

# Beauty Physics and $CP$ Violation (III)

Muon/Hadron Detector

Magnet Coil

Electron/Photon Detector

Cherenkov Detector

Tracking Chamber

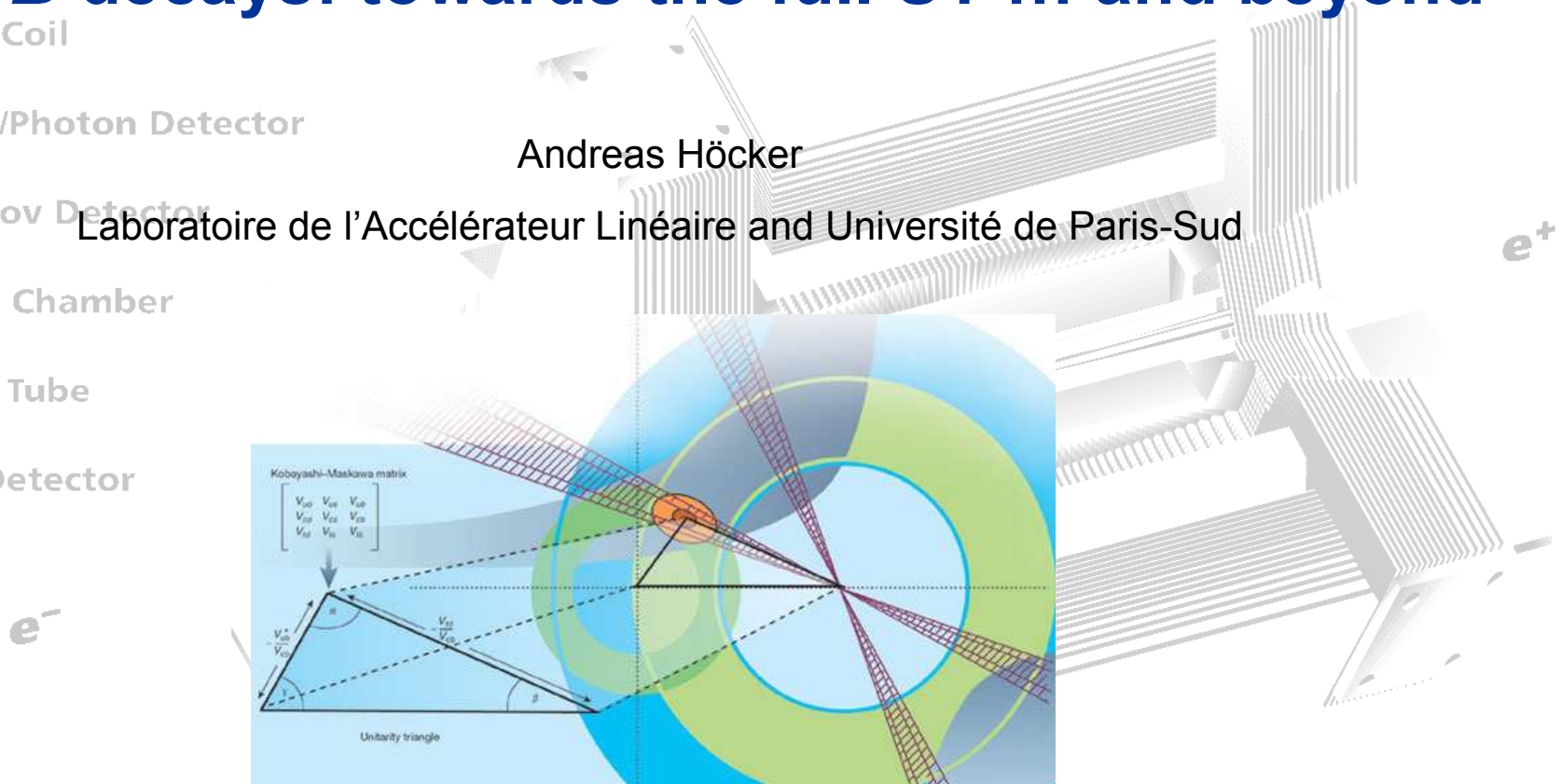
Support Tube

Vertex Detector

## Rare $B$ decays: towards the full UT ... and beyond

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Experimental lecture at the Helmholtz School on Heavy Quark Physics

Dubna, June 6-16, 2005

# Themes

## I. Beauty Physics and $CP$ Violation – the experimental program

- Heavy meson production and decay
- $B$  Physics and  $CP$  Violation
- The  $B$  Factories
- Physics at the  $\Upsilon(4S)$ : time-integrated and time-dependent measurements

