

Lattice Gauge Theory

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Introduction

- **Lattice QCD** provides a non-perturbative framework to compute relations between SM parameters and experimental quantities from first principles
- Formulate QCD on a euclidean space-time lattice with spacing a and volume $L^3 \cdot T$

$$a^{-1} \sim \Lambda_{UV} \quad (\text{gauge invariant})$$

$$\langle \Omega \rangle = Z^{-1} \int [dU][d\bar{\psi}][d\psi] \Omega e^{-S_G - S_F}$$

→ allows for **stochastic** evaluation of $\langle \Omega \rangle$

- Ideally want to use lattice QCD as a phenomenological tool, e.g.

$$\left. \begin{array}{l} f_\pi \\ m_{K^+} \end{array} \right\} \mapsto \left\{ \begin{array}{l} \alpha_s(M_Z) \\ (m_u + m_s)(2 \text{ GeV}) \end{array} \right.$$

- However, **realistic** simulations of lattice QCD are **hard. . .**

(1) Lattice artefacts (cutoff effects)

$$\langle \Omega \rangle^{\text{lat}} = \langle \Omega \rangle^{\text{cont}} + O(a^p)$$

$$a^{-1} \approx 1 \Leftrightarrow 4 \text{ GeV} \quad \Leftrightarrow \quad a \approx 0.2 \Leftrightarrow 0.05 \text{ fm}$$

→ need to extrapolate to continuum limit: $a \rightarrow 0$

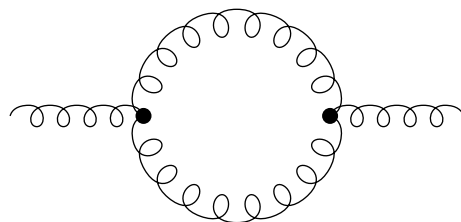
→ choose better discretisations: avoid small p .

(2) Inclusion of dynamical quark effects:

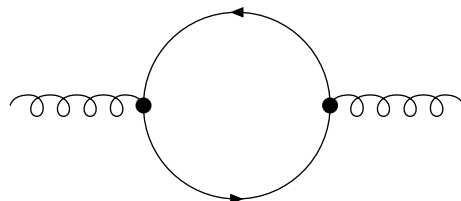
$$Z = \int [dU] e^{-S_G[U]} \prod_f \det(D^{\text{lat}} + m_f)$$

$\det(D^{\text{lat}} + m_f) = 1$: Quenched Approximation

→ neglect quark loops in the evaluation of $\langle \Omega \rangle$.



cheap



expensive

Scale ambiguity in the quenched approximation:

$$a^{-1} [\text{MeV}] = \frac{Q [\text{MeV}]}{(aQ)}, \quad Q = f_\pi, m_N, m_\rho, \sqrt{\sigma}, \dots$$

(3) Restrictions on quark masses:

$$a \ll m_q^{-1} \ll L$$

- cannot simulate u , d and b quarks directly
- need to control extrapolations in m_q

(4) Chiral symmetry breaking:

Nielsen & Ninomiya (1979): exact chiral symmetry cannot be realised at non-zero lattice spacing

- impossible to separate chiral and continuum limits

Outline:

- I. The lattice Dirac operator
 - Improved actions
 - Exact chiral symmetry on the lattice
- II. Simulations with dynamical quarks
 - Light hadron spectrum, quenched & unquenched
- III. Light quark masses
 - Non-perturbative renormalisation
 - Recent estimates
- IV. Glueballs & heavy hybrids
- V. Omissions
- VI. Summary

I. The lattice Dirac operator

- Massless free fermions:

$$S_F = a^4 \sum_{x,y} \bar{\psi}(x) D(x \Leftrightarrow y) \psi(y)$$

- (a) $D(x \Leftrightarrow y)$ is local
- (b) $\tilde{D}(p) = i\gamma_\mu p_\mu + O(ap^2)$
- (c) $\tilde{D}(p)$ is invertible for $p \neq 0$
- (d) $\gamma_5 D + D \gamma_5 = 0$

→ **Nielsen & Ninomiya:** (a)–(d) do not hold simultaneously

→ **either** left with doublers
or chiral symmetry broken explicitly

- **Staggered (Kogut-Susskind)** fermions
- **Wilson** fermions

$$D_W = \frac{1}{2} \gamma_\mu (\nabla_\mu + \nabla_\mu^*) \Leftrightarrow \frac{1}{2} a \nabla_\mu^* \nabla_\mu$$

- degeneracy fully lifted; chiral symmetry broken
- leading cutoff effects of order $a \Rightarrow p = 1$

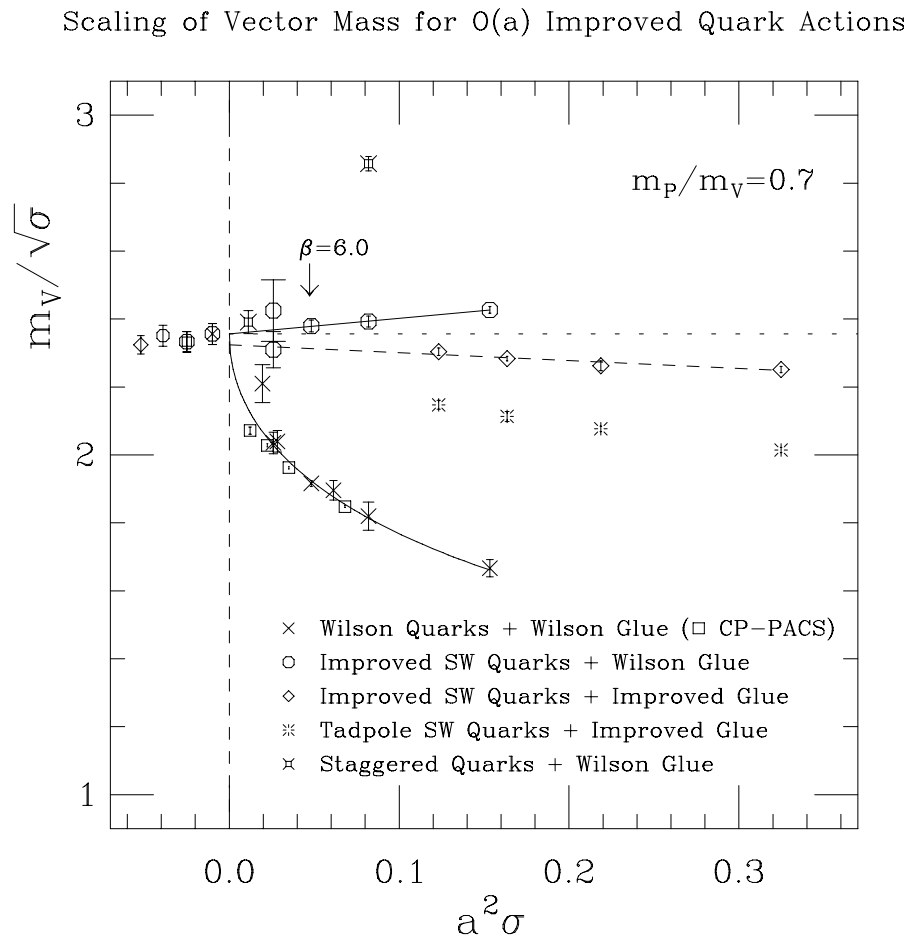
$O(a)$ improved Wilson fermions

- Can one find discretisation for Wilson fermions with reduced cutoff effects?
- **Symanzik improvement:** Remove leading lattice artefacts by adding a counterterm to the Wilson-Dirac operator (Sheikholeslami-Wohlert,...)

