valence spaces (one shell), all correlations included

- Quasiparticle random-phase approximation (QRPA) method

Tübingen, Bratislava, Jyvaskyla, Chapel Hill, Prague...

Several shells, only simple correlations included

- Interacting Boson Model

Yale-Concepción

Small space, important proton-neutron pairing correlations missing

- Energy Density Functional theory

Madrid, Beijing

> 10 shells, important proton-neutron pairing correlations missing

Ab initio many-body methods:

No Core Shell Model, Green's Function Monte Carlo, Coupled Cluster...

Outline

- 1 Nuclear structure: initial and final states
- 2 β decay: operator and nuclear matrix elements
- 3 $\beta\beta$ decay operators
- 4 $0\nu\beta\beta$ decay nuclear matrix elements

Weak interactions in nuclei

β and $\beta\beta$ decay processes are driven by the Weak interaction

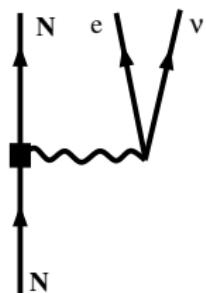
$$H_W = \frac{G_F}{\sqrt{2}} \left(j_{L\mu} J_L^{\mu\dagger} \right) + H.c.$$

$j_{L\mu}$ is the leptonic current (electron, neutrino): $j_{L\mu} = \bar{e} \gamma_\mu (1 - \gamma_5) \nu_{eL}$

The Lorentz structure is Vector – Axial-Vector ($V – A$) current, as indicated by the Standard Model of Particle Physics

For neutrinos,
interaction eigenstates are not mass eigenstates:
 $\nu_{eL} = \sum_i U_{ei} \nu_{iL}$,
with U the **Pontecorvo-MNS** neutrino-mixing matrix

The treatment of electrons and neutrinos
is relatively easy because
they are elementary particles



Weak interactions: hadronic current

β and $\beta\beta$ decay processes are driven by the Weak interaction

$$H_W = \frac{G_F}{\sqrt{2}} \left(j_{L\mu} J_L^{\mu\dagger} \right) + H.c.$$

$J_L^{\mu\dagger}$ is the hadronic current:

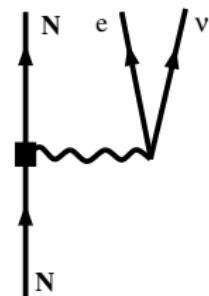
it is not so straightforward because the Standard Model predicts $J_L^{\mu\dagger}$ at the level of quarks and we need $J_L^{\mu\dagger}$ at the level of nucleons:

- Obtain $J_L^{\mu\dagger}$ phenomenologically
- Obtain $J_L^{\mu\dagger}$ using an effective theory: Chiral EFT!

In nuclei (non-relativistic), β decay is simply

$$\langle F | \sum_i g_V \tau_i^- + g_A \sigma_i \tau_i^- | I \rangle$$

corresponding to Fermi and Gamow-Teller transitions,
corrections (forbidden transitions)
involve an expansion of the lepton wavefunctions

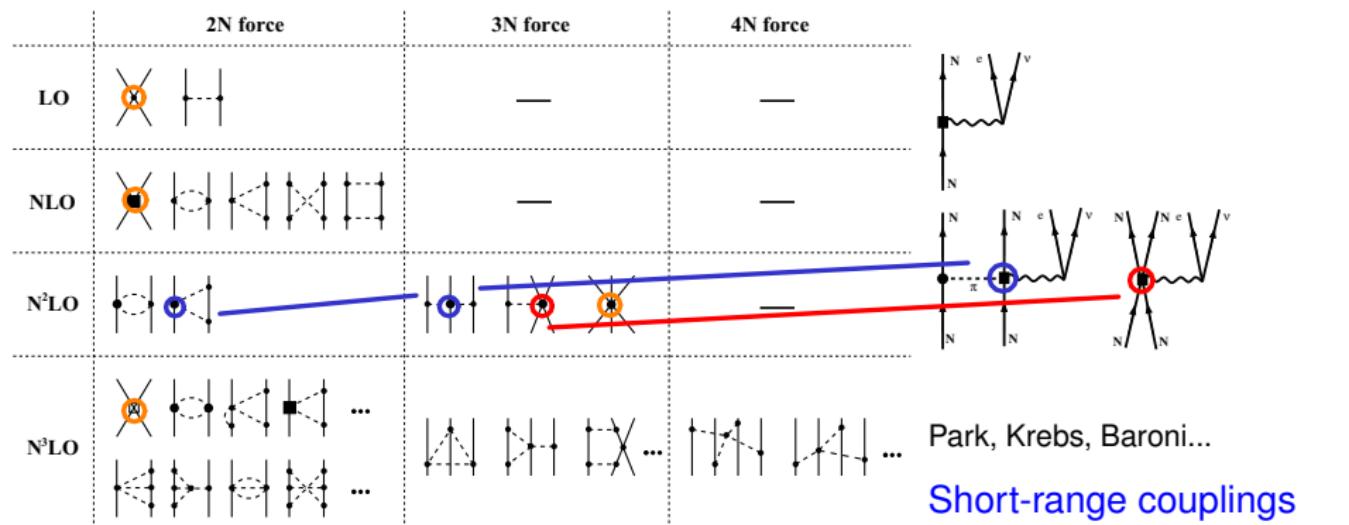


Chiral Effective Field Theory

Chiral EFT: low energy approach to QCD, nuclear structure energies

Approximate chiral symmetry: pion exchanges, contact interactions

Systematic expansion: nuclear forces and electroweak currents



Weinberg, van Kolck, Kaplan, Savage, Wise, Meißner, Epelbaum...

Chiral EFT weak currents

Remember, the weak interaction is V-A: vector-axial

Chiral EFT currents: calculate systematically at $Q^0, Q^2 \dots$ order

At order Q^0 standard Fermi, Gamow-Teller operators

$$J_i^0(p) = g_V \tau^-, \quad \mathbf{J}_i(p) = g_A \sigma$$

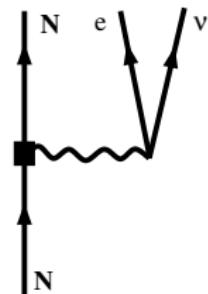
At order Q^2 loop and pion-pole corrections

$$J_i^0(p) = g_V(p^2) \tau^-,$$

$$\mathbf{J}_i(p) = \left[g_A(p^2) \sigma - g_P(p^2) \frac{(\mathbf{p} \cdot \boldsymbol{\sigma}_i) \mathbf{p}}{2m} + i(g_M + g_V) \frac{\boldsymbol{\sigma}_i \times \mathbf{p}}{2m} \right] \tau^-,$$

$$g_V(p^2) = g_V(1 - 2 \frac{p^2}{\Lambda_V^2}), \quad g_A(p^2) = g_A(1 - 2 \frac{p^2}{\Lambda_A^2}),$$

$$g_P(p^2) = \frac{2g_{\pi pn}F_\pi}{m_\pi^2 + p^2} - 4g_A(p^2) \frac{m}{\Lambda_A^2}, \quad g_M = \kappa_p - \kappa_n = 3.70,$$