## II. $\sin(2\beta)$ and the triumph of the Standard Model

- $CP$  violation: experimental facts
- $CP$  violation in the  $B$  system
- The measurement of  $\sin(2\beta)$  in tree and loop (penguin) decays
- Briefing on radiative  $B$  decays



## III. Rare $B$ decays: towards the full unitarity triangle ... and beyond

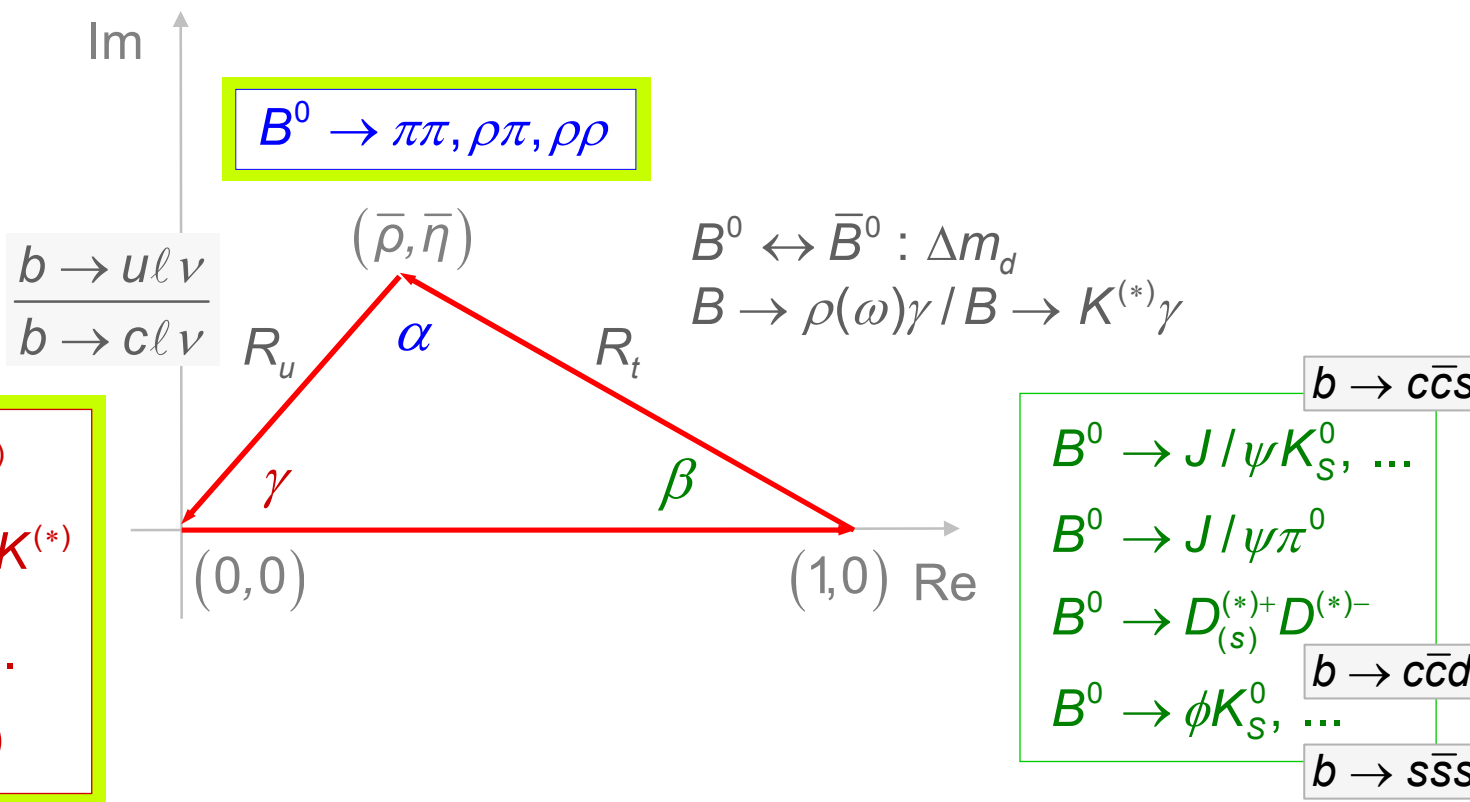
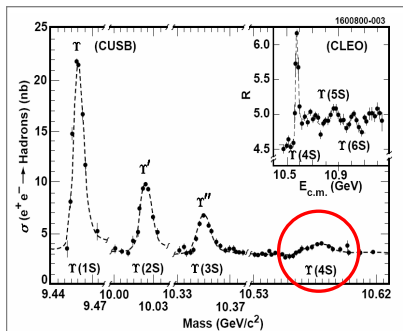
- Leptonic  $B$  Decays
- Charmless  $B$  decays and the measurement of  $\alpha$
- $B \rightarrow K\pi$  decays (direct  $CP$  violation) and other charmless modes
- Towards  $\gamma$
- Flavor, CPV and CKM: the present picture and the experimental future

