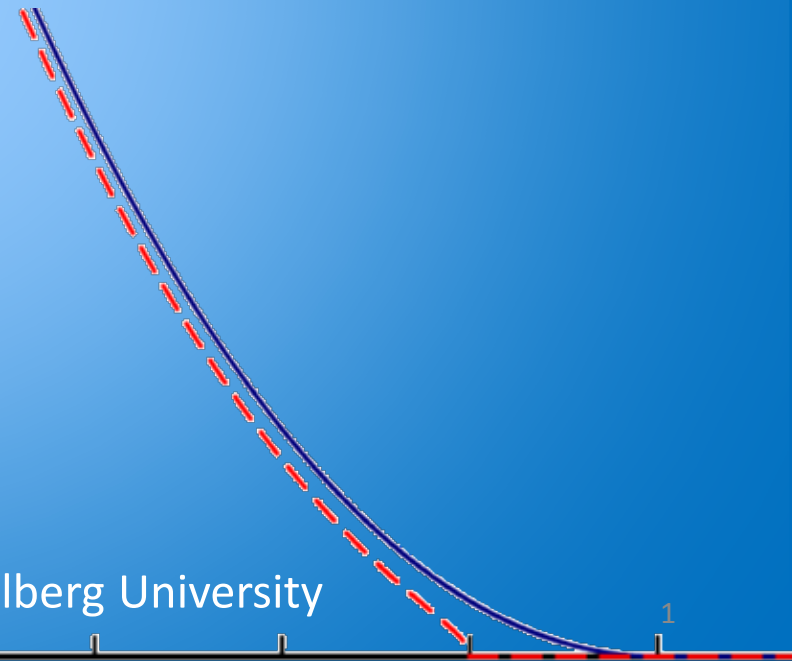
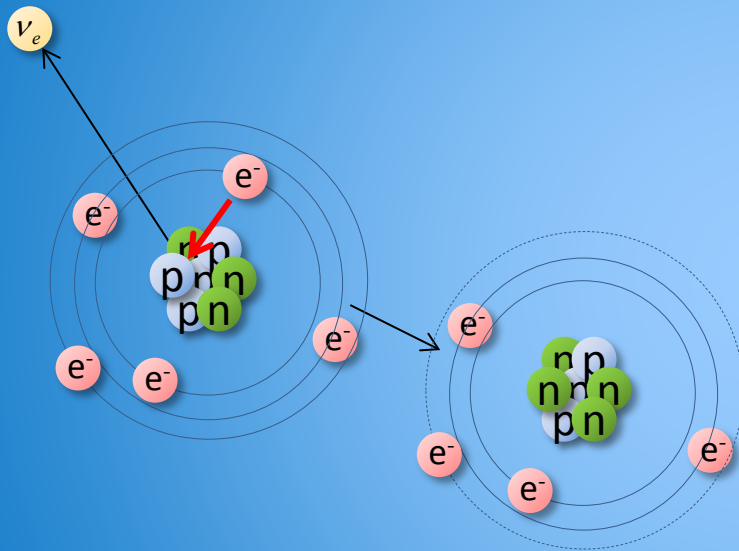


Electron neutrino mass determination using ^{163}Ho electron capture



Loredana Gastaldo

Kirchhoff Institute for Physics, Heidelberg University



Contents

- Direct neutrino mass determination
- ^{163}Ho and electron neutrino mass
- The ECHo neutrino mass experiment
- HOLMES and NuMECS
- ^{163}Ho and sterile neutrinos
- Conclusions and outlook



Take-home messages

- Where a finite electron neutrino mass affects the ^{163}Ho EC spectrum
- Experimental methods (advantages and disadvantages)
- International efforts – present status of the experiments
- What else can be learned from the ^{163}Ho EC spectrum

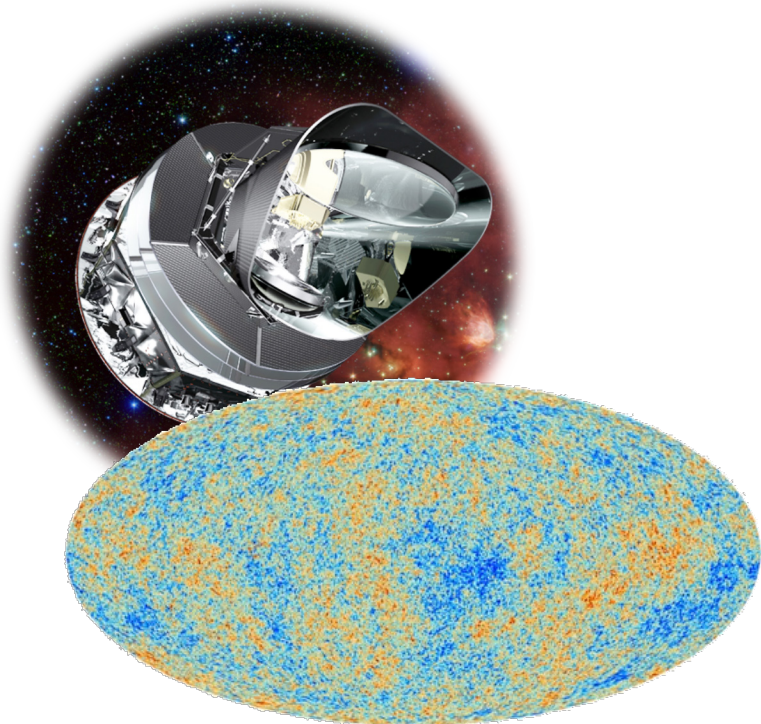


Neutrino mass determination

Cosmology

$$M_\nu = \sum m_i$$

- Model dependent
- Need of satellites
- Present limit 0.12 – 1 eV
- Next future 15-50 meV



Neutrinoless Double beta decay

$$m_{\beta\beta} = \left| \sum_i U_{ei}^2 m_i \right|$$

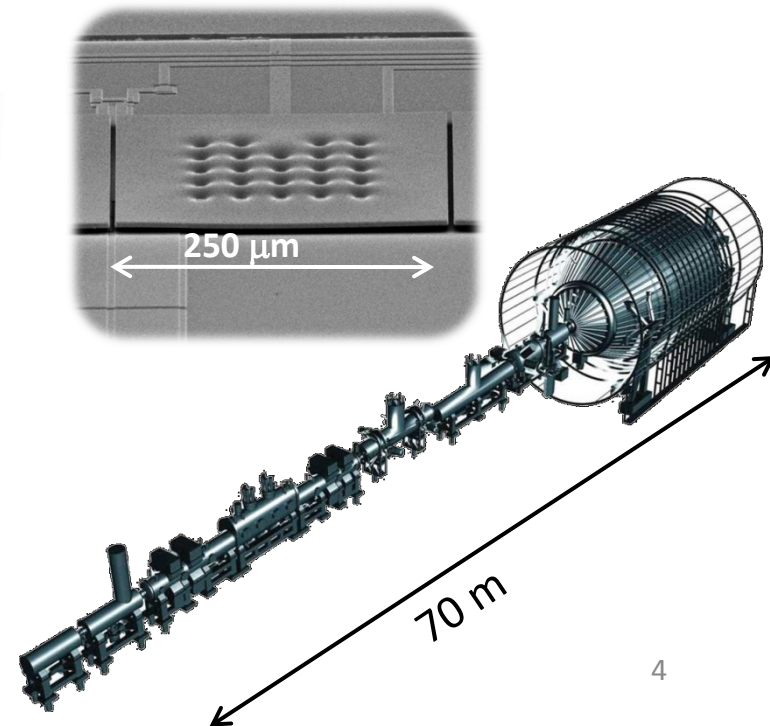
- Model dependent
- Laboratory experiments
- Present limit 0.1 – 0.4 eV
- Next future 15-50 meV



Kinematics of β -decay and electron capture

$$m^2(\nu_e) = \sum_i |U_{ei}|^2 m_i^2$$

- Model independent
- Laboratory experiments
- Present limit 2 eV
- Next future 200 meV



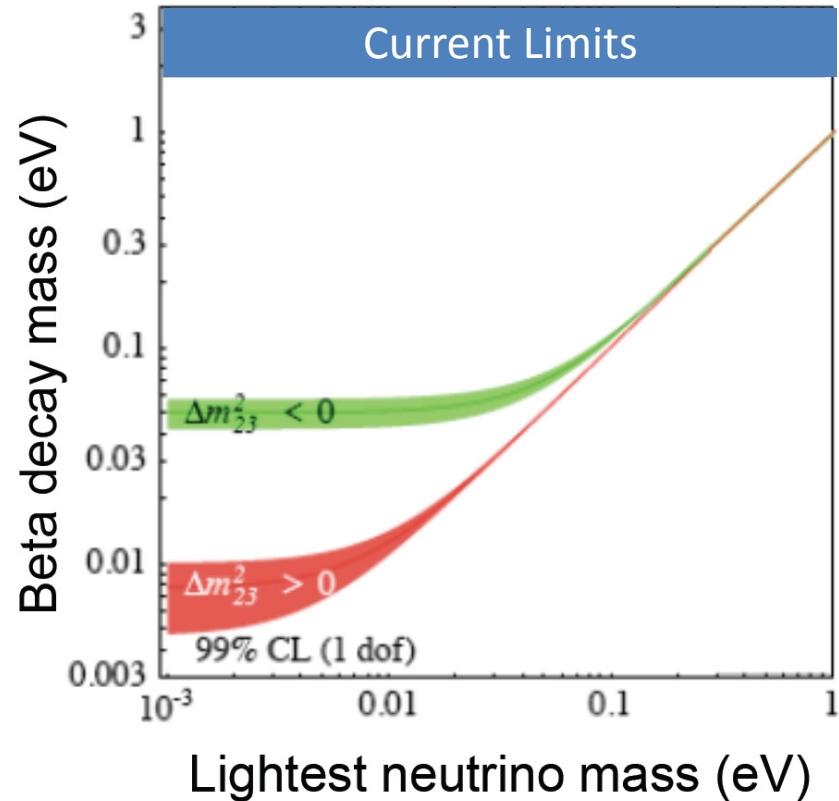
Direct neutrino mass determination

Kinematics of beta decay

$$m^2(\nu_e) = \sum_i |U_{ei}|^2 m_i^2$$

- Model independent
- Laboratory experiments

$$m(\bar{\nu}_e) < 2 \text{ eV} \quad {}^3\text{H} \quad (1)$$



(1) Ch. Kraus *et al.*, Eur. Phys. J. C **40** (2005) 447
N. Aseev *et al.*, Phys. Rev D **84** (2011) 112003

Direct neutrino mass determination

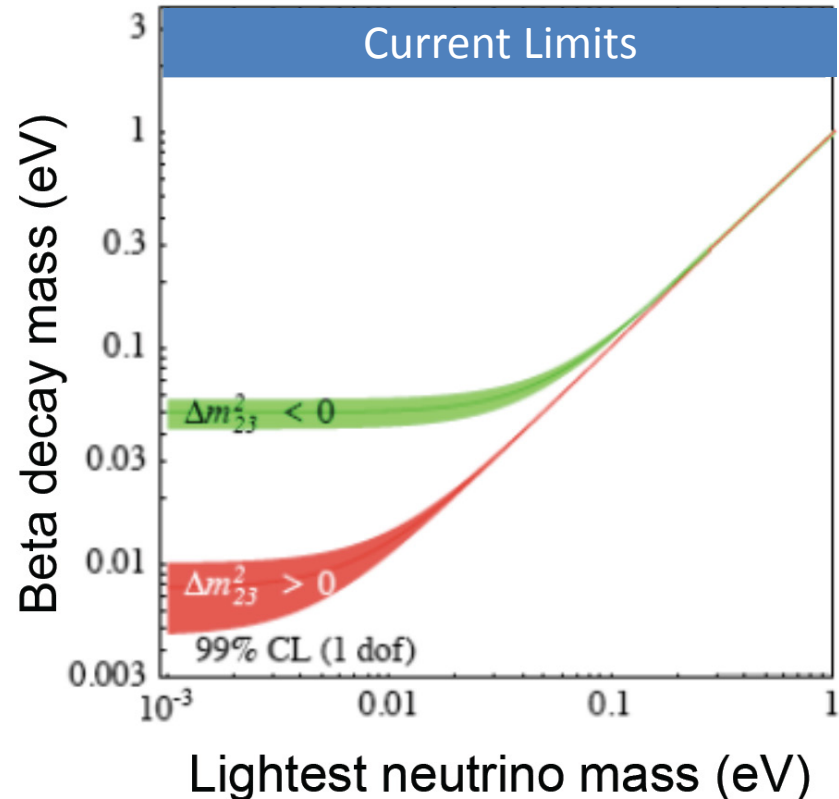
Kinematics of beta decay

$$m^2(\nu_e) = \sum_i |U_{ei}|^2 m_i^2$$

- Model independent
- Laboratory experiments

$$m(\bar{\nu}_e) < 2 \text{ eV} \quad {}^3\text{H} \quad (1)$$

$$m(\nu_e) < 225 \text{ eV} \quad {}^{163}\text{Ho} \quad (2)$$



(1) Ch. Kraus *et al.*, Eur. Phys. J. C **40** (2005) 447
N. Aseev *et al.*, Phys. Rev D **84** (2011) 112003

(2) P. T. Springer, C. L. Bennett, and P. A. Baisden Phys. Rev. A 35 (1987) 679

Direct neutrino mass determination

Kinematics of beta decay

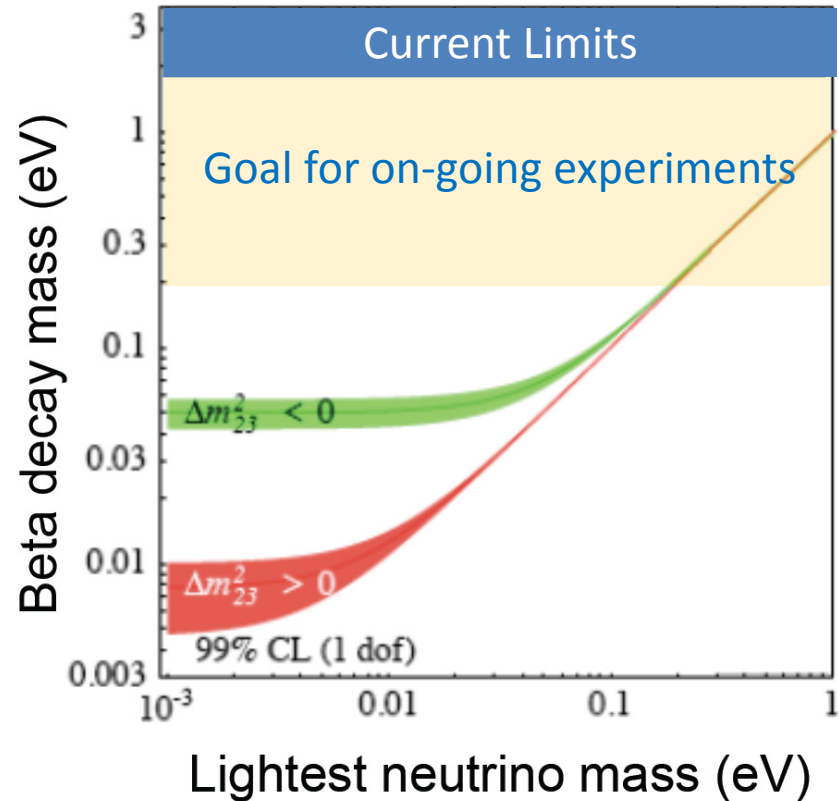
$$m^2(\nu_e) = \sum_i |U_{ei}|^2 m_i^2$$

- Model independent
- Laboratory experiments

$$m(\bar{\nu}_e) < 2 \text{ eV} \quad {}^3\text{H} \quad (1)$$

$$m(\nu_e) < 225 \text{ eV} \quad {}^{163}\text{Ho} \quad (2)$$

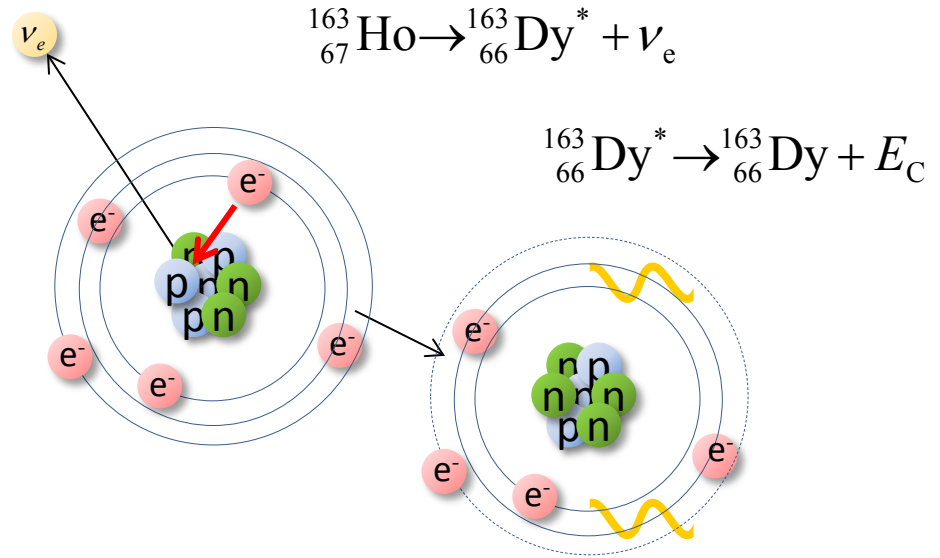
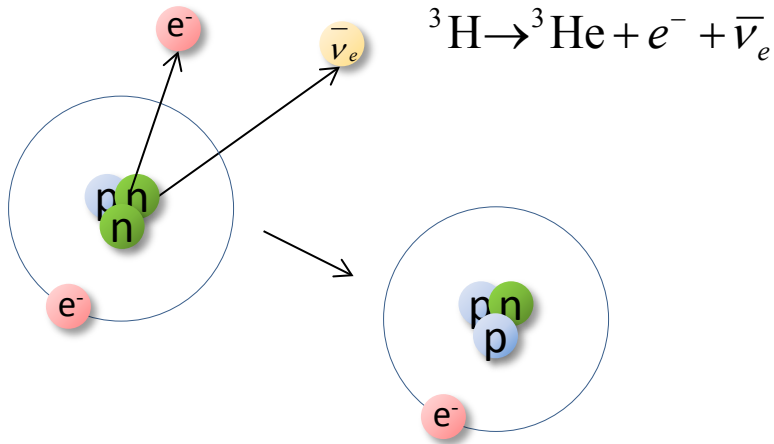
- Next future 200 meV



(1) Ch. Kraus *et al.*, Eur. Phys. J. C **40** (2005) 447
N. Aseev *et al.*, Phys. Rev D **84** (2011) 112003

(2) P. T. Springer, C. L. Bennett, and P. A. Baisden Phys. Rev. A 35 (1987) 679

Beta decay and electron capture



- $\tau_{1/2} \cong 12.3 \text{ years}$ ($4 \cdot 10^8$ atoms for 1 Bq)

- $Q_\beta = 18\,592.01(7) \text{ eV}$

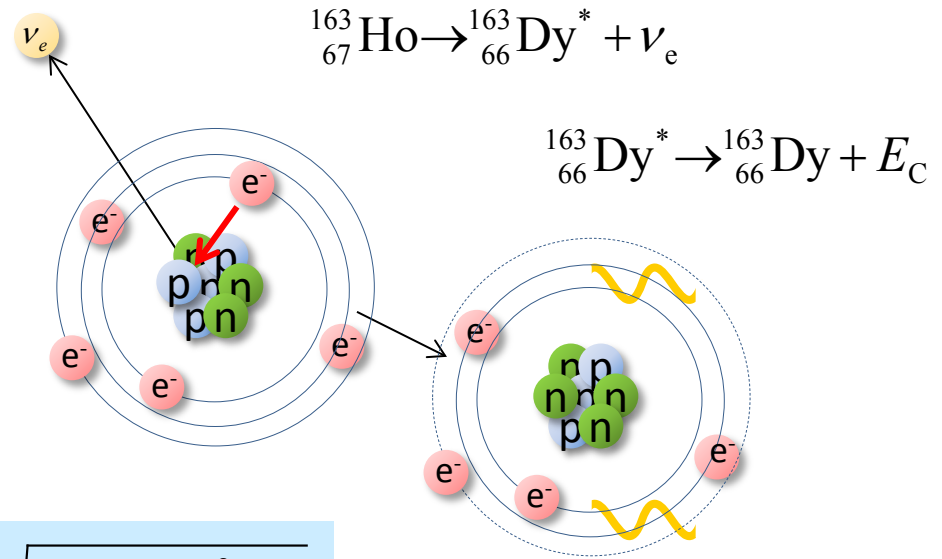
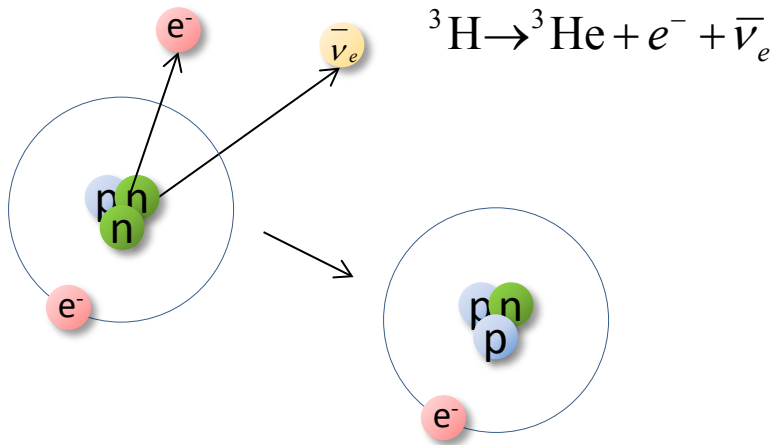
E.G. Myers et al., *Phys. Rev. Lett.* **114** (2015) 013003

- $\tau_{1/2} \cong 4570 \text{ years}$ ($2 \cdot 10^{11}$ atoms for 1 Bq)

- $Q_{EC} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$

S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501

Beta decay and electron capture



$$\frac{dW}{dE} \propto (Q - E)^2 \sqrt{1 - \frac{m_\nu^2}{(Q - E)^2}}$$

- $\tau_{1/2} \cong 12.3 \text{ years}$ ($4 \cdot 10^8$ atoms for 1 Bq)

- $Q_\beta = 18\,592.01(7) \text{ eV}$

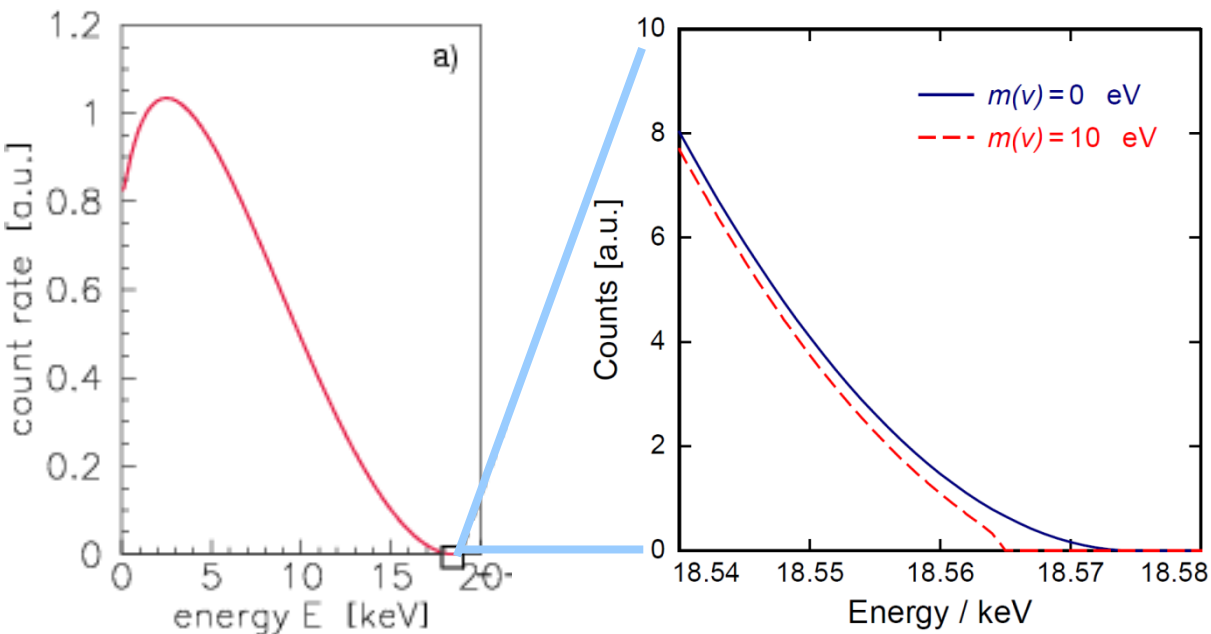
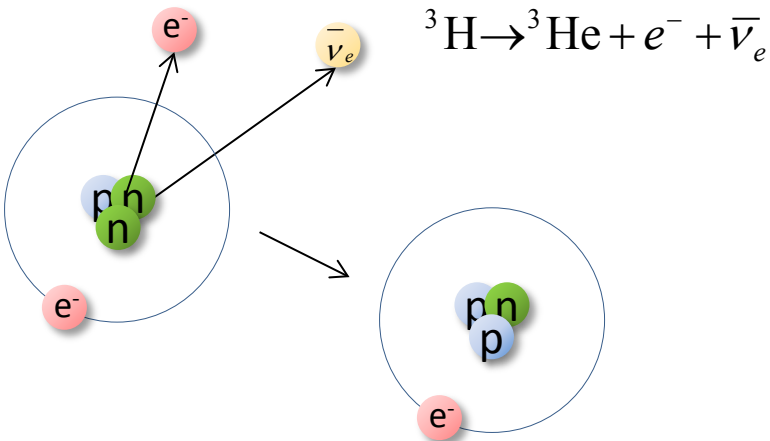
E.G. Myers et al., *Phys. Rev. Lett.* **114** (2015) 013003

- $\tau_{1/2} \cong 4570 \text{ years}$ ($2 \cdot 10^{11}$ atoms for 1 Bq)

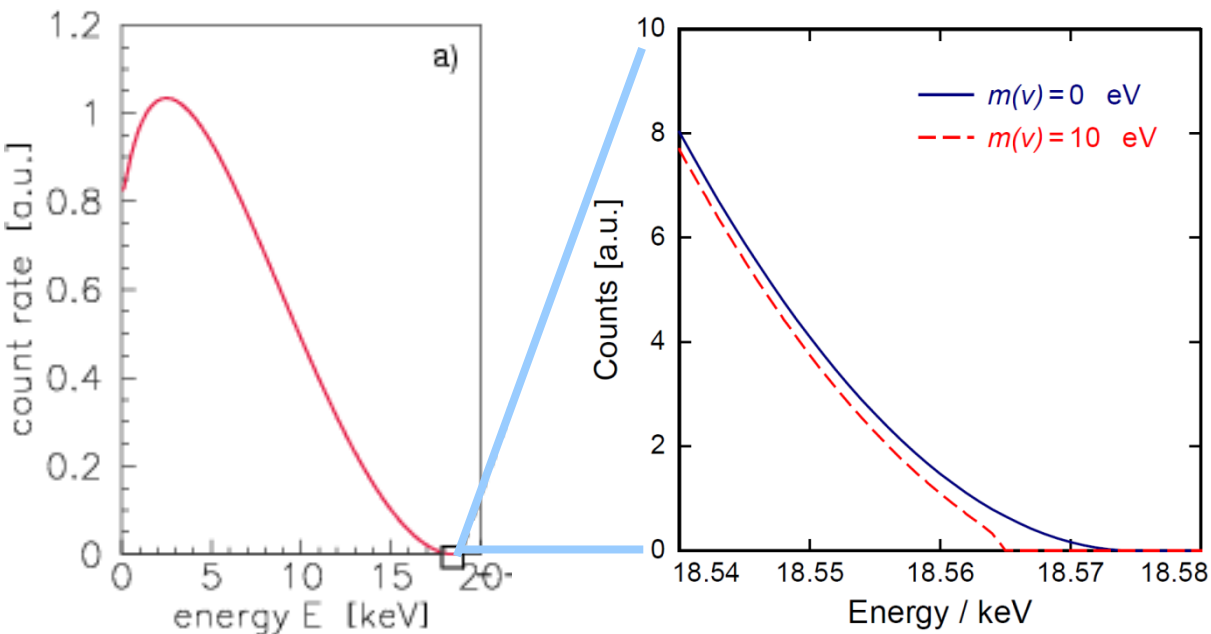
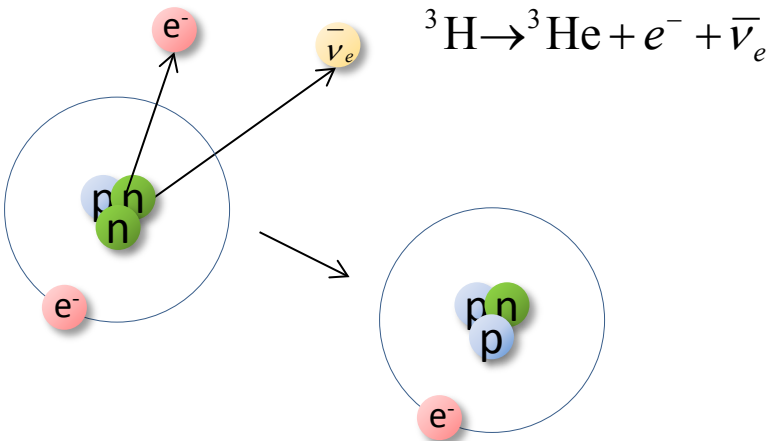
- $Q_{EC} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$

S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501

Beta decay of ^3H



Beta decay of ^3H

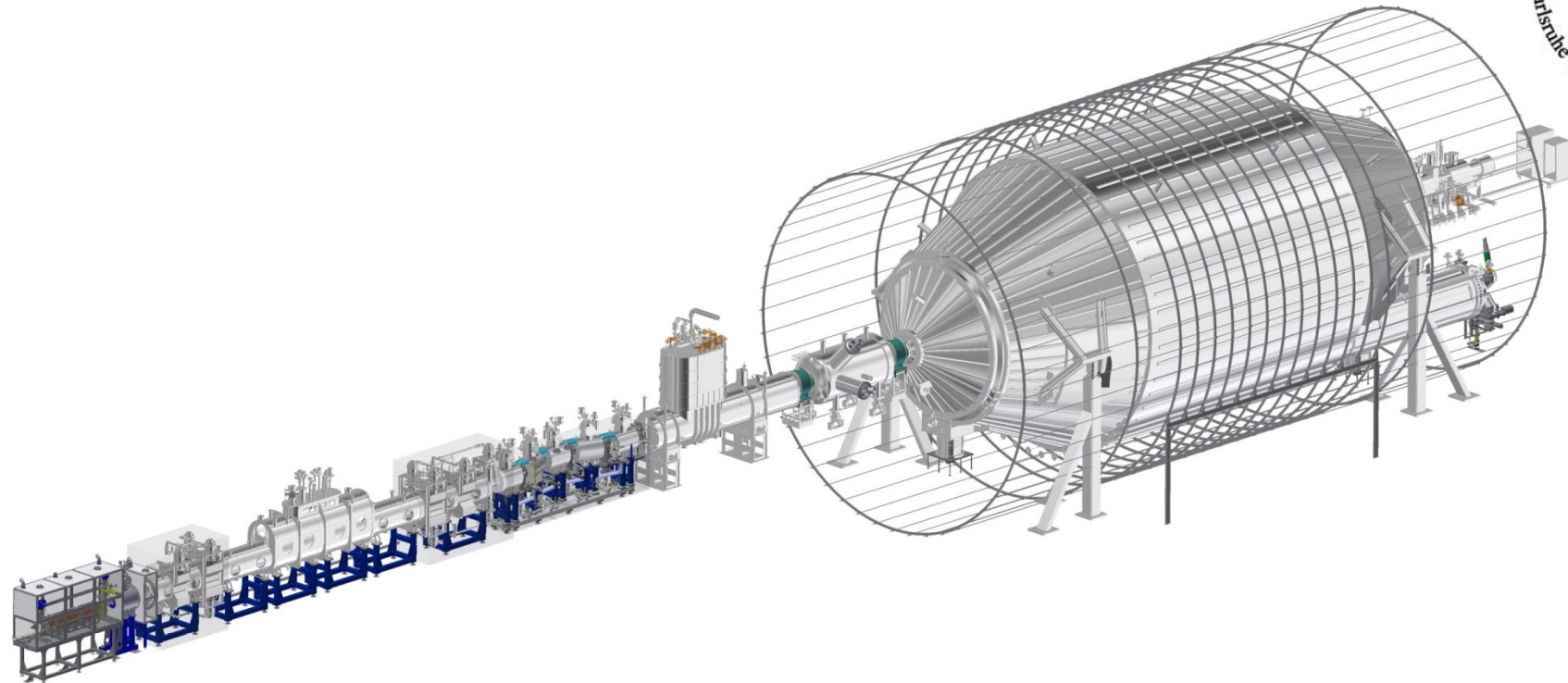


Only a small fraction of events in the last eV below the endpoint: $2 \cdot 10^{-13}$