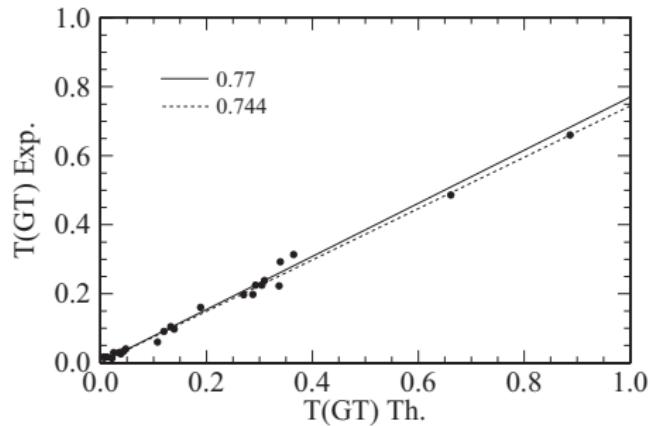
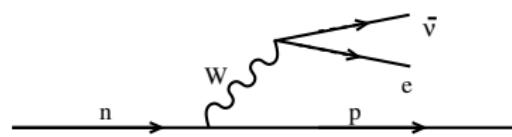
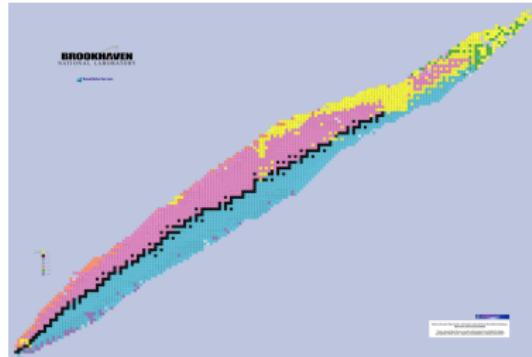


Order Q^2 corrections are not relevant for single- β decay, $2\nu\beta\beta$ decay, because in these processes $\mathbf{p} \sim 0$

β decay: theory vs experiment

β decays (e^- capture) main decay model along nuclear chart

In general well described by nuclear structure theory: shell model...

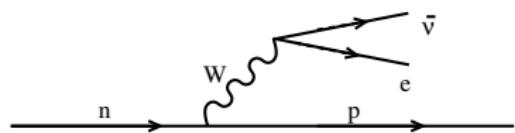
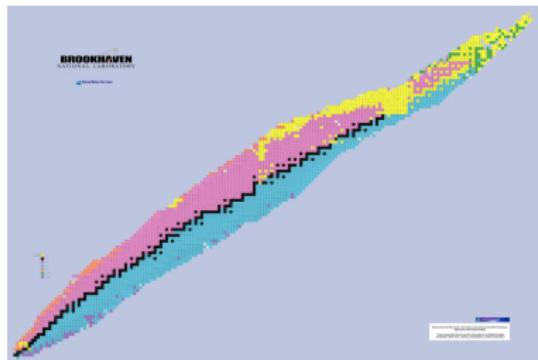


Martinez-Pinedo et al. PRC53 2602(1996)

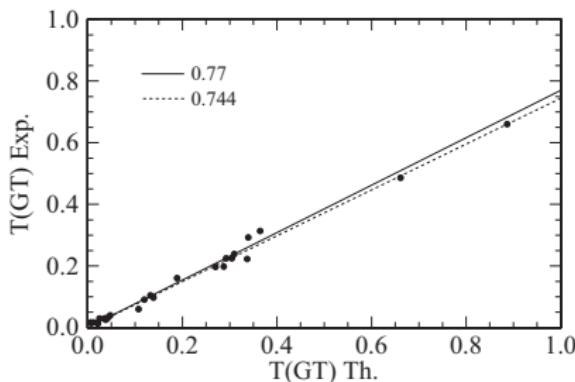
$$\langle F | \sum_i [g_A \sigma_i \tau_i^-] | I \rangle$$

β decay: “quenching”

β decays (e^- capture) main decay model along nuclear chart
In general well described by nuclear structure theory: shell model...



Gamow-Teller transitions:
theory needs $\sigma_i \tau$ “quenching”



Martinez-Pinedo et al. PRC53 2602(1996)

$$\langle F | \sum_i [g_A \sigma_i \tau_i^-]^{\text{eff}} | I \rangle, \quad [\sigma_i \tau]^{\text{eff}} \approx 0.7 \sigma_i \tau$$

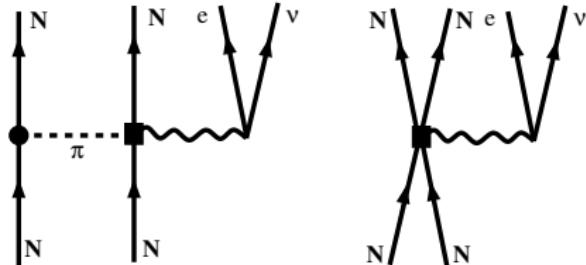
Deficient many-body approach,
or transition operator?

Two-body currents currents

At order Q^3 chiral EFT
predicts contributions from
two-body (2b) currents

Reflect interactions
between nucleons

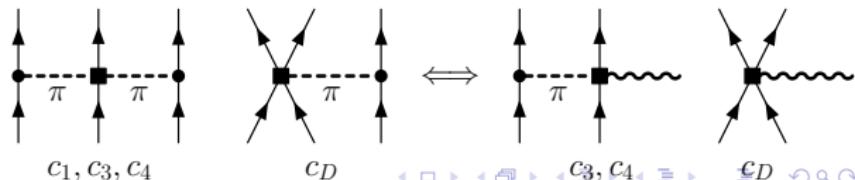
Long-range currents dominate



The expression for the leading Q^3 2b currents is

$$\begin{aligned} J_{12}^3 = & -\frac{g_A}{4F_\pi^2} \frac{1}{m_\pi^2 + k^2} \left[2 \left(c_4 + \frac{1}{4m} \right) \mathbf{k} \times (\boldsymbol{\sigma}_x \times \mathbf{k}) \tau_x^3 \right. \\ & \left. + 4c_3 \mathbf{k} \cdot (\boldsymbol{\sigma}_1 \tau_1^3 + \boldsymbol{\sigma}_2 \tau_2^3) \mathbf{k} - \frac{i}{m} \mathbf{k} \cdot (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \mathbf{q} \tau_x^3 \right] \end{aligned}$$

Long-range currents
depend on c_3, c_4 couplings
of nuclear forces

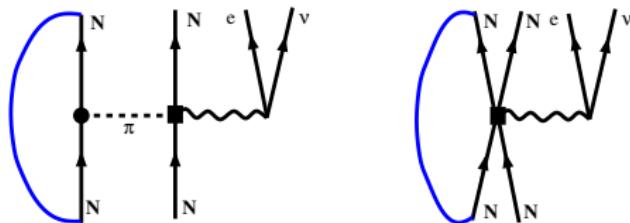


2b currents: normal-ordering

Approximate in medium-mass nuclei:

2b currents imply that the $\beta\beta$ decay operator is 4-body...
normal-ordered 1b part with respect to spin/isospin symmetric Fermi gas