# Towards the full Unitarity Triangle ... and beyond



# The $B_d$ System ( $e^+e^- \rightarrow \Upsilon(4S)$ factories)

the  $B_d$  is also produced by the hadron machines



$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

# Rare Charmless $B$ Decays

We distinguish three main categories:

today's lecture

## ☀ Hadronic $b \rightarrow u(d)$ decays

- 📖 Measurement of CPV
- 📖 Determination of UT angle  $\alpha$
- 📖 Test of  $B$  decay dynamics (Factorization)

yesterday's lecture

## ☀ Semileptonic, FCNC and radiative decays

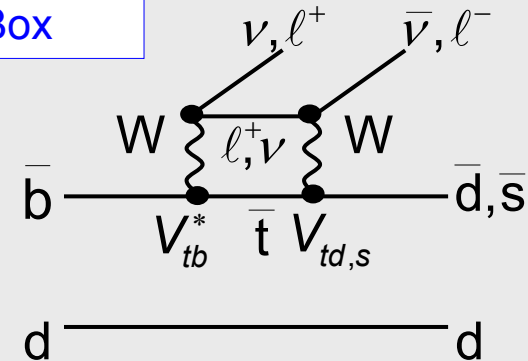
- 📖 Tree decays used to measure  $|V_{ub}|$
- 📖 Penguins and boxes sensitive to new physics
- 📖 Penguins used to determine  $|V_{td}/V_{ts}|$
- 📖 Search for direct  $CP$ , FB asym. or ratios of BRs

today

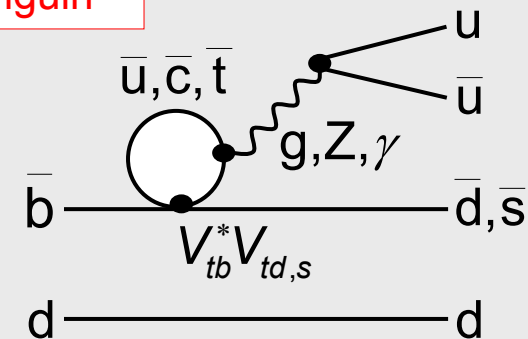
## ☀ Leptonic $B$ decays

- 📖 Measurement of  $|V_{ub}|$ ,  $|V_{td}/V_{ts}|$
- 📖 Search for new physics

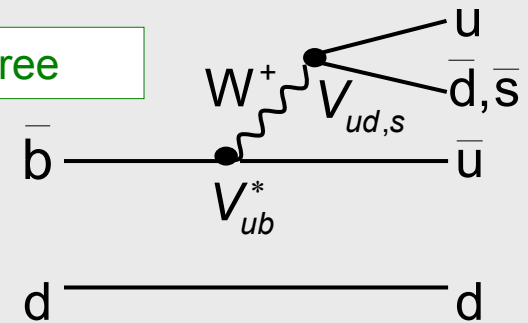
Box



Penguin

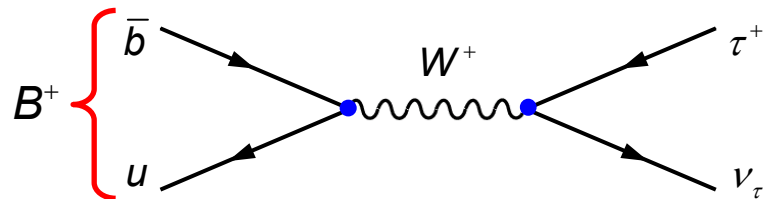


Tree



$$B^+ \rightarrow \tau^+ \nu_\tau$$

- ☀ Helicity-suppressed annihilation decay sensitive to  $(f_B \times |V_{ub}|)^2$
- ☀ Powerful together with  $\Delta m_d$  : **removes**  $f_B$  (Lattice QCD) **dependence**
- ☀ Sensitive, e.g., to charged Higgs replacing the  $W$  propagator



$$\text{BR}(B^+ \rightarrow \tau^+ \nu) = \frac{G_F^2 m_B \tau_B}{8\pi} m_\tau^2 \left(1 - \frac{m_\tau^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2$$