Very low background is required

The KATRIN experiment

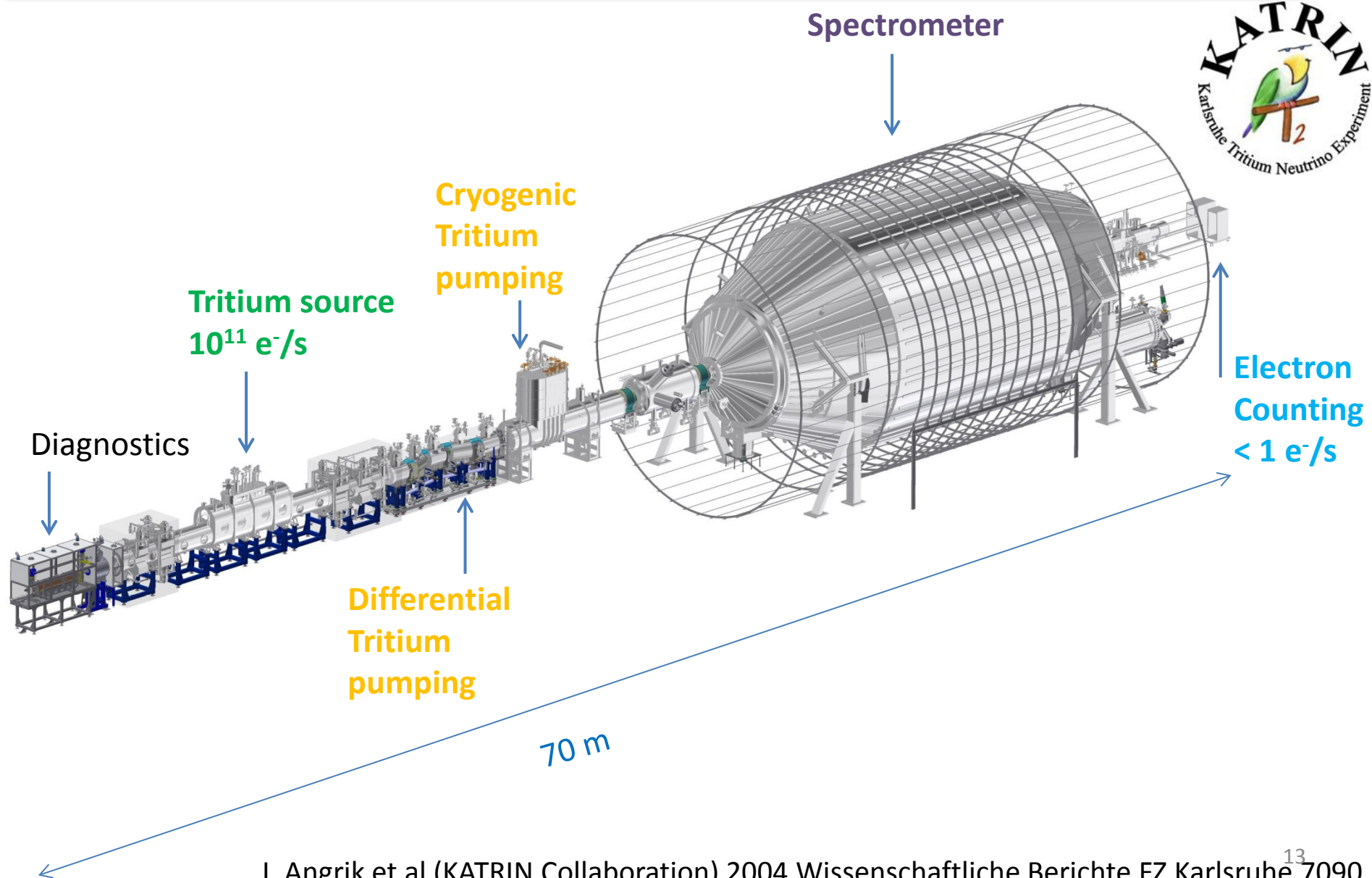
❖ KATRIN - Karlsruhe Tritium Neutrino Experiment



Main ideas:

- high activity source 10^{11} e⁻/s
- high resolution MAC-E* filter to select electrons close to the end point
- count electrons as function of retarding potential
→ integral spectrum

The KATRIN experiment



The KATRIN experiment: present status



Large Helmholtz coil system

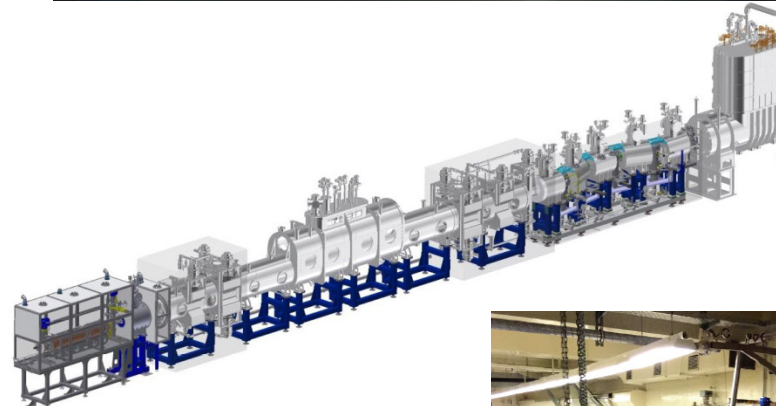
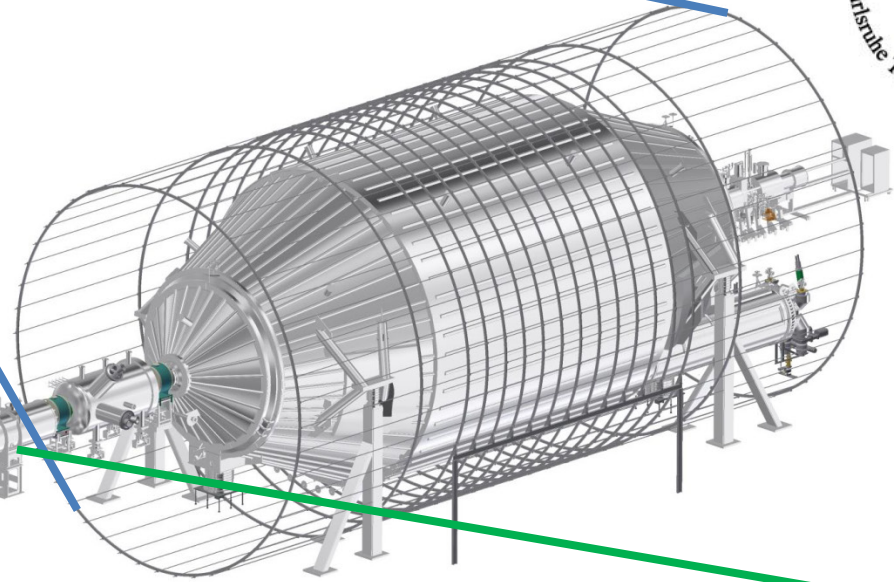


Photo K. Valerius

The KATRIN experiment: present status



First light 14th October 2016

Photo Patrick Langer

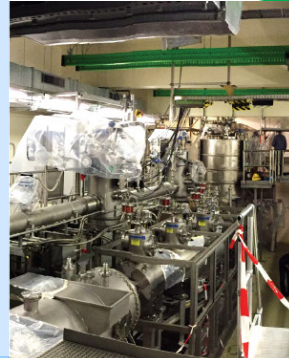


Photo K. Valerius

^3H based experiments

❖ KATRIN - Karlsruhe Tritium Neutrino Experiment

- Main ideas:
- high activity source: 10^{11} e⁻/s
 - high resolution MAC-E filter to select electrons close to the end point
 - count electrons as function of retarding potential
→ integral spectrum



❖ Project8

- Main ideas:
- Source = detector: $10^{11} - 10^{13}$ $^3\text{H}_2$ molecules /cm³
 - Use cyclotron frequency to extract electron energy
 - Differential spectrum

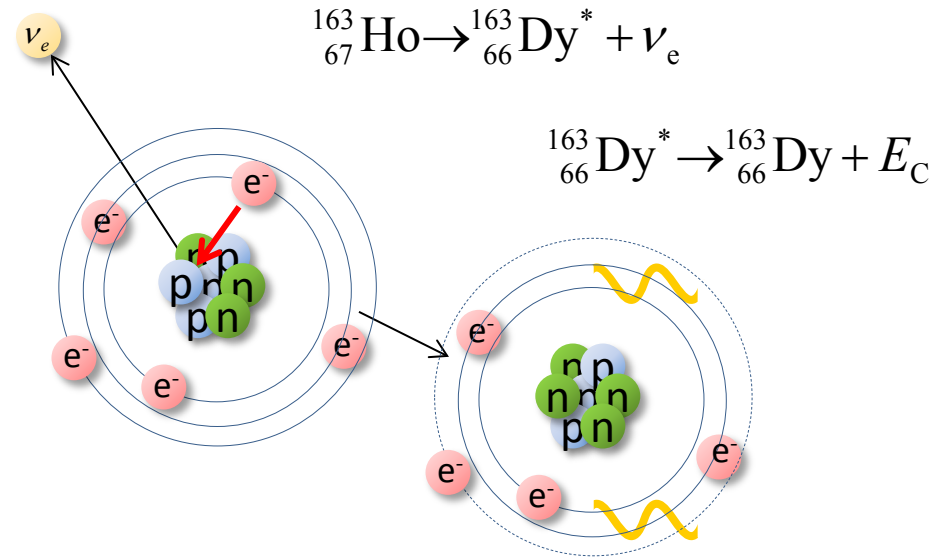
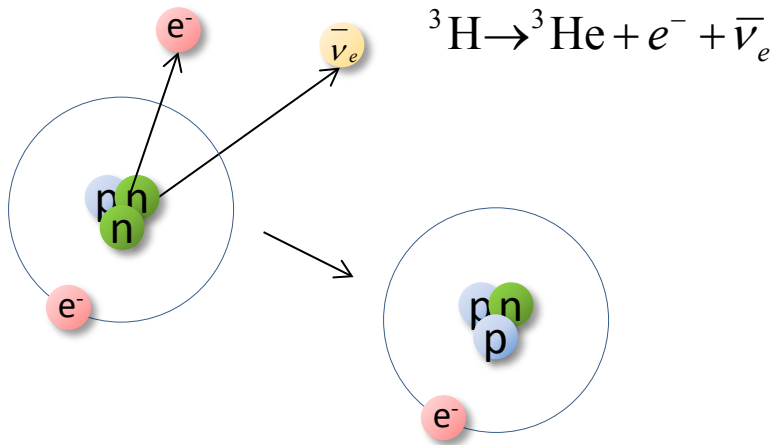


❖ PTOLEMY - Princeton Tritium Observatory for Light, Early-Universe, Massive-Neutrino Yield

- Main ideas:
- large area tritium source: 100 g atomic ^3H
 - MAC-E filter to select electrons close to the end point
 - RF tracking and time-of-flight systems
 - cryogenic calorimetry → differential spectrum



Beta decay and electron capture



- $\tau_{1/2} \cong 12.3 \text{ years}$ ($4 \cdot 10^8$ atoms for 1 Bq)

- $Q_{\text{EC}} = 18\,592.01(7) \text{ eV}$

E.G. Myers et al., *Phys. Rev. Lett.* **114** (2015) 013003

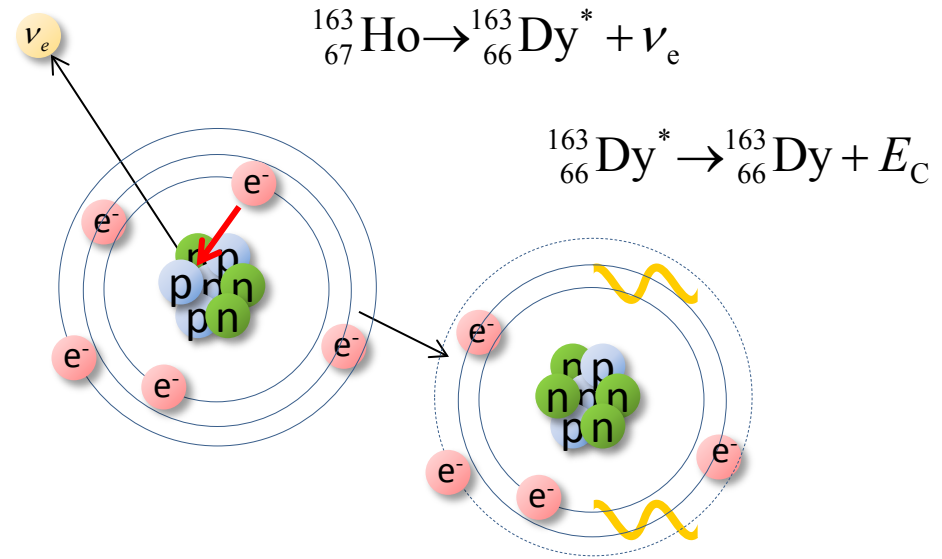
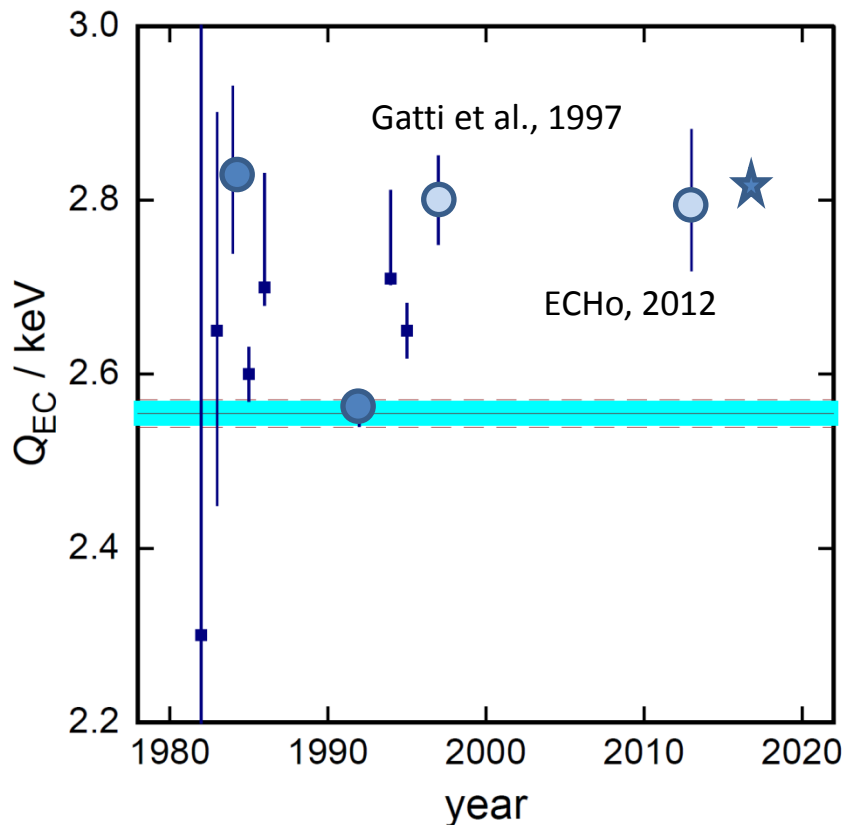
- $\tau_{1/2} \cong 4570 \text{ years}$ ($2 \cdot 10^{11}$ atoms for 1 Bq)

- $Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$

S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501

Electron capture in ^{163}Ho : Q_{EC} determination

- Calorimetric measurements
- Measurements of x-rays
- ★ $Q_{\text{EC}} = m(^{163}\text{Ho}) - m(^{163}\text{Dy})$



• $\tau_{1/2} \cong 4570$ years ($2 \cdot 10^{11}$ atoms for 1 Bq)

• $Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}})$ keV

S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501

Electron capture in ^{163}Ho : Q_{EC} determination

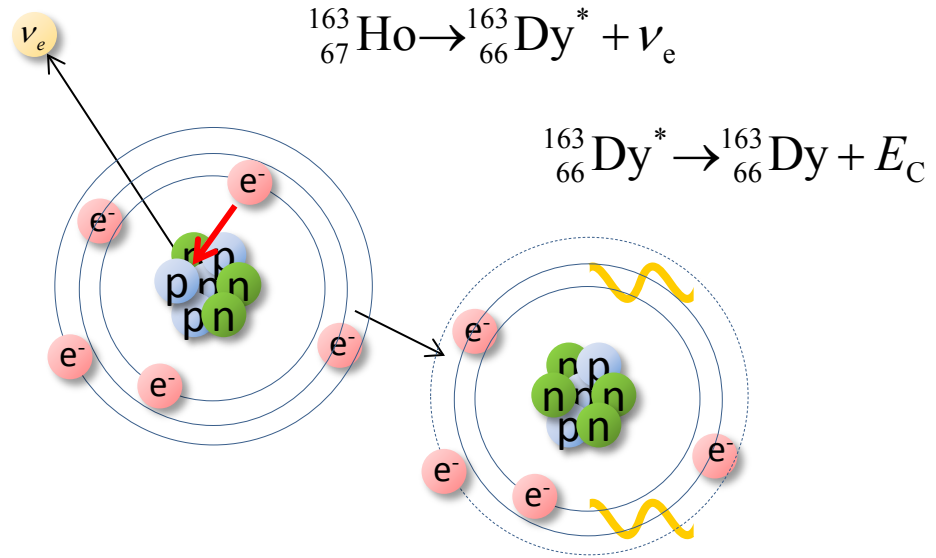
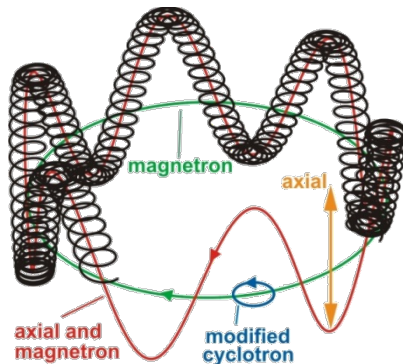
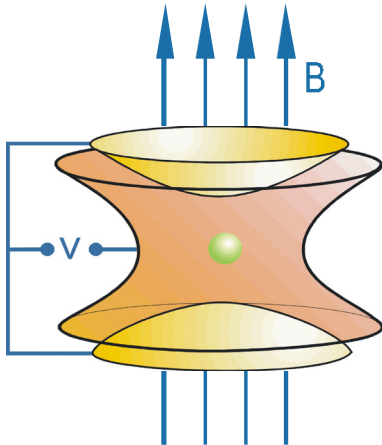
- Calorimetric measurements
- Measurements of x-rays
- ★ $Q_{\text{EC}} = m(^{163}\text{Ho}) - m(^{163}\text{Dy})$

Penning Trap Mass Spectroscopy

@TRIGA TRAP (Uni-Mainz) (*)

@SHIPTRAP (GSI – Darmstadt) (**)

$$v_c = \frac{qB}{m}$$



• $\tau_{1/2} \cong 4570$ years ($2 \cdot 10^{11}$ atoms for 1 Bq)

• $Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}})$ keV

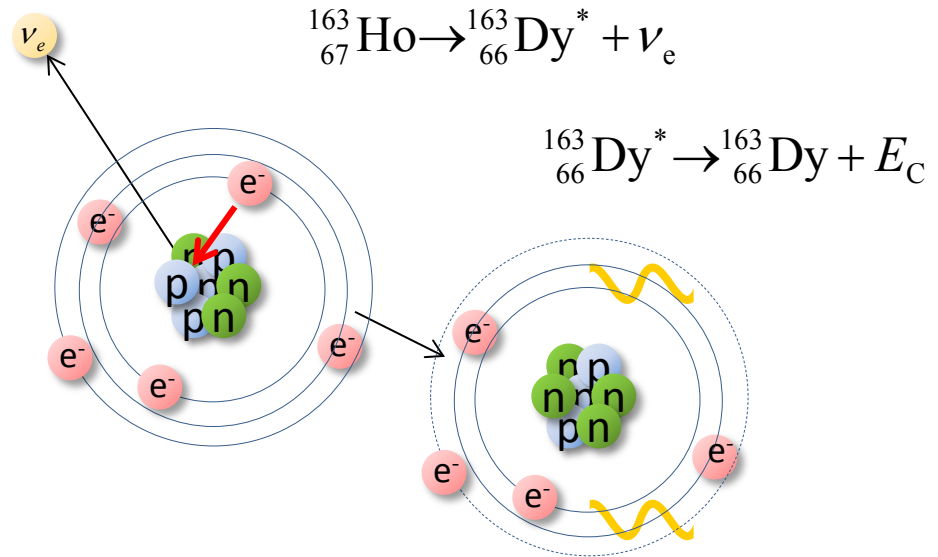
S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501 (**)

F. Schneider et al., *Eur. Phys. J. A* **51** (2015) 89 (*)

Electron capture in ^{163}Ho : spectrum

Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions



• $\tau_{1/2} \cong 4570 \text{ years}$ ($2 \cdot 10^{11}$ atoms for 1 Bq)

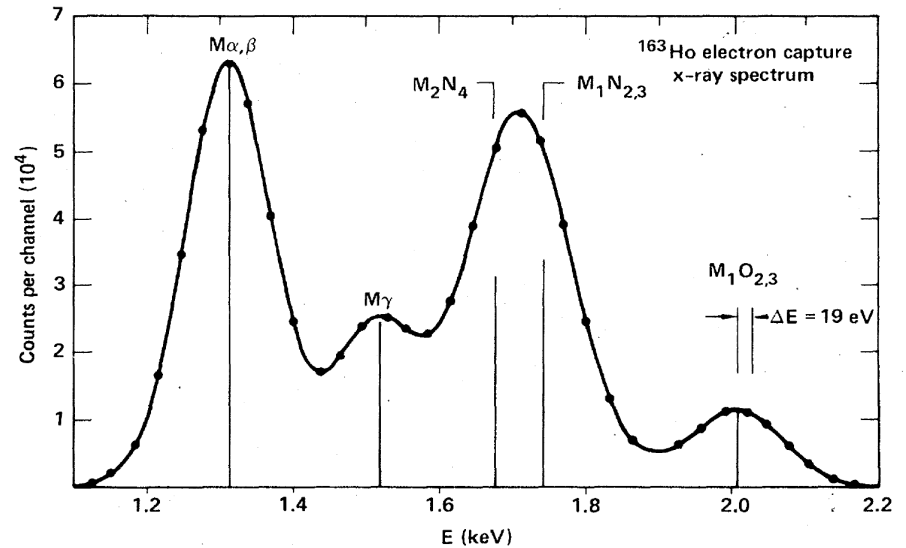
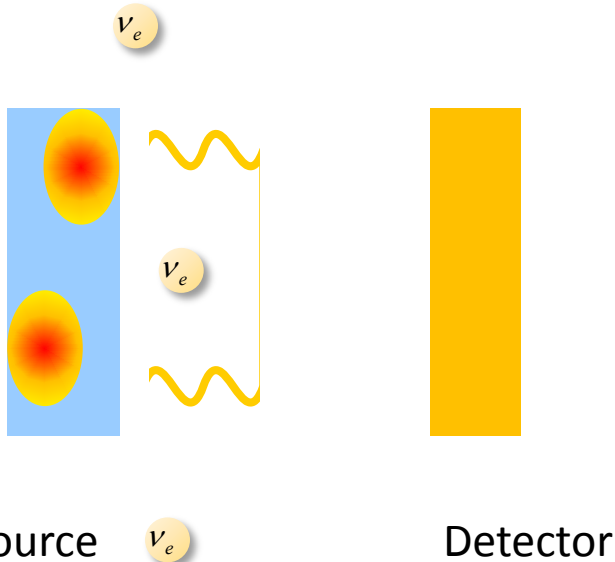
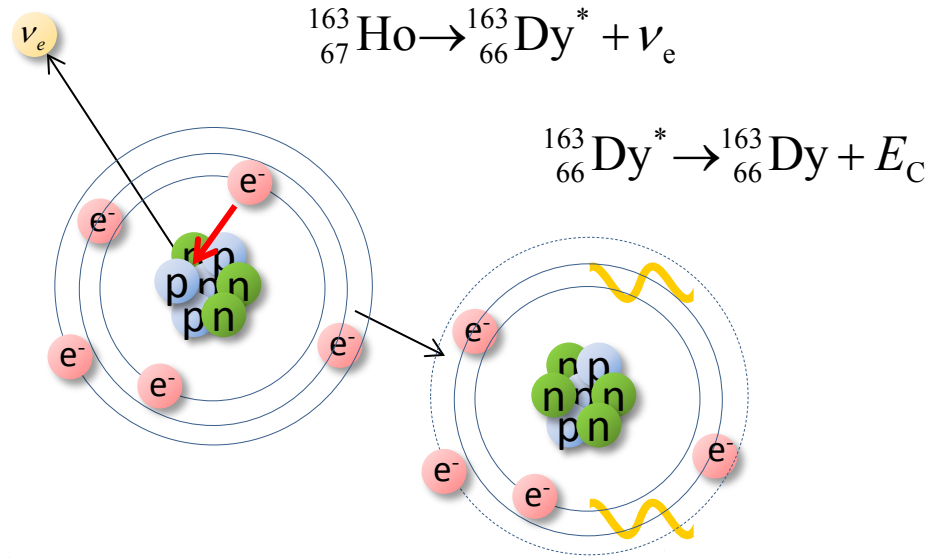
• $Q_{\text{EC}} = (2.833 \pm 0.030^{\text{stat}} \pm 0.015^{\text{syst}}) \text{ keV}$

S. Eliseev et al., *Phys. Rev. Lett.* **115** (2015) 062501

Electron capture in ^{163}Ho : spectrum

Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

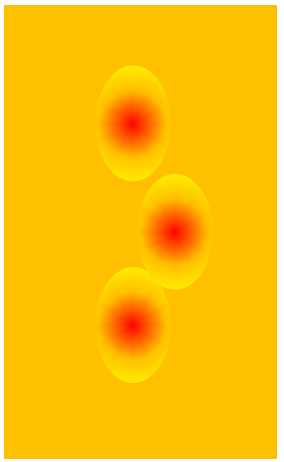
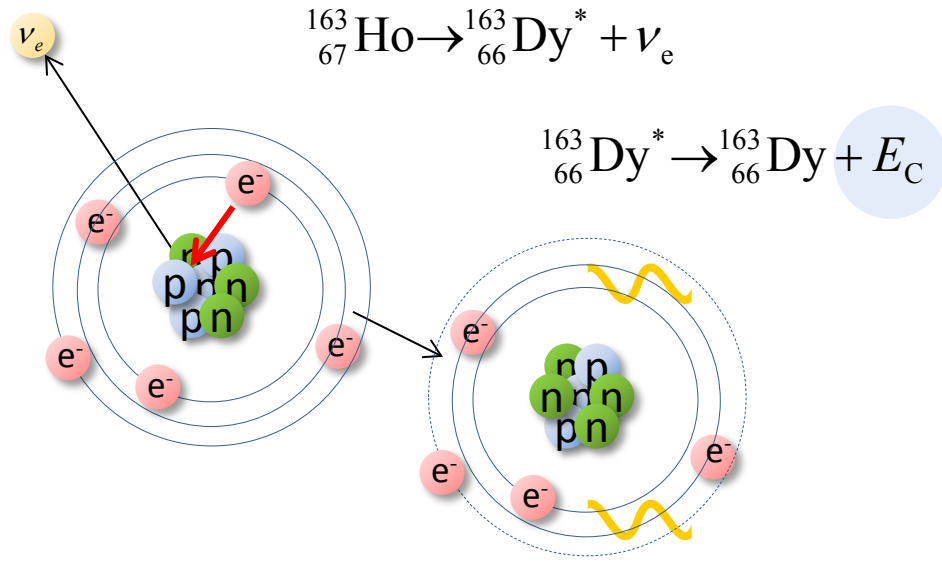


Electron capture in ^{163}Ho : spectrum

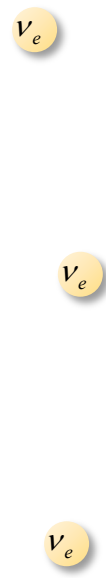
Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement



Source = Detector

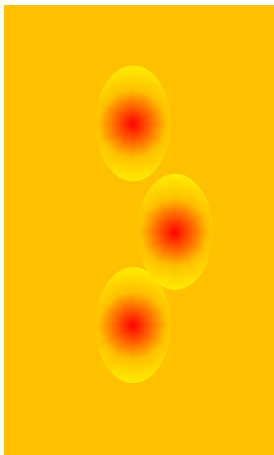


Electron capture in ^{163}Ho : spectrum

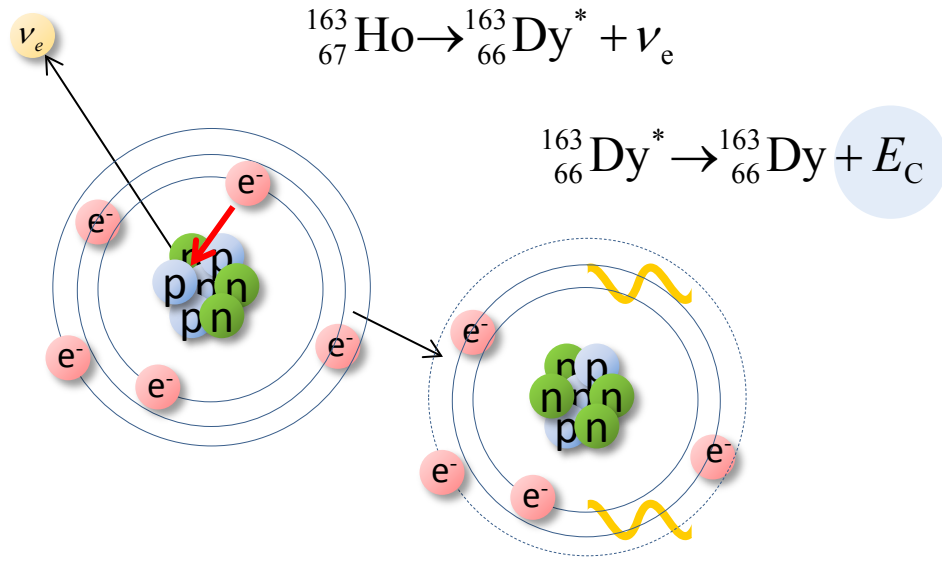
Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement



Source = Detector

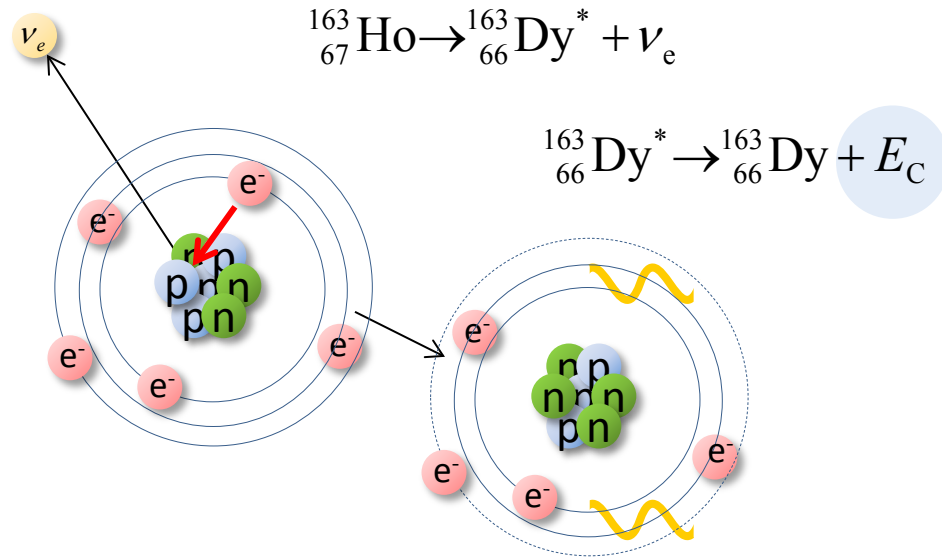


Electron capture in ^{163}Ho : spectrum

Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement



Volume 118B, number 4, 5, 6

PHYSICS LETTERS

9 December 1982

CALORIMETRIC MEASUREMENTS OF ^{163}Ho DECAY AS TOOLS TO DETERMINE THE ELECTRON NEUTRINO MASS

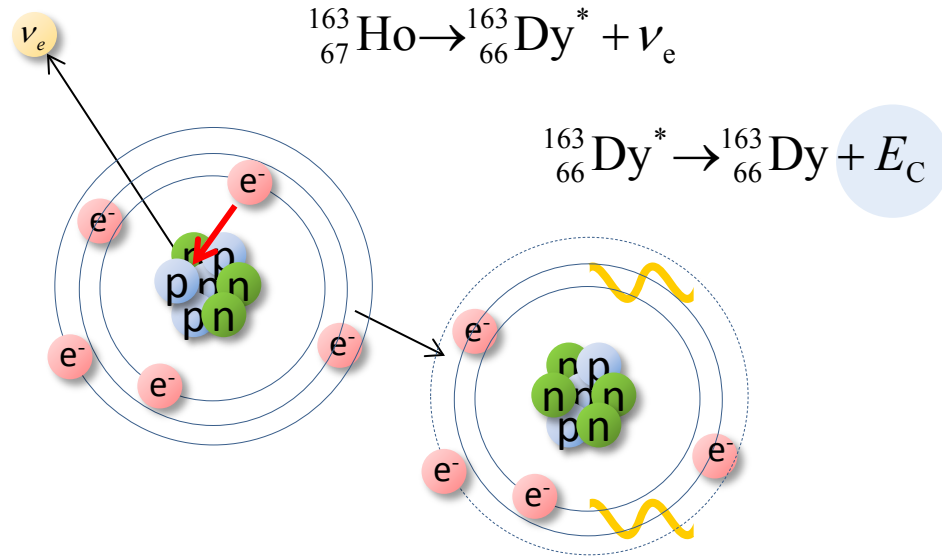
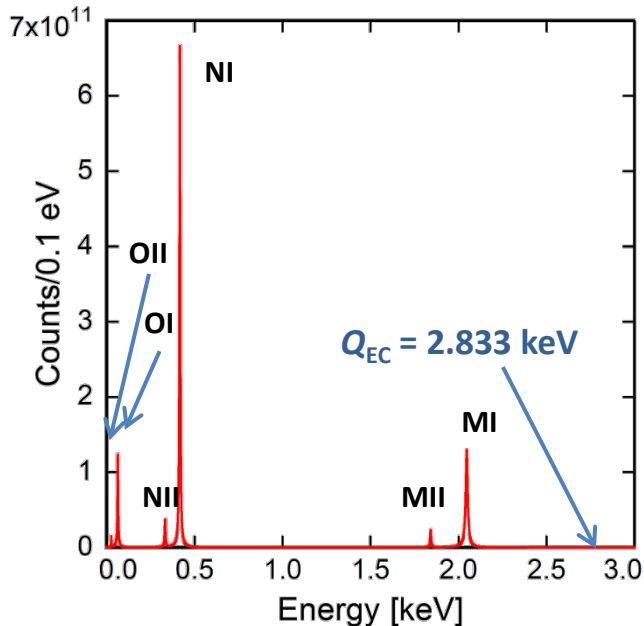
A. DE RÚJULA and M. LUSIGNOLI ¹
CERN, Geneva, Switzerland