$$D_{SW} = D_W + m_0 + \frac{ia}{4} c_{sw} \sigma_{\mu\nu} F_{\mu\nu}(x)$$

- Fix $c_{sw}(g_0)$ through suitable improvement condition
 - leading lattice artefacts are $O(a^2)$ in spectral quantities
- For $n_f = 0$ and $n_f = 2$, c_{sw} has been determined non-perturbatively M. Lüscher et al. 1997; R. Edwards et al. 1997; Jansen & Sommer 1998.

- Scaling of m_V for $m_{PS}/m_V = 0.7$ (T. Klassen 1998)



- Non-perturbatively improved Wilson action: scaling behaviour is consistent with residual a^2 artefacts
- Can push Symanzik improvement to higher orders (Alford, Klassen, Lepage 1998)
- Chiral symmetry remains broken

Exact chiral symmetry on the lattice

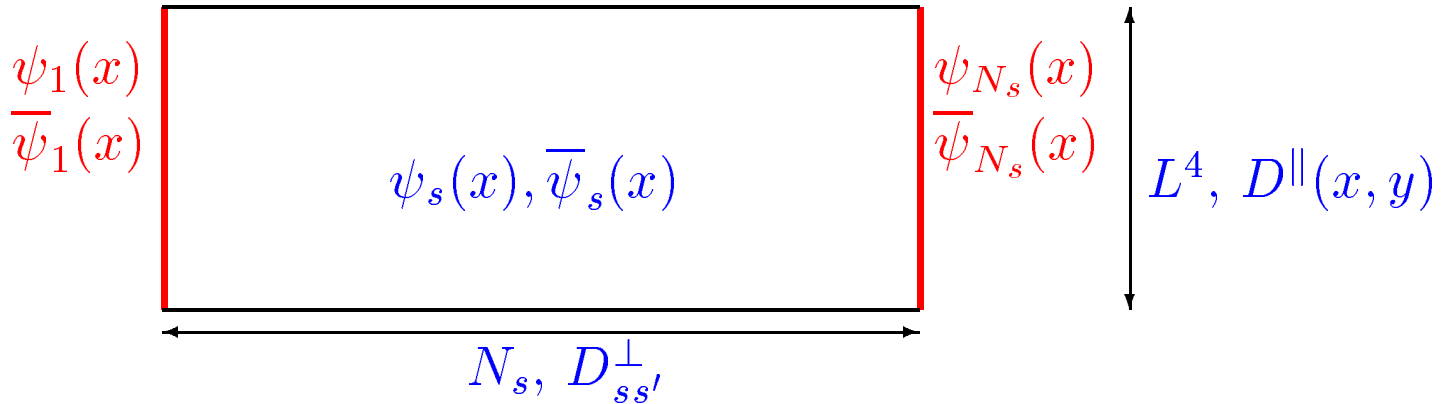
- Chiral symmetry breaking may be tolerated in many applications of QCD
- Chiral symmetry is crucial for non-perturbative formulation of
 - EW theory
 - SUSY
- Proposals to circumvent the NN Theorem:
 - Domain Wall Fermions
(Kaplan 1992; Shamir, Furman 1993)
 - Overlap Formalism (Narayanan, Neuberger, 1993–98)
 - “Perfect Actions”
(Hasenfratz, Niedermayer, . . . , 1993–98)
 - Ginsparg-Wilson relation
(Ginsparg & Wilson 1982, Lüscher 1998–99)
- See also:

T. Blum, Lattice 98

M. Lüscher
H. Neuberger } Lattice 99

Domain Wall Fermions (Shamir & Furman)

- Introduce discrete extra (5th) dimension:



$$D_{ss'}^{\text{DWF}}(x, y) = D^{\parallel}(x, y)\delta_{ss'} + \delta(x \Leftrightarrow y)D_{ss'}^{\perp}$$

$D^{\parallel}(x, y)$: Wilson-Dirac operator with mass $\Leftrightarrow M$.

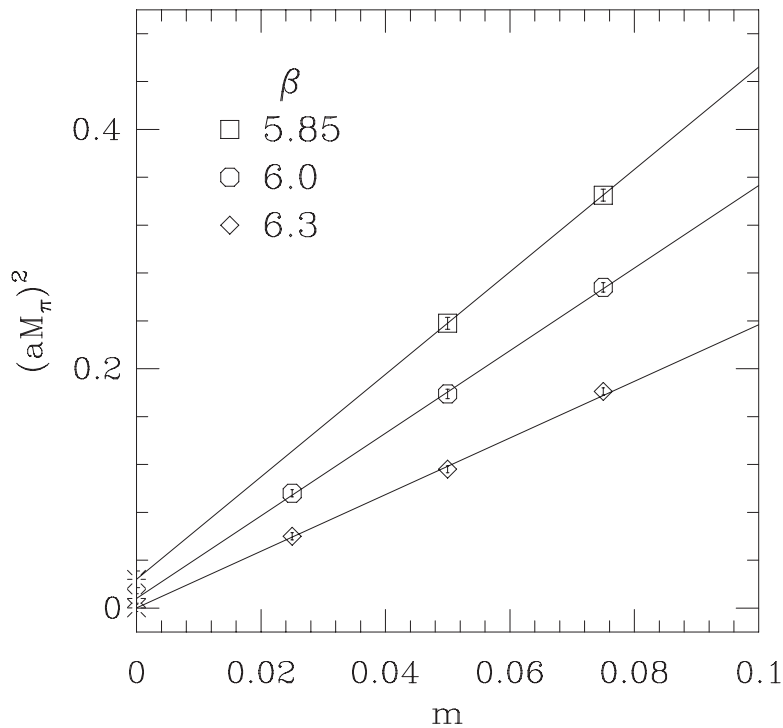
$D_{ss'}^{\perp}$: contains Dirac mass m .