- ☀ Best current limit from BABAR :

$$\text{BR}(B^+ \rightarrow \tau^+ \nu) = 13_{-9}^{+10} \times 10^{-5}$$

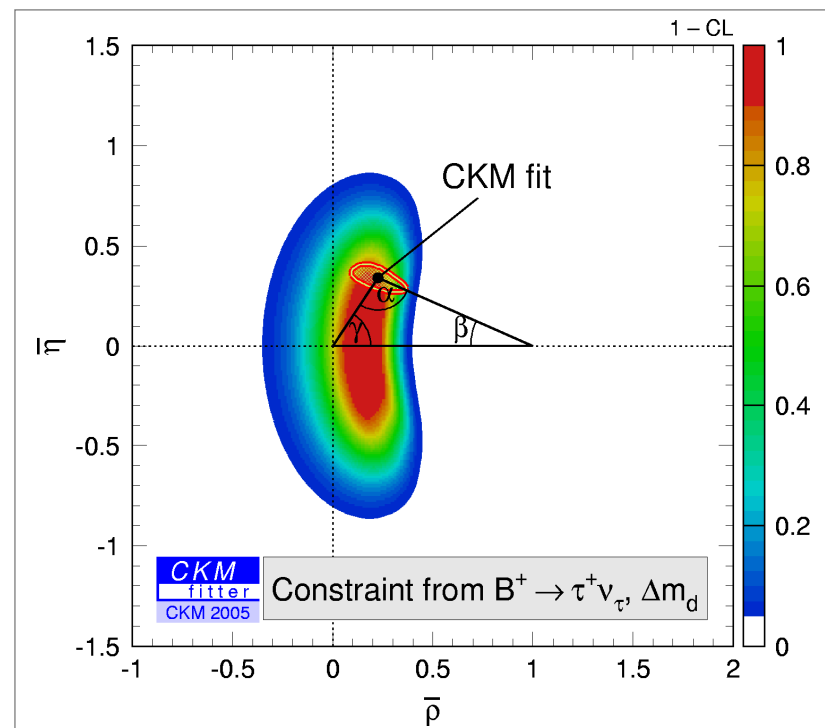
$$< 26 \times 10^{-5} \text{ at 90\% CL}$$

Datta, SLAC seminar 2005

- ☀ Prediction from global CKM fit :

$$\text{BR}(B^+ \rightarrow \tau^+ \nu) = 8.9_{-1.7}^{+3.9} \times 10^{-5}$$

CKMfitter Group, CKM'05



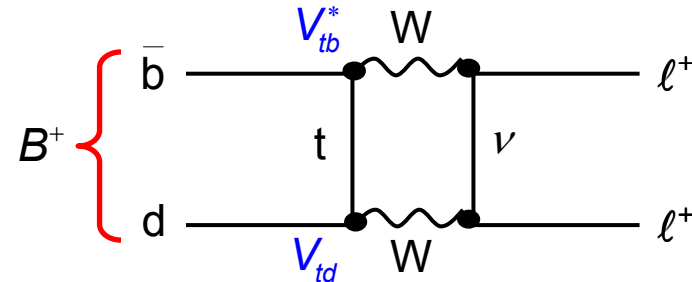
# $B_{d/s} \rightarrow \ell^+ \ell^-$

☀ Box diagram with top exchange  $(f_B \times |V_{td}|)^2$  dominates in SM :  $\text{BR}_{[\text{SM}]}(B_d \rightarrow \tau^+ \tau^-) \sim 3 \times 10^{-8}$

☀ Penguin diagrams suppressed

☀ Helicity enhancement :  $\propto (m_\tau / m_\ell)^2$

☀ Best current limits (from BABAR):



$$\text{BR}(B_d \rightarrow e^+ e^-) < 6 \times 10^{-8}$$

$$\text{BR}(B_d \rightarrow \mu^+ \mu^-) < 8 \times 10^{-8}$$

$$\text{BR}(B_d \rightarrow \tau^+ \tau^-) \sim 10^5 \times 10^{-8}$$

expected sensitivity; mode not yet measured

☀ Sensitive to flavor changing new physics  
(2 Higgs doublet models, SUSY, Leptoquarks, ...)