Sum over one nucleon, direct and the exchange terms



$\Rightarrow \mathbf{J}_{n,2b}^{\text{eff}}$ normal-ordered 1b current

Corrections $\sim (n_{\text{valence}}/n_{\text{core}})$
in Fermi systems

The normal-ordered two-body currents modify GT operator

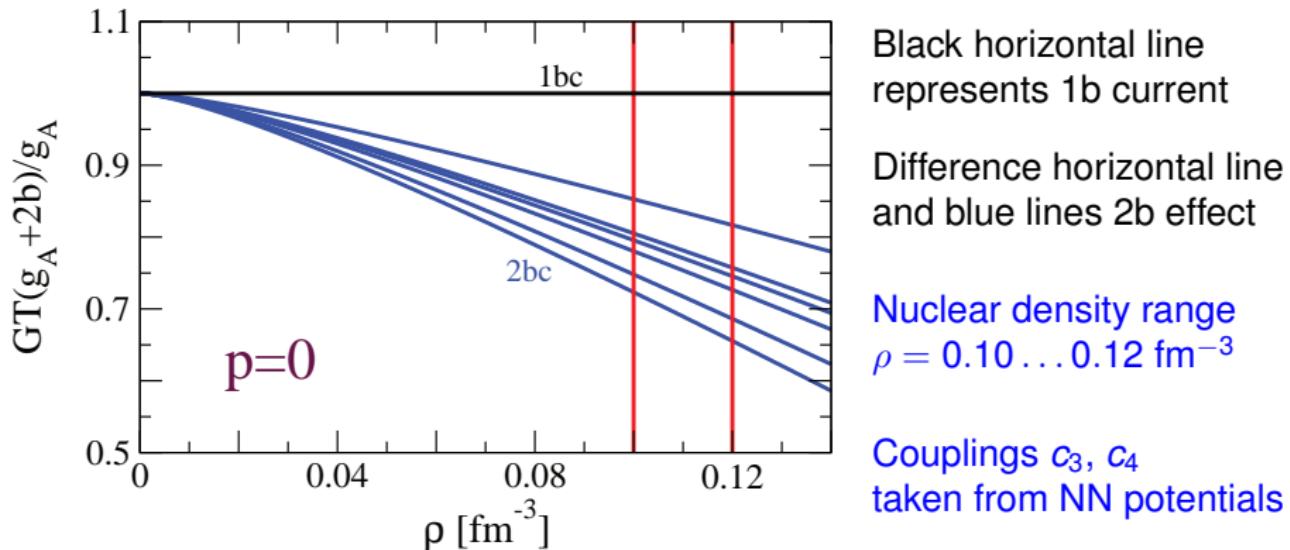
$$\begin{aligned}\mathbf{J}_{n,2b}^{\text{eff}} &= \sum_{\sigma_m}^{\text{FG}} \sum_{\tau_m}^{\text{FG}} \int \frac{p_m^2 dp_m}{(2\pi)^3} \mathbf{J}_{m,n,2b} (1 - P_{mn}) \\ &= -\frac{g_A \rho}{f_\pi^2} \tau_n^- \sigma_n \left[\frac{2}{3} c_3 \frac{\mathbf{p}^2}{4m_\pi^2 + \mathbf{p}^2} + I(\rho, P) \left(\frac{1}{3} (2c_4 - c_3) + \frac{1}{6m_N} \right) \right],\end{aligned}$$

long-range p dependent long-range p independent

2b currents at zero momentum-transfer

2b currents at $p = 0$: relevant for Gamow-Teller decays, $2\nu\beta\beta$ decay

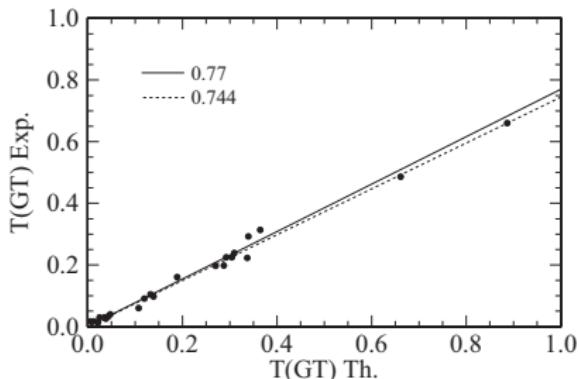
$$\mathbf{J}_{n,2b}^{\text{eff}} = -\frac{g_A \rho}{f_\pi^2} \tau_n^- \sigma_n \left[I(\rho, P) \left(\frac{1}{3} (2c_4 - c_3) + \frac{1}{6m_N} \right) \right],$$



2b currents, in normal-ordered approximation predict g_A quenching

Gamow-Teller β decay with IM-SRG

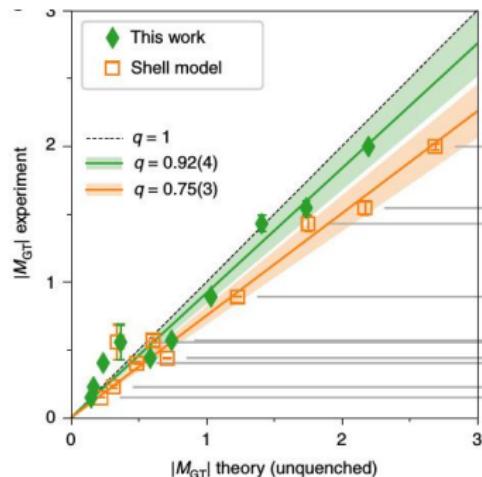
β decays (e^- capture) challenge for nuclear theory



Martinez-Pinedo et al. PRC53 2602(1996)

$$\langle F | \sum_i [g_A \sigma_i \tau_i^-]^{\text{eff}} | I \rangle, \quad [\sigma_i \tau]^{\text{eff}} \approx 0.7 \sigma_i \tau$$

Phenomenological models
need $\sigma_i \tau$ “quenching”

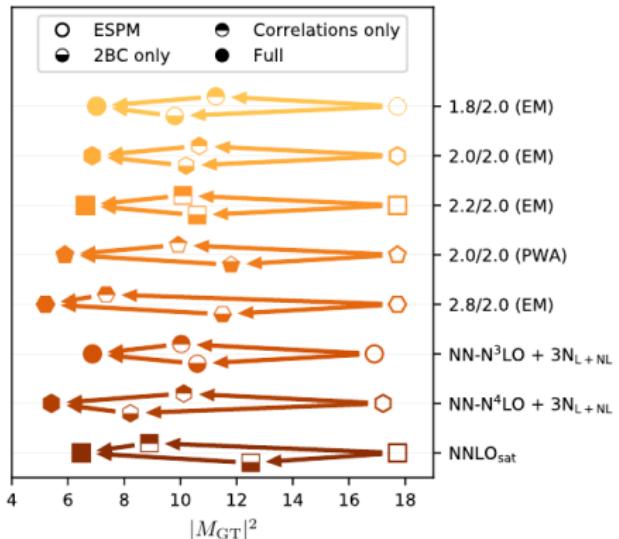


Gysbers et al. Nature Phys. 15 428 (2019)

Ab initio calculations including
meson-exchange currents
do not need any “quenching”

Origin of β -decay “quenching”

Complementary, similar impact of nuclear correlations and meson-exchange currents

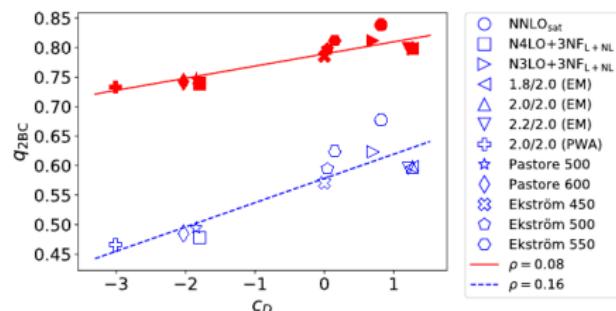


Gysbers et al. Nature Phys. 15 428 (2019)

2b currents modify GT operator

JM, Gazit, Schwenk PRL107 062501 (2011)

$$J_{n,2b}^{\text{eff}} \simeq -\frac{g_A \rho}{f_\pi^2} \tau_n^- \sigma_n \times \left[I(\rho) \frac{2c_4 - c_3}{3} - \frac{c_D}{4g_A \Lambda_\chi} - \frac{2g_A \rho}{3f_\pi^2} c_3 \frac{p^2}{m_\pi^2 + p^2} \right]$$



Outline

- 1 Nuclear structure: initial and final states
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Two-neutrino $\beta\beta$ decay matrix elements