- For $m = 0, N_s \rightarrow \infty$:
 - no fermion doublers
 - chiral modes are trapped in 4-dim. domain walls at either end
- In practice: work at finite N_s
 - decoupling of chiral modes not exact
 - terms which break chiral symmetry are **exponentially** suppressed

- Pion mass:

$$(am_\pi)^2 = C(am + ae^{-\gamma N_s})$$

Blum, Soni, Wingate, hep-lat/9902016, $N_s = 10$



- Can realise (almost exact) chiral symmetry at non-zero a at the expense of simulating 5-dim. theory
 - $N_s \approx 10 \Leftrightarrow 30$ may be sufficient?

Ginsparg-Wilson Relation

- Replace condition $\gamma_5 D + D \gamma_5 = 0$ by the weaker relation (Ginsparg & Wilson 1982)

$$\gamma_5 D + D \gamma_5 = a D \gamma_5 D$$

- If D satisfies GWR, then this implies an **exact** global symmetry (Lüscher 1998)

$$\delta\psi = \gamma_5(1 \Leftrightarrow \frac{1}{2}aD)\psi, \quad \delta\bar{\psi} = \bar{\psi}(1 \Leftrightarrow \frac{1}{2}aD)\gamma_5$$

→ “lattice chiral symmetry”

→ Flavour-singlet case: Ward identities have correct anomaly

- Construction of gauge theories with **local** chiral symmetry (Abelian and non-Abelian) relies on GWR (Lüscher 1998–99)

Overlap Formalism (Narayanan, Neuberger)

- Reinterpretation of the domain wall proposal; Overlap operator (Neuberger 1998):

$$D_N = \frac{1}{a} \left(1 \Leftrightarrow A (A^\dagger A)^{-1/2} \right), \quad A = 1 \Leftrightarrow a D_W$$

D_W : massless Wilson-Dirac operator

→ satisfies GW-relation

Witten's SU(2) anomaly on the lattice

- **Witten 1982:** SU(2) gauge theory coupled to a single left-handed fermion is mathematically inconsistent:

$$\begin{aligned}\mathcal{Z} &= \int [dA] e^{-S_G[A]} \int [d\bar{\psi}][d\psi]_{\text{Weyl}} e^{-\bar{\psi} D \psi} \\ &= \int [dA] (\det D[A])^{1/2} e^{-S_G[A]}\end{aligned}$$

- There is a non-trivial gauge transformation $U(x)$ such that

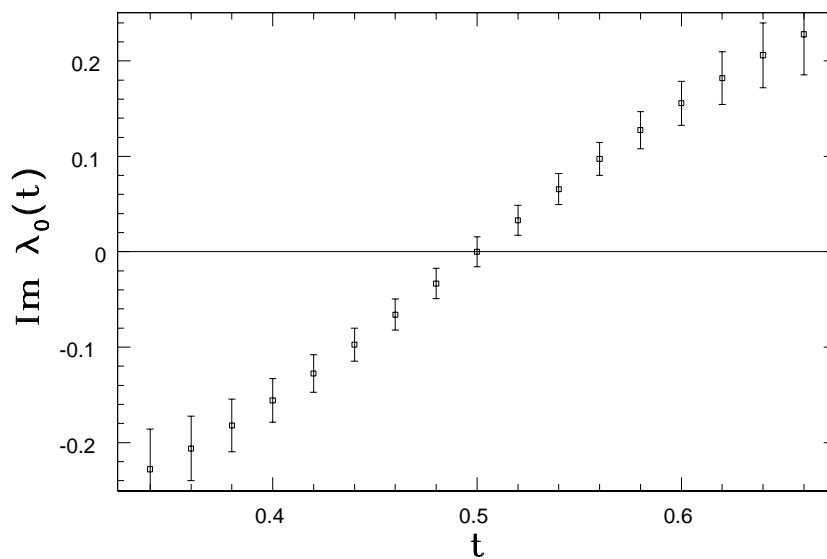
$$(\det D[A])^{1/2} = \Leftrightarrow (\det D[A^U])^{1/2}$$

- Expectation value w.r.t. \mathcal{Z} is indeterminate:
 $\langle \Omega \rangle = "0/0"$
- D hermitian: eigenvalues are real and come in pairs, $\pm\lambda$
- Vary gauge field smoothly from A_μ to A_μ^U :

$$A_\mu(t) = (1 \Leftrightarrow t) A_\mu + t A_\mu^U, \quad t \in [0, 1]$$

- **Atiyah-Singer:** number of eigenvalues that become negative as t is varied from 0 to 1 is **odd**.

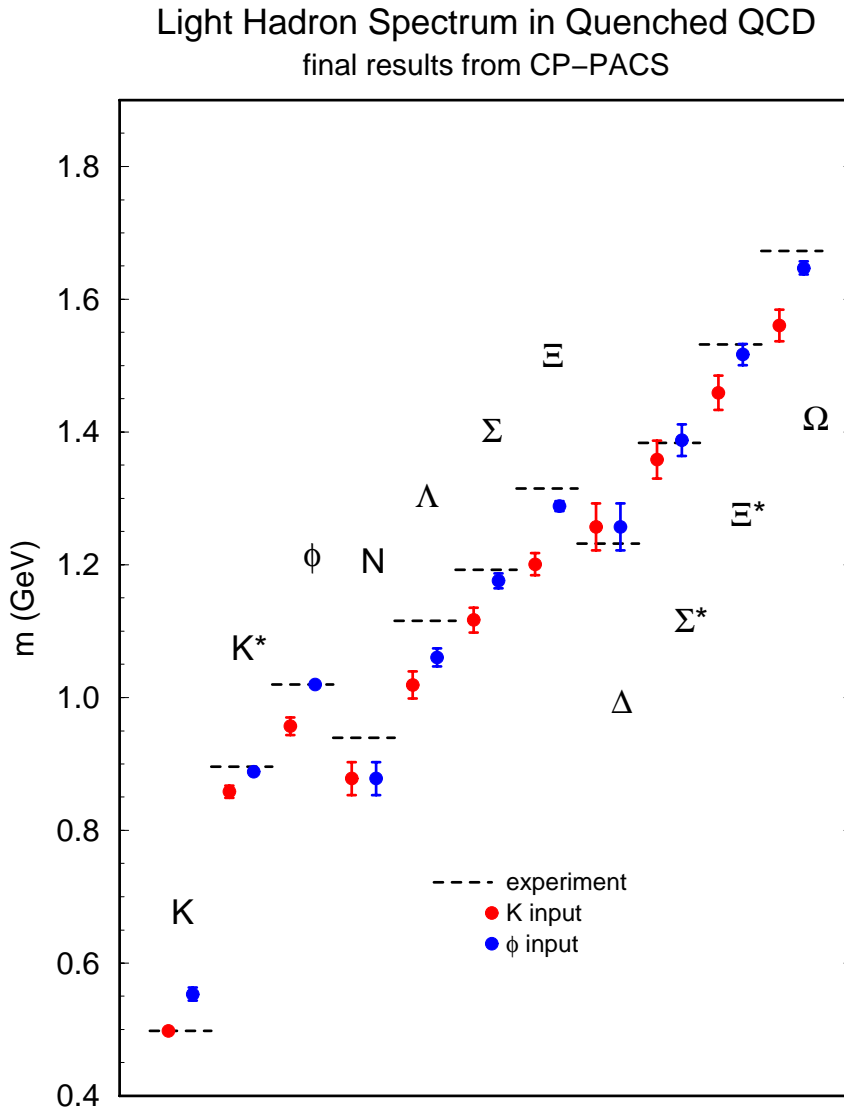
- Compute the eigenvalues of the **overlap** operator D_N in a lattice simulation, as A_μ is smoothly turned into A_μ^U (O. Bär, *Lattice 99*)
- D_N : eigenvalues come in complex conjugate pairs; expect level crossing for $\text{Im}\lambda$