Electron capture in ^{163}Ho : spectrum

Atomic de-excitation:

- X-ray emission
- Auger electrons
- Coster-Kronig transitions

Calorimetric measurement

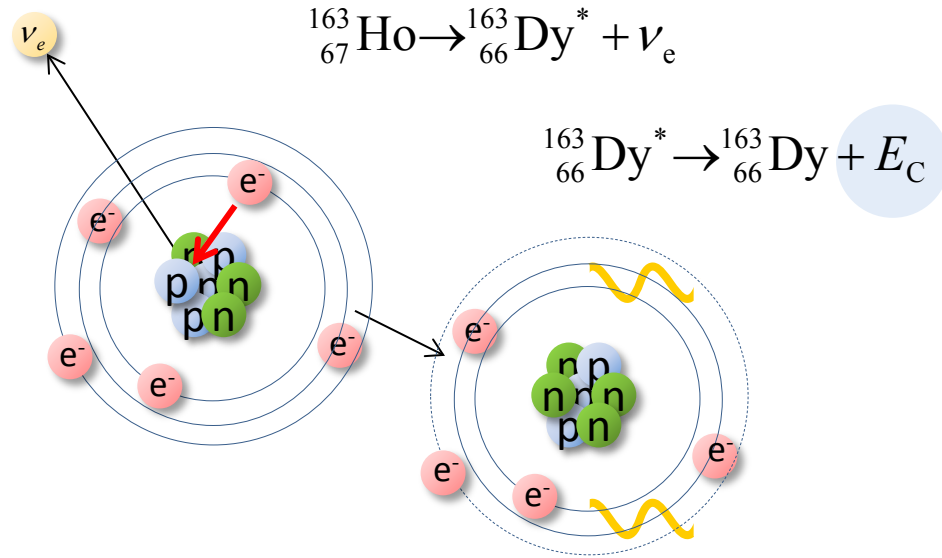


$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{\text{EC}} - E_C)^2}} \sum_{\text{H}} B_{\text{H}} \phi_{\text{H}}^2(0) \frac{\frac{\Gamma_{\text{H}}}{2\pi}}{(E_C - E_{\text{H}})^2 + \frac{\Gamma_{\text{H}}^2}{4}}$$

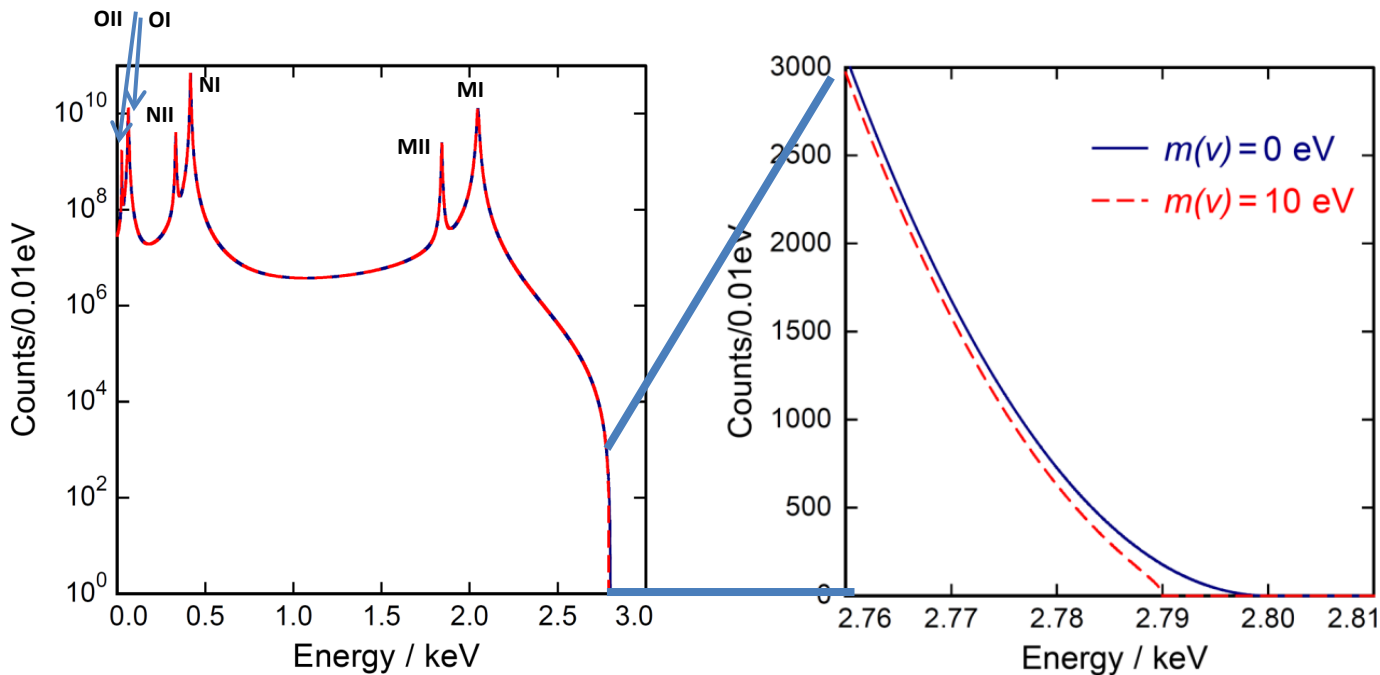
Electron capture in ^{163}Ho : spectrum

Atomic de-excitation:

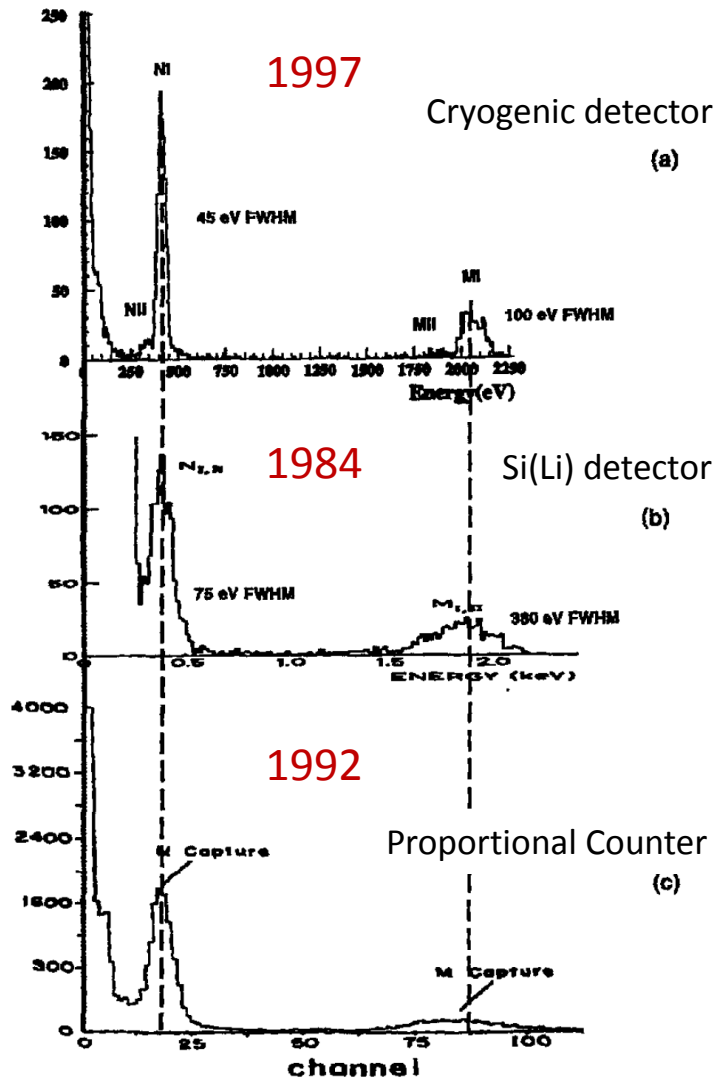
- X-ray emission
- Auger electrons
- Coster-Kronig transitions



Calorimetric measurement



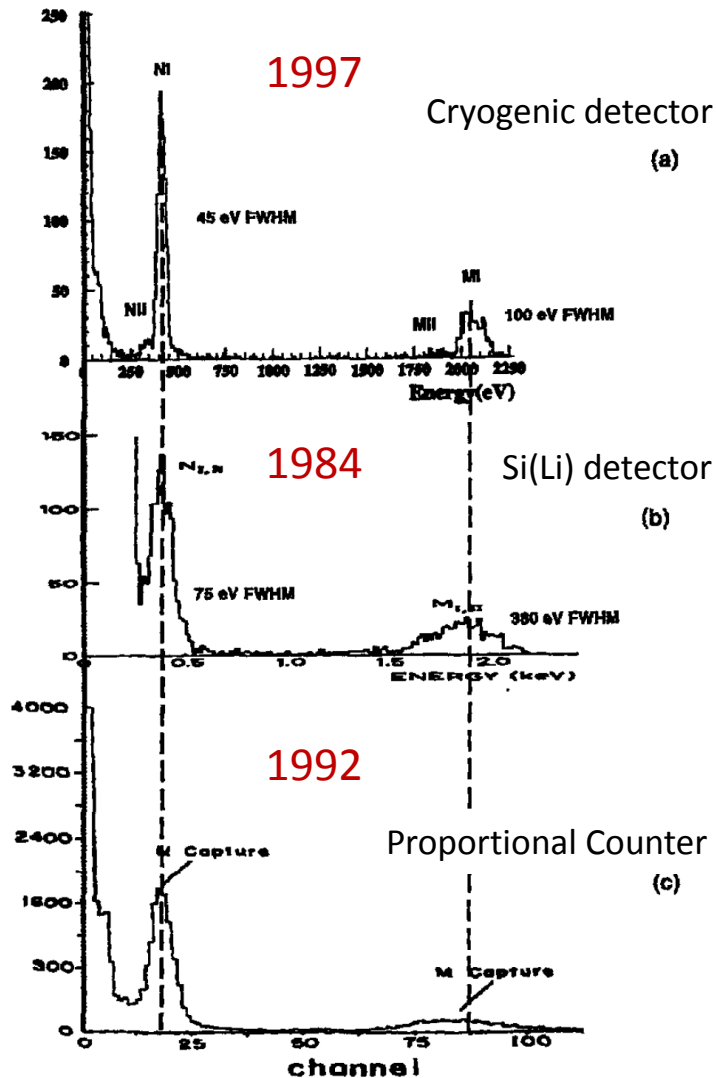
Electron capture in ^{163}Ho : history



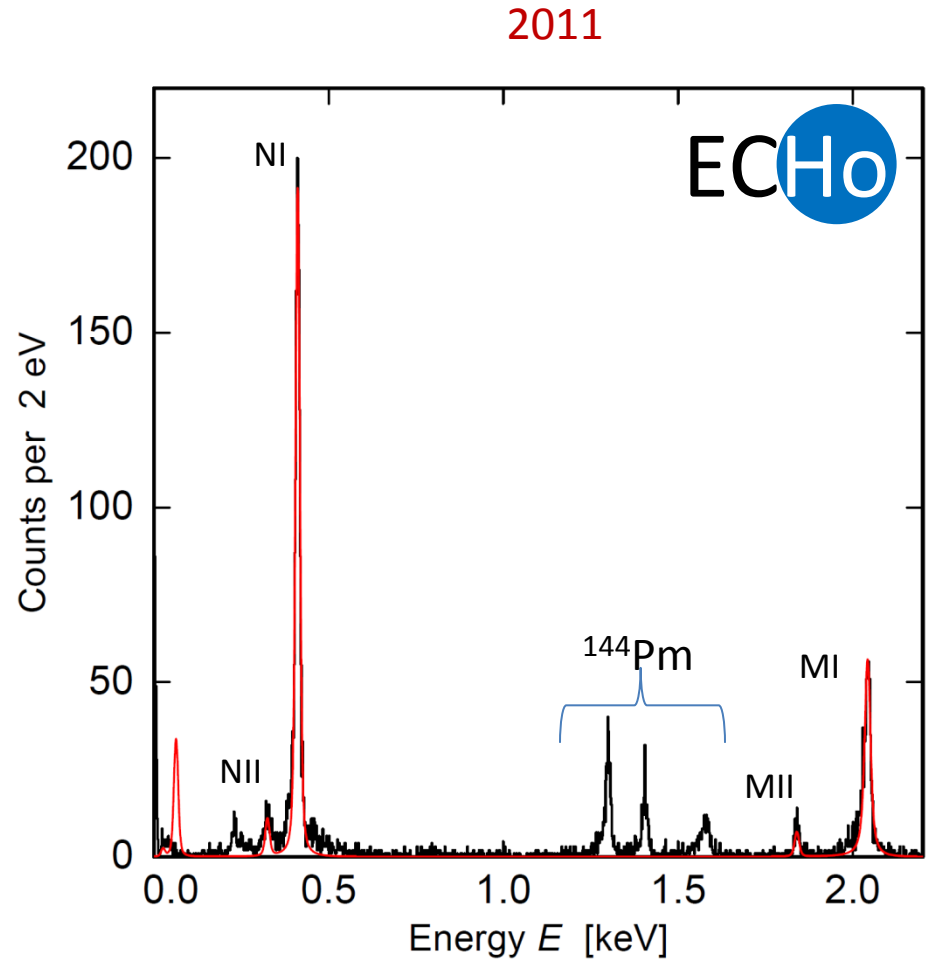
F. Gatti et al., Physics Letters B 398 (1997) 415-419

- (a) F. Gatti et al., Physics Letters B 398 (1997) 415-419
- (b) E. Laesgaard et al., Proceeding of 7th International Conference on Atomic Masses and Fundamental Constants (AMCO-7), (1984).
- (c) F.X. Hartmann and R.A. Naumann, Nucl. Instr. Meth. A 3 13 (1992) 237.

Electron capture in ^{163}Ho : history

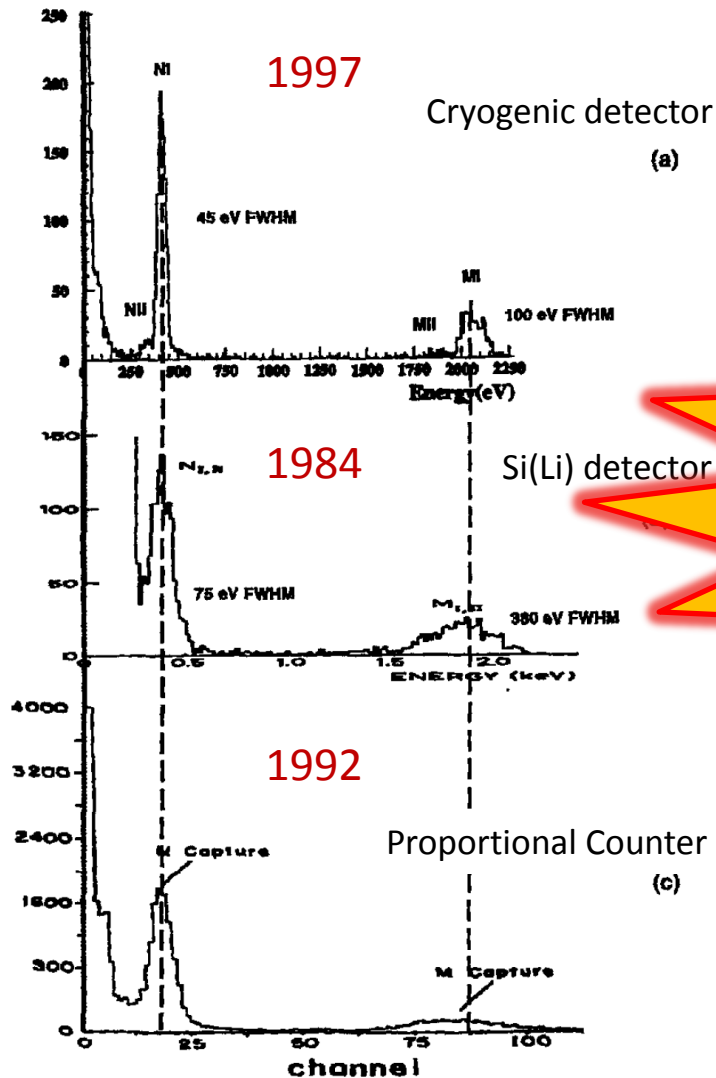


F. Gatti et al., Physics Letters B 398 (1997) 415-419

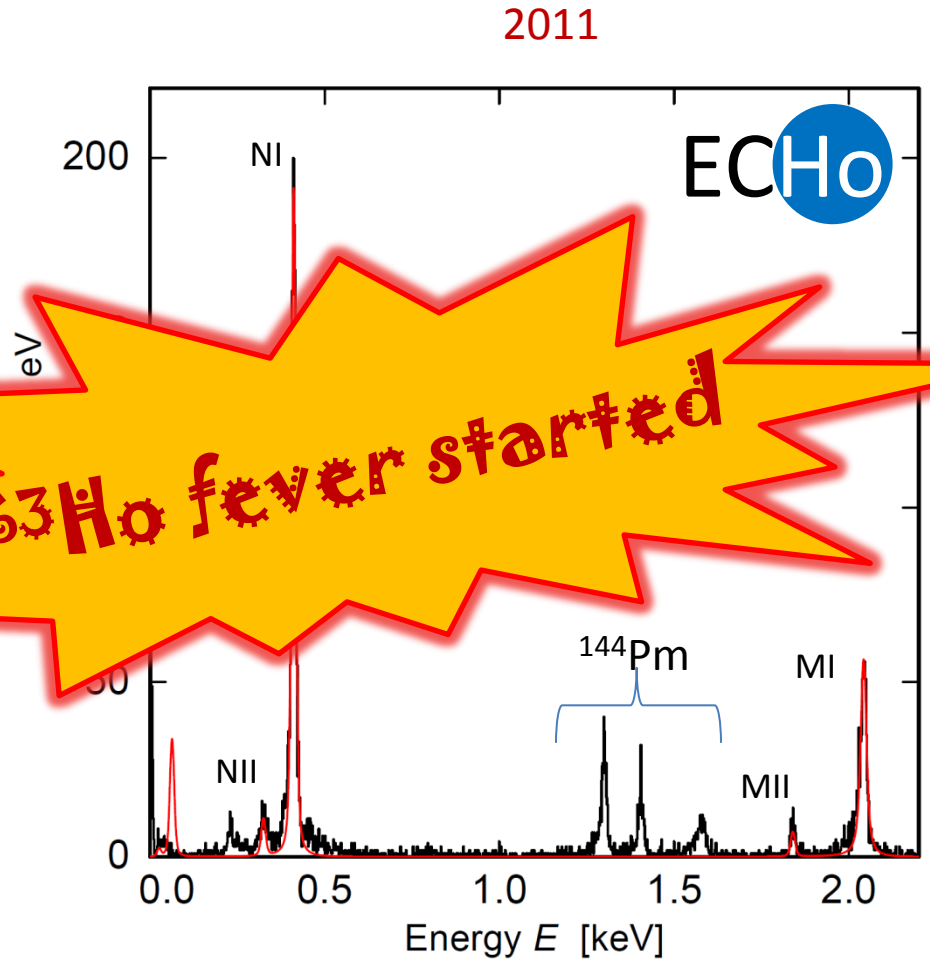


- (a) F. Gatti et al., Physics Letters B 398 (1997) 415-419
- (b) E. Laesgaard et al., Proceeding of 7th International Conference on Atomic Masses and Fundamental Constants (AMCO-7), (1984).
- (c) F.X. Hartmann and R.A. Naumann, Nucl. Instr. Meth. A 3 13 (1992) 237.

Electron capture in ^{163}Ho : history



F. Gatti et al., Physics Letters B 398 (1997) 415-419



- (a) F. Gatti et al., Physics Letters B 398 (1997) 415-419
- (b) E. Laesgaard et al., Proceeding of 7th International Conference on Atomic Masses and Fundamental Constants (AMCO-7), (1984).
- (c) F.X. Hartmann and R.A. Naumann, Nucl. Instr. Meth. A 3 13 (1992) 237.

Electron capture in ^{163}Ho : present

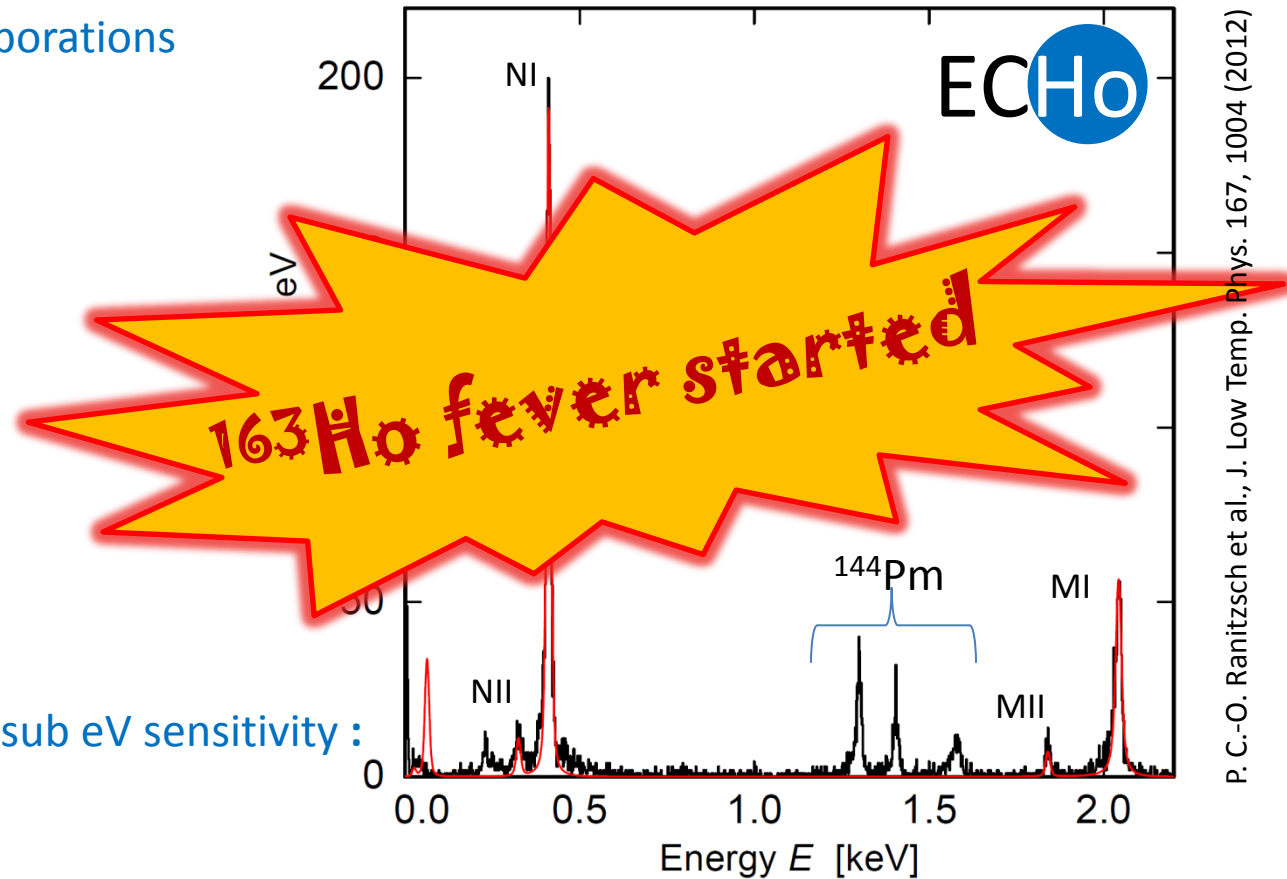
- Calorimetric measurement of the ^{163}Ho spectrum
- Three international collaborations

2011

ECHo (1)

HLMES (2)

NuMECS (3)



P. C.-O. Ranitzsch et al., J. Low Temp. Phys. 167, 1004 (2012)

Common challenges to reach sub eV sensitivity :

- Detector performance
- High purity ^{163}Ho source
- Background reduction
- Description of the ^{163}Ho EC spectrum

- (1) The ECHo Collaboration EPJ-ST 226 8 (2017) 1623
- (2) B. Alpert et al, Eur. Phys. J. C (2015) 75:112
- (3) M. Croce et al., arXiv:1510.03874

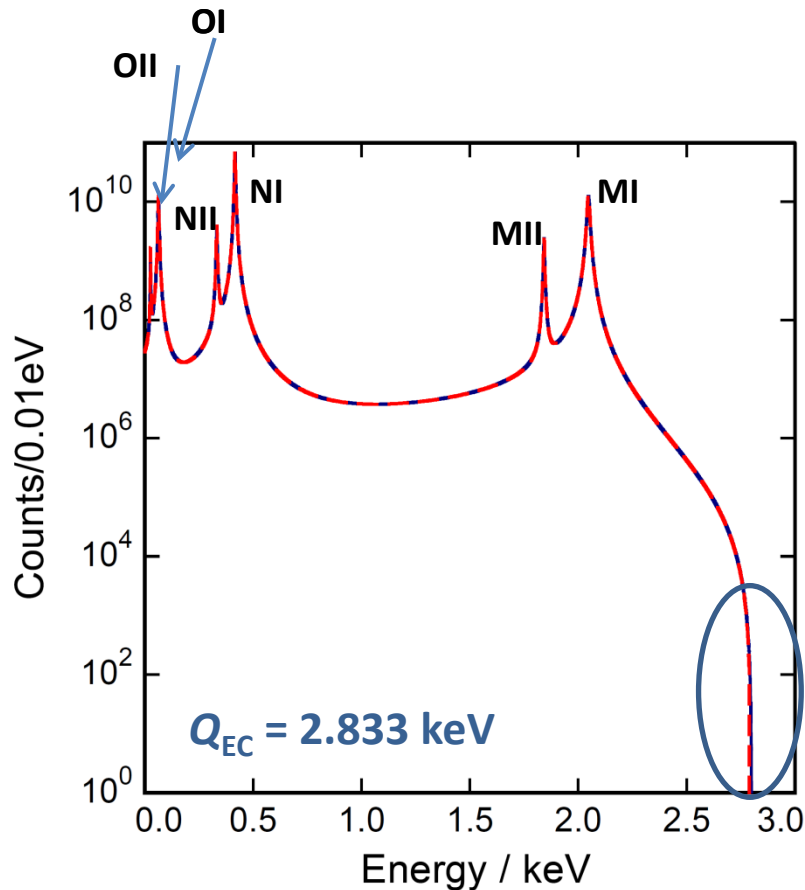
Requirements for sub-eV sensitivity

Requirements for sub-eV sensitivity in ECHO

Requirements for sub-eV sensitivity in ECHO

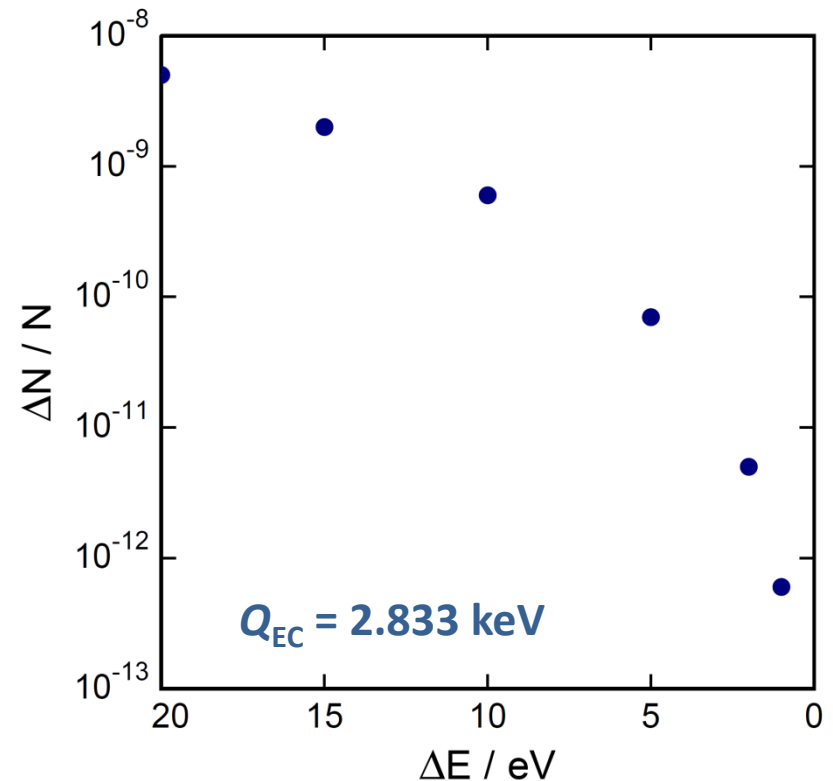
Statistics in the end point region

- $N_{ev} > 10^{14} \rightarrow A \approx 1 \text{ MBq}$



Fraction of events at endpoint regions

- In the interval 2.832 -2.833 keV only 6×10^{-13}



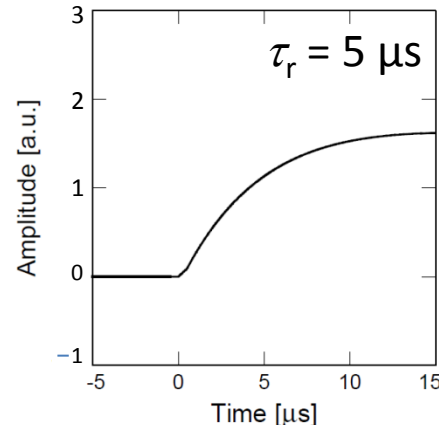
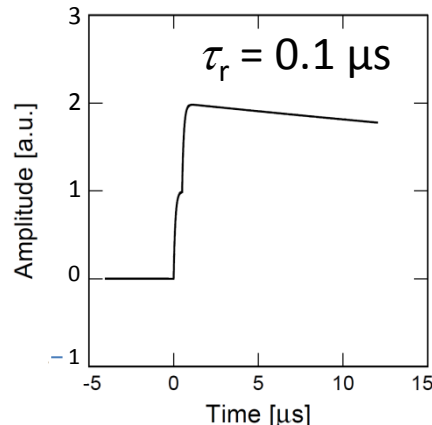
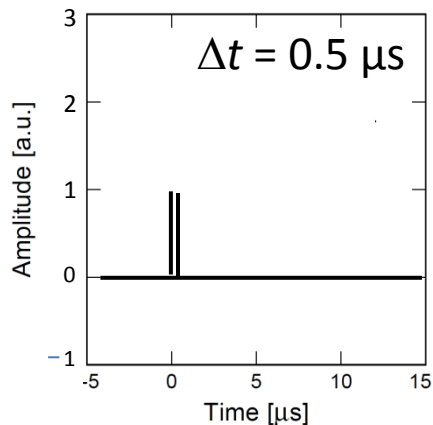
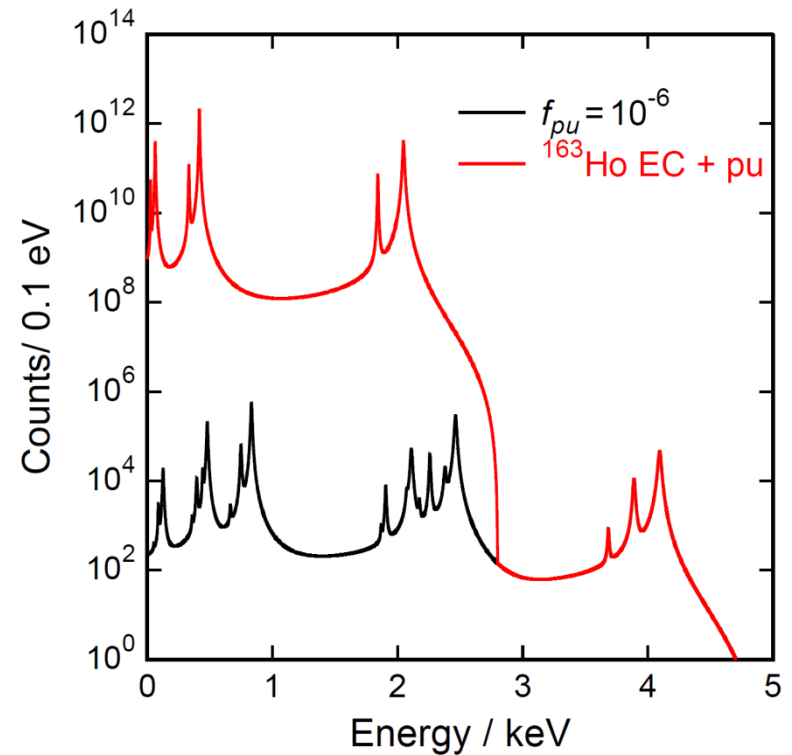
Requirements for sub-eV sensitivity in ECHO

Statistics in the end point region

- $N_{\text{ev}} > 10^{14} \rightarrow A \approx 1 \text{ MBq}$

Unresolved pile-up ($f_{\text{pu}} \sim a \cdot \tau_r$)

- $f_{\text{pu}} < 10^{-5}$
- $\tau_r < 1 \mu\text{s} \rightarrow a \sim 10 \text{ Bq}$
- 10^5 pixels



Requirements for sub-eV sensitivity in ECHO

Statistics in the end point region

- $N_{\text{ev}} > 10^{14} \rightarrow A \approx 1 \text{ MBq}$

Unresolved pile-up ($f_{\text{pu}} \sim a \cdot \tau_r$)