Two-neutrino double-beta decay matrix element, second order process

$$\begin{aligned} M^{2\nu\beta\beta} &= \sum_k \frac{\langle 0_f^+ | \sum_n \tau_n^- + \sigma_n \tau_n^- | J_k^+ \rangle \langle J_k^+ | \sum_m \tau_m^- + \sigma_m \tau_m^- | 0_i^+ \rangle}{E_k - (M_i + M_f)/2} \\ &= \sum_k \frac{\langle 0_f^+ | \sum_n \sigma_n \tau_n^- | J_k^+ \rangle \langle J_k^+ | \sum_m \sigma_m \tau_m^- | 0_i^+ \rangle}{E_k - (M_i + M_f)/2} \\ &= \sum_k \frac{\langle 0_f^+ | \sum_n \sigma_n \tau_n^- | 1_k^+ \rangle \langle 1_k^+ | \sum_m \sigma_m \tau_m^- | 0_i^+ \rangle}{E_k - (M_i + M_f)/2} \end{aligned}$$

- $\tau_n^- \tau_m^-$ transform two neutrons into two protons
- Only Gamow-Teller spin operator contributes:
Fermi contribution vanishes due to isospin conservation:

$$\langle 0_f^+ | \sum_m \tau_m^- | J_k^+ \rangle = \langle 0_f^+ | T^- | J_k^+ \rangle \sim 0$$

- Neutrinos are emitted, do not appear in the transition operator

⇒ Only intermediate nucleus $|1_k^+\rangle$ states contribute

Two-neutrino $\beta\beta$ decay calculations

$$M^{2\nu\beta\beta} = \sum_k \frac{\langle 0_f^+ | \sum_n \sigma_n \tau_n^- | 1_k^+ \rangle \langle 1_k^+ | \sum_m \sigma_m \tau_m^- | 0_i^+ \rangle}{E_k - (M_i + M_f)/2}$$

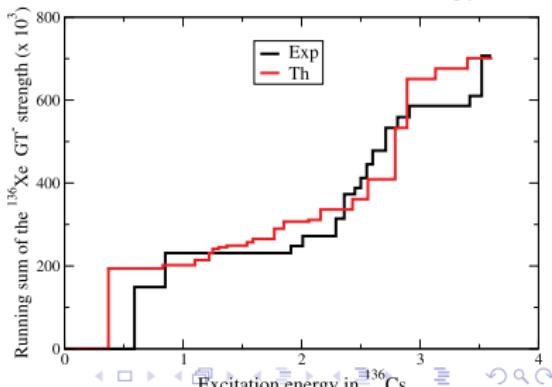
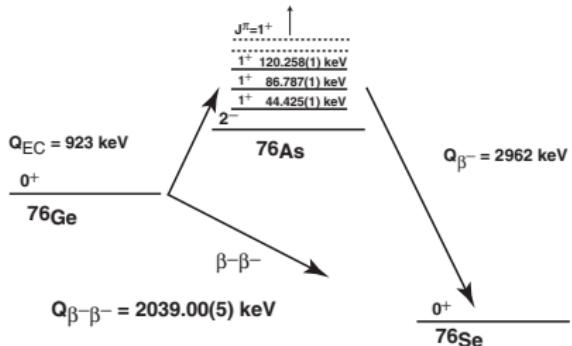
Shell Model $2\nu\beta\beta$ decay calculations
in good agreement to experiment

GT quenching is needed

Table 2

The ISM predictions for the matrix element of several 2ν double beta decays (in MeV $^{-1}$). See text for the definitions of the valence spaces and interactions.

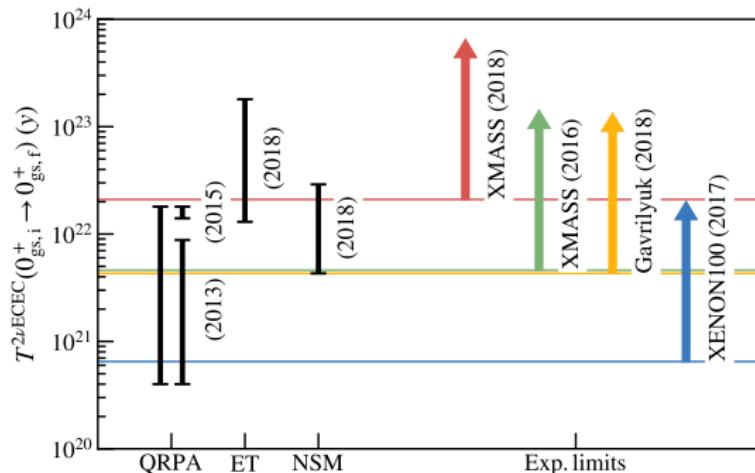
	M $^{2\nu}$ (exp)	q	M $^{2\nu}$ (th)	INT
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	0.047 ± 0.003	0.74	0.047	kb3
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	0.047 ± 0.003	0.74	0.048	kb3g
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	0.047 ± 0.003	0.74	0.065	gxp1f
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	0.140 ± 0.005	0.60	0.116	gcn28:50
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	0.140 ± 0.005	0.60	0.120	jun45
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	0.098 ± 0.004	0.60	0.126	gcn28:50
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	0.098 ± 0.004	0.60	0.124	jun45
$^{128}\text{Te} \rightarrow ^{128}\text{Xe}$	0.049 ± 0.006	0.57	0.059	gcn50:82
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	0.034 ± 0.003	0.57	0.043	gcn50:82
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	0.019 ± 0.002	0.45	0.025	gcn50:82



Gamow-Teller Strengths
(each leg of the $\beta\beta$ decay) are well reproduced

Two-neutrino ECEC of ^{124}Xe

Predicted 2ν ECEC half-life:
shell model error bar largely dominated by “quenching” uncertainty

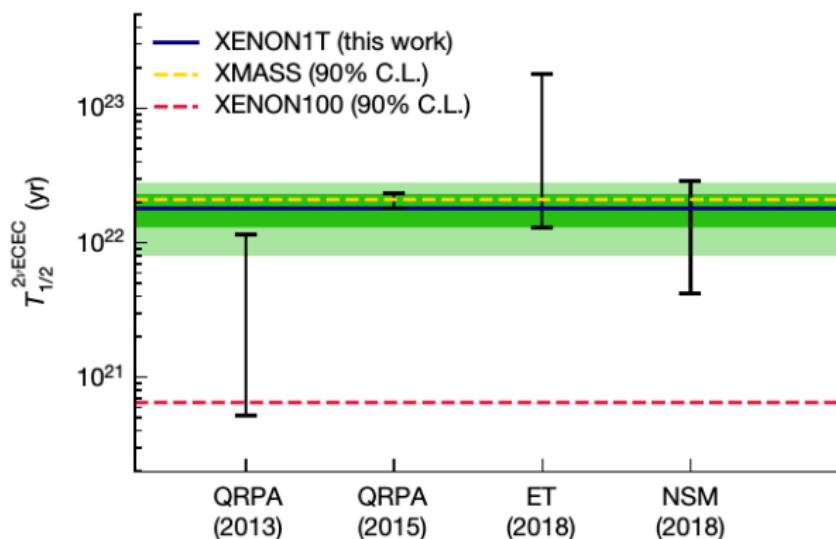


- Suhonen
JPG 40 075102 (2013)
- Pirinen, Suhonen
PRC 91, 054309 (2015)
- Coello Pérez, JM, Schwenk
PLB 797 134885 (2019)

Shell model, QRPA and Effective theory (ET) predictions suggest experimental detection close to XMASS 2018 limit