- Crossing observed for **lowest** eigenvalue
- Numerical proof of Witten's $SU(2)$ anomaly
- Only possible if lattice Dirac operator has the correct chiral properties

II. Simulations with dynamical quarks

- Quenched light hadron spectrum deviates from experiment (CP-PACS Collaboration, hep-lat/9904012):



$$m_{K^*} \Leftrightarrow m_K \text{ too small by } 10 \Leftrightarrow 16\% \text{ (} 4 \Leftrightarrow 6\sigma \text{)}$$

$$m_N/m_\rho = 1.143 \pm 0.033 \text{ (Exp.: } 1.22, 2.5\sigma \text{)}$$

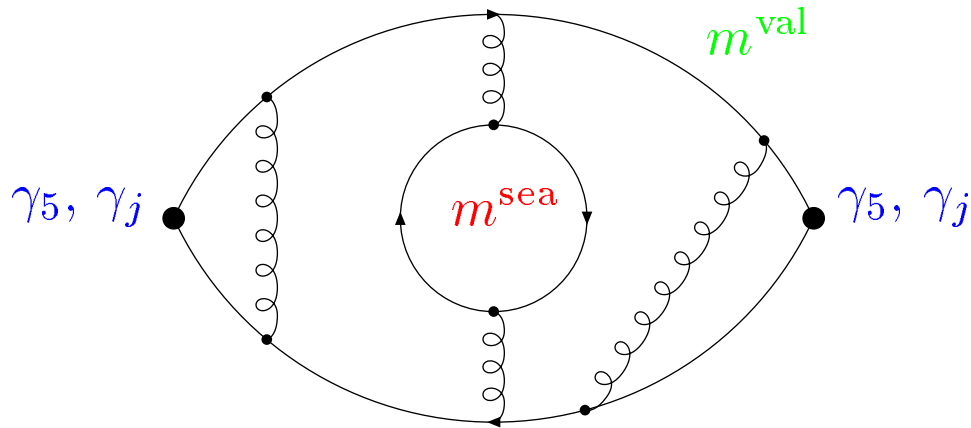
- Quenched QCD describes the light hadron spectrum at the level of 10%.

- Can sea quark effects account for the deviation of the quenched spectrum from experiment?
- $O(a)$ Improvement even more important:
 - expensive to simulate very small lattice spacings
 - need to separate lattice artefacts from sea quark effects
- Recent simulations with $(n_f = 2)$

Collaboration		GFlops	Gluons	Quarks
CU/BNL/Riken	US	~ 250	Wilson	DWF
CP-PACS	J	~ 300	Iwasaki	SW, tad
UKQCD	UK	~ 28	Wilson	SW, n.p.
SESAM/T χ L	D/I	~ 14	Wilson	Wilson
MILC	US	$\gtrsim 7.3$	LW	staggered

→ identify two light flavours with physical u, d quarks

- Observables in full QCD with $n_f = 2$ flavours:
Example: meson propagator:



- Sea quarks are still relatively heavy:

$$m^{\text{sea}} = m^{\text{val}} :$$

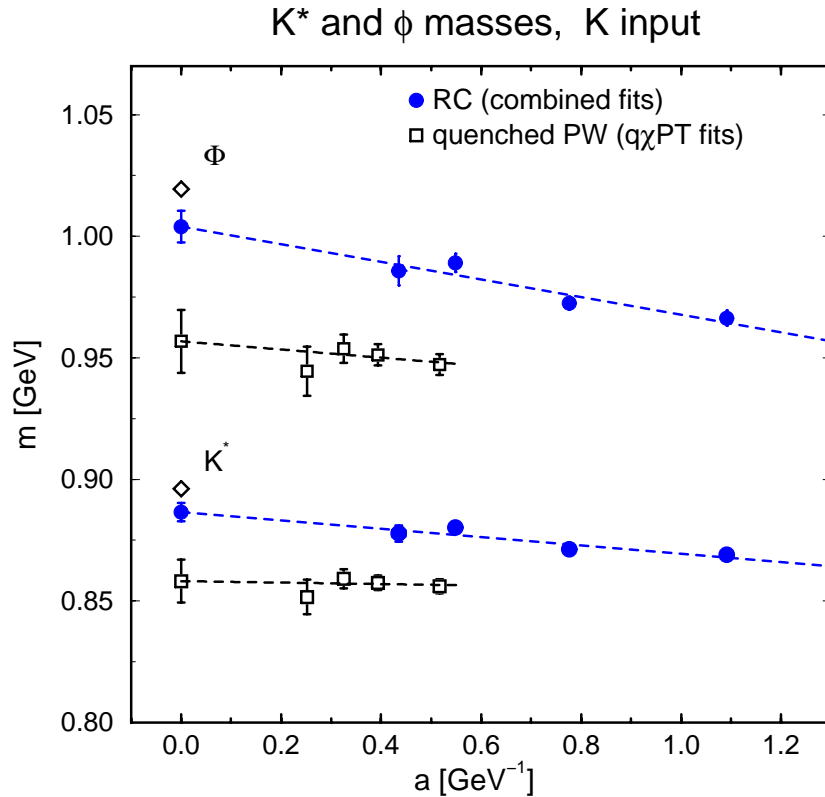
$$\frac{m_{\text{PS}}}{m_{\text{V}}} = \begin{cases} 0.67 \Leftrightarrow 0.86 & \text{UKQCD} \\ 0.6 \Leftrightarrow 0.8 & \text{CP-PACS} \end{cases}, \quad \left(\frac{m_{\pi}}{m_{\rho}} = 0.169 \right)$$

- Study the dependence of observables on m^{sea} ;
 Extrapolate in m^{sea} to physical m_{π}/m_{ρ}
- Incorrect n_f for Kaon physics:
 - choose $m^{\text{val}} > m^{\text{sea}}$
 and identify $m_s = m^{\text{val}}$, $m_{u,d} = m^{\text{sea}}$
 - “partially quenched”

Sea quark effects in the light hadron spectrum:

- Study the continuum limit of hadron masses in “partially quenched” QCD

CP-PACS, (T. Kaneko, Lattice 99)



- Mesons: discrepancy with experimental spectrum diminished when sea quarks are “switched on”
- Quantify sea quark effects in the continuum limit
- Can remaining differences be blamed on
 - incorrect $n_f = 2$ for strange hadrons?
 - continuum extrapolations?
 - extrapolations in the quark masses?