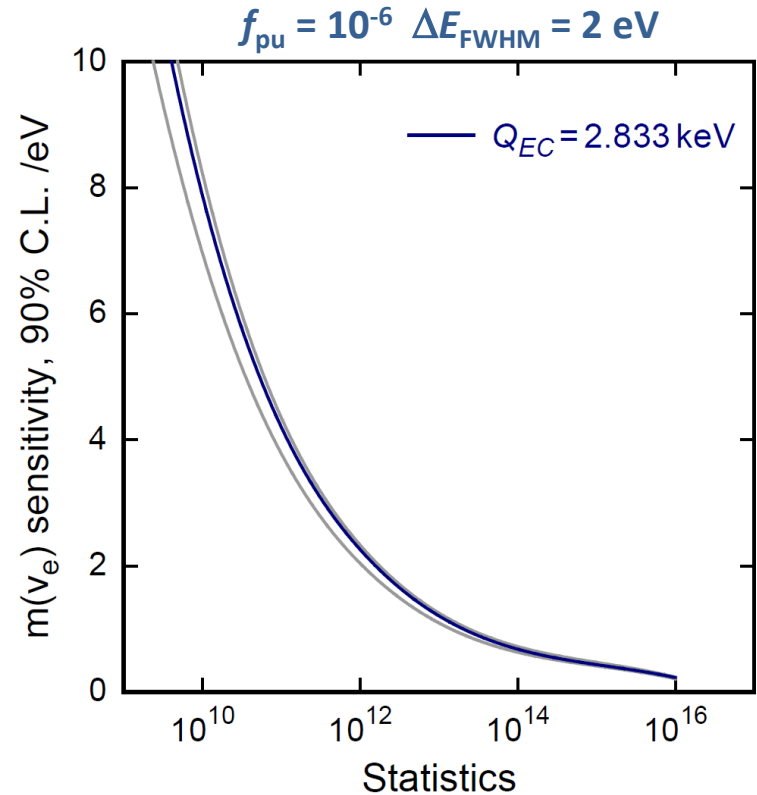
- $f_{\text{pu}} < 10^{-5}$
- $\tau_r < 1 \mu\text{s} \rightarrow a \sim 10 \text{ Bq}$
- 10^5 pixels

Precision characterization of the endpoint region

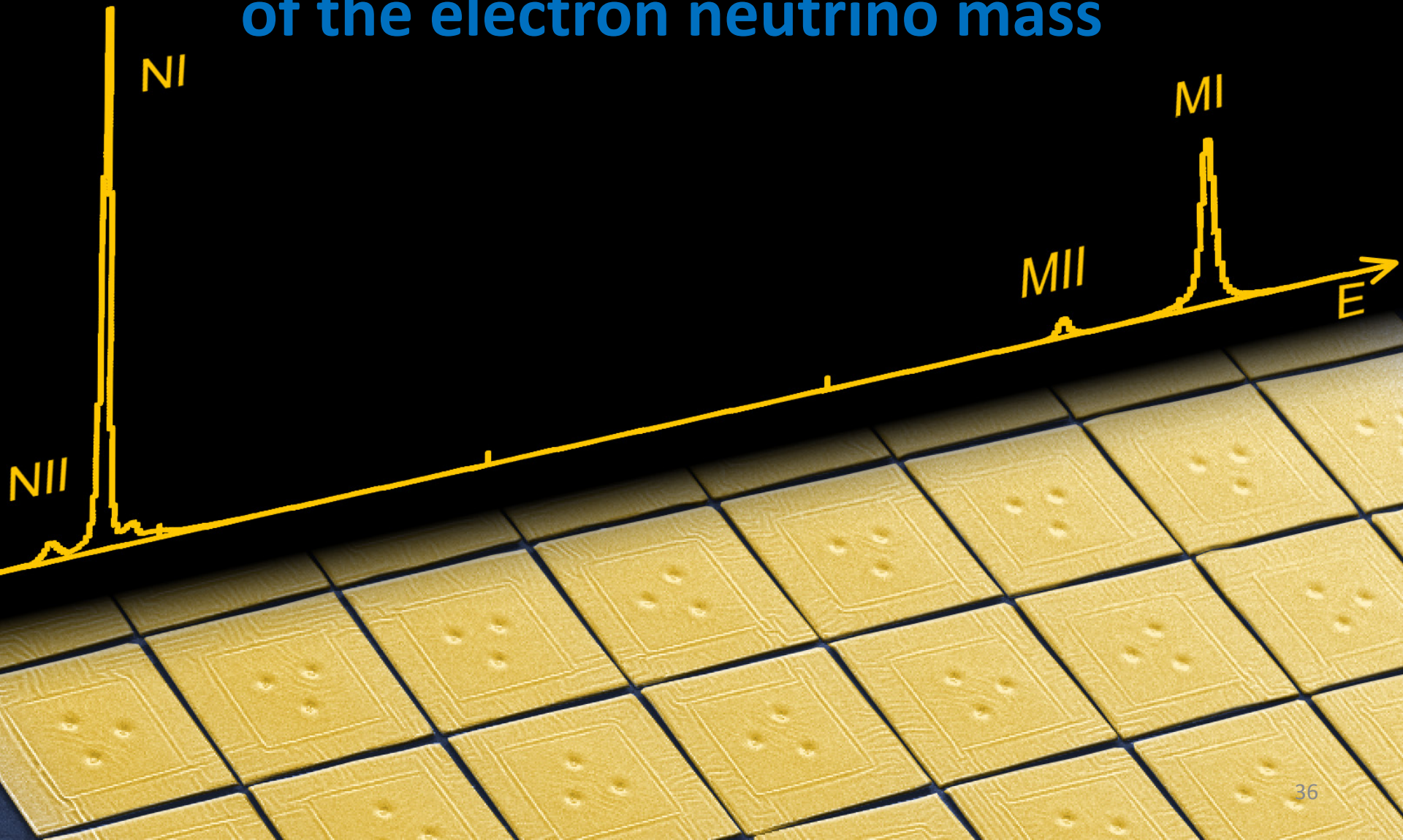
- $\Delta E_{\text{FWHM}} < 3 \text{ eV}$

Background level

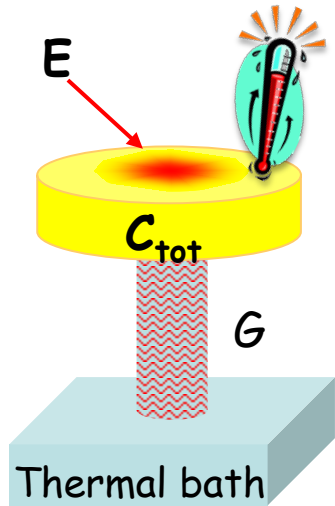
- $< 10^{-6} \text{ events/eV/det/day}$



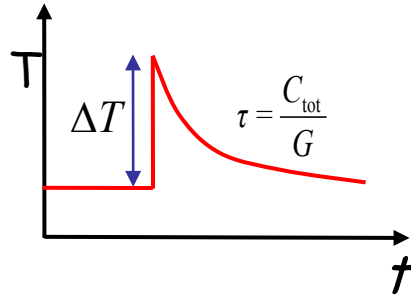
Low temperature detectors for direct determination of the electron neutrino mass



Low temperature micro-calorimeters



$$\Delta T \cong \frac{E}{C_{\text{tot}}}$$

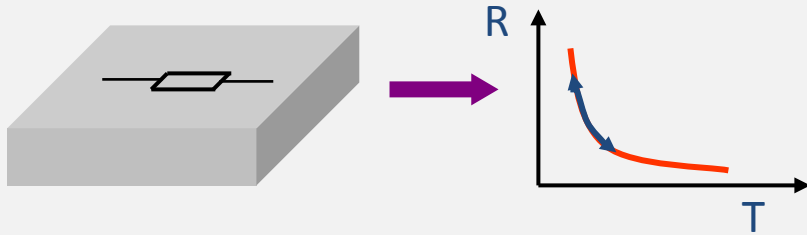


$$\left. \begin{array}{l} E = 10 \text{ keV} \\ C_{\text{tot}} = 1 \text{ pJ/K} \end{array} \right\} \rightarrow \sim 1 \text{ mK}$$

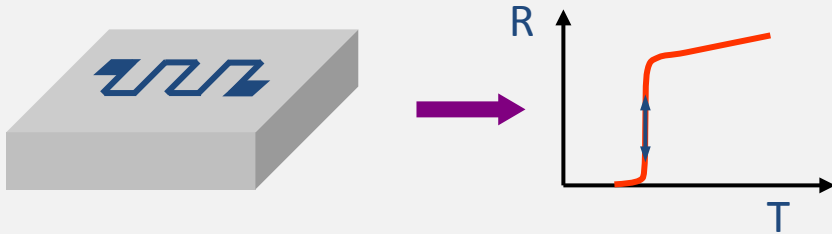
- Very small volume
- Working temperature below 100 mK
small specific heat
small thermal noise
- **Very sensitive temperature sensor**

Temperature sensors

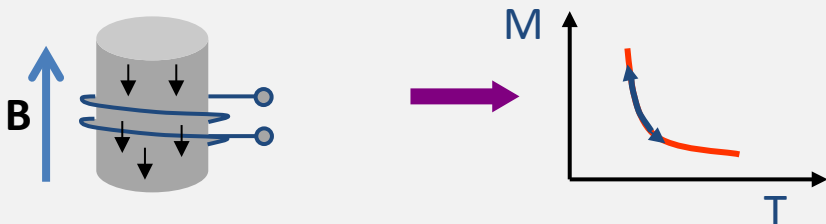
Resistance of highly doped semiconductors



Resistance at superconducting transition, TES

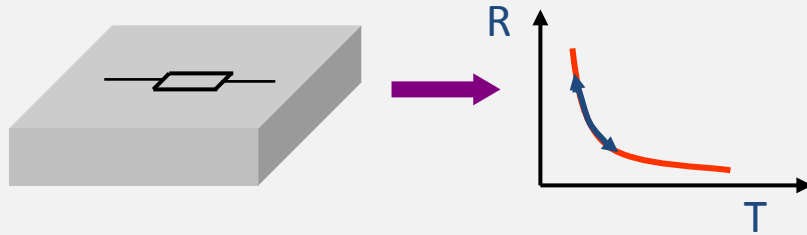


Magnetization of paramagnetic material, MMC

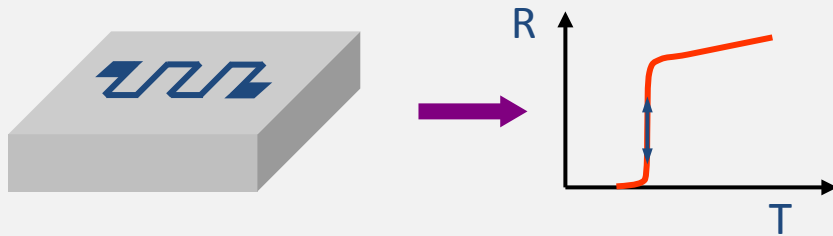


Temperature sensors

Resistance of highly doped semiconductors



Resistance at superconducting transition, TES



Magnetization of paramagnetic material, MMC



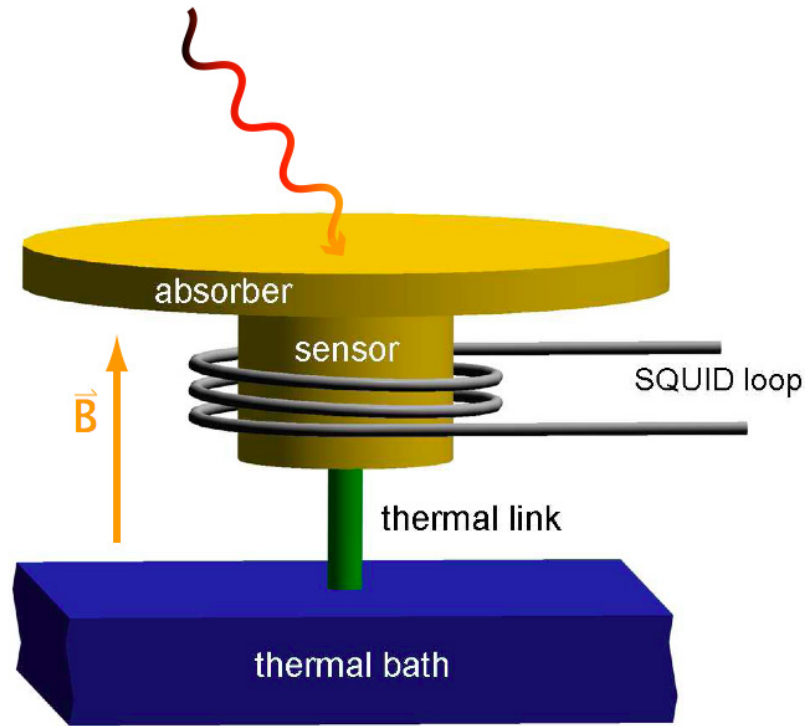
HOLMES
NuMECS

EC**Ho**

Metallic magnetic calorimeters (MMCs)

A. Fleischmann et al.,
AIP Conf. Proc. **1185**, 571, (2009)

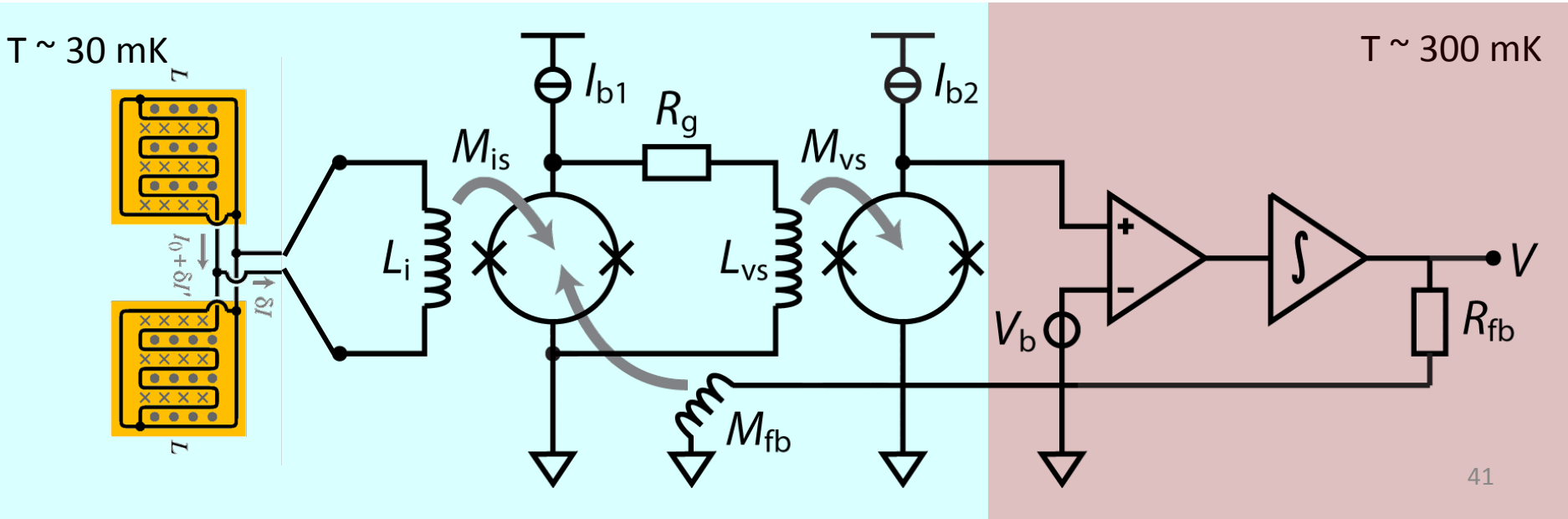
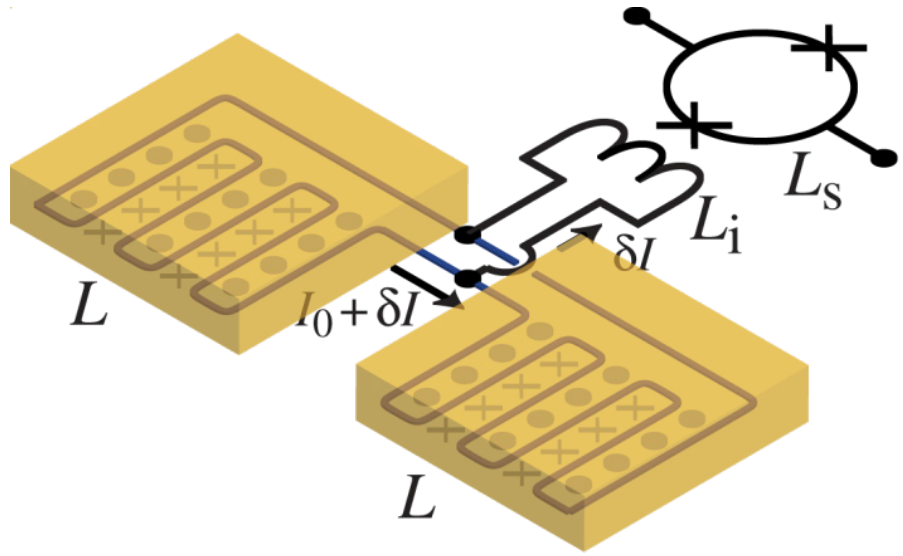
- Paramagnetic Au:Er sensor
Ag:Er



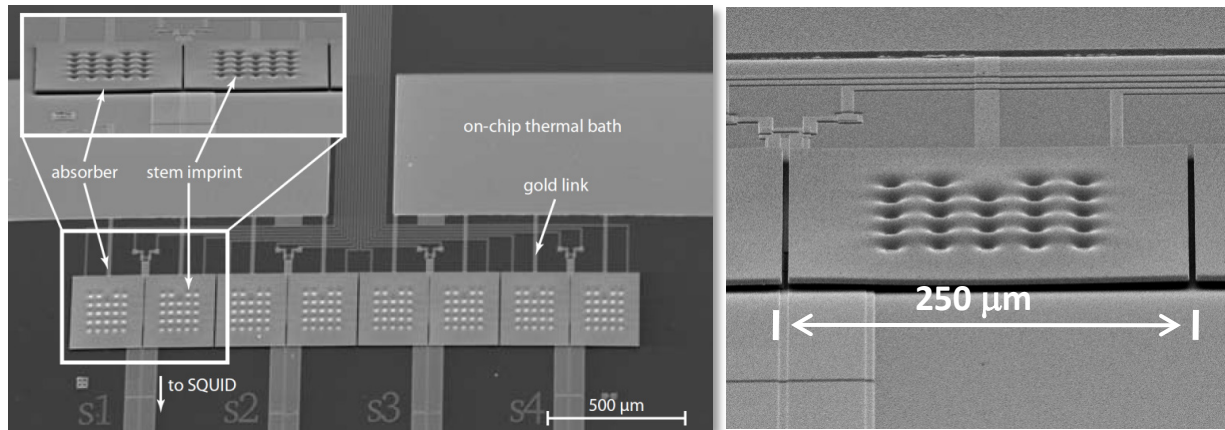
$$\Delta\Phi_s \propto \frac{\partial M}{\partial T} \Delta T \quad \rightarrow \quad \Delta\Phi_s \propto \frac{\partial M}{\partial T} \frac{E}{C_{\text{sens}} + C_{\text{abs}}}$$

MMC geometry and read-out

- Planar temperature sensor
 - B-field generated by persistent current
 - transformer coupled to SQUID
-
- Two-stage SQUID read-out

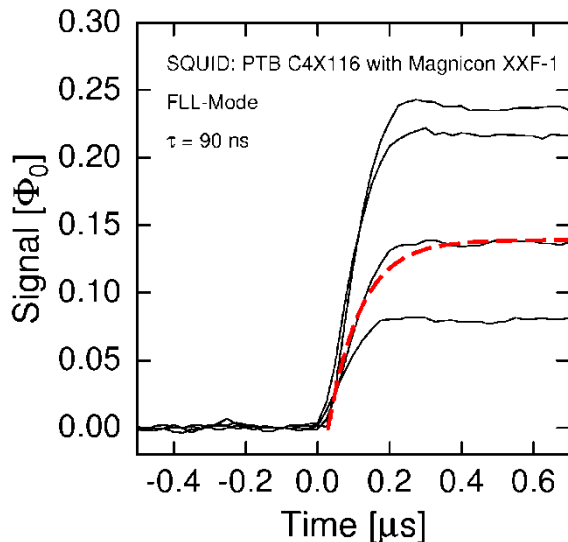


MMCs: 1d-array for soft x-rays ($T=20$ mK)



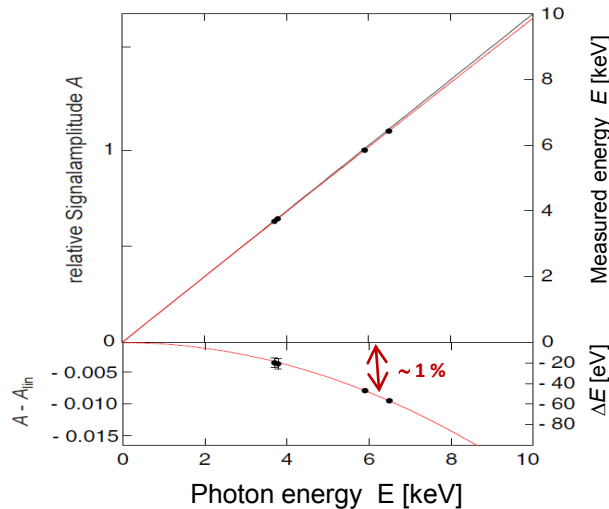
$$\Delta E_{FWHM} = 1.6 \text{ eV @ } 6 \text{ keV}$$

Rise Time: 90 ns

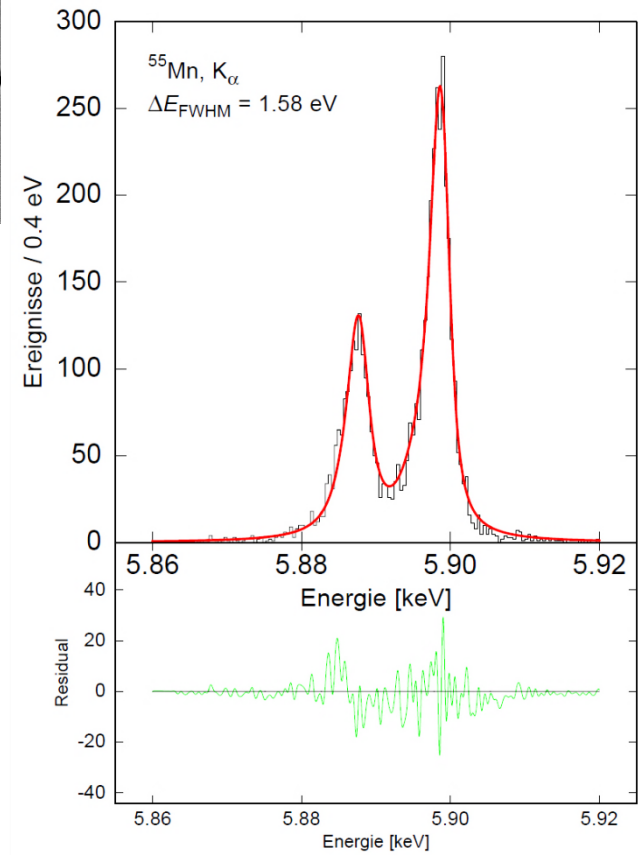


Reduction
un-resolved pile-up

Non-Linearity < 1% @6keV



Definition
of the energy scale



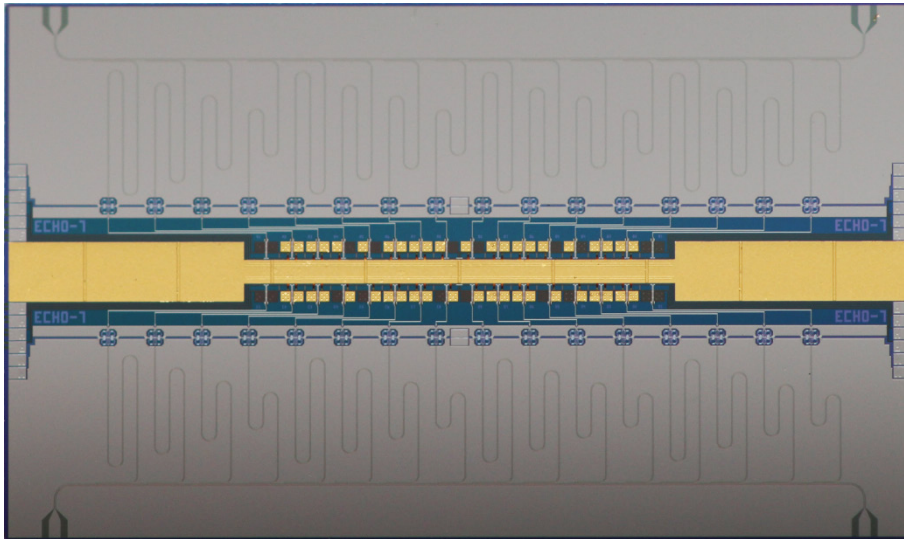
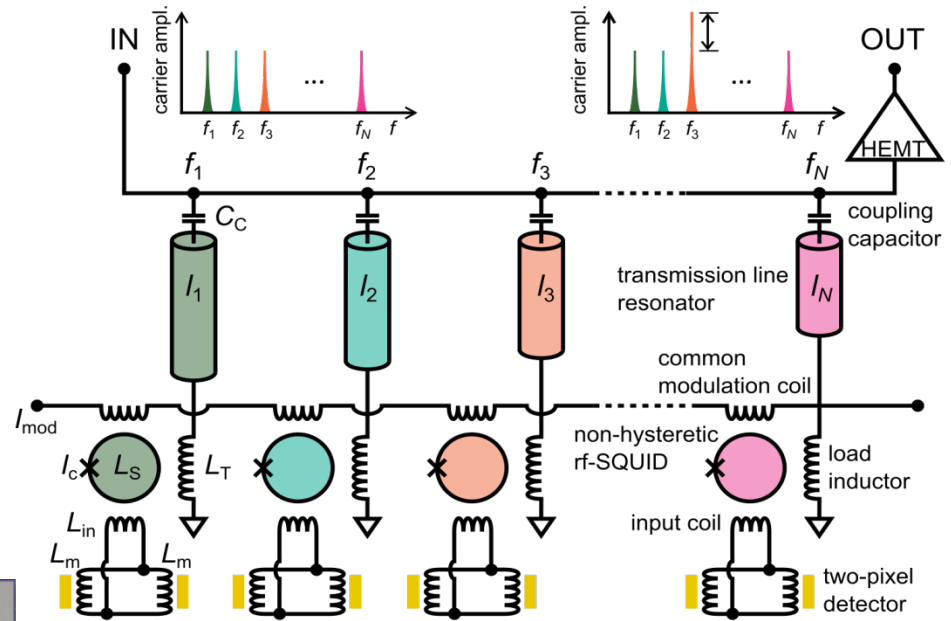
Reduced smearing
in the end point region ⁴²

Multiplexing readout

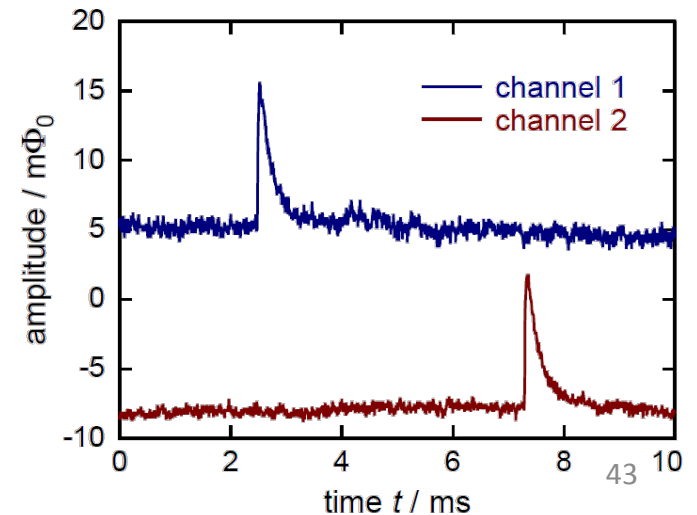
Microwave SQUID multiplexing

Single HEMT amplifier and 2 coaxes to read out **100 - 1000** detectors

- Reliable fabrication of **64-pixel array**
- Successful characterization of first prototypes
→ **optimization of design parameters**

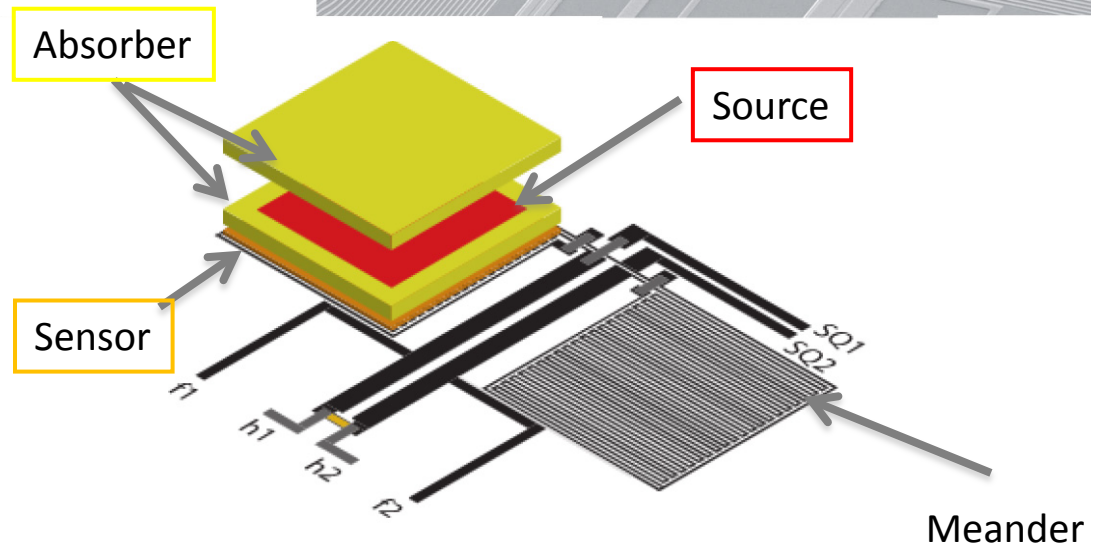
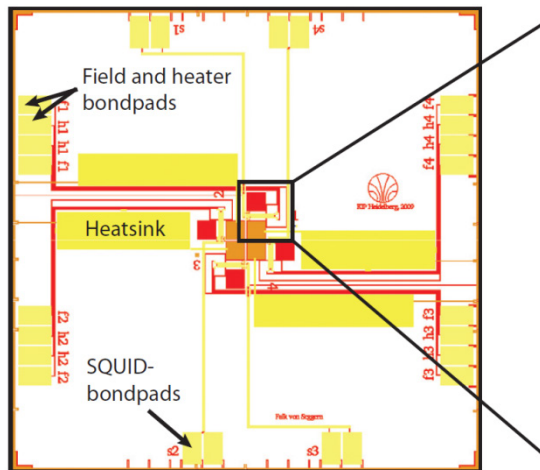
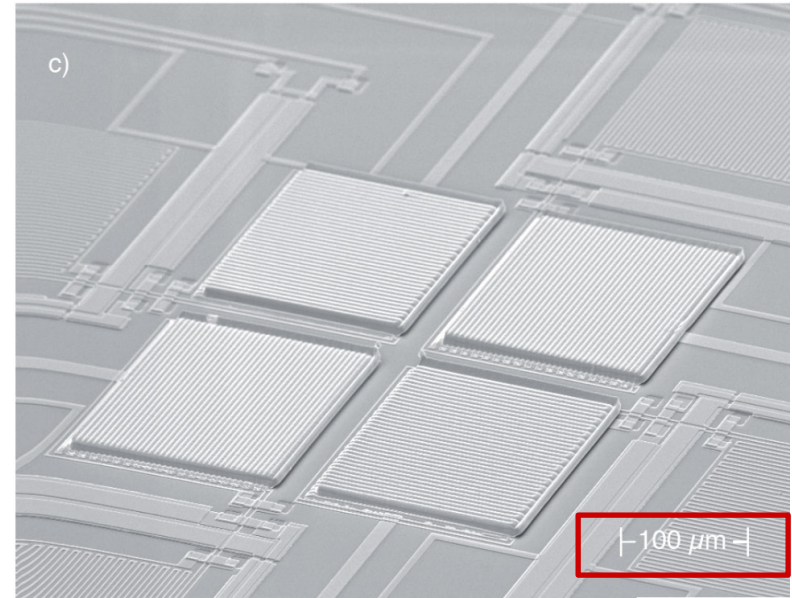


Microwave SQUID Multiplexer for the Readout of Metallic Magnetic Calorimeters
S.Kempf et al., *J. Low. Temp. Phys.* **175** (2014) 850-860