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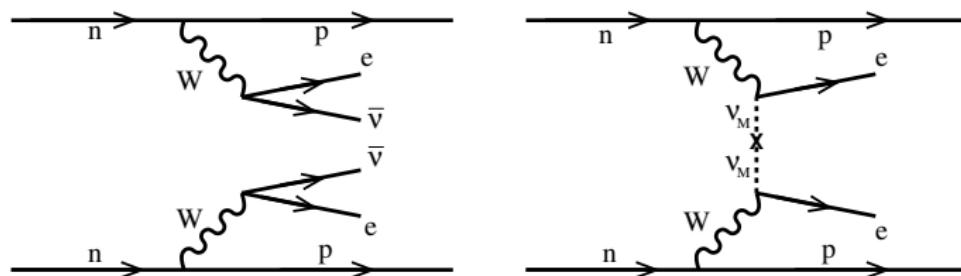


- Suhonen
JPG 40 075102 (2013)
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PRC 91, 054309 (2015)
- Coello Pérez, JM, Schwenk
PLB 797 134885 (2019)
- XENON1T
Nature 568 532 (2019)

Shell model, QRPA and Effective theory (ET) predictions
suggest experimental detection close to XMASS 2018 limit

$0\nu\beta\beta$ decay vs $2\nu\beta\beta$ decays

From the theoretical point of view, $0\nu\beta\beta$ and $2\nu\beta\beta$ decays are also different



- In $2\nu\beta\beta$ decay, the momentum transfer to the leptons is limited by $Q_{\beta\beta}$, while for $0\nu\beta\beta$ decay larger momentum transfers are permitted
- In $0\nu\beta\beta$ decay the Majorana neutrinos annihilate each other which is only possible if neutrinos have mass
- In $0\nu\beta\beta$ decay the Majorana neutrinos are part of the transition operator, via the so-called neutrino potential

$0\nu\beta\beta$: closure approximation

The neutrinos can carry large momentum, $p \sim 100$ MeV,
much larger than the excitation energies in the intermediate states $|N_a\rangle$

The closure approximation can be used (good to 90%)

$$\begin{aligned} & \sum_a \frac{\langle N_f | J_L^{\mu\dagger}(\mathbf{x}) | N_a \rangle \langle N_a | J_L^{\rho\dagger}(\mathbf{y}) | N_i \rangle}{p + E_a - \frac{1}{2}(E_i + E_f)} \\ & \simeq \frac{1}{p + \langle E \rangle - \frac{1}{2}(E_i + E_f)} \sum_a \langle N_f | J_L^{\mu\dagger}(\mathbf{x}) | N_a \rangle \langle N_a | J_L^{\rho\dagger}(\mathbf{y}) | N_i \rangle \\ & = \frac{1}{p + \langle E \rangle - \frac{1}{2}(E_i + E_f)} \langle N_f | J_L^{\mu\dagger}(\mathbf{x}) J_L^{\rho\dagger}(\mathbf{y}) | N_i \rangle. \end{aligned}$$

This simplifies the calculation, only initial and final states are needed

We still need the transition operator!

From currents to transition operator

The transition operator originates from the nuclear currents and the neutrinos

$$\begin{aligned} M^{0\nu\beta\beta}(m_j) &= \langle 0_f^+ | \sum_{n,m} \tau_n^- \tau_m^- \frac{R}{g_A^2} \int \frac{d\mathbf{p}}{2\pi^2} e^{i\mathbf{p}\cdot(\mathbf{r}_n - \mathbf{r}_m)} \frac{\mathbf{J}_n \mathbf{J}_m(p^2)}{p(p + \mu)} | 0_i^+ \rangle \\ &= \langle 0_f^+ | \sum_{n,m} \tau_n^- \tau_m^- \frac{R}{g_A^2} \int \frac{d\mathbf{p}}{2\pi^2} e^{i\mathbf{p}\cdot(\mathbf{r}_n - \mathbf{r}_m)} \frac{\Omega_{nm}(p^2)}{p(p + \mu)} | 0_i^+ \rangle \\ &= \langle 0_f^+ | \sum_{n,m} \tau_n^- \tau_m^- \left(-H^F(r) + H^{GT}(r) \boldsymbol{\sigma}_n \boldsymbol{\sigma}_m - H^T(r) \mathbf{S}_{nm}^r \right) | 0_i^+ \rangle \end{aligned}$$

The integral over \mathbf{p} is performed and

$r = |\mathbf{r}_n - \mathbf{r}_m|$ distance between decaying neutrons, $H(r)$ neutrino potentials.

There are three spin structures contributing to $0\nu\beta\beta$ decay:
Fermi ($\mathbb{1}$), Gamow-Teller ($\sigma_1 \sigma_2$), Tensor (S_{12})

The Gamow-Teller term is dominant ($\sim 85\%$)

$0\nu\beta\beta$ decay nuclear matrix elements

$0\nu\beta\beta$ process needs massive Majorana neutrinos ($\nu = \bar{\nu}$)
⇒ detection would proof Majorana nature of neutrinos

$$\left(T_{1/2}^{0\nu\beta\beta} (0^+ \rightarrow 0^+) \right)^{-1} = G_{01} g_A^4 |M^{0\nu\beta\beta}|^2 m_{\beta\beta}^2$$

G_{01} is the phase space factor
includes information of $Q_{\beta\beta}$, electrons...

g_A is the axial coupling (hadronic matrix element)

$M^{0\nu\beta\beta}$ is the nuclear matrix element

$m_{\beta\beta} = |\sum U_{ek}^2 m_k|$, represents physics beyond the Standard Model

Sensitive to absolute neutrino masses, compete with other determinations:
single- β decay ($\sqrt{\sum |U_{ek}|^2 m_k^2}$) and cosmology ($\sum m_k$)

Outline

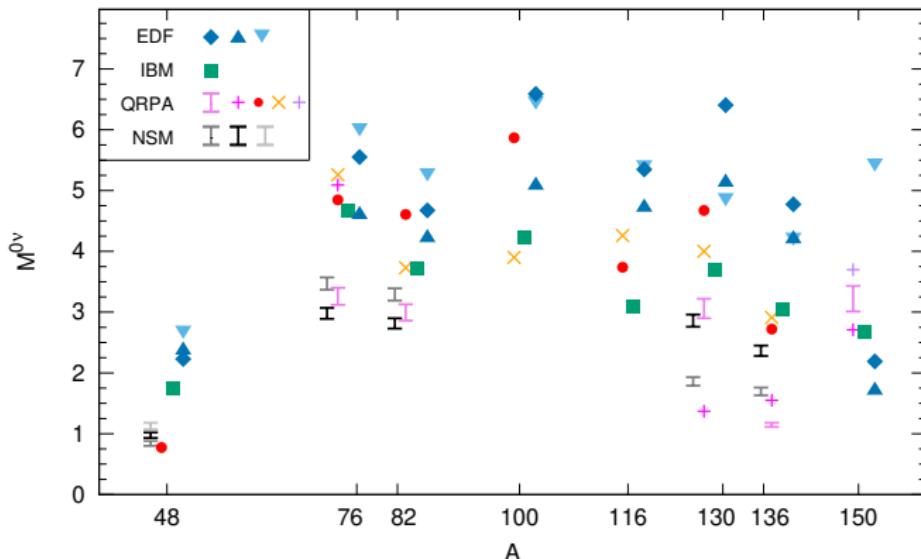
- 1 Nuclear structure: initial and final states
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$0\nu\beta\beta$ decay nuclear matrix elements

Large difference in nuclear matrix element calculations: factor $\sim 2 - 3$

$$\langle 0_f^+ | \sum_{n,m} \tau_n^- \tau_m^- \sum_X H^X(r) \Omega^X | 0_i^+ \rangle$$