III. Light Quark Masses

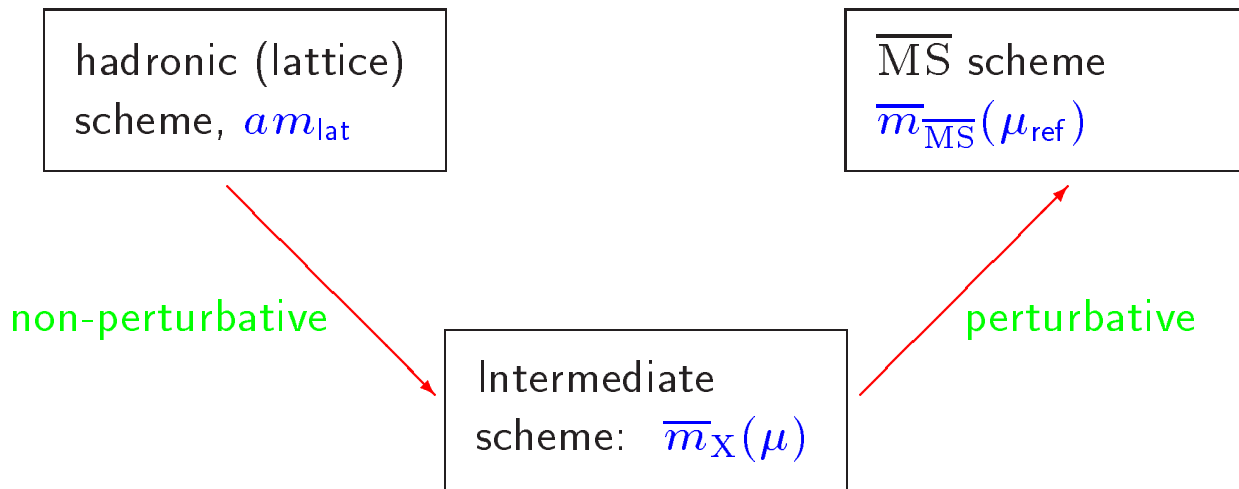
- Recent progress:
 - controlling lattice artefacts (improvement)
 - matching lattice results to $\overline{\text{MS}}$ scheme
 - results from simulations using DWF's
 - estimating sea quark effects

- Current quark masses:

$$\begin{aligned}m_K^2 f_K &= (\overline{m}_u + \overline{m}_s) \langle 0 | \overline{u} \gamma_5 s | K^+ \rangle \\ (\overline{u} \gamma_5 s)_{\overline{\text{MS}}} &= Z_P(g_0, a\mu) (\overline{u} \gamma_5 s)_{\text{lat}} \\ Z_P(g_0, a\mu) &= 1 + \frac{g_0^2}{4\pi} \left\{ \frac{2}{\pi} \ln(a\mu) + C \right\} + O(g_0^4)\end{aligned}$$

- lattice perturbation theory does not converge well
 - scale dependence complicates the situation
- no controlled perturbative relation between hadronic (lattice) scheme and $\overline{\text{MS}}$
- develop non-perturbative matching techniques

- Introduce an intermediate renormalisation scheme:



- (1) **R**egularisation **I**ndependent (Martinelli, Sachrajda,...)

$$Z_P(g_0, a\mu) \langle q(\mu) | (\overline{q}\gamma_5 q)_{\text{lat}} | q(\mu) \rangle_{\text{G.F.}} = 1$$

$\overline{\text{MS}}$ and RI schemes related through **continuum** perturbation theory

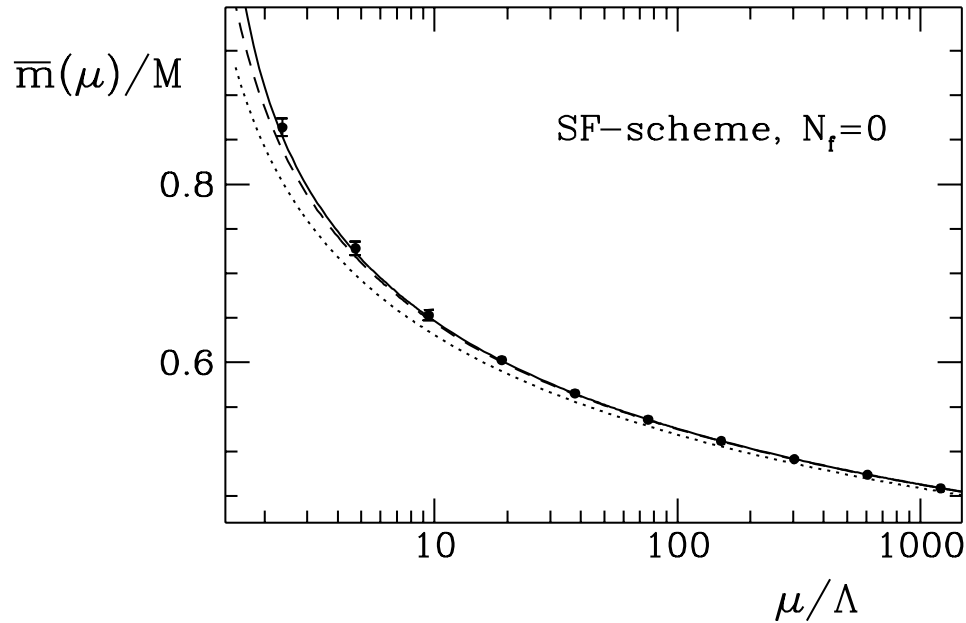
- (2) **S**chrödinger **F**unctional scheme (Lüscher et al.)