$$\text{BR}_{[\text{MSSM}]}(B_d \rightarrow \tau^+ \tau^-) \propto (\tan \beta)^6 \times f(m_{\text{SUSY}})$$

$$\text{BR}_{[2\text{HDM}]}(B_d \rightarrow \tau^+ \tau^-) \propto (\tan \beta)^4 \times \log^2(m_H^2 / m_t^2)$$

☀ B Factories not sensitive to SM value  $\rightarrow$  domain of LHC experiments ( $B_{d/s} \rightarrow \mu^+ \mu^-$ )

$\alpha$

$b \rightarrow u\bar{u}d$

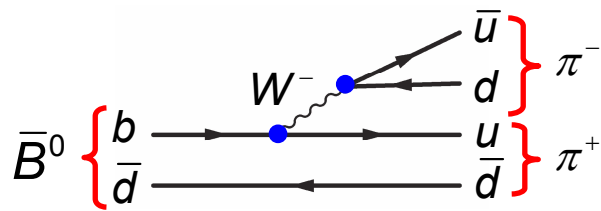
Principal modes :

$$B^0 \rightarrow \pi^+ \pi^-$$

$$B^0 \rightarrow \rho^\pm \pi^\mp$$

$$B^0 \rightarrow \rho^+ \rho^-$$

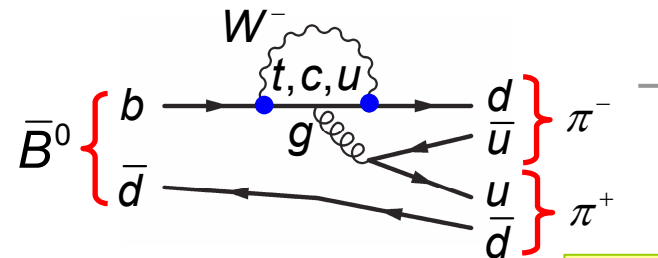
Not a  $CP$  eigenstate



Tree : dominant

$$\propto V_{ub} V_{ud}^*$$

$$\propto \lambda^3$$



Penguin : competitive ?

$$\propto V_{tb} V_{td}^*$$

$$\propto \lambda^3$$



# Charmless $b \rightarrow u$ Decays

- Tree “ $T$ ” amplitude dominates :

$$\lambda_{h^+h^-} = \eta_{h^+h^-} \left( \frac{q}{p} \right)_B \left( \frac{e^{-i\gamma} T^{+-}}{e^{+i\gamma} T^{+-}} \right)$$

$$= \eta_{h^+h^-} e^{2i\alpha}$$

No direct  $CP$  violation :

$$|\lambda_{h^+h^-}| = 1$$

$$\Rightarrow C_{f_{CP}} = \frac{1 - |\lambda_{h^+h^-}|^2}{1 + |\lambda_{h^+h^-}|^2} = 0$$

- Time-dependent  $CP$  observable :

ideal  
scenario

$$A_{h^+h^-}(t) = S_{h^+h^-} \sin(\Delta m_d t) - C_{h^+h^-} \cos(\Delta m_d t)$$

$$= \sin(2\alpha) \cdot \sin(\Delta m_d t)$$

# Charmless $b \rightarrow u$ Decays

- ☀ “ $T$ ” and “ $P$ ” are of the same order of magnitude :  
[Note that  $T$  and  $P$  are *complex* amplitudes !]

$$\lambda_{h^+h^-} = \eta_{h^+h^-} \left( \frac{q}{p} \right)_B \left( \frac{e^{-i\gamma} T^{+-} + e^{+i\beta} P^{+-}}{e^{+i\gamma} T^{+-} + e^{-i\beta} P^{+-}} \right)$$

$$= \eta_{h^+h^-} e^{2i\alpha} \left( \frac{1 - |P^{+-}/T^{+-}| e^{-i(\alpha-\delta_{+-})}}{1 - |P^{+-}/T^{+-}| e^{+i(\alpha+\delta_{+-})}} \right)$$

$$\equiv |\lambda_{h^+h^-}| e^{2i\alpha_{\text{eff}}}$$

where  $\delta_{+-} \equiv \theta_{P^{+-}} - \theta_{T^{+-}}$   
is the relative strong phase

Direct  $CP$  violation can occur :

$$|\lambda_{h^+h^-}| \neq 1 \quad \curvearrowright$$

$$C_{f_{CP}} = \frac{1 - |\lambda_{h^+h^-}|^2}{1 + |\lambda_{h^+h^-}|^2} \neq 0 \quad !$$