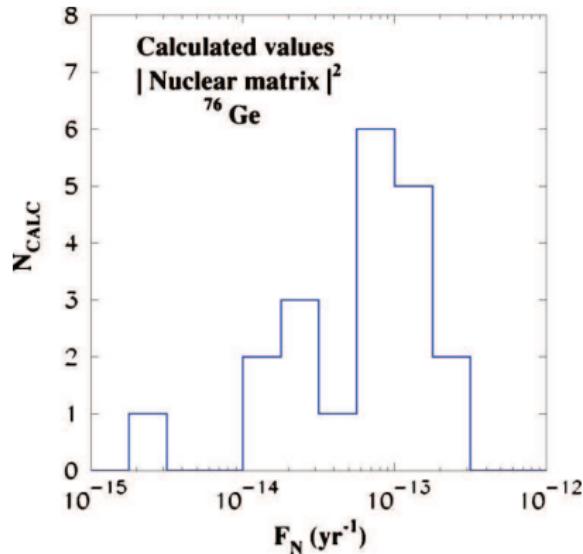
Ω^X = Fermi ($\mathbb{1}$), GT ($\sigma_n \sigma_m$), Tensor
 $H(r)$ = neutrino potential



Engel, JM, Rep. Prog. Phys. 80 046301 (2017), updated

$0\nu\beta\beta$ decay nuclear matrix elements

Spread about factor two – three in nuclear matrix element calculations



But this means a big improvement!

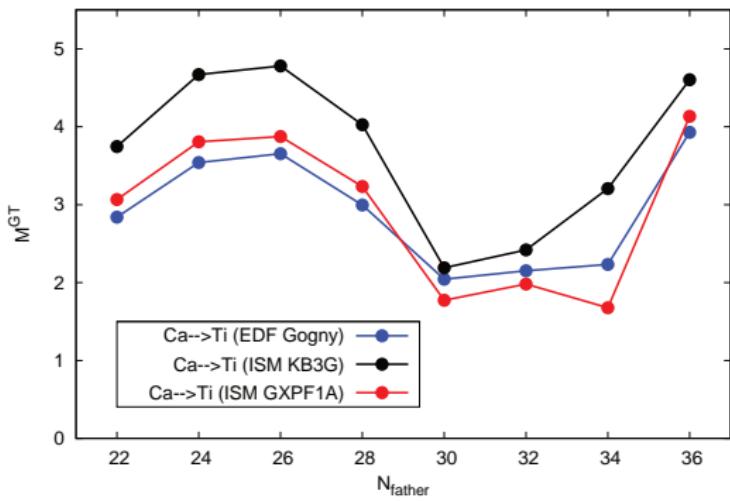
The uncertainty in the calculated nuclear matrix elements for neutrinoless double beta decay will constitute the principal obstacle to answering some basic questions about neutrinos. The essential problem is that the correct theory of nuclei

Bahcall, Murayama, Peña-Garay
PRD70 033012 (2004)

$0\nu\beta\beta$ decay without correlations

Non-realistic spherical (uncorrelated) mother and daughter nuclei:

- Shell model (SM): zero seniority, neutron and proton $J = 0$ pairs
- Energy density functional (EDF): only spherical contributions



In contrast to full
(correlated) calculation
SM and EDF NMEs agree!

NME scale set by
pairing interaction

JM, Rodríguez, Martínez-Pinedo,
Poves PRC90 024311(2014)

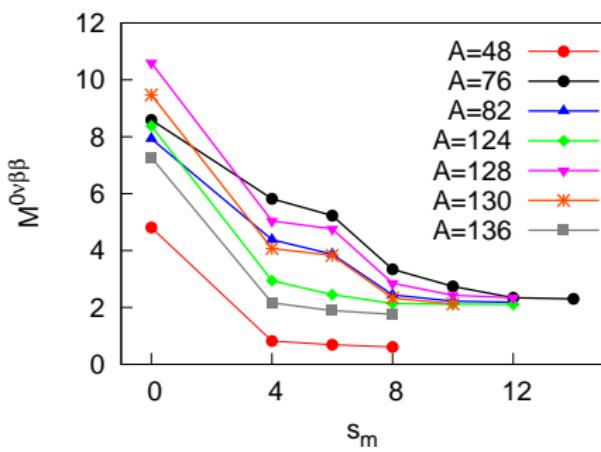
NME follows generalized
seniority model:

$$M_{GT}^{0\nu\beta\beta} \simeq \alpha_\pi \alpha_\nu \sqrt{N_\pi + 1} \sqrt{\Omega_\pi - N_\pi} \sqrt{N_\nu} \sqrt{\Omega_\nu - N_\nu + 1}, \text{ Barea, Iachello PRC79 044301(2009)}$$

Pairing correlations and $0\nu\beta\beta$ decay

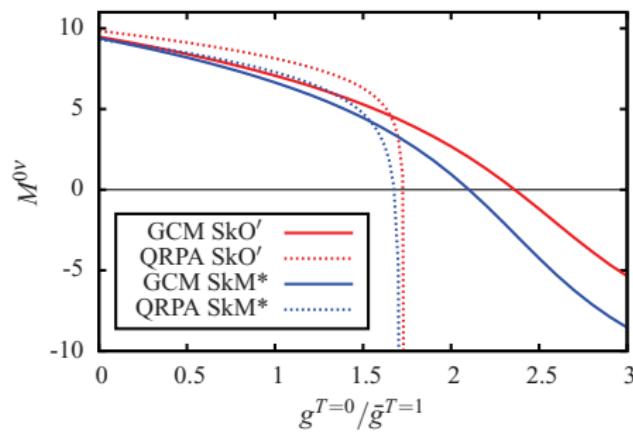
$0\nu\beta\beta$ decay favoured by proton-proton, neutron-neutron pairing,
but it is disfavored by proton-neutron pairing

Ideal case: superfluid nuclei
reduced with high-seniorities



Caurier et al. PRL100 052503 (2008)

Addition of isoscalar pairing
reduces matrix element value



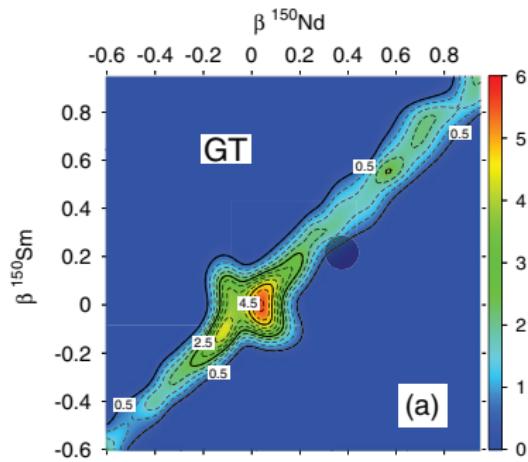
Hinohara, Engel PRC90 031301 (2014)

Related to approximate $SU(4)$ symmetry of the $\sum H(r)\sigma_i\sigma_j\tau_i\tau_j$ operator

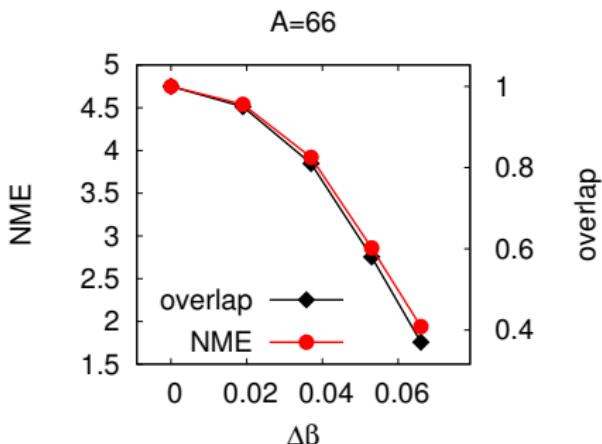
Deformation and $0\nu\beta\beta$ decay

$0\nu\beta\beta$ decay is disfavoured by quadrupole correlations

$0\nu\beta\beta$ decay very suppressed when nuclei have different structure



Rodríguez, Martínez-Pinedo
PRL105 252503 (2010)