Finite volume scheme; impose renormalisation condition at scale $\mu = 1/L$:

$$Z_P(g_0, a\mu_0), \quad \mu_0 = 1/L_0 \simeq 275 \text{ MeV}$$

$Z_P(g_0, a\mu_0)$ computed non-perturbatively for $a \approx 0.1 \Leftrightarrow 0.045 \text{ fm}$ for $O(a)$ improved Wilson action

- Compute $\overline{m}_{\text{SF}}(\mu)/M$ for $\mu_0 \leq \mu \lesssim 200 \text{ GeV}$
 M : renormalisation group invariant quark mass
- Scale evolution of $\overline{m}_{\text{SF}}(\mu)/M$ (quenched QCD)
 (Capitani, Lüscher, Sommer, HW, Nucl. Phys. B544 (1999) 669)



- For $\mu_0 = 275 \text{ MeV}$:

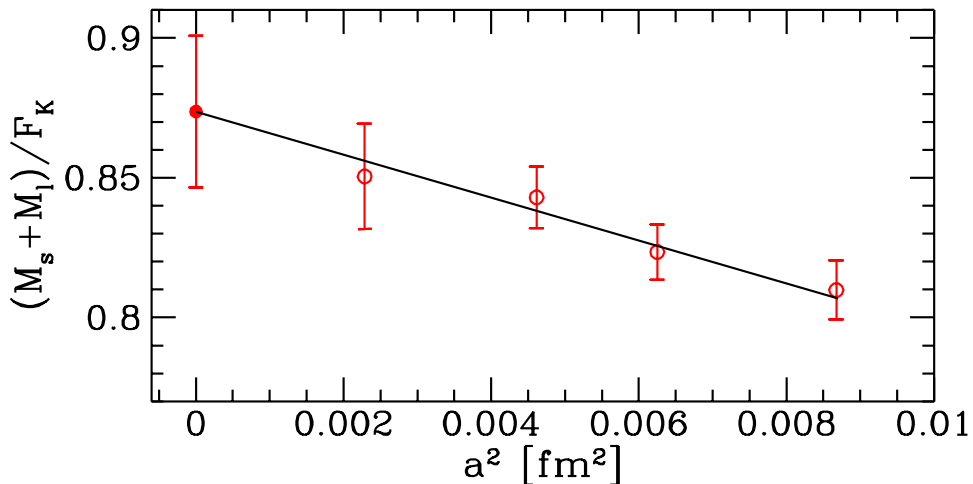
$$\frac{M}{\overline{m}_{\text{SF}}}(\mu_0) = 1.157(15)$$

- Combine with matching factor $Z_P(g_0, a\mu_0)$ and lattice matrix elements

$$\frac{(M_s + M_l)}{f_K} = \frac{M}{\overline{m}_{\text{SF}}(\mu_0)} \frac{m_K^2}{Z_P(g_0, a\mu_0) \langle 0|P|K \rangle^{\text{lat}}} + O(a^2)$$

$$(M_l = (M_u + M_d)/2)$$

- Continuum extrapolation of $(M_s + M_l)/f_K$:
(Garden, Heitger, Sommer, HW, hep-lat/9906013)



- Continuum limit; ($f_K = 160(2)$ MeV) :

$$M_s + M_l = 140 \pm 5 \text{ MeV}$$

- Convert into $\overline{m}_s^{\overline{\text{MS}}}(2 \text{ GeV})$ using

$$M_s/M_l = 24.4 \pm 1.5 \quad (\text{Chiral P.T.})$$

$$\overline{m}_s^{\overline{\text{MS}}}(\mu)/M = 0.7208 \quad \text{at } \mu = 2 \text{ GeV}$$

→ Final result: (all errors, except quenching)

$$\overline{m}_s^{\overline{\text{MS}}}(2 \text{ GeV}) = 97 \pm 4 \text{ MeV}$$

$\pm 10\%$ uncertainty due to scale ambiguity in the quenched approximation.

- Other recent results in the quenched approximation ($\overline{\text{MS}}$ scheme, $\mu_{\text{ref}} = 2 \text{ GeV}$)

Collab.	m_l	m_s	$a \rightarrow 0$	Z_P^{NP}	Impr.
BNL/Riken/CU [†]	5.1(4)	129(10)	×	✓ _{RI}	DWF
QCDSF [†]	4.40(9)	104(3)	✓	✓ _{SF}	✓
CP-PACS	4.55(18)	115(2)	✓	×	×
JLQCD	4.23(29)	106(7)	✓	✓ _{RI}	stagg.
Blum, Soni & Wingate		95(26)	✓	×	DWF
Becirevic et al.	4.5(4)	111(12)	×	✓ _{RI}	✓

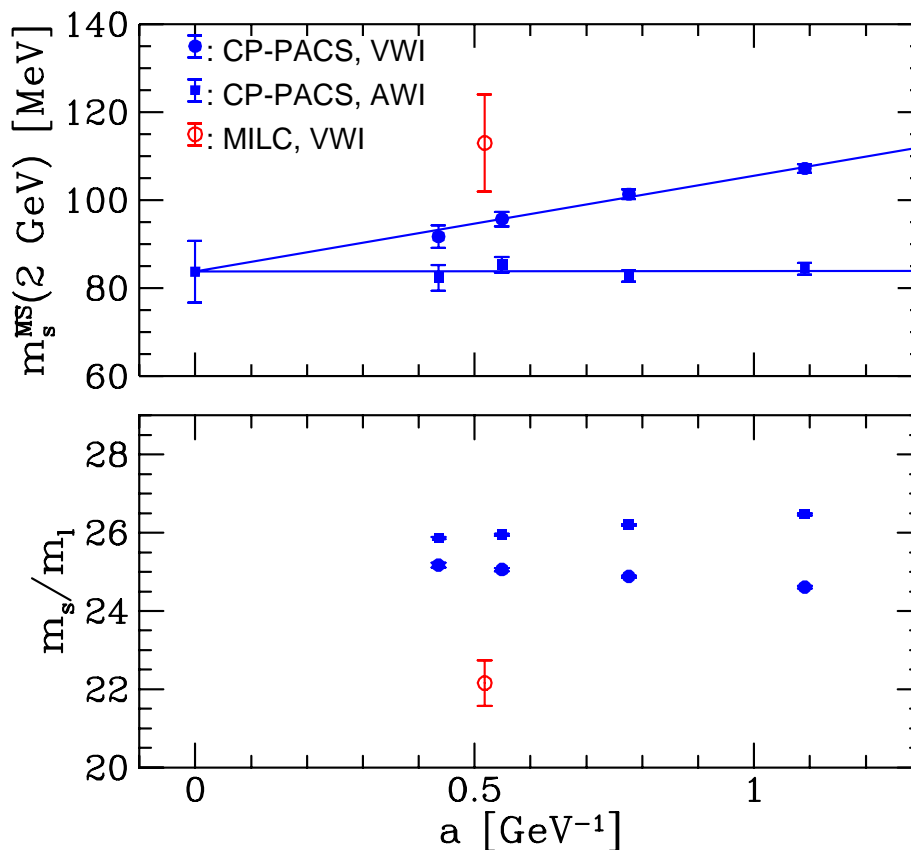
[†]: preliminary

Caution:

- Systematic errors not estimated uniformly
- Conversion into physical units using different quantities

Sea quark effects in m_l, m_s :

- Last year: very large effects due to dynamical quarks?
- 1999: better separation of lattice artefacts and sea quark effects
- Recent results for $n_f = 2$ by CP-PACS and MILC Collaborations (partially quenched)



- Light quark masses **decrease** for $n_f = 2$
- CP-PACS: $m_s/m_l \approx 25$ in continuum limit
- Further exploration of systematics required (m^{sea} -dependence, a -effects, renormalisation)