First detector prototype for ^{163}Ho

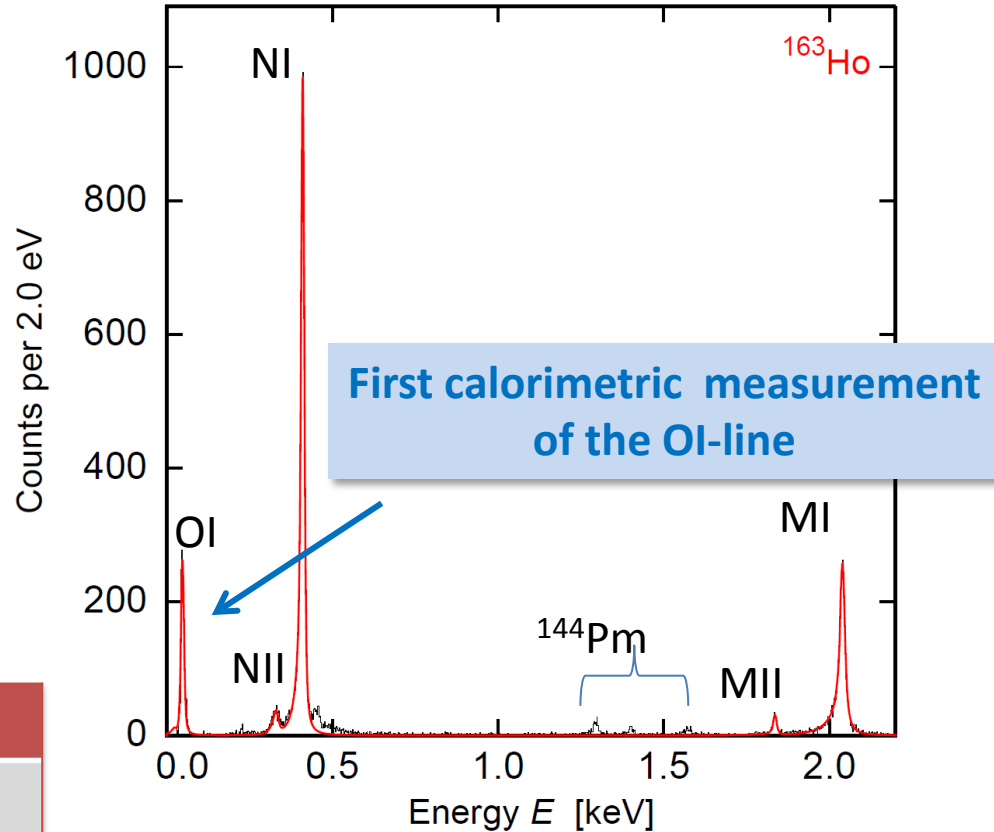
- Absorber for calorimetric measurement
→ ion implantation @ ISOLDE-CERN in 2009
on-line process
- About 0.01 Bq per pixel
- Operated over more than 4 years



Calorimetric spectrum

- Rise Time ~ 130 ns
- $\Delta E_{\text{FWHM}} = 7.6$ eV @ 6 keV (2013)
- Non-Linearity $< 1\%$ @ 6keV

	E_{H} bind.	E_{H} exp.	Γ_{H} lit.	Γ_{H} exp
MI	2.047	2.040	13.2	13.7
MII	1.845	1.836	6.0	7.2
NI	0.420	0.411	5.4	5.3
NII	0.340	0.333	5.3	8.0
OI	0.050	0.048	5.0	4.3



$$Q_{\text{EC}} = (2.858 \pm 0.010^{\text{stat}} \pm 0.05^{\text{syst}}) \text{ keV}$$

Where to improve

High purity ^{163}Ho source:

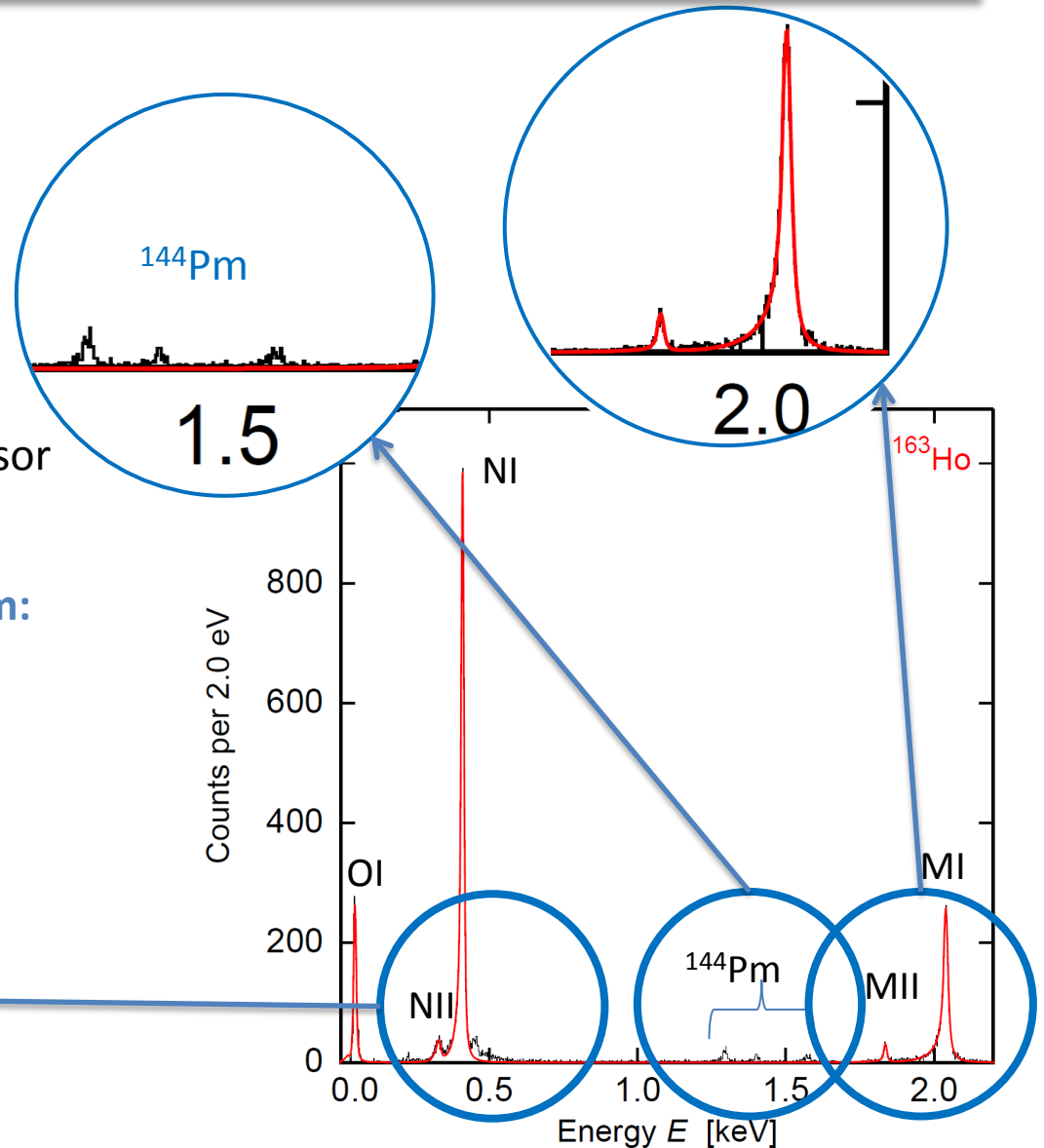
- Background reduction

Detector design and fabrication:

- Increase activity per pixel
- Stems between absorber and sensor

Understanding of the ^{163}Ho spectrum:

- Investigate undefined structures



^{163}Ho high purity source

Required activity in the detectors: Final experiment $\rightarrow >10^6 \text{ Bq} \rightarrow >10^{17}$ atoms

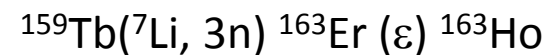
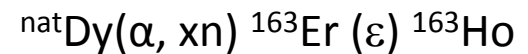
- Neutron irradiation
(n,γ)-reaction on ^{162}Er

High cross-section 

Radioactive contaminants 

Er161 3.21 h 3/2- EC	Er162 0+ 0.14	Er163 75.0 m 5/2 EC	Er164 0+ 1.61	Er165 10.36 h 5/2- EC	Er166 0+ 33.6
Ho160 25.6 m 5+ EC *	Ho161 2.48 h 7/2- EC *	Ho162 15.0 m 1+ EC *	Ho163 1.70 y 2- EC	Ho164 29 m 1+ EC,β-	Ho165 2.3 y 3/2- β-
Dy159 144.4 d 3/2- EC	Dy160 0+ 2.34	Dy161 5/2+ 18.9	Dy162 0+ 25.5	Dy163 5/2- 24.9	Dy164 0+ 28.2
Tb158 180 y 3- EC,β- *	Tb159 3/2+ 100	Tb160 72.3 d 3- β-	Tb161 6.88 d 3/2+ β-	Tb162 7.60 m 1- β-	Tb163 19.5 m 3/2+ β-

- Charged particle activation



Small cross-section 

Few radioactive contaminants 

^{163}Ho high purity source

Required activity in the detectors: Final experiment $\rightarrow >10^6 \text{ Bq} \rightarrow >10^{17}$ atoms

- Neutron irradiation
(n,γ)-reaction on ^{162}Er

High cross-section

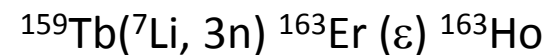
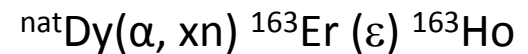


Radioactive contaminants



Er161 3.21 h 3/2- EC	Er162 0+ 0.14	Er163 75.0 m 5/2 EC	Er164 0+ 1.61	Er165 10.36 h 5/2- EC	Er166 0+ 33.6
Ho160 25.6 m 5+ EC *	Ho161 2.48 h 7/2- EC *	Ho162 15.0 m 1+ EC *	Ho163 1.70 y 2- EC	Ho164 29 m 1+ EC,β-	Ho165 2.3 y 3/2- β-
Dy159 144.4 d 3/2- EC	Dy160 0+ 2.34	Dy161 5/2+ 18.9	Dy162 0+ 25.5	Dy163 5/2- 24.9	Dy164 0+ 28.2
Tb158 180 y 3- EC,β- *	Tb159 3/2+ 100	Tb160 72.3 d 3- β-	Tb161 6.88 d 3/2+ β-	Tb162 7.60 m 1- β-	Tb163 19.5 m 3/2+ β-

- Charged particle activation



Small cross-section



Few radioactive contaminants



NuMECS

High purity ^{163}Ho source in ECHO

Requirement : $>10^6 \text{ Bq} \rightarrow >10^{17}$ atoms

➤ (n, γ)-reaction on ^{162}Er

- High cross-section



- Radioactive contaminants



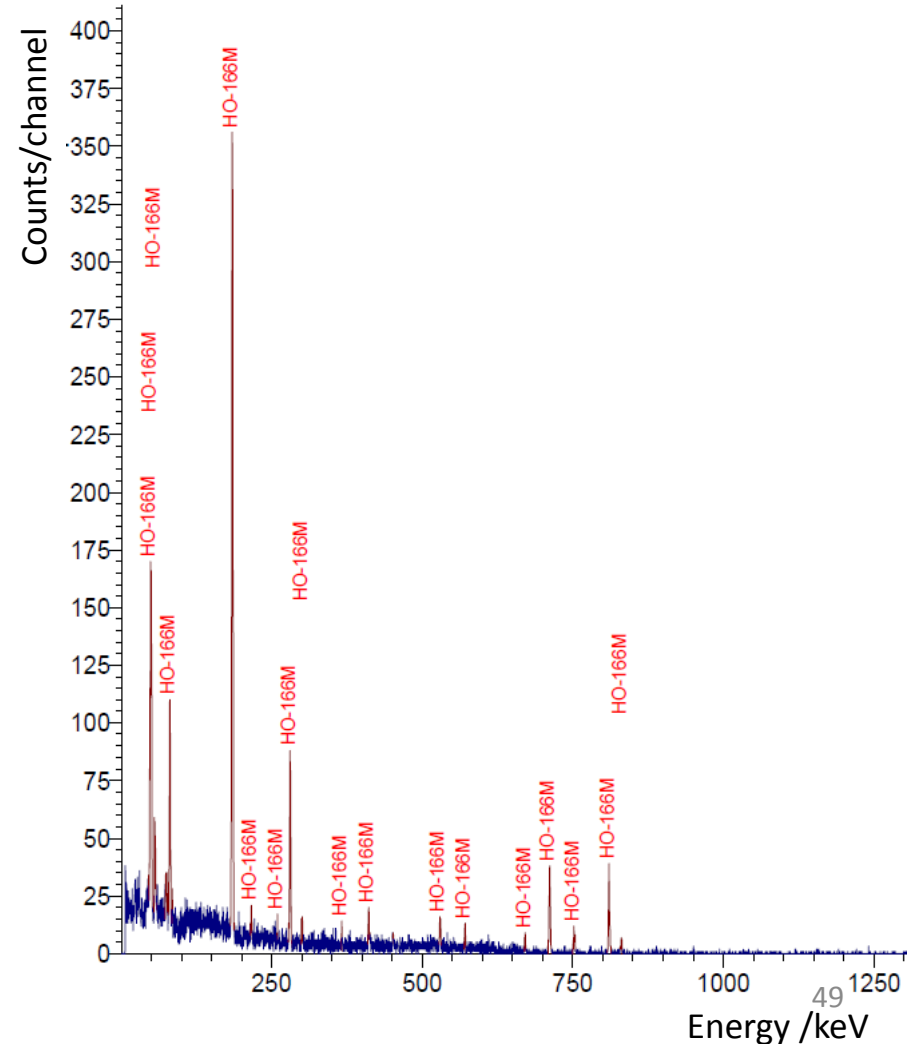
Er161 3.21 h 3/2-	Er162 0+	Er163 75.0 m 5/2-	Er164 0+	Er165 10.36 h 5/2-	Er166 0+
EC	0.14	EC	1.61	EC	33.6
Ho160 25.6 m 5+	Ho161 2.48 h 7/2-	Ho162 15.0 m 1+	Ho163 4570 y 7/2-	Ho164 29 m 1+	Ho165 7/2-
EC *	EC *	EC *	EC *	EC, β^- *	100

➤ Excellent chemical separation

Only $^{166\text{m}}\text{Ho}$

➤ Available ^{163}Ho source:

$\sim 10^{18}$ atoms



High purity ^{163}Ho source in ECHO

Requirement : $>10^6 \text{ Bq} \rightarrow >10^{17}$ atoms

➤ (n, γ)-reaction on ^{162}Er

- High cross-section



- Radioactive contaminants



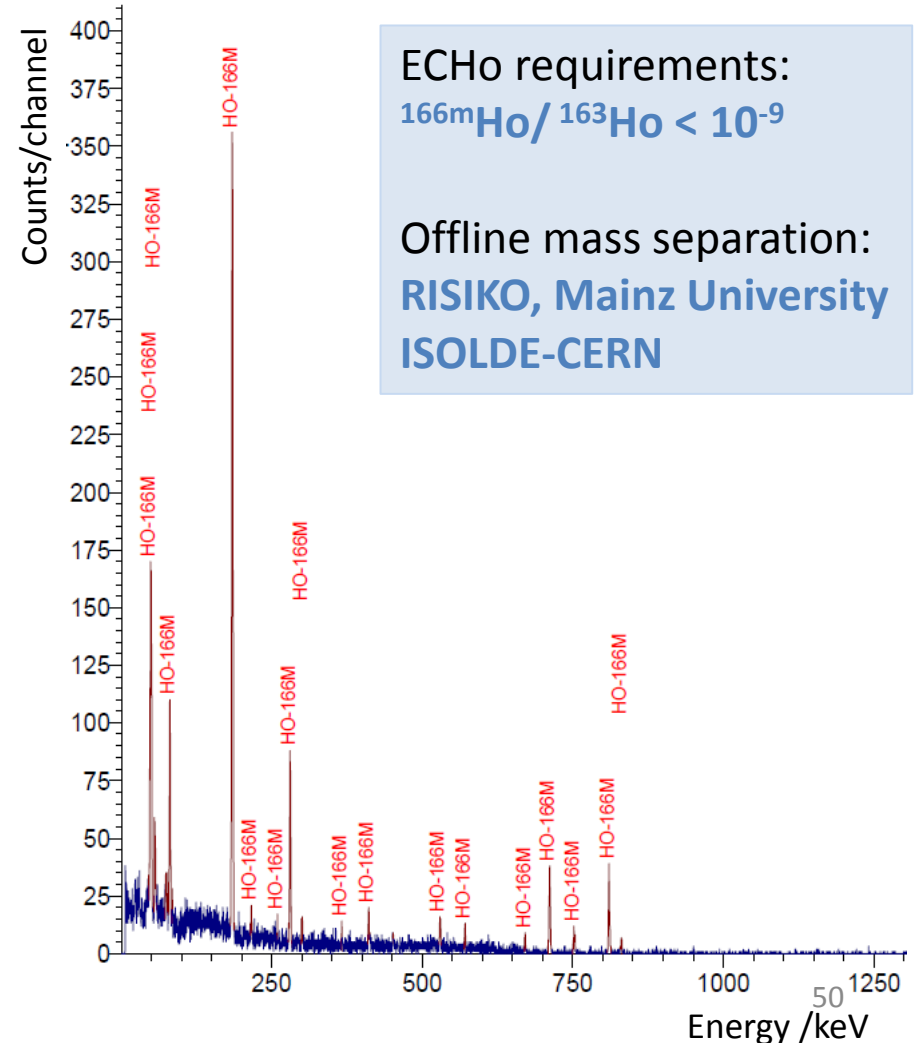
Er161 3.21 h 3/2-	Er162 0+	Er163 75.0 m 5/2-	Er164 0+	Er165 10.36 h 5/2-	Er166 0+
EC	0.14	EC	1.61	EC	33.6
Ho160 25.6 m 5+	Ho161 2.48 h 7/2-	Ho162 15.0 m 1+	Ho163 4570 y 7/2-	Ho164 29 m 1+	Ho165 7/2-
EC *	EC *	EC *	EC *	EC, β^- *	100

➤ Excellent chemical separation

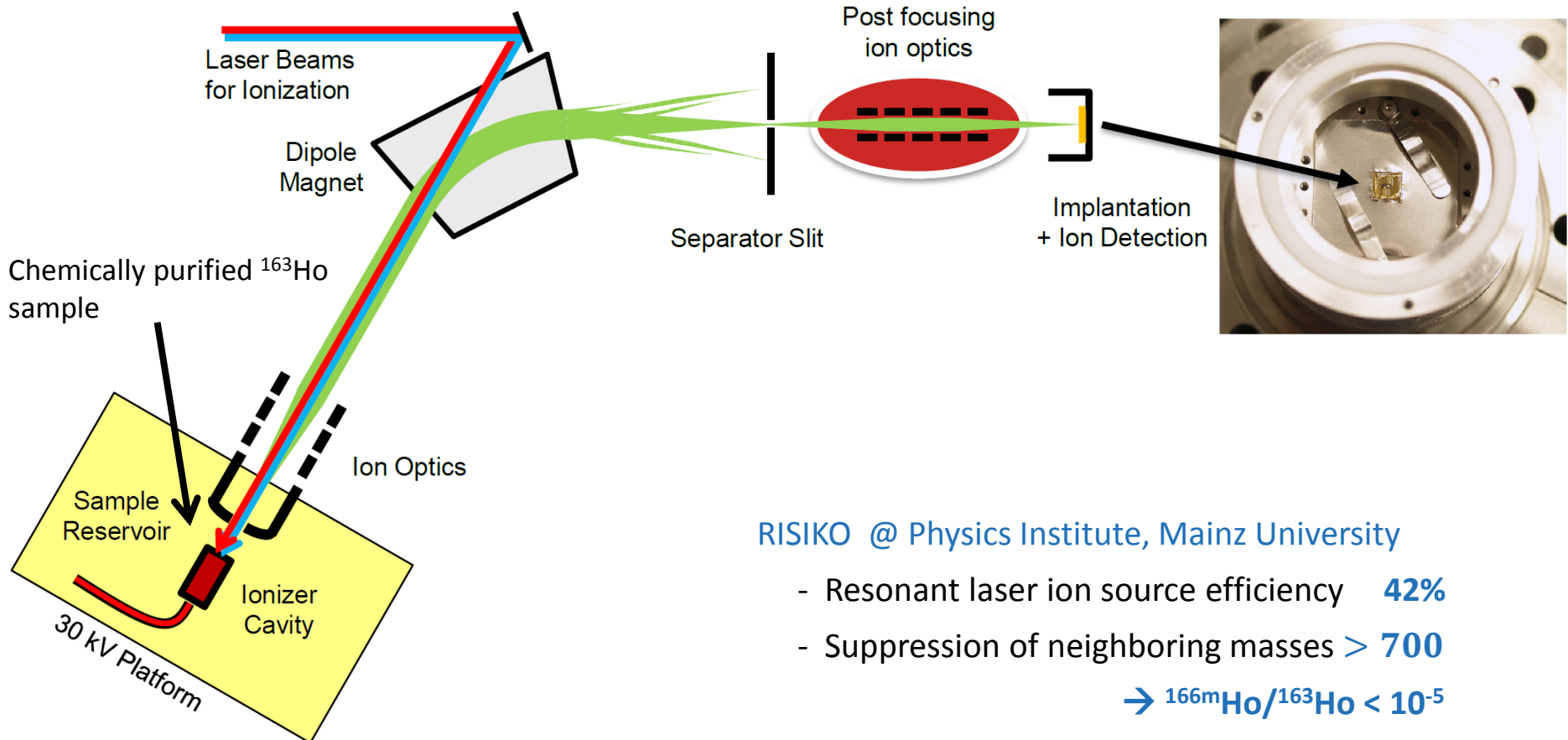
Only $^{166\text{m}}\text{Ho}$

➤ Available ^{163}Ho source:

$\sim 10^{18}$ atoms



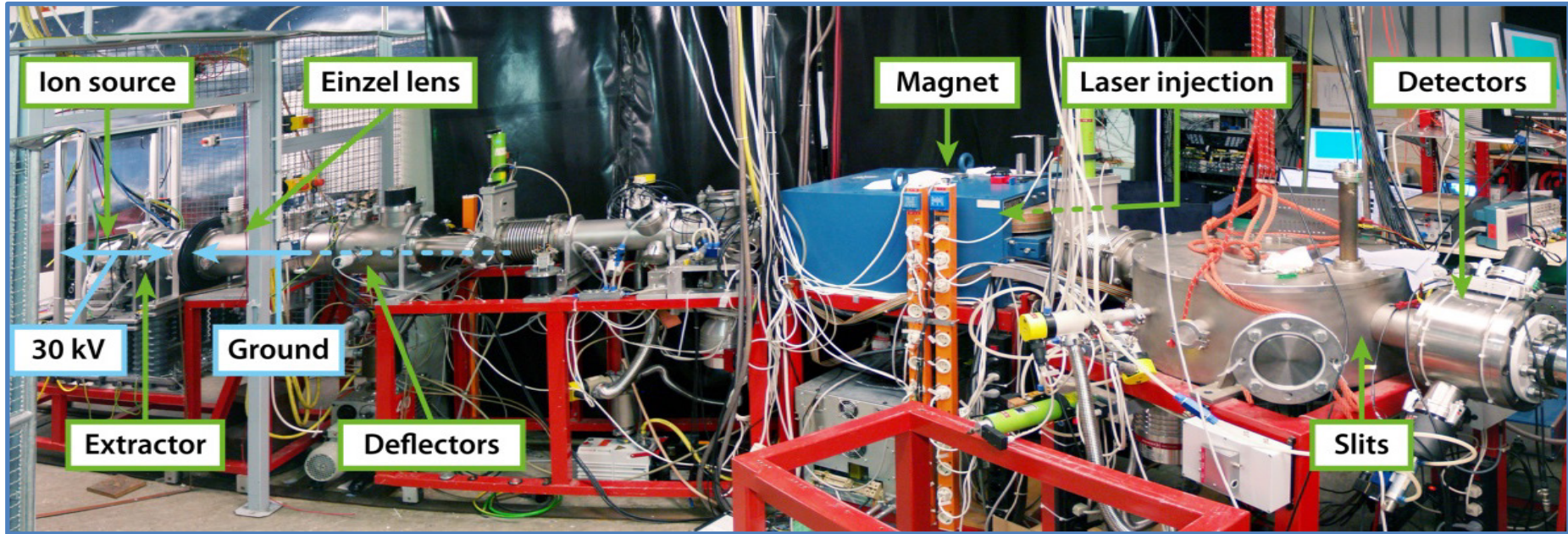
Mass separation and ^{163}Ho ion-implantation



RISIKO @ Physics Institute, Mainz University

- Resonant laser ion source efficiency **42%**
- Suppression of neighboring masses **> 700**
→ $^{166}\text{mHo}/^{163}\text{Ho} < 10^{-5}$
- Optimization of beam focalization

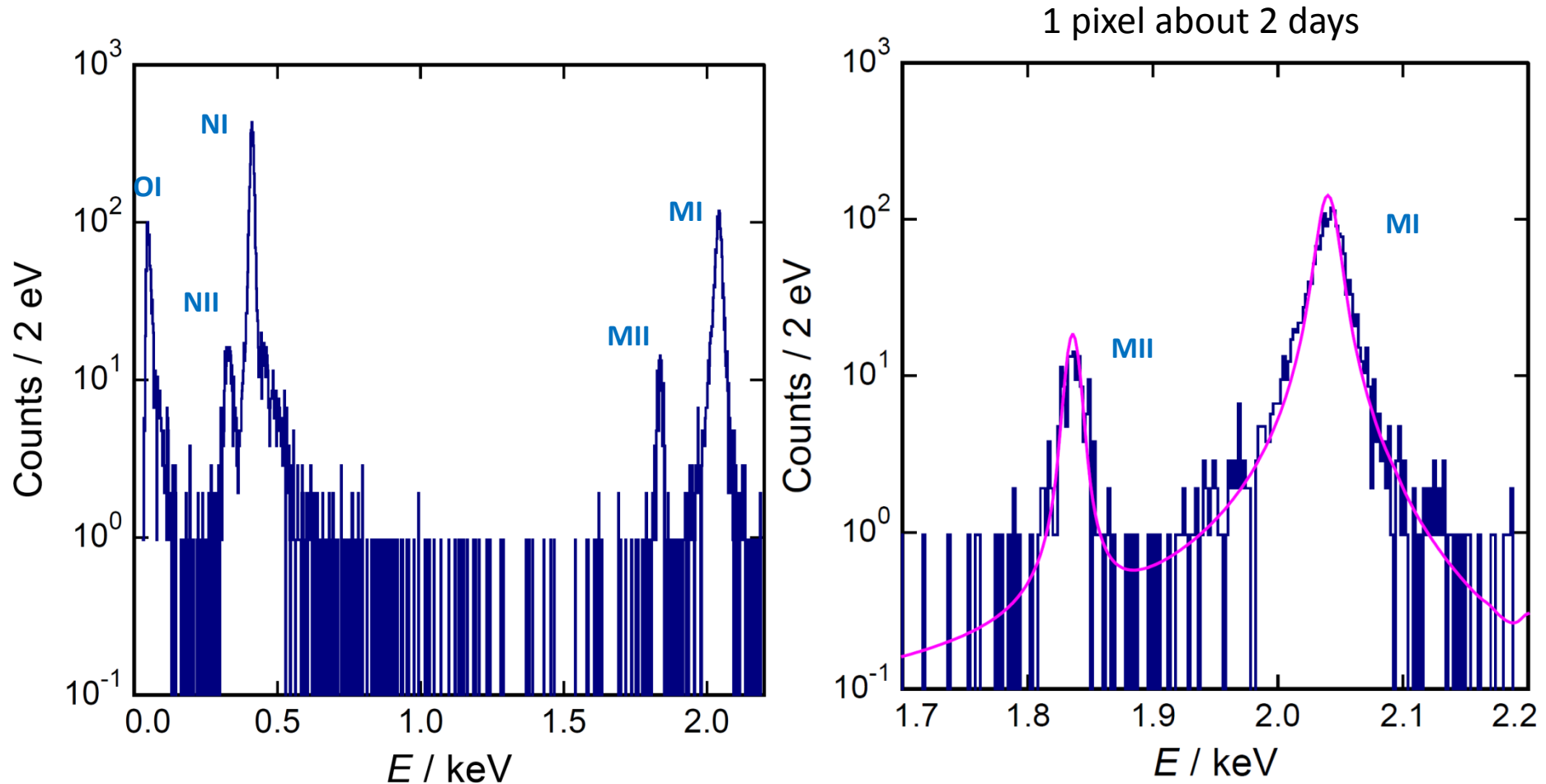
Mass separation and ^{163}Ho ion-implantation



RISIKO @ Physics Institute, Mainz University

- Resonant laser ion source efficiency **42%**
- Suppression of neighboring masses **> 700**
→ $^{166\text{m}}\text{Ho}/^{163}\text{Ho} < 10^{-5}$
- Optimization of beam focalization

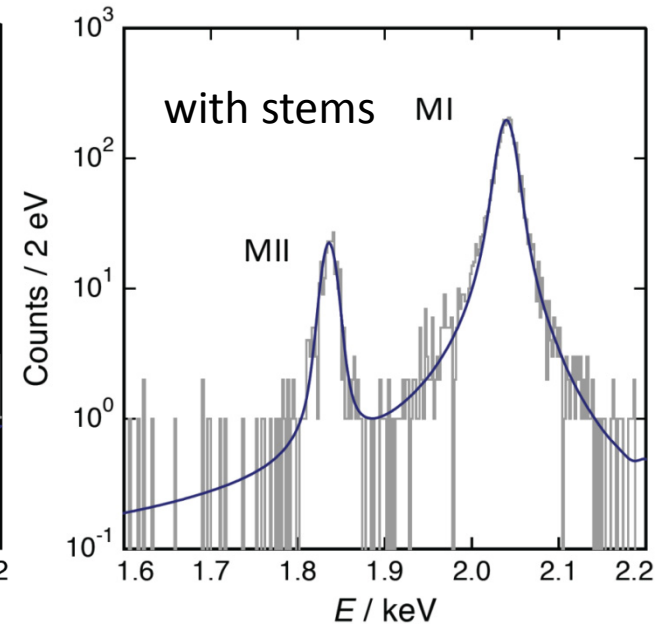
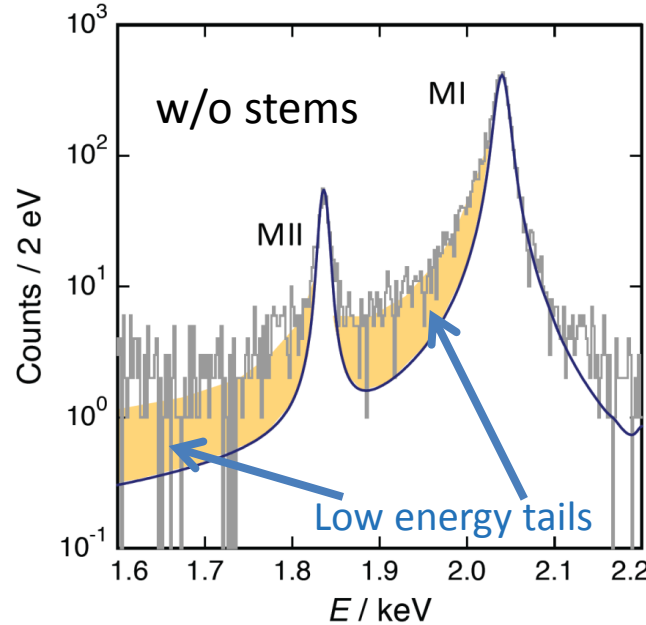
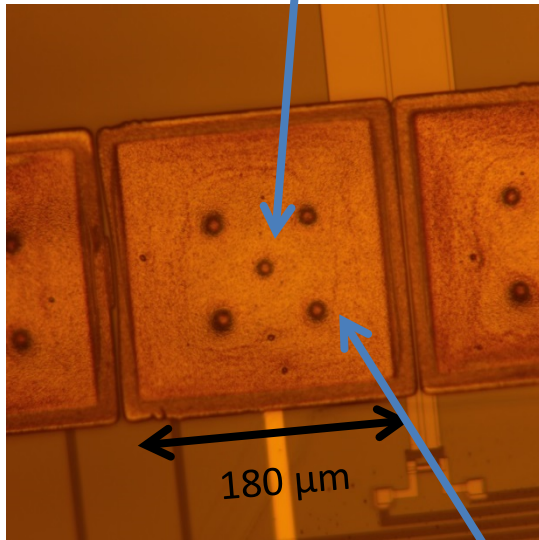
163Ho off-line implantation: results



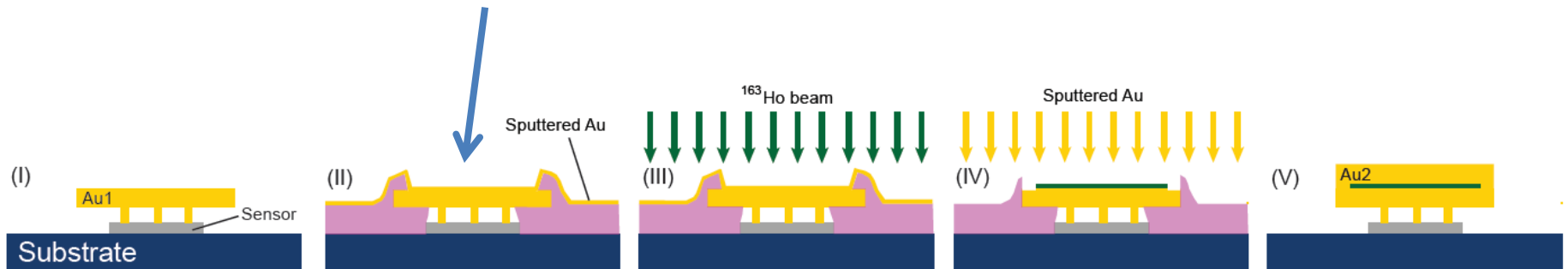
- Activity per pixel $A \sim 0.1 \text{ Bq}$
- Energy resolution $\Delta E_{\text{FWHM}} \sim 10 \text{ eV}$
- No strong evidence of radioactive contamination in the source
- Symmetric detector response

Fabrication 4π absorber

Stems between absorber and sensor prevent athermal phonon loss to the substrate



Definition of the implantation area by microstructuring a photoresist layer



Where to improve

High purity ^{163}Ho source:

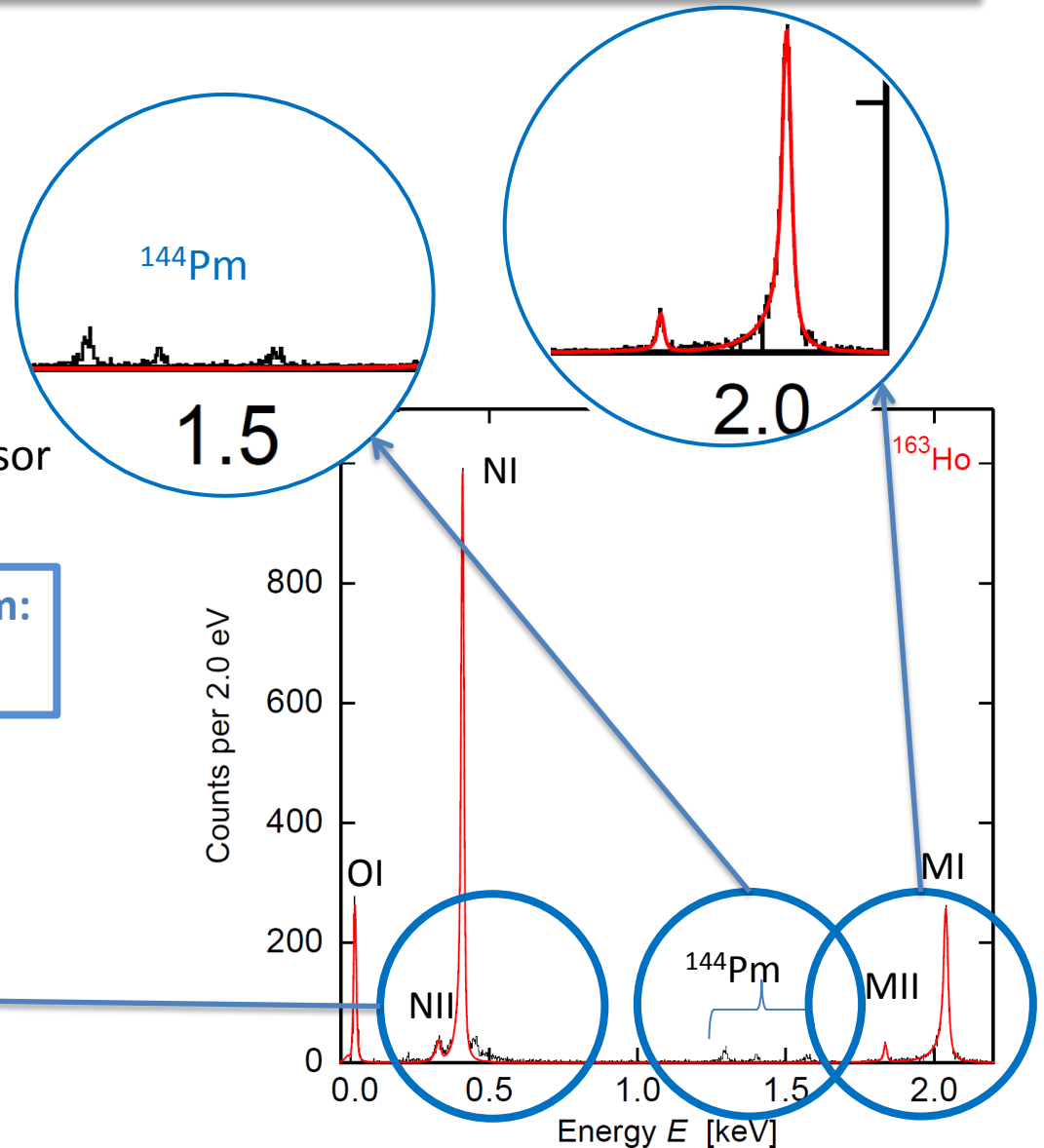
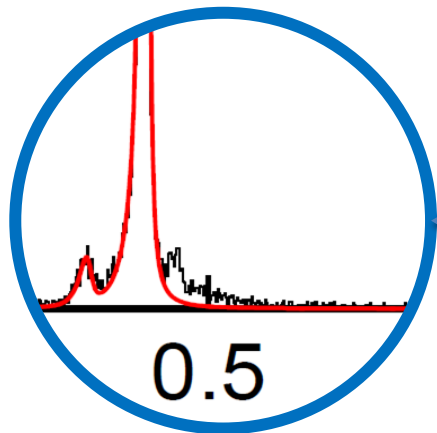
- Background reduction

Detector design and fabrication:

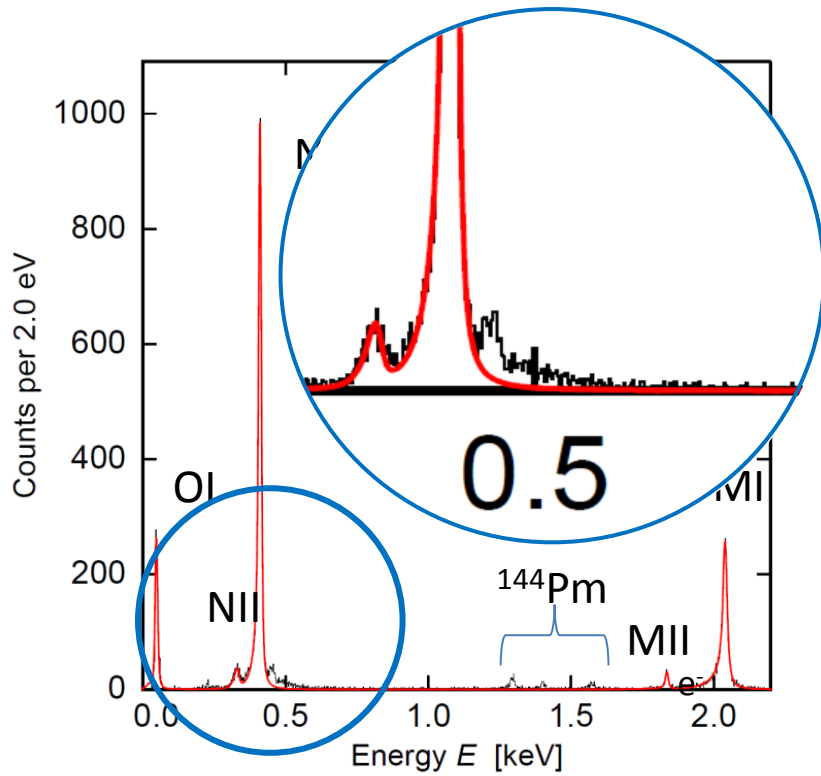
- Increase activity per pixel
- Stems between absorber and sensor

Understanding of the ^{163}Ho spectrum:

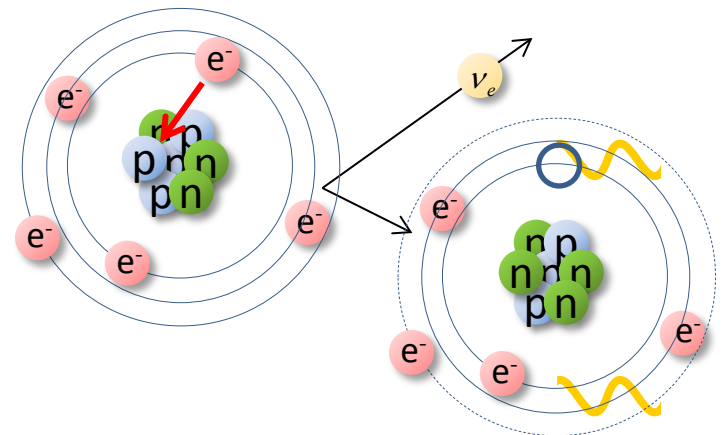
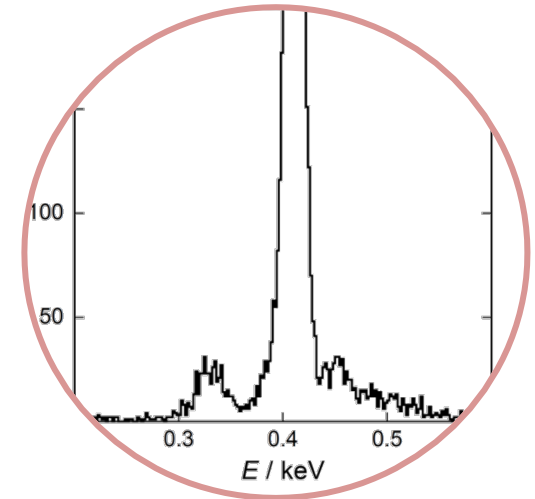
- Investigate undefined structures



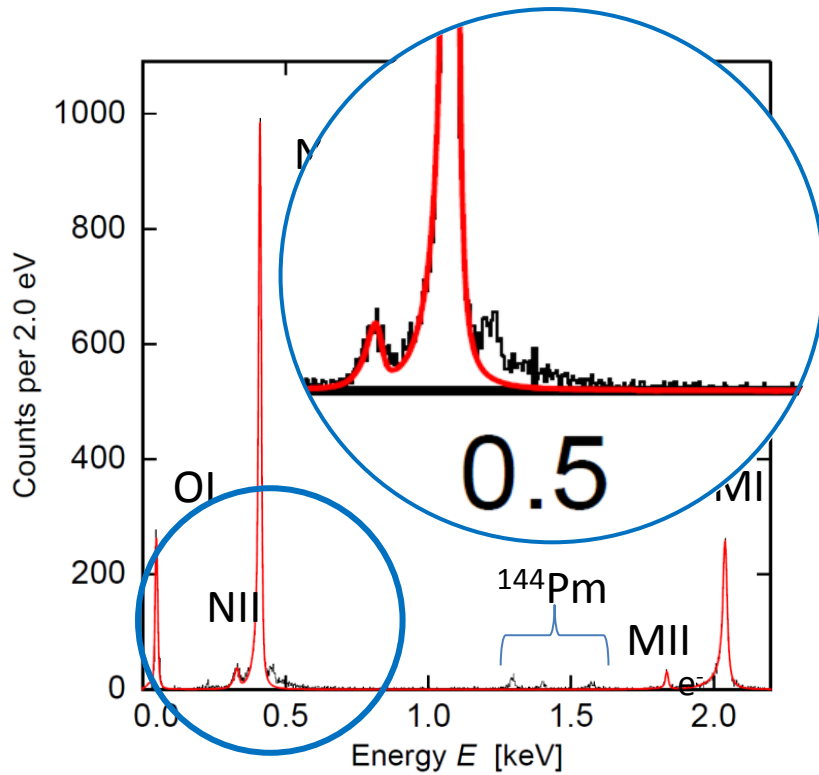
Characterisation of spectral shape



Structures present
also in new data

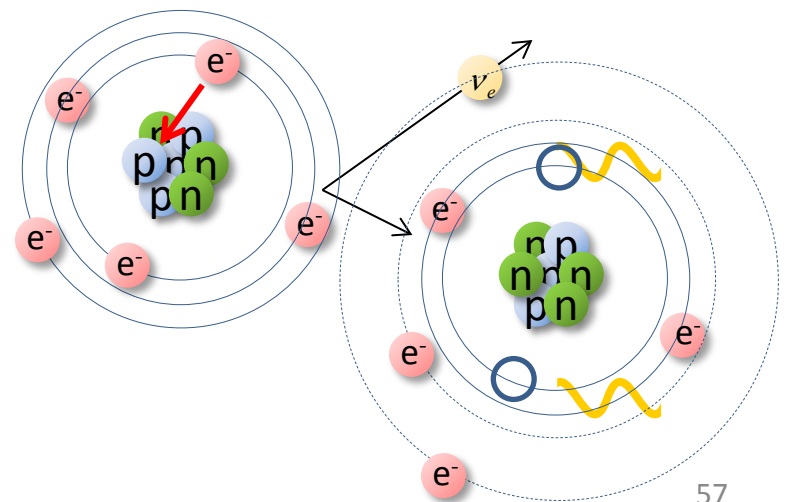


Characterisation of spectral shape

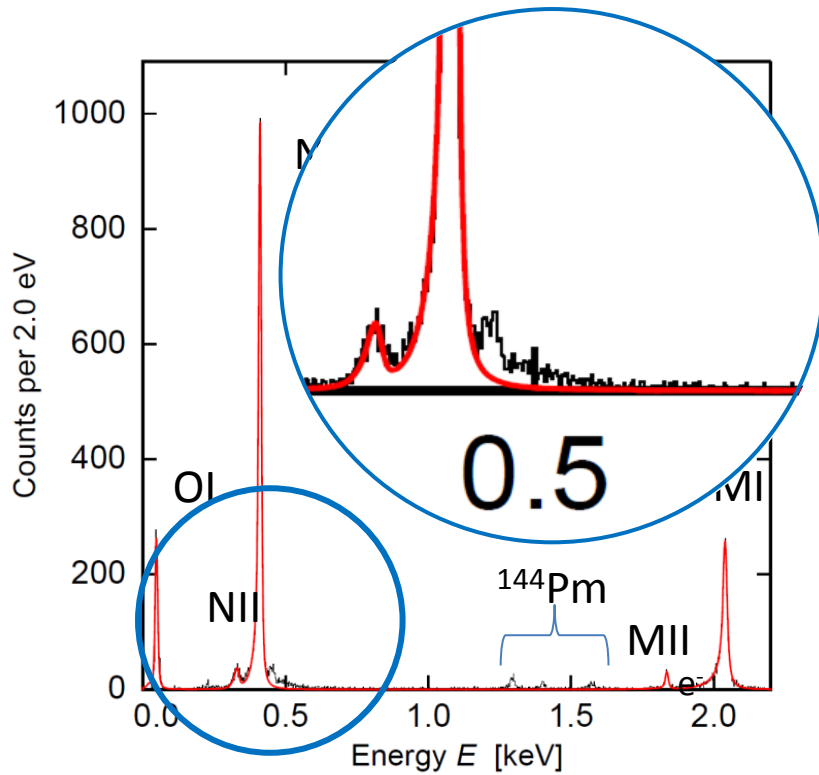


Two-holes excited states: shake-up

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016
- A. Faessler et al.
Phys. Rev. C **95**, (2017) 045502

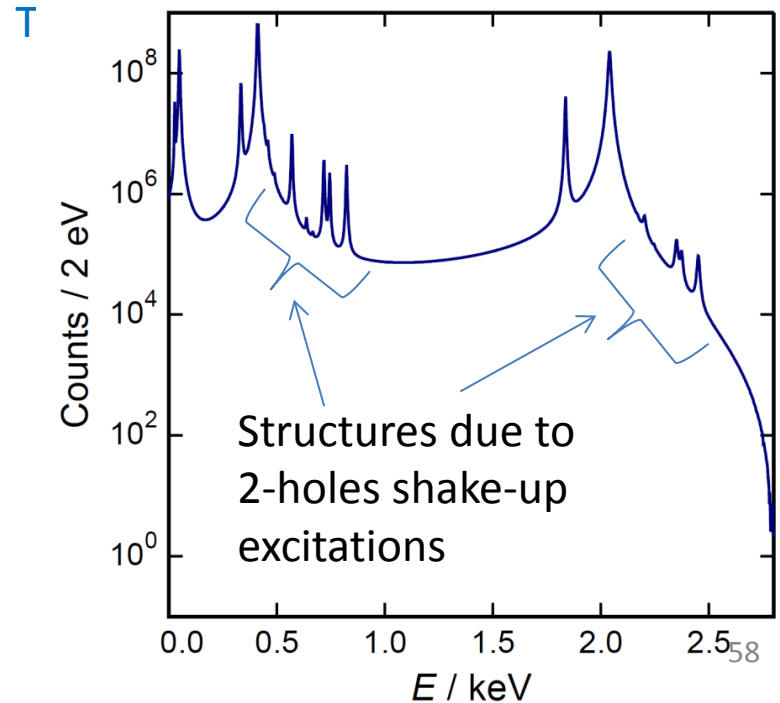


Characterisation of spectral shape

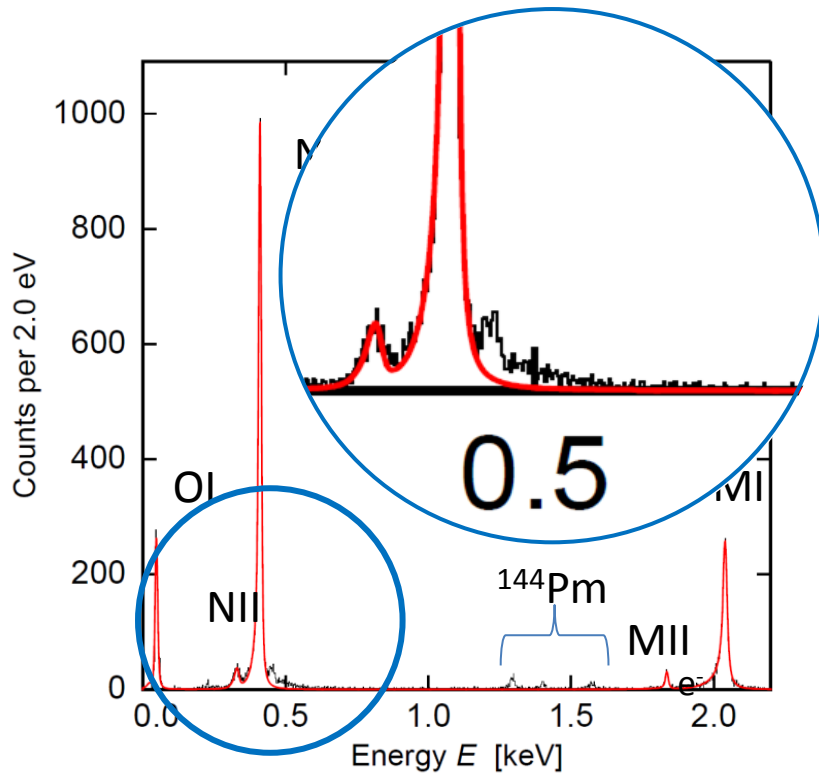


Two-holes excited states: shake-up

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016
- A. Faessler et al.
Phys. Rev. C **95**, (2017) 045502

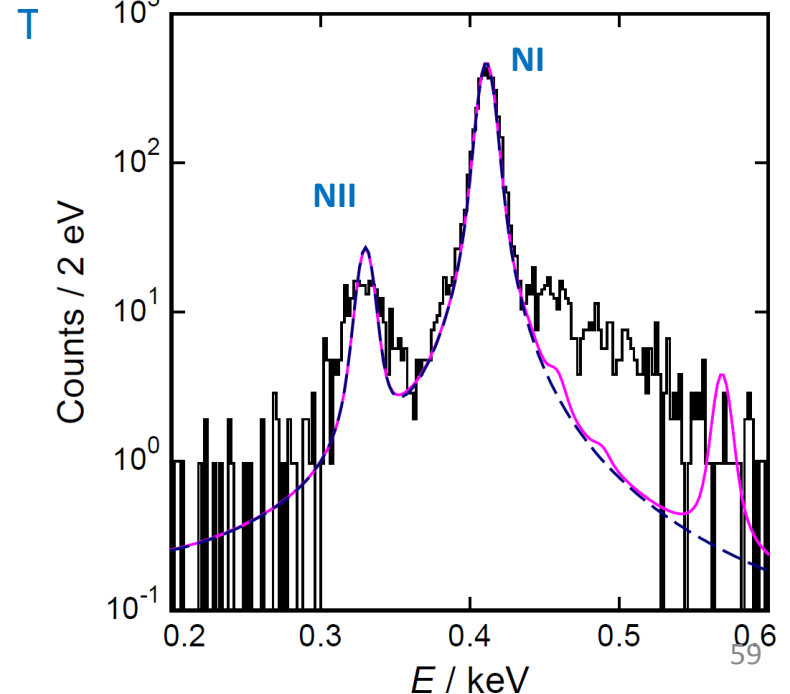


Characterisation of spectral shape

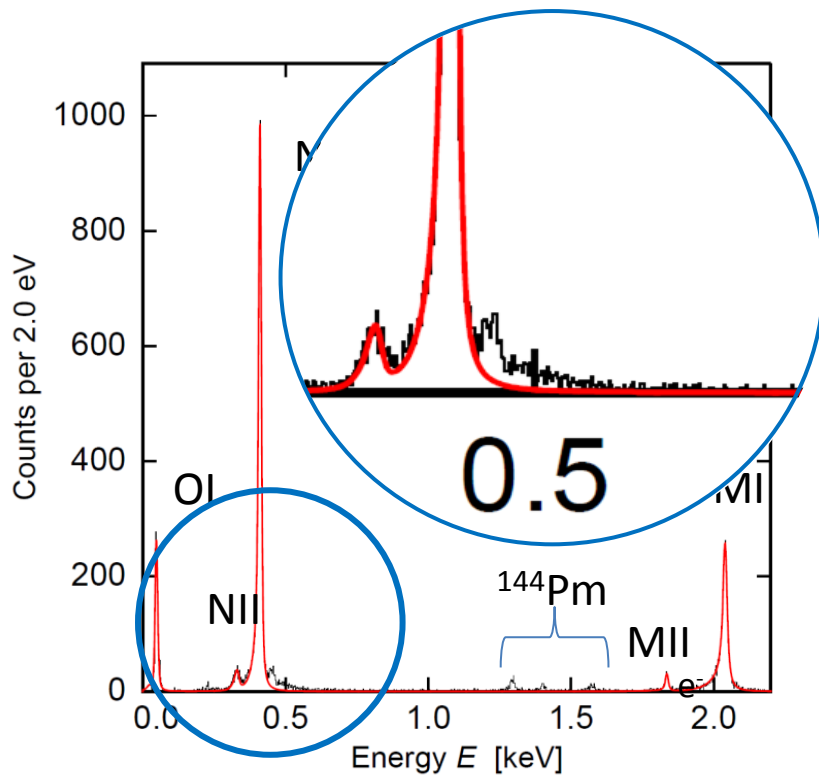


Two-holes excited states: shake-up

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016
- A. Faessler et al.
Phys. Rev. C **95**, (2017) 045502



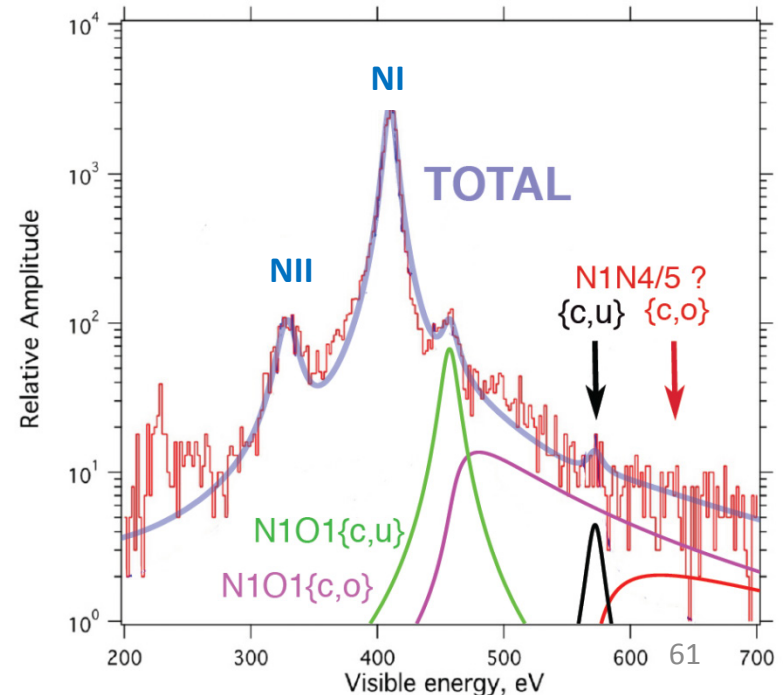
Characterisation of spectral shape



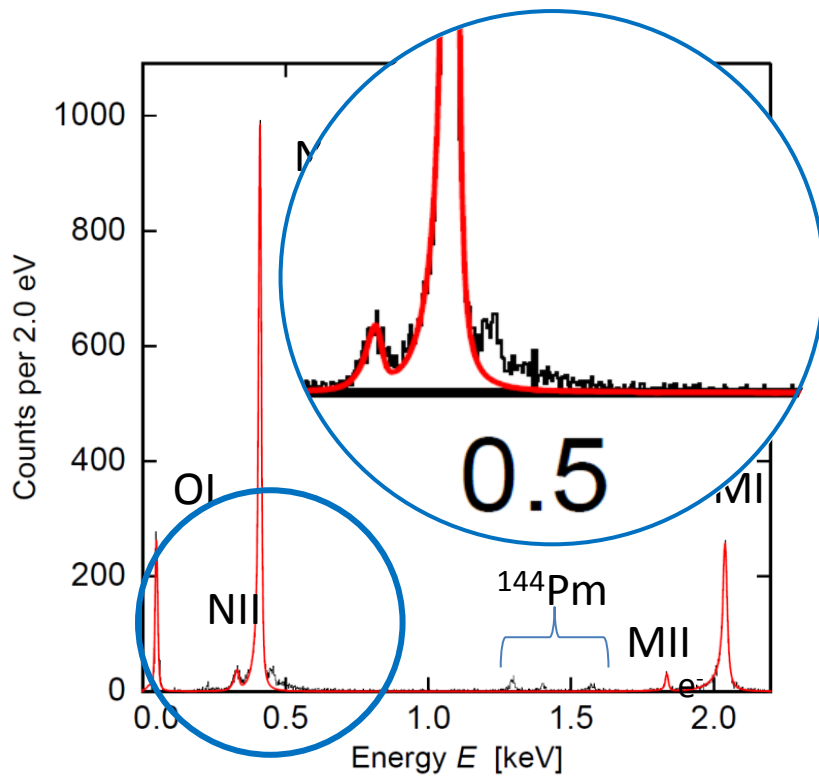
Two-holes excited states: shake-up
shake-off

High statistics and high energy resolution spectra will provide information on the spectral shape

- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
[arXiv:1601.04990v1 \[hep-ph\]](https://arxiv.org/abs/1601.04990v1) 19 Jan 2016
- A. Faessler et al.
Phys. Rev. C **95**, (2017) 045502



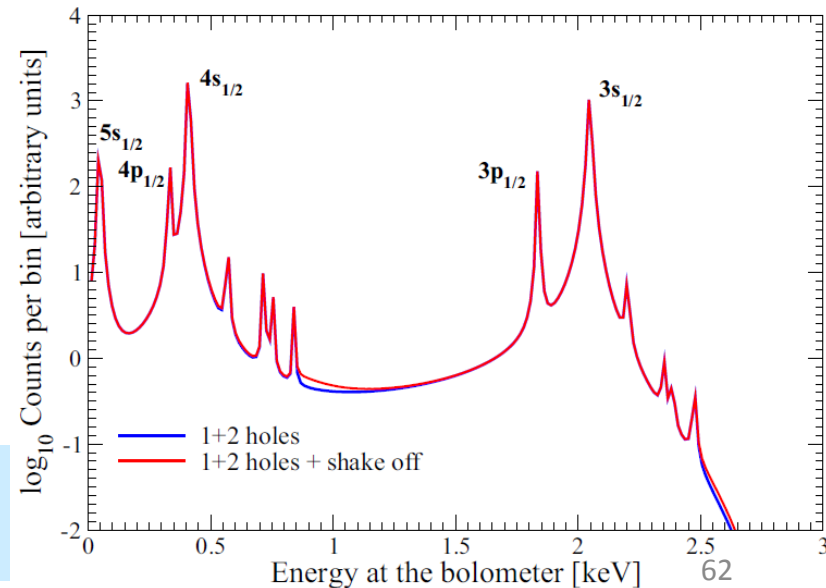
Characterisation of spectral shape



Two-holes excited states: shake-up
shake-off

High statistics and high energy resolution spectra will provide information on the spectral shape

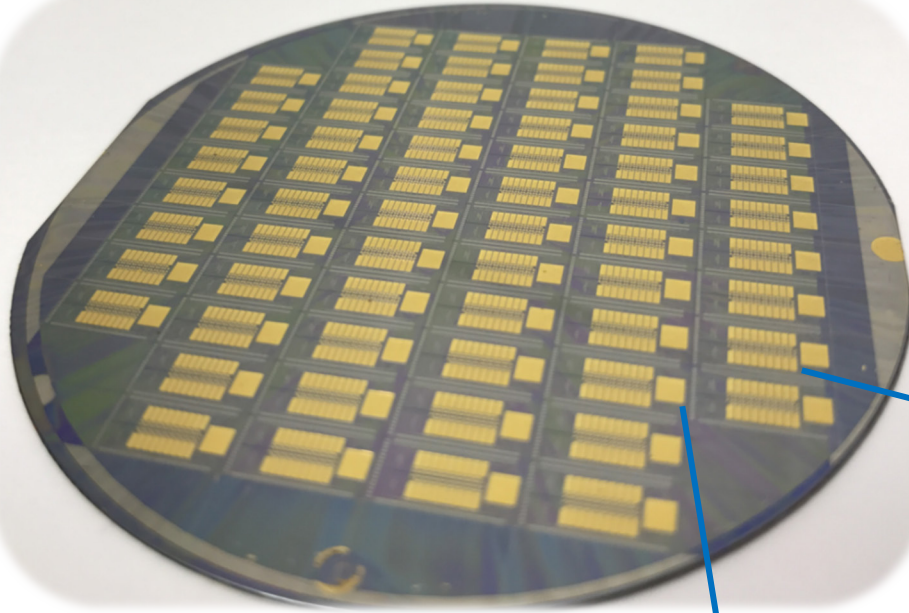
- A. Faessler et al.
J. Phys. G **42** (2015) 015108
- R. G. H. Robertson
Phys. Rev. C **91**, 035504 (2015)
- A. Faessler and F. Simkovic
Phys. Rev. C **91**, 045505 (2015)
- A. Faessler et al.
Phys. Rev. C **91**, 064302 (2015)
- A. De Rujula and M. Lusignoli
arXiv:1601.04990v1 [hep-ph] 19 Jan 2016
- A. Faessler et al.
Phys. Rev. C **95** (2017) 045502



Present status



ECHO-1k array



3" wafer with 64 ECHO-1k chip

Suitable for
parallel and multiplexed readout

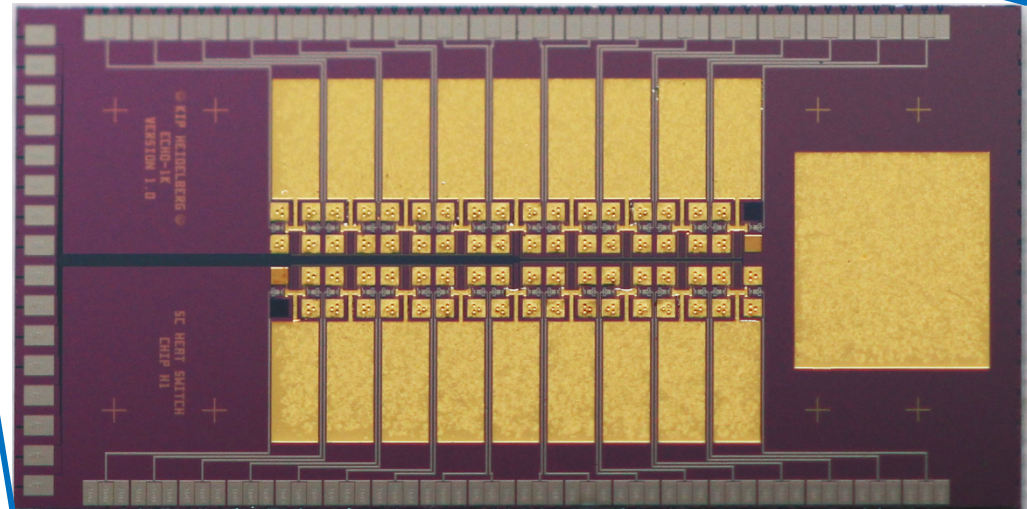
64 pixels which can be loaded with ^{163}Ho
+ 4 detectors for diagnostics

Design performance:

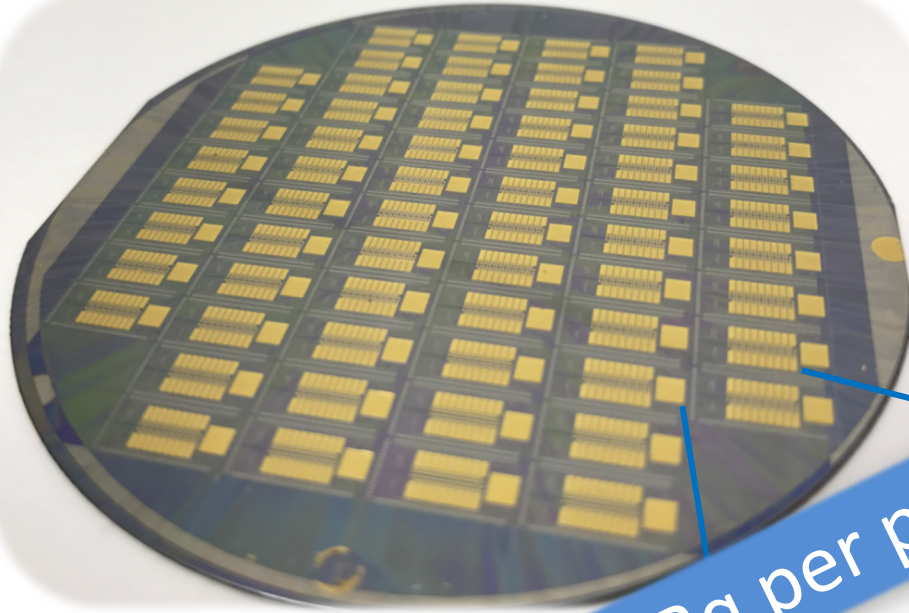
$$\Delta E_{\text{FWHM}} \sim 5 \text{ eV}$$

$$\tau_r \sim 90 \text{ ns (single channel readout)}$$

$$\tau_r \sim 300 \text{ ns (multiplexed read-out)}$$



ECHO-1k array



3" wafer with 64 ECHO-1k chip

Suitable for parallel and multiplexed read-out

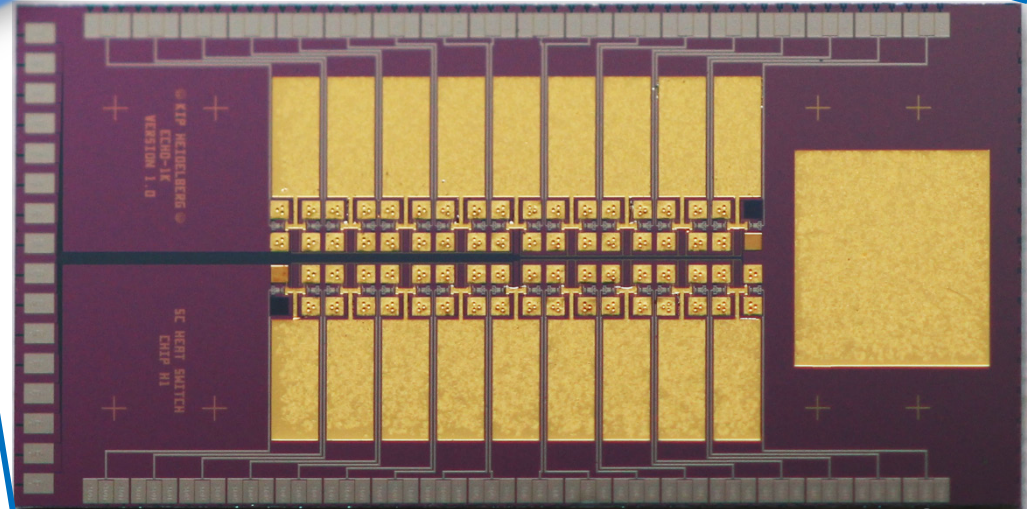
64 pixels which can be read out with ^{163}Ho
+ 4 detector channels