JM, Caurier, Nowacki, Poves
JPCS267 012058 (2011)

Suppression also observed with QRPA Fang et al. PRC83 034320 (2011)

Shell model configuration space: two shells

^{48}Ca extended configuration space
from pf to $sdpf$, 4 to 7 orbitals

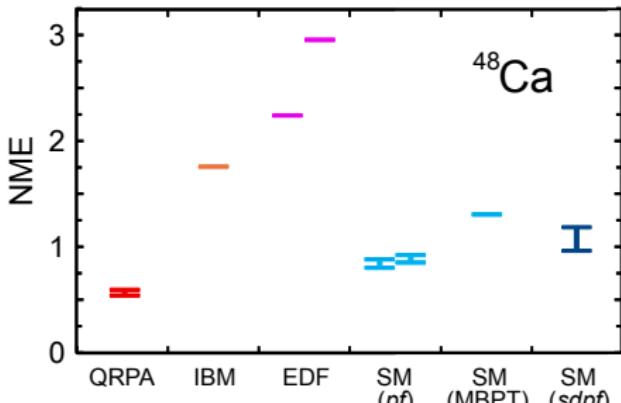
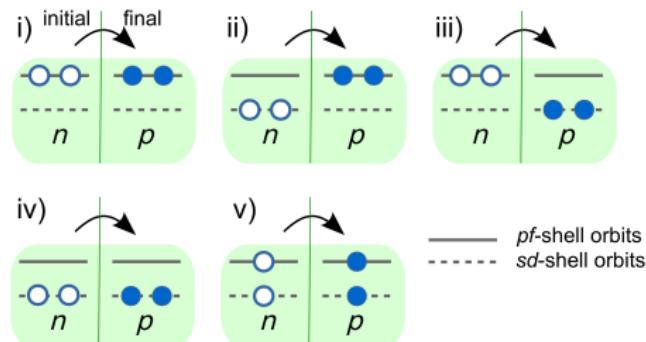
dimension 10^5 to 10^9

$^{48}\text{Ca } 0_2^+$ state lowered by 1.3 MeV

nuclear matrix elements

enhanced only moderately 30%

Iwata et al. PRL116 112502 (2016)



Terms dominated by pairing
2 particle – 2 hole excitations
enhance the $\beta\beta$ matrix element

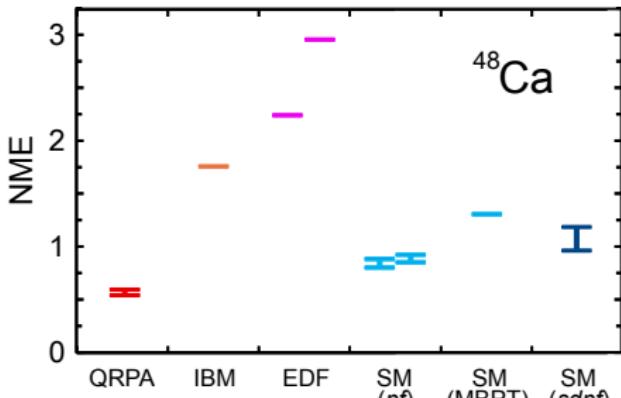
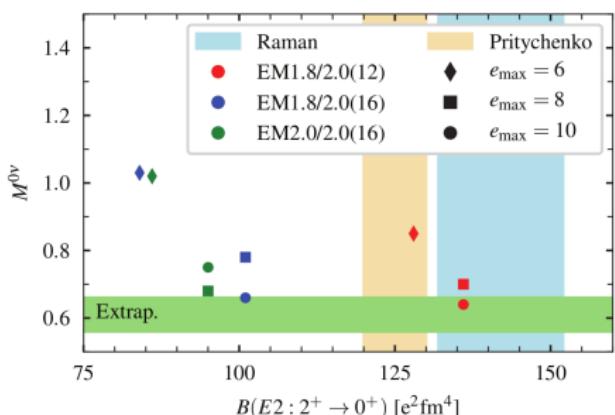
Terms dominated by
1 particle – 1 hole excitations
suppress the $\beta\beta$ matrix element

Ab initio IMSRG nuclear matrix element for ^{48}Ca

^{48}Ca extended configuration space
from pf to $sdpf$, 4 to 7 orbitals
dimension 10^5 to 10^9

^{48}Ca 0_2^+ state lowered by 1.3 MeV
nuclear matrix elements
enhanced only moderately 30%

Iwata et al. PRL116 112502 (2016)



IM-SRG ^{48}Ca NME

Multi-reference calculation:
collective reference state

Generator coordinate method:
deformation, isoscalar pairing

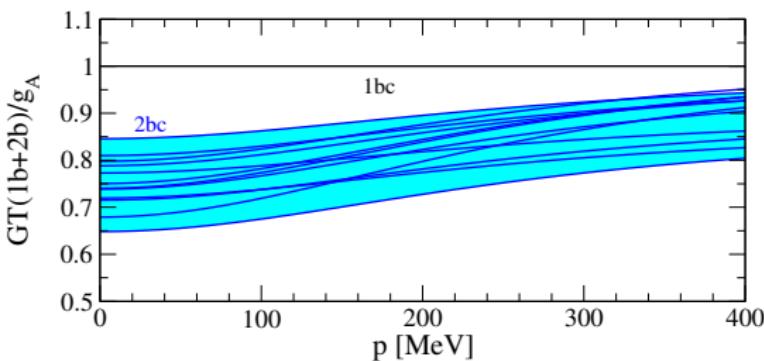
Yao et al. arXiv:1908.05424

2b currents: transferred-momentum dependence

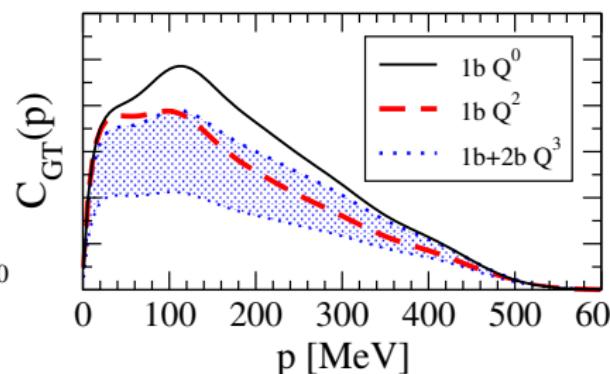
The $\sigma\tau^-$ term depends on transferred momentum p :

$$-\frac{g_A \rho}{f_\pi^2} \tau_n^- \sigma_n \frac{2}{3} c_3 \frac{\mathbf{p}^2}{4m_\pi^2 + \mathbf{p}^2}$$

Quenching reduced at $p > 0$
because chiral coupling $c_3 < 0$



Momentum transferred
dependence relevant for $0\nu\beta\beta$
decay where $p \sim m_\pi$



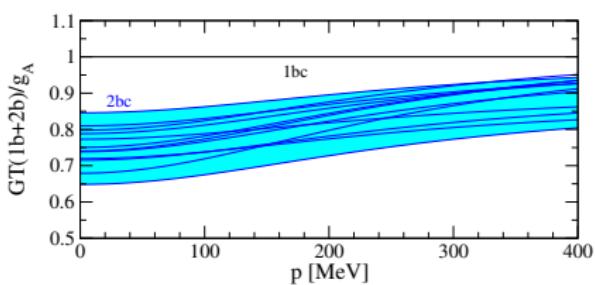
Typical momentum transfers set by
typical distance between decaying nucleons

$$M^{0\nu\beta\beta} = \int_0^\infty C(p) dp$$

2b currents in $\beta\beta$ decay

In $0\nu\beta\beta$ decay, two weak currents lead to four-body operator
when including the product of two 2b currents: computational challenge