IV. Glueballs and Heavy Hybrids

- Idea: use **anisotropic** lattices: $a_t \ll a_s$
- Exponential decay of correlation function governed by $a_t M$:

$$\sum_{\vec{x}} \langle O(\vec{x}, t) O^\dagger(0) \rangle \stackrel{t \gg 0}{\sim} e^{-(a_t M)(t/a_t)}$$

- For $a_t \ll a_s$: slow exponential fall-off, whilst preserving large spatial volumes
 - Typically: $a_s \approx 0.2 \Leftrightarrow 0.4 \text{ fm}$
 $\xi \equiv a_s/a_t = 3 \Leftrightarrow 5$
- Cutoff effects in spatial lattice spacing may be large; use **Symanzik improvement** to reduce leading lattice artefacts
- Recent results:
 - Glueball spectrum (below 4 GeV)
 - Quarkonia and heavy hybrids

Glueball spectrum: (quenched QCD)

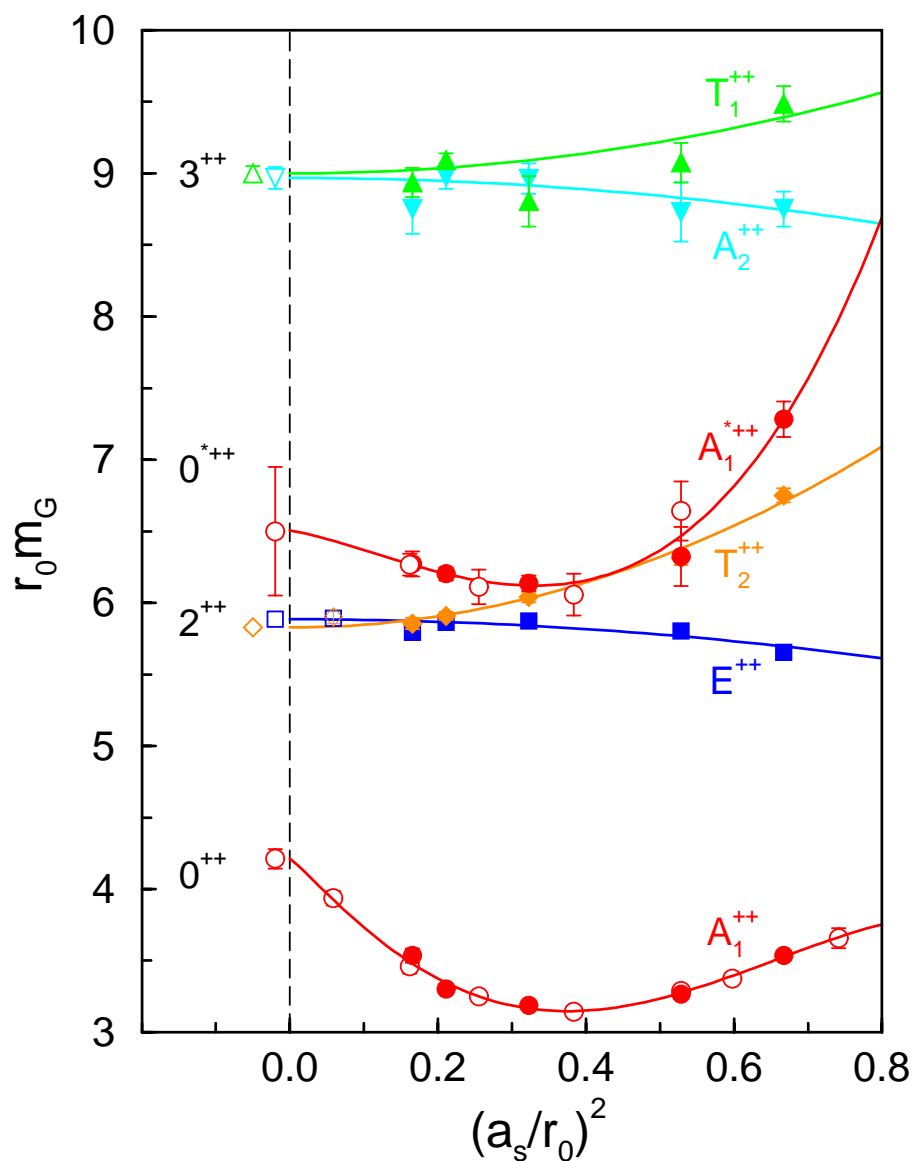
(Morningstar & Peardon, hep-lat/9901004)

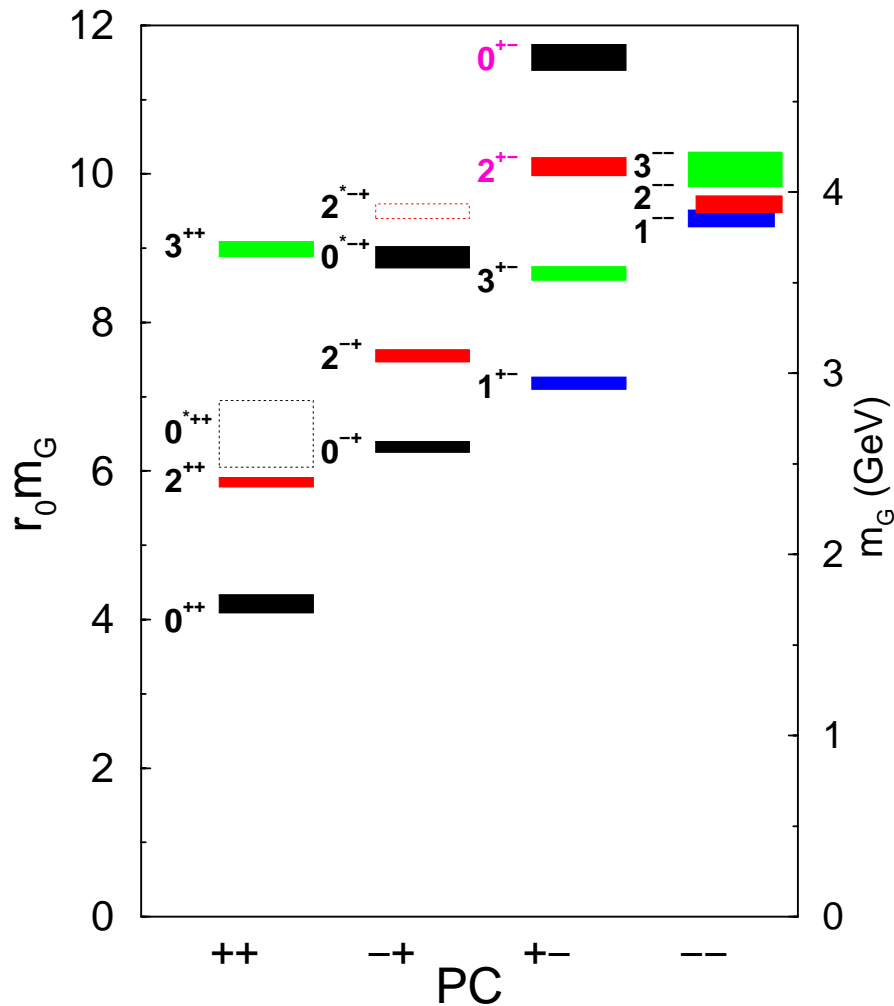
- Anisotropic, $O(a_s^2)$ improved gluon lattice action; improvement coefficients fixed perturbatively