Design performance:

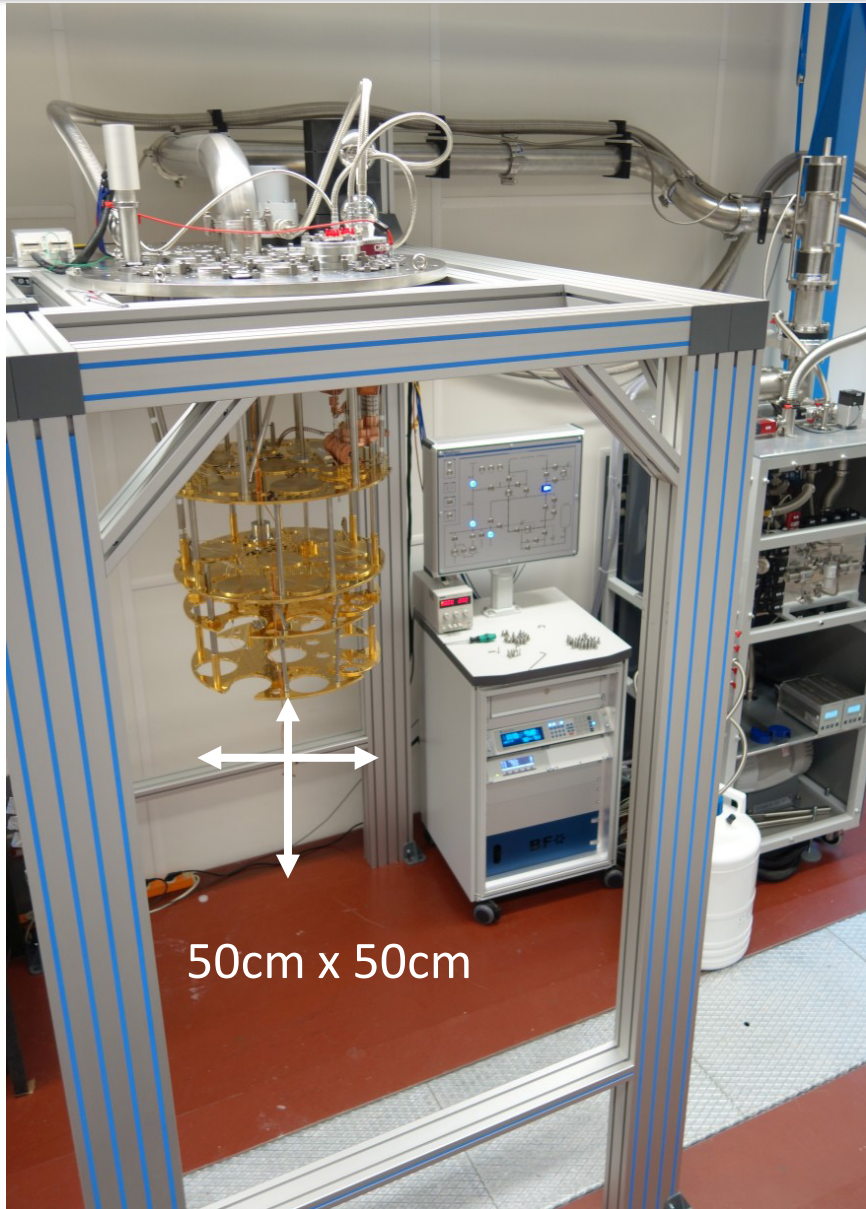
$$\Delta E_{\text{FWHM}} \sim 5 \text{ eV}$$

$$\tau_r \sim 90 \text{ ns (single channel readout)}$$

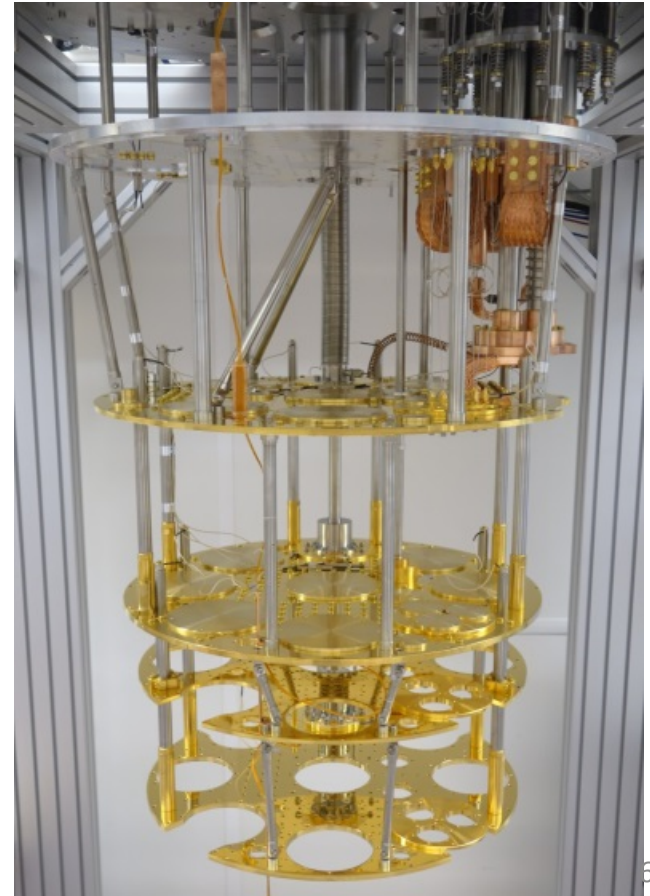
$$\tau_r \sim 300 \text{ ns (multiplexed read-out)}$$



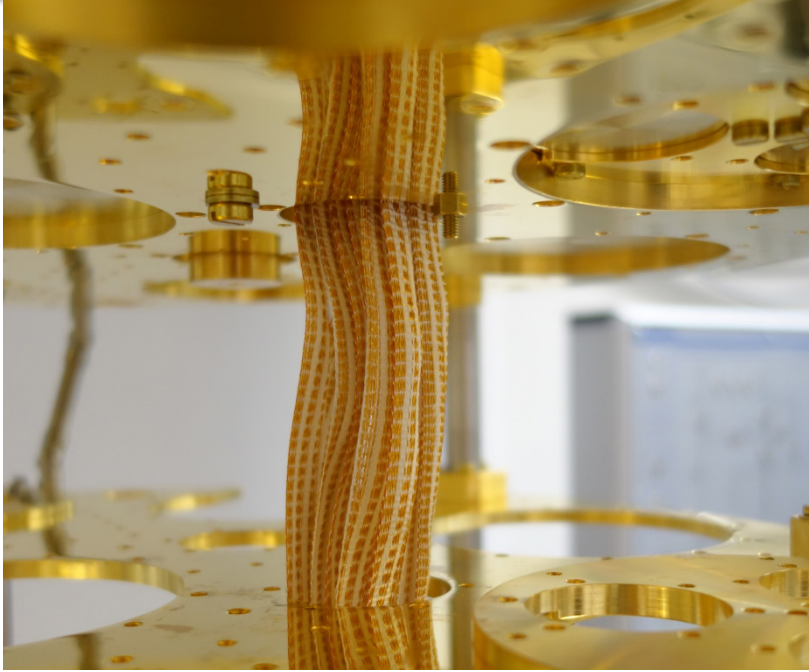
ECHO cryogenic platform



- Large space at MXC enough for several ECHO phases
- cooling power: $15\mu\text{W}$ @ 20 mK
- Possibility to load 200kg for passive shielding



ECHO cryogenic platform

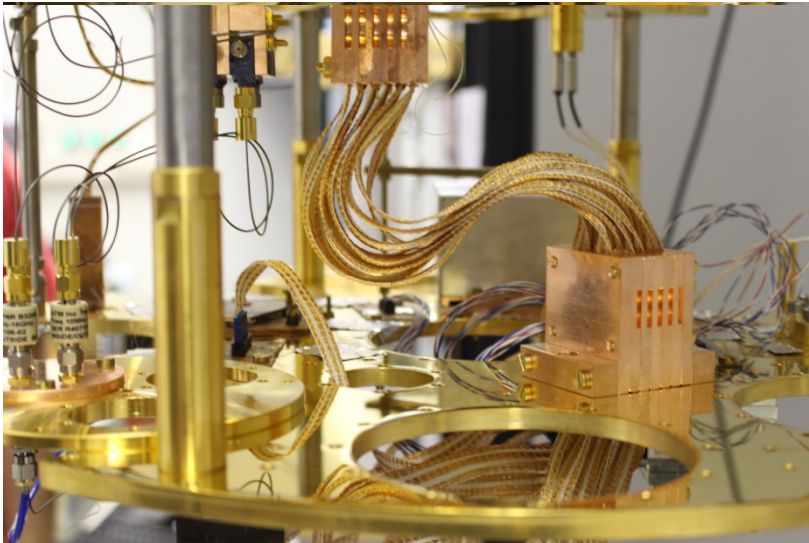


- Large space at MXC enough for several ECHO phases
- cooling power: $15\mu\text{W}$ @ 20 mK
- Possibility to load 200kg for passive shielding
- Presently equipped with:

2 RF lines for microwave multiplexing readout of 2 MMC arrays

12 ribbons each with 30 Cu98Ni2 0.2 mm, 1.56 Ohm/m, cables from RT to mK

→ allows for parallel readout of 36 two-stage SQUID set-up



ECHo-1k (2015 - 2018)

^{163}Ho activity: $A_t = 1 \text{ kBq}$

Detectors: **Metallic Magnetic Calorimeters**

→ Energy resolution $\Delta E_{\text{FWHM}} \leq 5 \text{ eV}$

→ Time resolution $\tau \leq 1 \mu\text{s}$

Unresolved pile-up fraction $f_{\text{pu}} \leq 10^{-5}$

→ activity per pixel: $A = 10 \text{ Bq}$

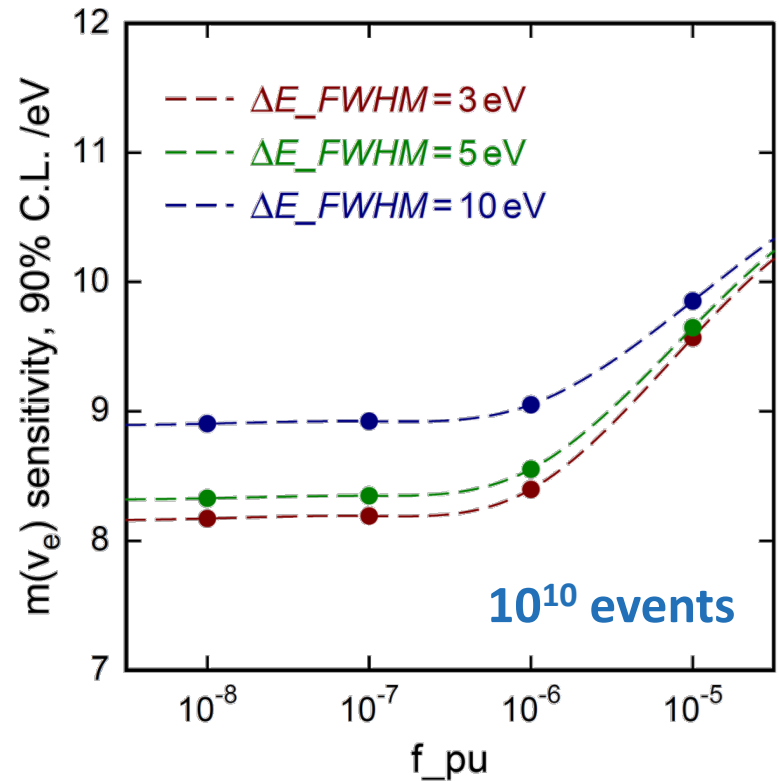
→ number of detectors $N = 100$

Read-out : **Microwave SQUID Multiplexing**

→ 2 arrays with ~ 50 single pixels

Background $b < 10^{-5} \text{ /eV/det/day}$

Measuring time $t = 1 \text{ year}$



$m(\nu_e) < 10 \text{ eV } 90\% \text{ C.L.}$

ECHo-1M (next future)

^{163}Ho activity: $A_t = 1 \text{ MBq}$

Detectors: **Metallic Magnetic Calorimeters**

→ Energy resolution $\Delta E_{\text{FWHM}} \leq 3 \text{ eV}$

→ Time resolution $\tau \leq 0.1 \mu\text{s}$

Unresolved pile-up fraction $f_{\text{pu}} \leq 10^{-6}$

→ activity per pixel: $A = 10 \text{ Bq}$

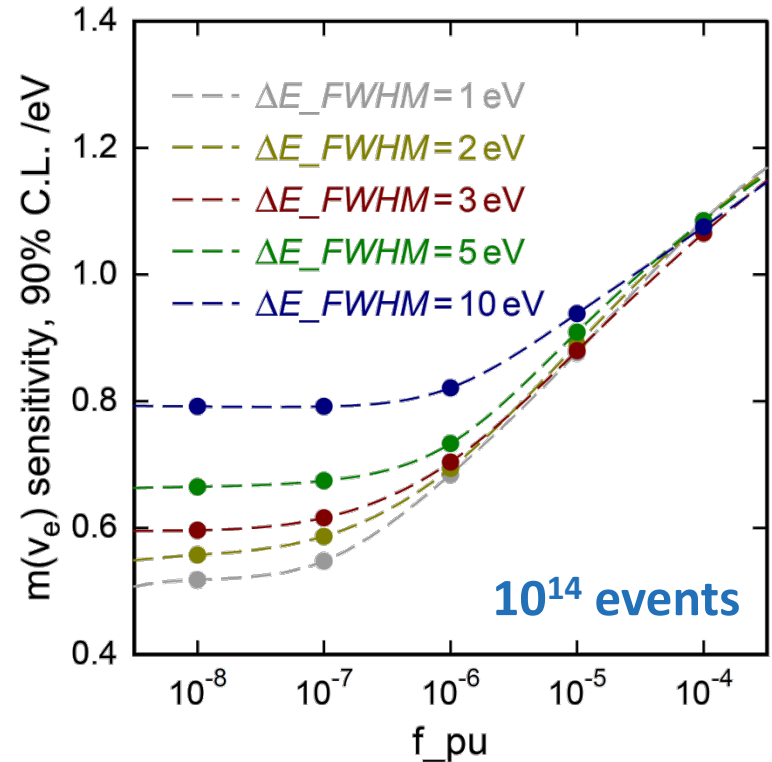
→ number of detectors $N = 10^5$

Read-out : **Microwave SQUID Multiplexing**

→ 100 arrays with ~ 1000 single pixels

Background $b < 10^{-6} \text{ /eV/det/day}$

Measuring time $t = 1 - 3 \text{ year}$

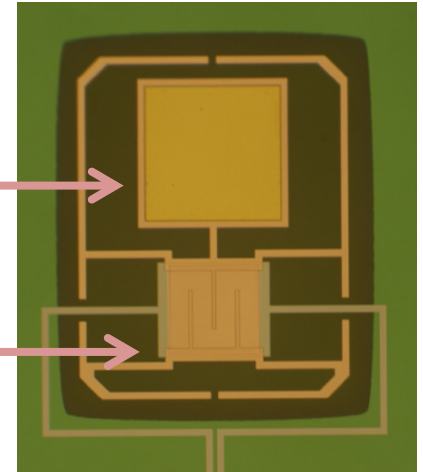


$m(\nu_e) < 1 \text{ eV } 90\% \text{ C.L.}$

HOLMES: Detectors

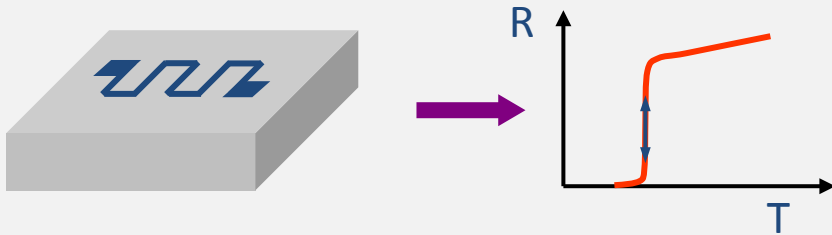
Absorber: Bi-Au or Au + 6.5×10^{13} ^{163}Ho per detector \rightarrow **300 dec/sec**
 ^{163}Ho ion implanted in absorber using dedicated facility at Genoa University

Transition Edge Sensor: MoCu or MoAu superconducting films



NIST, Boulder

Resistance at superconducting transition, TES

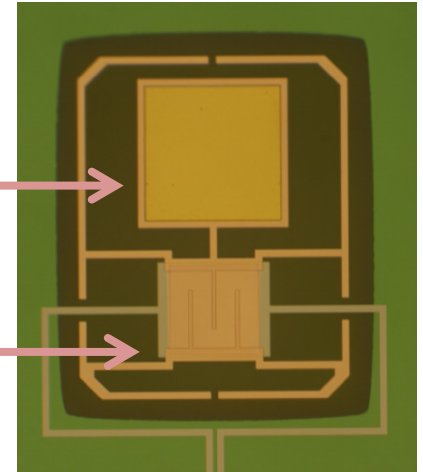


Definition of the critical temperature thanks to the proximity effect: normal metal suppress superconductivity in a superconducting thin film in good electrical contact

HOLMES: Detectors

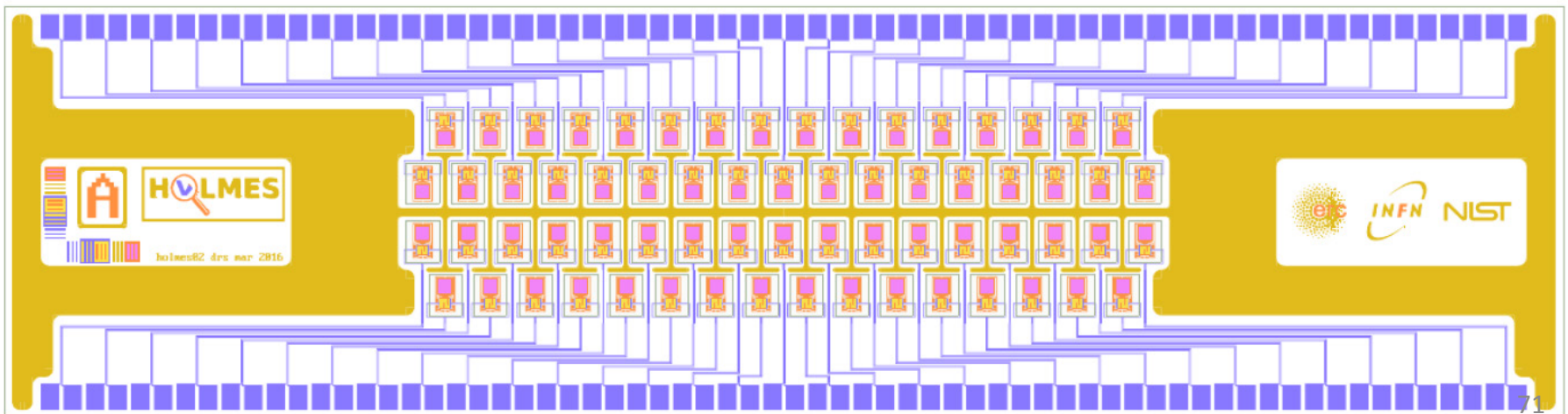
Absorber: Bi-Au or Au + 6.5×10^{13} ^{163}Ho per detector \rightarrow **300 dec/sec**
 ^{163}Ho ion implanted in absorber using dedicated facility at Genoa University

Transition Edge Sensor: MoCu or MoAu superconducting films

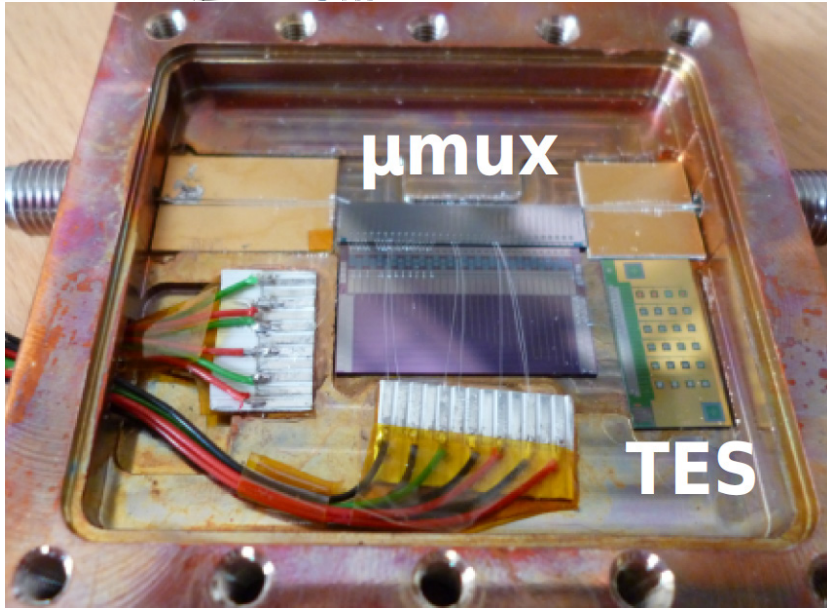


NIST, Boulder

Microwave multiplexing \rightarrow HOLMES 4×16 linear sub-array \rightarrow $\Delta E \approx 1\text{eV}$ and $\tau_R \approx 1\mu\text{s}$
goal \rightarrow **1000 pixels**

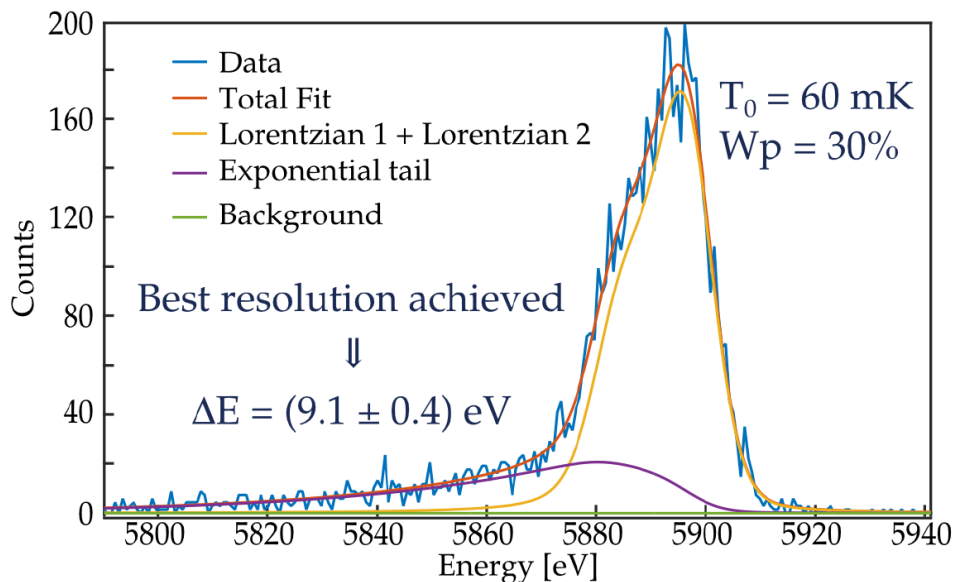


HOLMES: new results and future plans



First test of multiplexed TES detectors
with no implanted ^{163}Ho

→ Very good energy resolution



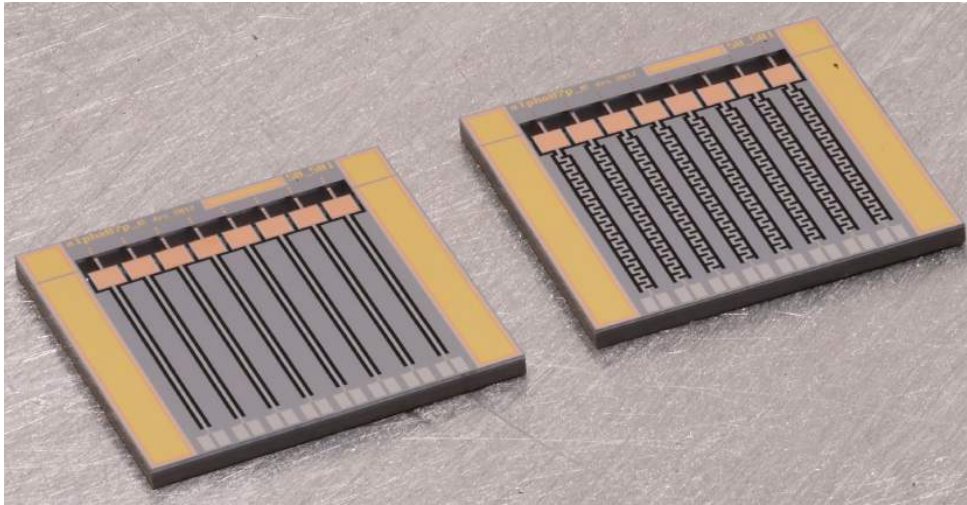
HOLMES Timeline

- End 2016 ^{163}Ho implantation in arrays
- 2017 detector characterization
- 2018 + measurements
- 3×10^{13} events in 3 years
- ~ 1 eV sensitivity

NuMECS detectors

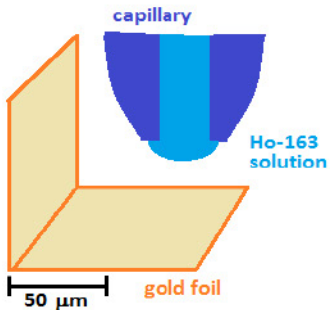
Transition Edge Sensor: MoCu superconducting films on solid silicon

- Completed the high-yield microfabrication
- Microwave multiplexing technique

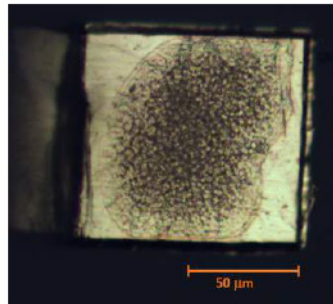


- Testing several methods of incorporating Ho into absorbers
 - * Au nanofoam

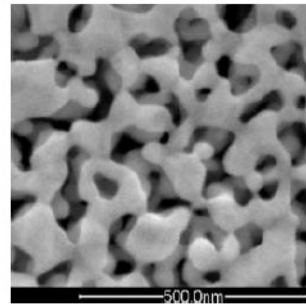
^{163}Ho depositon



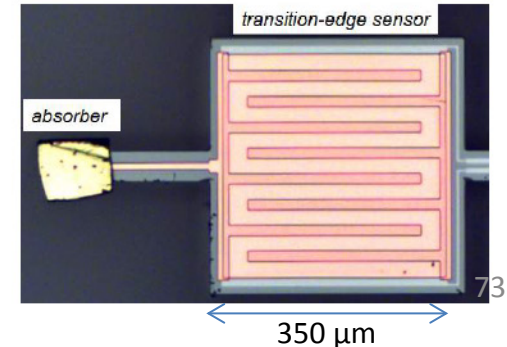
^{163}Ho salt on Au Foil



Nanoporous Au SEM

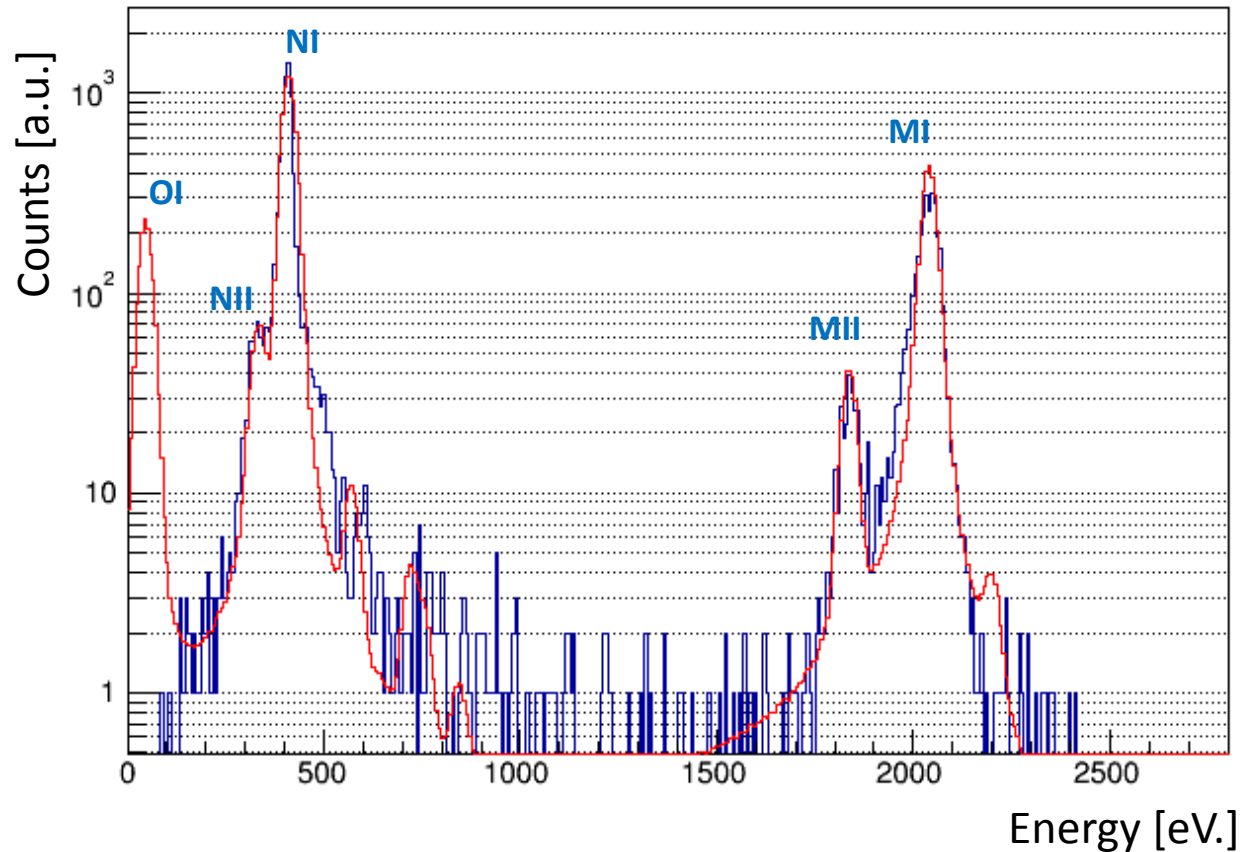


Absorber attached to TES



NuMECS: ^{163}Ho spectrum

^{163}Ho spectrum measured with detector prototypes



Very promising results!

R&D is on-going to improve detector performance

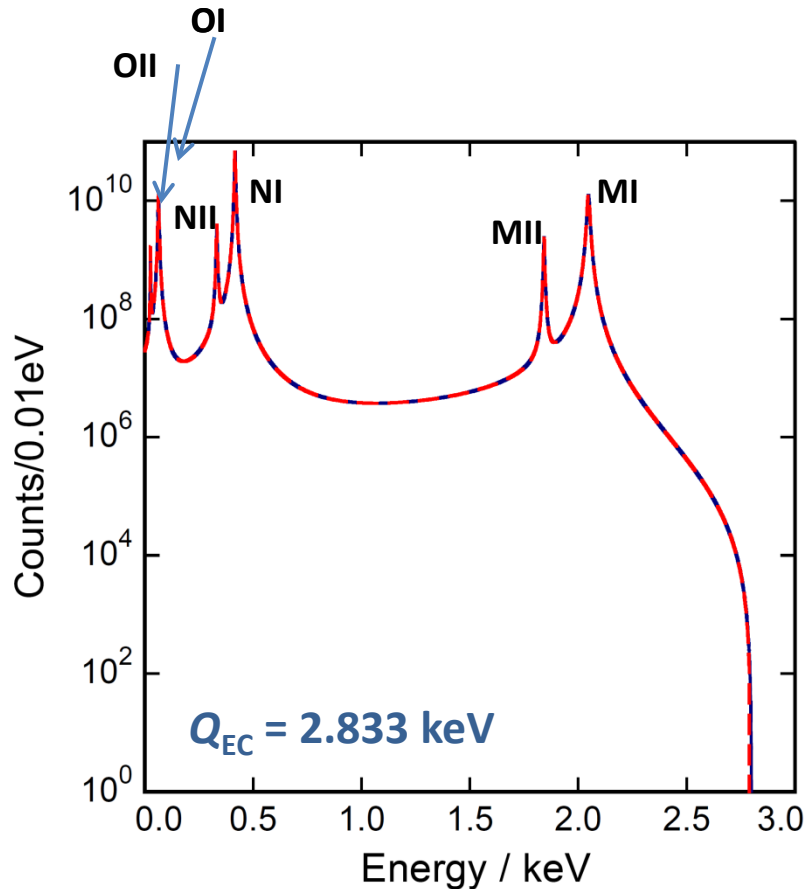
Experiments to study spectral shape

35 eV FWHM Gaussian convolved with calculation from
Faessler et al., Phys. Rev. C. 2015

How does
the existence of sterile neutrino
affect the EC spectrum?