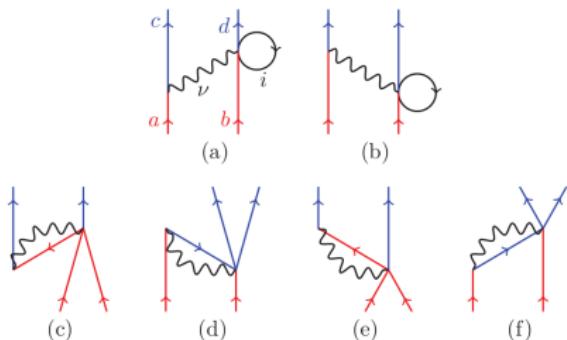
Approximate 2b current
as effective 1b current



Quenching reduced to $\sim 20\%$
at $p \sim m_\pi$ for $0\nu\beta\beta$ decay

JM et al. PRL107 062501(2011)

Approximate 4b operator
as effective 3b operator



Estimated effect $\sim 10\%$

Wang et al. PRC98 031301 (2018)

$0\nu\beta\beta$ decay light- and heavy-particle exchange

Neutrinoless $\beta\beta$ decay mediated by light or heavy particles

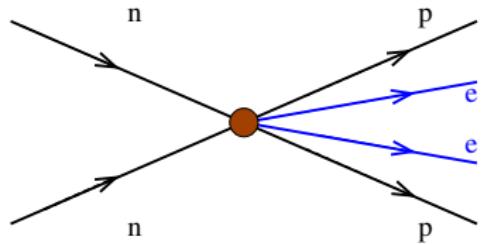
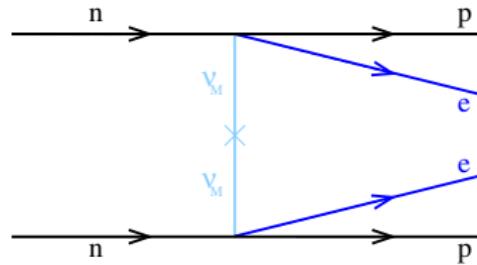
Barea, Horoi, JM, Šimkovic, Suhonen...

$$M^{0\nu\beta\beta} = \langle 0_f^+ | \sum_{n,m} \tau_n^- \tau_m^- \sum_X H^X(r) \Omega^X | 0_i^+ \rangle$$

$$H^X(r) = \frac{2}{\pi} \frac{R}{g_A^2} \int_0^\infty f^X(pr) \frac{h^X(p^2)}{\left(\sqrt{p^2 + m_\nu^2} \right) \left(\sqrt{p^2 + m_\nu^2} + \langle E^m \rangle - \frac{1}{2}(E_i - E_f) \right)} p^2 dp$$

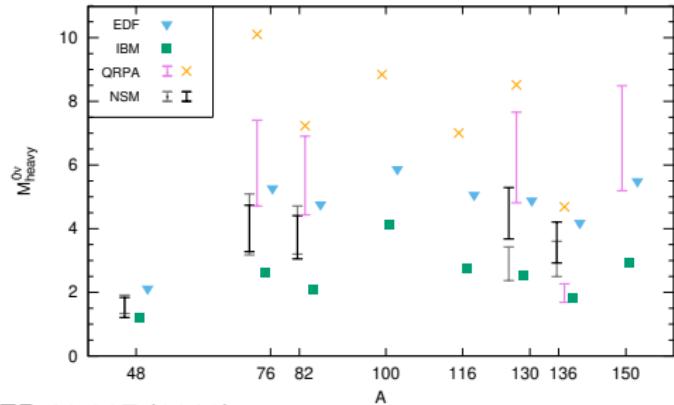
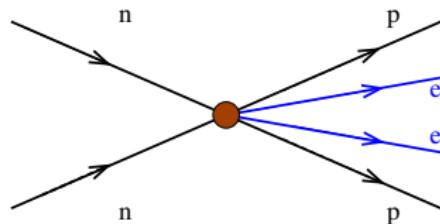
Same contributions in both channels

but in heavy-neutrino exchange the standard term becomes shorter range
 $p \sim 100 - 200$ MeV, set by typical distance between decaying nucleons



$0\nu\beta\beta$ mediated by BSM heavy particles

Extensions of the Standard Model can also trigger $0\nu\beta\beta$ decay typically mediated by exchange of heavy particle (heavy ν , M_R ...)



Effective field theory Cirigliano et al JHEP 12 097 (2018)
dimension-7 ($\sim 1/\Lambda^3$), dimension-9 ($\sim 1/\Lambda^5$) operators can lead to $0\nu\beta\beta$

$$T_{1/2}^{-1} = G_{01} \left(g_A^2 M^{0\nu} + g_\nu^{\text{NN}} m_\pi^2 M_{\text{cont}}^{0\nu} \right)^2 \frac{m_{\beta\beta}^2}{m_e^2} + \frac{m_N^2}{m_e^2} \tilde{G} \tilde{g}^4 \tilde{M}^2 \left(\frac{v}{\Lambda} \right)^6 + \frac{m_N^4}{m_e^2 v^2} \tilde{G}' \tilde{g}'^4 \tilde{M}'^2 \left(\frac{v}{\Lambda'} \right)^{10} + \dots ,$$

Phase-space, hadronic/nuclear matrix elements, known or calculated
Present experiments constrain dim-7 / dim-9 operators $\Lambda \gtrsim 250 / 5$ TeV

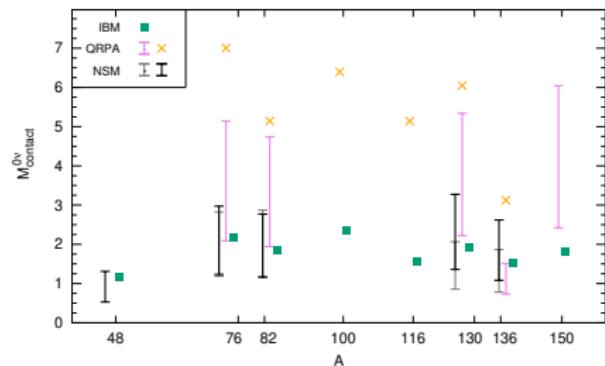
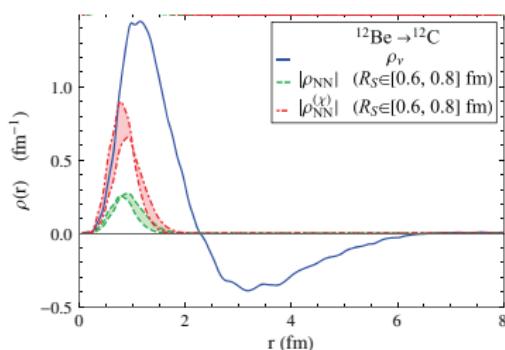
Light neutrino exchange: new contact operator

Contact operator suggested to contribute to light-neutrino exchange

Cirigliano et al. PRL120 202001(2018)

$$T_{1/2}^{-1} = G_{01} (g_A^2 M^{0\nu} + g_\nu^{\text{NN}} m_\pi^2 M_{\text{cont}}^{0\nu})^2 \frac{m_{\beta\beta}^2}{m_e^2}$$

Unknown value of the hadronic coupling g_ν^{NN} !
to be determined experimentally or Lattice QCD calculations ($\sim g_A$)



Short-range operator similar disagreement than standard NME
larger error bars because uncertainty in short-range dynamics

Thank you very much for your attention!

Feel free to ask any questions!
I will be around until the end of the school