$$\xi = a_s/a_t = 3, 5$$

→ leading cutoff effects: $O(g^2 a_s^2, a_s^4, a_t^2)$

- Glueball operators constructed from representations of the octahedral group: A_1, A_2, E, T_1, T_2





$$\left. \begin{array}{l} r_0 m_{0^{++}} = 4.21(12) \\ r_0 m_{2^{++}} = 5.85(6) \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} 4.33(5) \\ 6.0(1) \end{array} \right. \quad \begin{array}{l} \text{M. Teper} \\ \text{hep-th/9812187} \end{array}$$

$$(r_0^{-1} \approx 395 \text{ MeV})$$

- Very comprehensive study of glueballs
- If a_s too large: complicated model function for continuum extrapolation may cause loss in precision (c.f. 0^{++})
- So far: no effects of dynamical quarks, glueball-meson mixing

Quarkonia and heavy hybrids:

- Use similar techniques to study $\bar{b}b, \bar{c}c, \bar{b}gb, \bar{c}gc$ states

→ use anisotropic lattice gluon action

→ treat heavy quarks non-relativistically:

$$M_Q \gg p_{\text{cut}} = a_s^{-1}$$

- Discretised, effective QCD action (NRQCD)
- Lattice spacing: acts as UV regulator and as relativistic cutoff

→ Continuum limit $a_s \rightarrow 0$ cannot be taken

→ Rely on “window” in a_s where NRQCD works and cutoff effects are small

- Recent results:

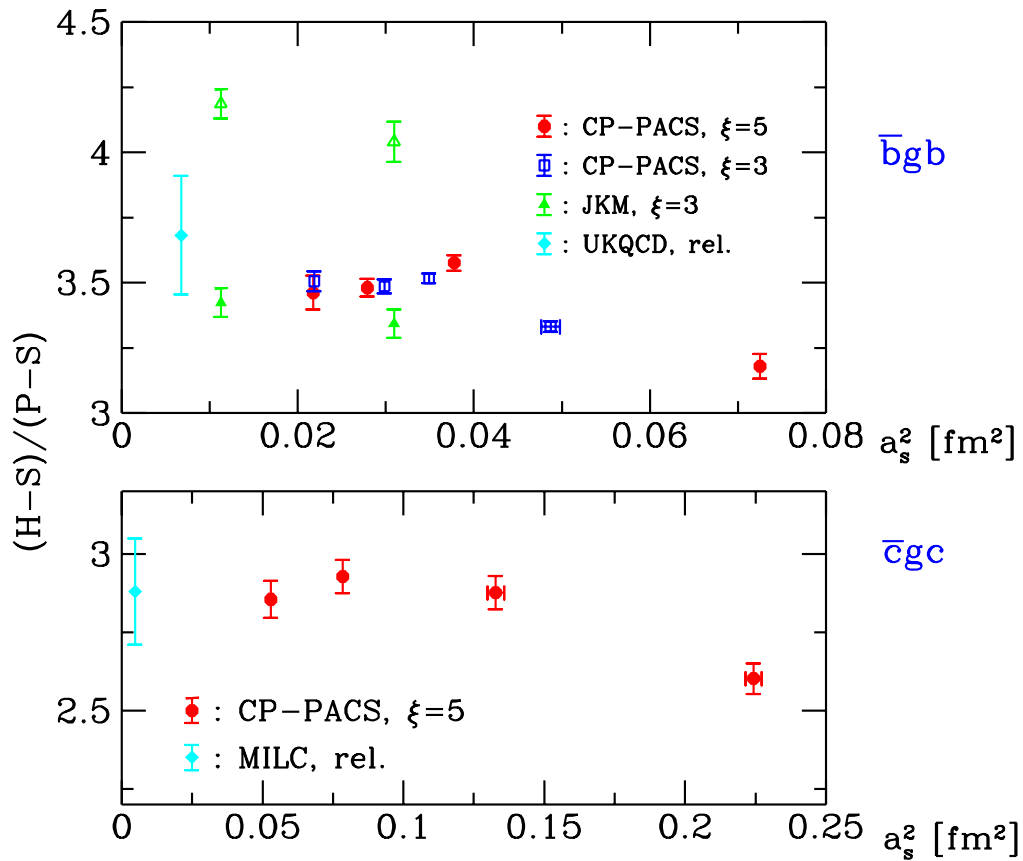
CP-PACS, Phys. Rev. Lett. 82 (1999) 4396

(T. Manke, EPS99)

Juge, Kuti & Morningstar, Phys. Rev. Lett. 82 (1999) 4400

- Compute S, P waves

$H_1(1^{--}), H_2(1^{++}), H_3(0^{++})$ hybrids



- Results for lowest $\bar{b}gb$ hybrid:

$$\delta(H_1 \Leftrightarrow S) = \begin{cases} 1.542(8) \text{ GeV} & \text{(CP-PACS)} \\ 1.49(2)(5) \text{ GeV} & \text{(JKM)} \end{cases}$$

V. Omissions

- Weak matrix elements: $\Delta S = 2, \Delta I = 1/2, \Delta I = 3/2$
 - non-perturbative renormalisation
 - chiral properties: domain wall fermions
(A. Soni, EPS99)
- Heavy-light decay constants: f_B, f_{B_s}, f_D, \dots
 $B^0 \Leftrightarrow \bar{B}^0$ mixing; (S. Hashimoto, Lattice 99)
 - quenched estimates stabilised; sea quark effects increase results by $15 \Leftrightarrow 20\%$?
(H. Shanahan, EPS99)
 - study different formulations of heavy quarks (NRQCD, ...)
- Flavour-singlet amplitudes
 - η, η' mass, πN sigma term, ...
 - sea quark contributions to disconnected diagrams
- Structure functions (R. Petronzio, Lattice 99)
 - non-perturbative renormalisation: RI, SF
- QCD at finite temperature and density
(F. Karsch, Lattice 99)

VI. Summary

- Significant progress in formulation of chiral symmetry at non-zero lattice spacing

Chiral gauge theories can be put on the lattice in a consistent way

- Lattice simulations are becoming more refined:
 - Symanzik improvement
 - Non-perturbative renormalisation
 - Anisotropic lattices
 - Simulations with dynamical quarks
- Quenched approximation works surprisingly well;
Effects of dynamical quarks are significant