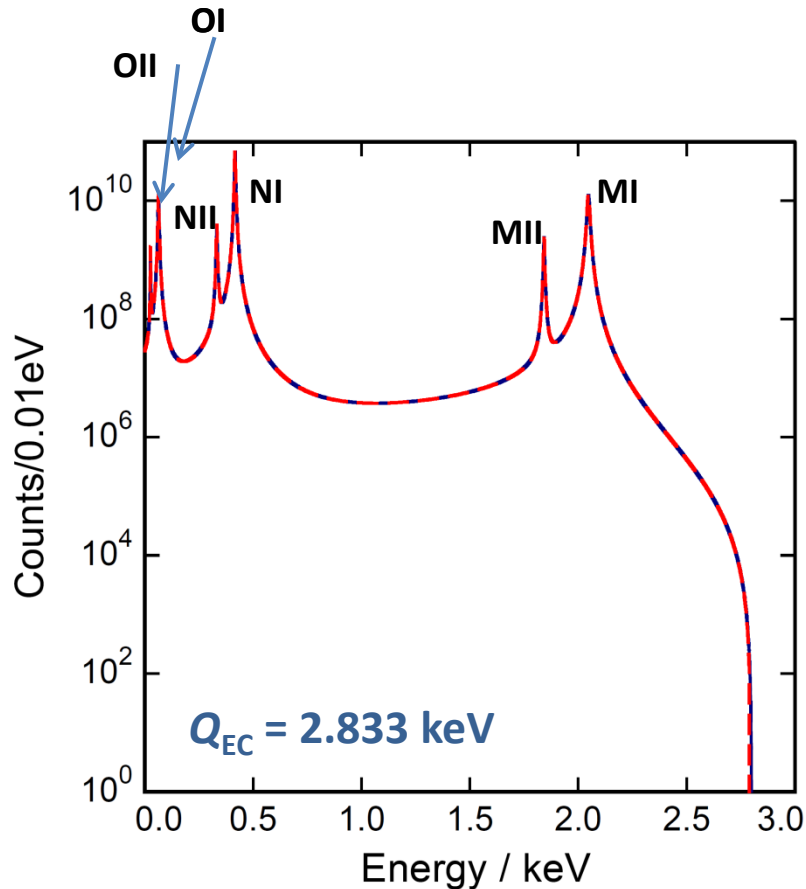
Sterile Neutrino and ^{163}Ho

$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sqrt{1 - \frac{m_\nu^2}{(Q_{\text{EC}} - E_C)^2}} \sum_H B_H \phi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$



Sterile Neutrino and ^{163}Ho

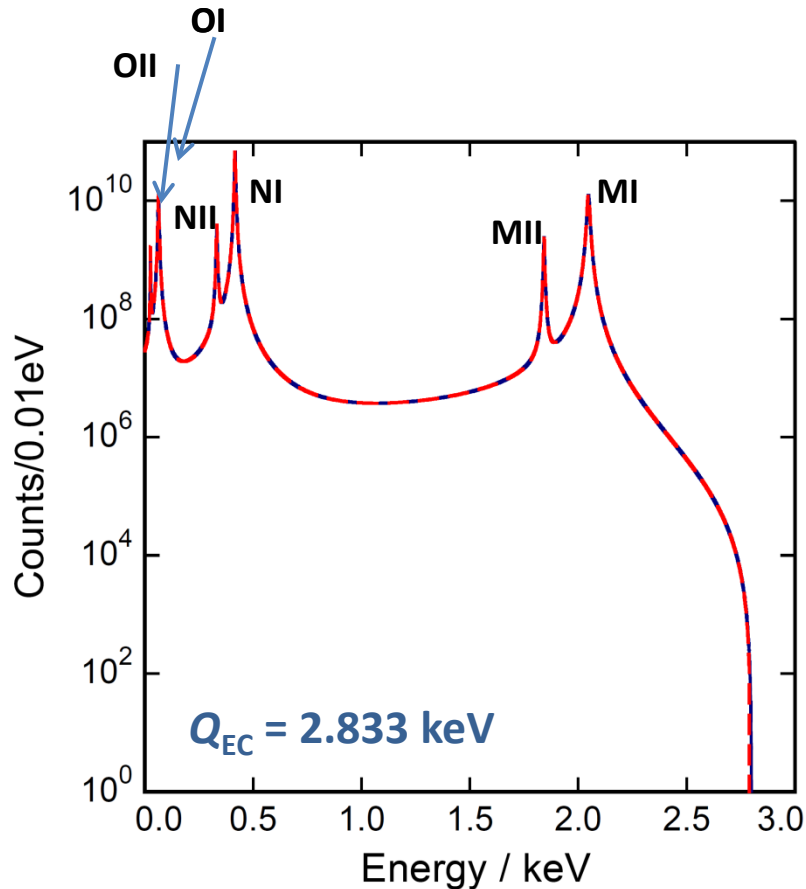
$$\frac{dW}{dE_C} = A(Q_{\text{EC}} - E_C)^2 \sum_i |U_{ei}|^2 \sqrt{1 - \frac{m_i^2}{(Q_{\text{EC}} - E_C)^2}} \sum_H B_H \varphi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}} \quad m_\nu^2 = \sum_i |U_{ei}|^2 m_i^2$$



- Electron neutrino mass as superposition of mass eigenstates

Sterile Neutrino and ^{163}Ho

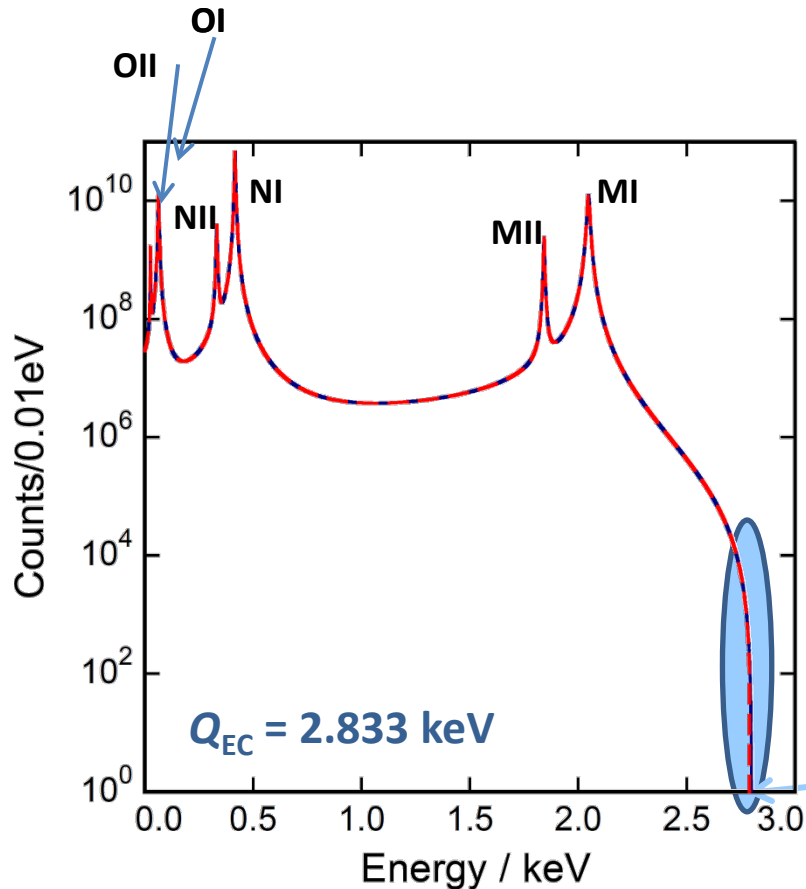
$$\frac{dW}{dE_C} = A(Q_{EC} - E_C)^2 \left[(1 - |U_{e4}|^2) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{EC} - E_C)^2}} H(Q_{EC} - E_C - m_4) \right] \sum_H B_H \varphi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$



- Electron neutrino mass as superposition of mass eigenstates
- $m_{i=1,2,3} \ll m_4 \longrightarrow m_{i=1,2,3} \sim 0 \text{ eV}$

Sterile Neutrino and ^{163}Ho

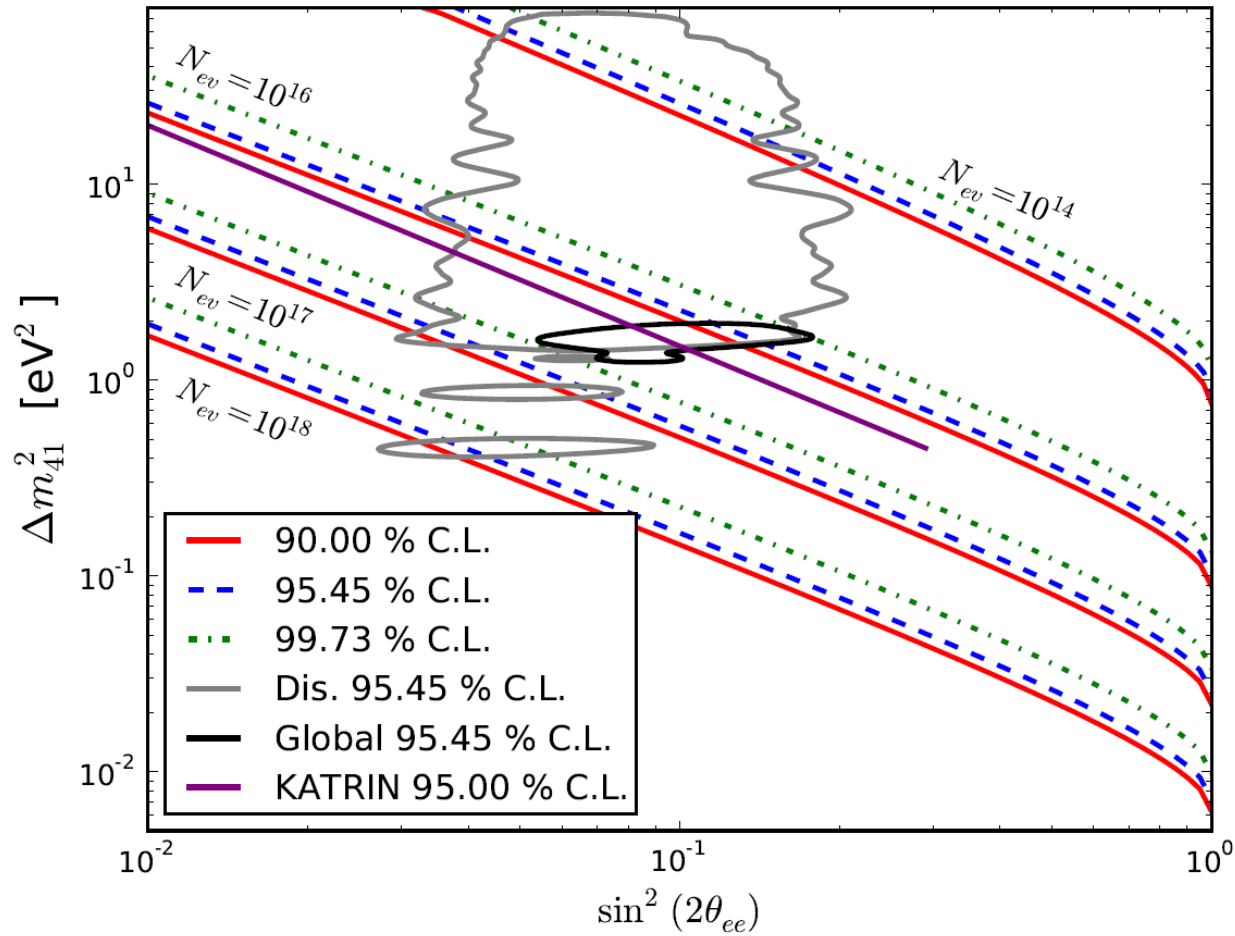
$$\frac{dW}{dE_C} = A(Q_{EC} - E_C)^2 \left[(1 - |U_{e4}|^2) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{EC} - E_C)^2}} H(Q_{EC} - E_C - m_4) \right] \sum_H B_H \varphi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$



- Electron neutrino mass as superposition of mass eigenstates
- $m_{i=1,2,3} \ll m_4 \longrightarrow m_{i=1,2,3} \sim 0 \text{ eV}$

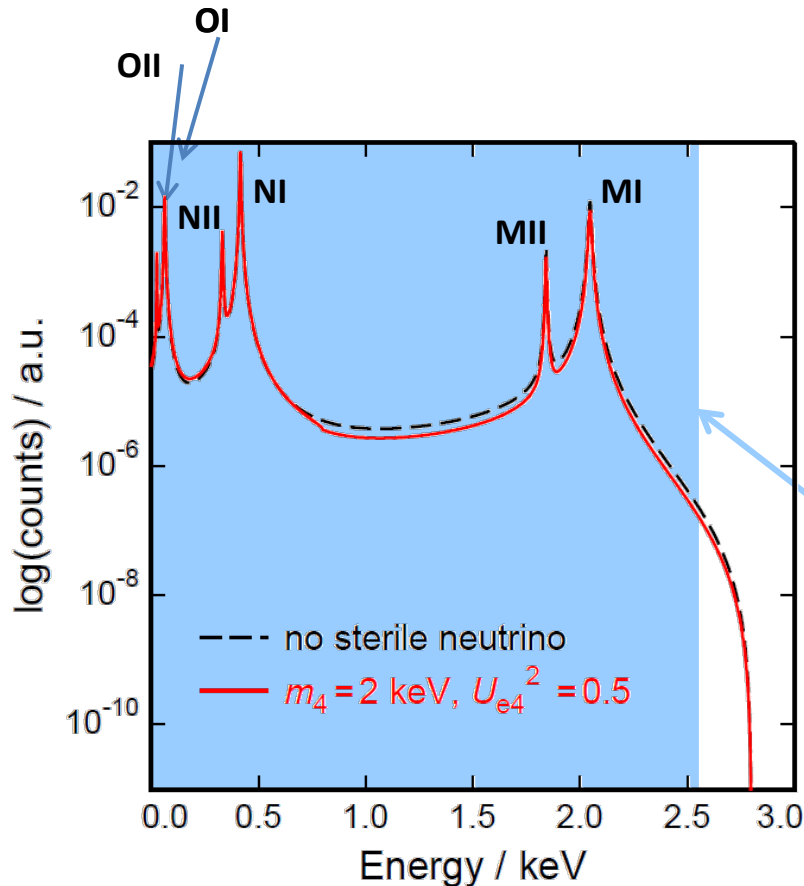
eV-scale sterile neutrinos

eV-scale sterile neutrino



keV-scale sterile neutrino

$$\frac{dW}{dE_C} = A(Q_{EC} - E_C)^2 \left[(1 - |U_{e4}|^2) + |U_{e4}|^2 \sqrt{1 - \frac{m_4^2}{(Q_{EC} - E_C)^2}} H(Q_{EC} - E_C - m_4) \right] \sum_H B_H \varphi_H^2(0) \frac{\frac{\Gamma_H}{2\pi}}{(E_C - E_H)^2 + \frac{\Gamma_H^2}{4}}$$



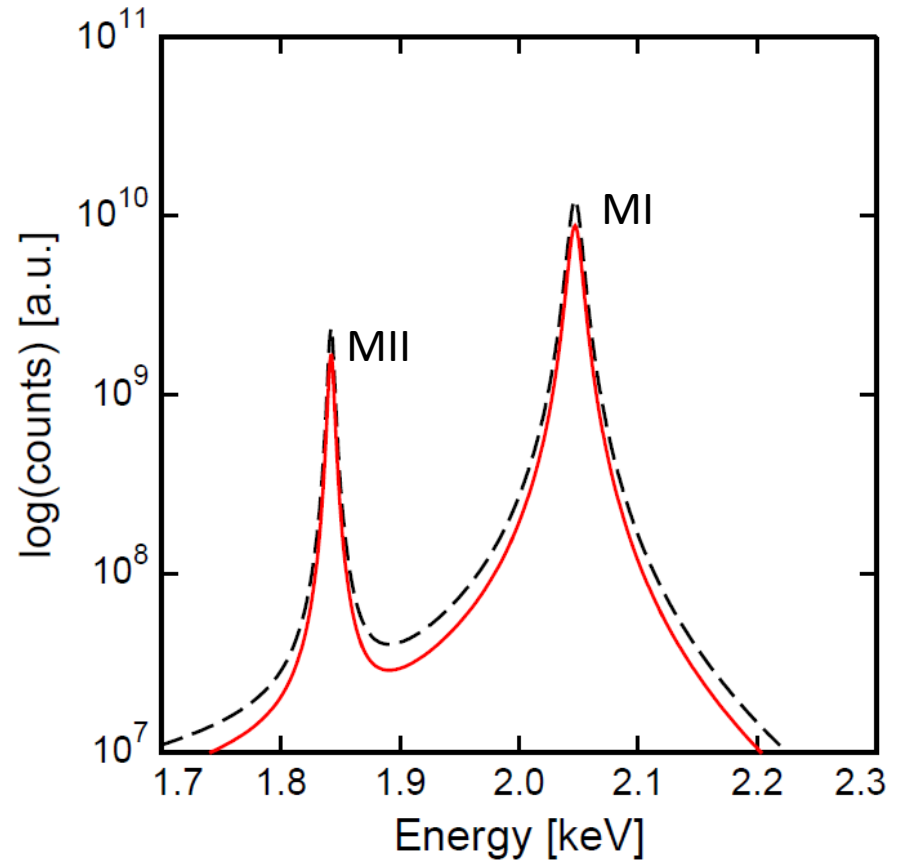
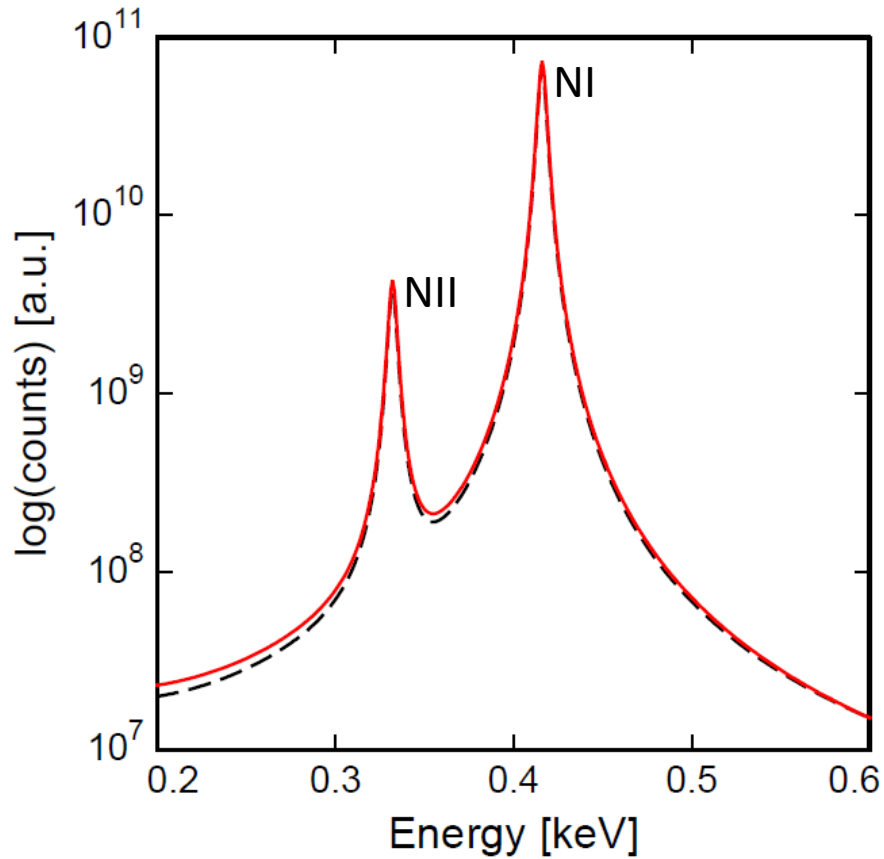
- Electron neutrino mass as superposition of mass eigenstates
- $m_{i=1,2,3} \ll m_4 \rightarrow m_{i=1,2,3} \sim 0 \text{ eV}$

keV-scale sterile neutrinos

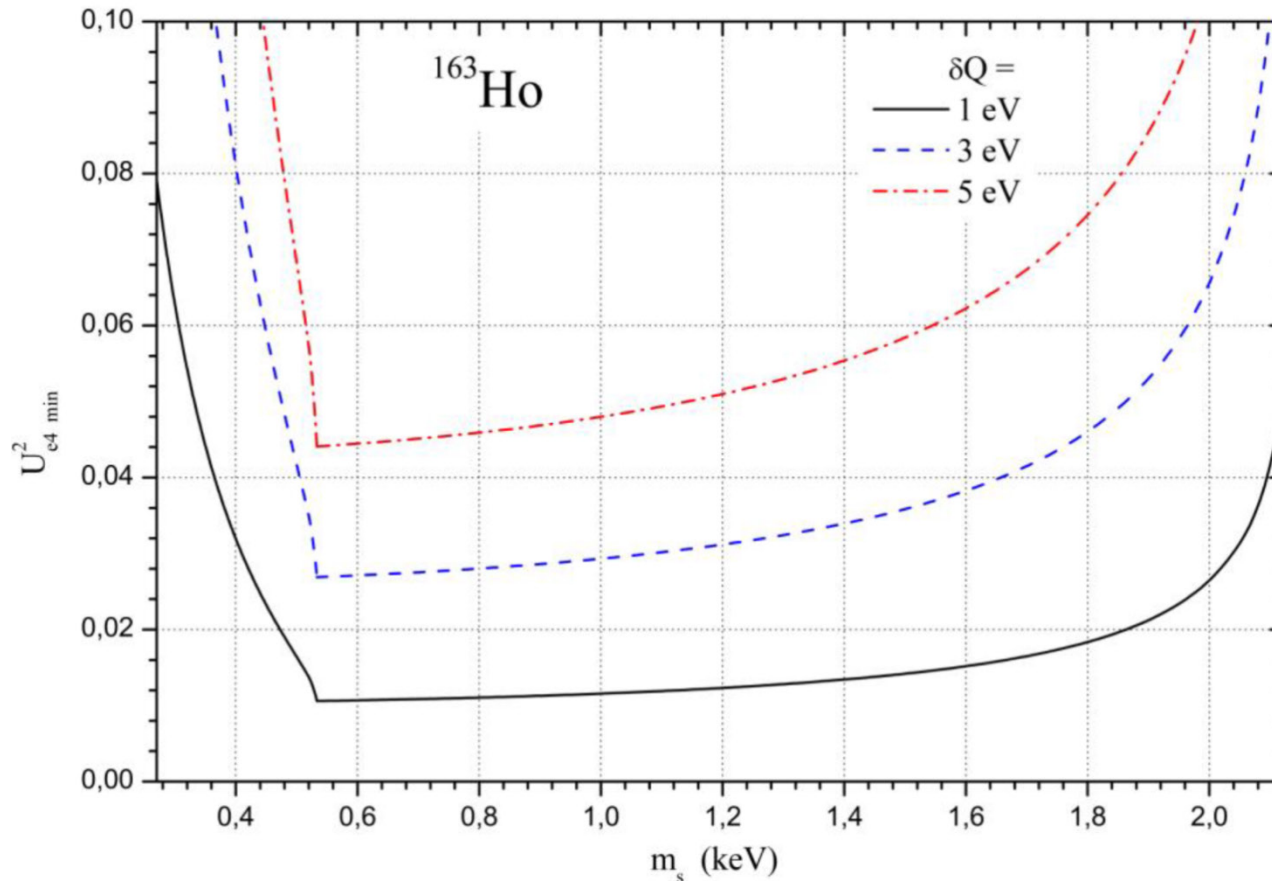
keV-scale sterile neutrino

$m_4=2$ keV, $U_{e4}^2=0.5$

no sterile neutrino

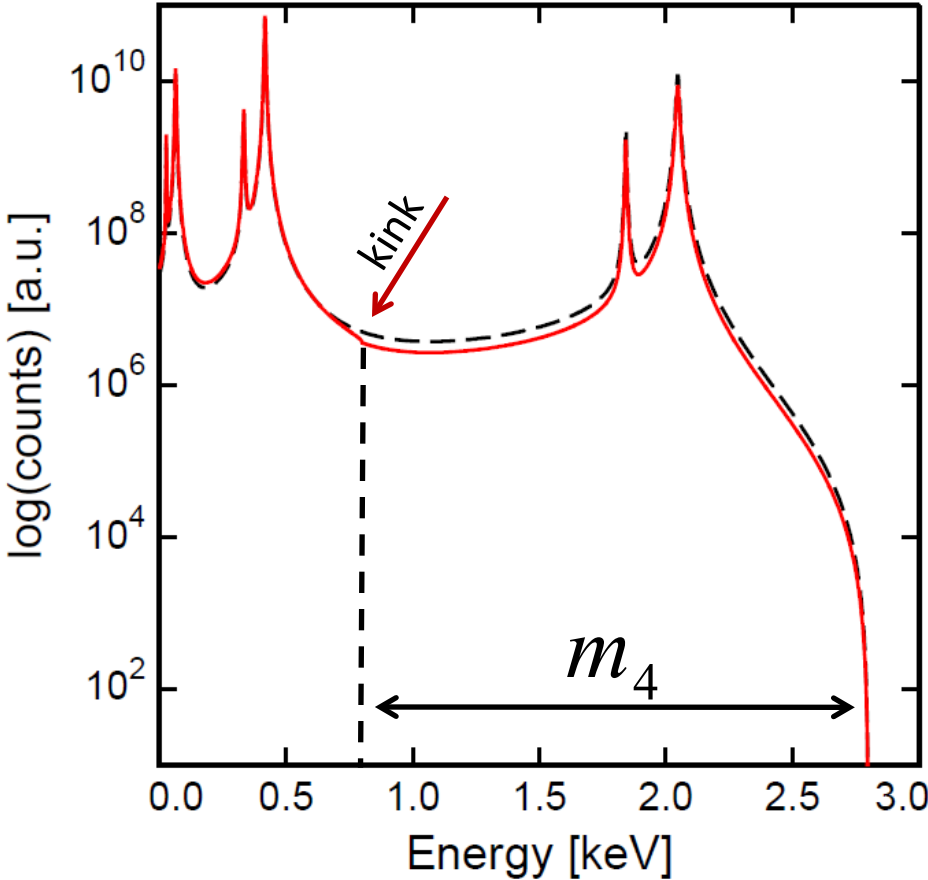


keV-scale sterile neutrino



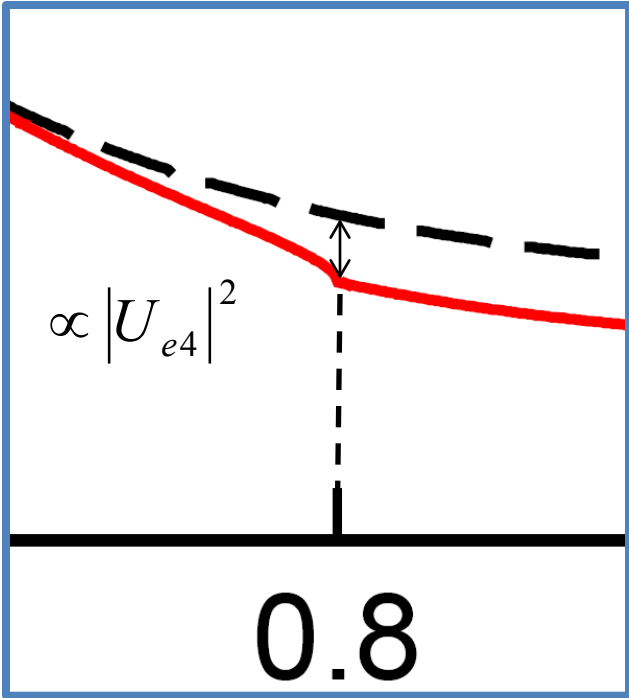
Sensitivity to the mixing matrix element at 90% CL as a function of the sterile neutrino mass achievable with about 10^{10} events in the full EC spectrum.

keV-scale sterile neutrino

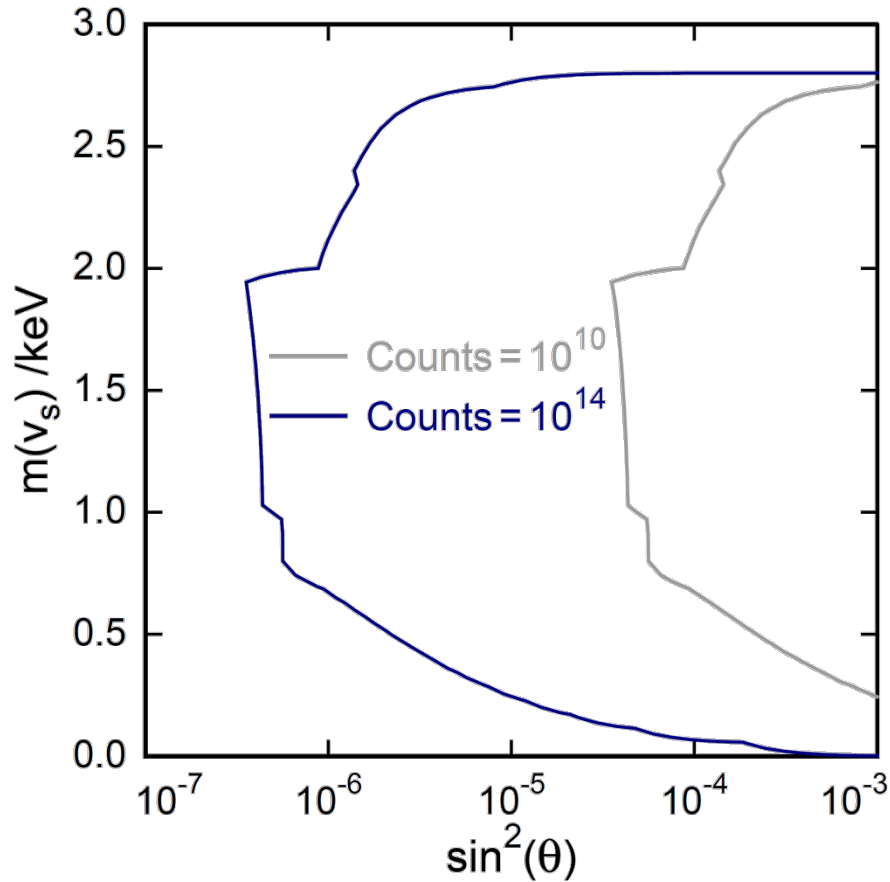


$m_4=2 \text{ keV}, U_{e4}^2=0.5$
no sterile neutrino

- position of kink => m_4
- depth of kink => $|U_{e4}|^2$



keV-scale sterile neutrino



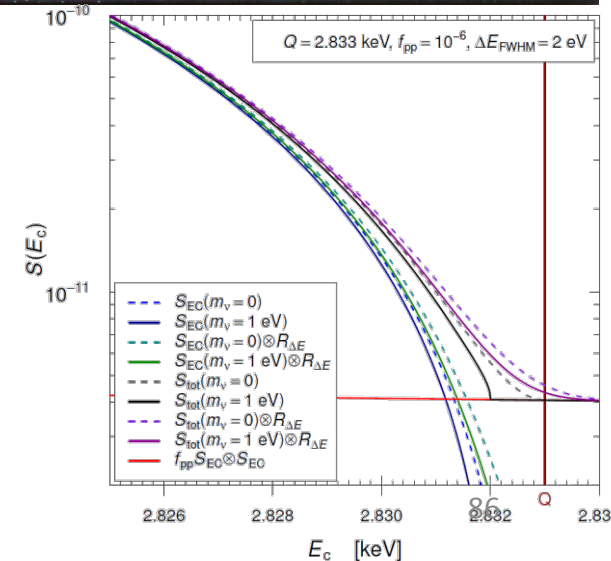
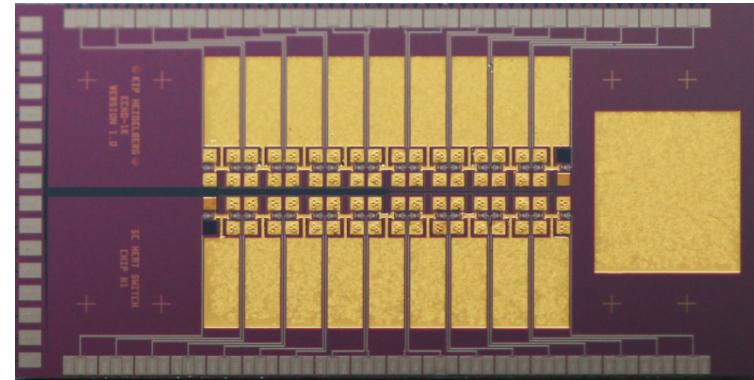
- Statistical Fluctuation
- No Pile Up
- Theoretical Spectrum supposed to be perfectly known

Conclusions and outlook

Three large collaboration aim to reach sub-eV sensitivity on the electron neutrino mass analysing high statistics and high resolution ^{163}Ho spectra

- High purity ^{163}Ho sources have been produced
- ^{163}Ho ions can be successfully enclosed in microcalorimeter absorbers
- Large arrays have been tested and microwave SQUID multiplexing has been successfully proved
- Search for signature of sterile neutrinos (eV- and keV-scale)
- A new limit on the electron neutrino mass is approaching

Er161 3.21 h 3/2-	Er162 0+	Er163 75.0 m 5/2-	Er164 0+	Er165 10.36 h 5/2-	Er166 0+
EC	0.14	EC	1.61	EC	33.6
Ho160 25.6 m 5+	Ho161 2.48 h 7/2- *	Ho162 15.0 m 1+ *	Ho163 4570 y 7/2- *	Ho164 29 m 1+ *	Ho165 7/2-
EC	EC	EC	EC	EC,β	100





Thank you!

Take-home messages

- Where a finite electron neutrino mass affects the ^{163}Ho EC spectrum
- Experimental methods (advantages and disadvantages)
- International efforts – present status of the experiments
- What else can be learned from the ^{163}Ho EC spectrum