- ☀ Time-dependent  $CP$  observable :

realistic  
scenario

$$A_{h^+h^-}(t) = S_{h^+h^-} \sin(\Delta m_d t) - C_{h^+h^-} \cos(\Delta m_d t)$$

$$= \sqrt{1 - C_{h^+h^-}^2} \sin(2\alpha_{\text{eff}}) \cdot \sin(\Delta m_d t) - C_{h^+h^-} \cos(\Delta m_d t)$$

# digression: what is the meaning of “T” and “P” ?

☀ Example :

$$A(B^0 \rightarrow \pi^+ \pi^-) = V_{ud} V_{ub}^* (T + P_u) + V_{cd} V_{cb}^* P_c + V_{td} V_{tb}^* P_t$$

unitarity =  $\left\{ \begin{array}{l} V_{ud} V_{ub}^* (T + P_u - P_c) \\ V_{ud} V_{ub}^* (T + P_u - P_t) + V_{cd} V_{cb}^* (P_c - P_t) \end{array} \right.$

$V_{cd} V_{cb}^* (P_c - P_u - T) + V_{td} V_{tb}^* (P_t - P_u - T)$   
 $+ V_{td} V_{tb}^* (P_t - P_c)$

U - convention

C - convention

T - convention

“Tree”

“Penguin”



The “tree” in the (most popular) C - and T - conventions has penguin contributions !

# “Taming Penguins” J. Charles

- ★ Time-dependent  $CP$  analysis of  $B^0 \rightarrow \pi^+\pi^-$  alone determines  $\alpha_{\text{eff}}$  : but, we need  $\alpha$  !
- ★ Fortunately,  $SU(2)$  and  $SU(3)$  symmetries provides the additional constraints to deduce the unknown amplitudes
- ★ Consider the decay  $B \rightarrow pq$ , where  $p, q$  are isovectors of the iso-multiplet:

$$\{pq\} = \{p^+q^-, p^-q^+, p^+q^0, p^0q^+, p^-q^0, p^0q^-, p^0q^0\}$$

consider the matrix elements of neutral and charged  $B$  decays:

$$\langle (p, q)^0 | H_s | u\bar{u}\bar{d}, d \rangle \quad \langle (p, q)^+ | H_s | u\bar{u}\bar{d}, u \rangle$$

$H_s$  – strong Hamiltonian

Example: isospin decomposition yields for  $B^0 \rightarrow p^+q^-$  :

$$\begin{aligned} A^{+-} &= \langle p^+, q^- | H_s | u\bar{u}\bar{d}, d \rangle \\ &= \langle 1, 1 | \otimes \langle 1, -1 | H_s | 3/2, 1/2 \rangle \otimes | 1/2, -1/2 \rangle + \langle 1, 1 | \otimes \langle 1, -1 | H_s | 1/2, 1/2 \rangle \otimes | 1/2, -1/2 \rangle \\ &= \frac{1}{2} \frac{1}{\sqrt{3}} A_{3/2,2} - \frac{1}{2} A_{3/2,1} + \frac{1}{2} A_{1/2,1} - \frac{1}{\sqrt{6}} A_{1/2,0} \end{aligned}$$

where:  $A_{\Delta I, I_f} \equiv \langle I_f, I_{f,3} | H_s | I_i, I_{i,3} \rangle_{\Delta I}$

Clebsch-Gordan coefficients

- ★ Doing the same for all the other charge combinations leads to the “pentagon” relation:

$$\sqrt{2} (A^{+0} + A^{0+}) = 2A^{00} + A^{+-} + A^{-+} \quad \text{in the complex plane}$$

# “Taming Penguins” (cont.)

- ★ Penguins: since gluons decay with  $\Delta I = 0$ , penguins can only mediate  $\Delta I = 1/2$  transitions:

$$P^{+0} = -P^{0+} = (P^{+-} - P^{-+})/\sqrt{2}$$

$$P^{00} = -(P^{+-} + P^{-+})/2$$

- ★ Simplification for identical  $p=q$ , e.g., for  $B^0 \rightarrow \pi^+\pi^-, \rho^+\rho^-$ : pentagon  $\rightarrow$  triangle

$$A^{+0} = A^{00} + A^{+-}/\sqrt{2}$$

and same for  $A \leftrightarrow \bar{A}$

and for the penguin amplitudes:

$$P^{+0} = -P^{0+} = 0 \quad \Rightarrow \quad |A^{+0}| = |A^{-0}|$$

$$P^{00} = -P^{+-}/\sqrt{2}$$

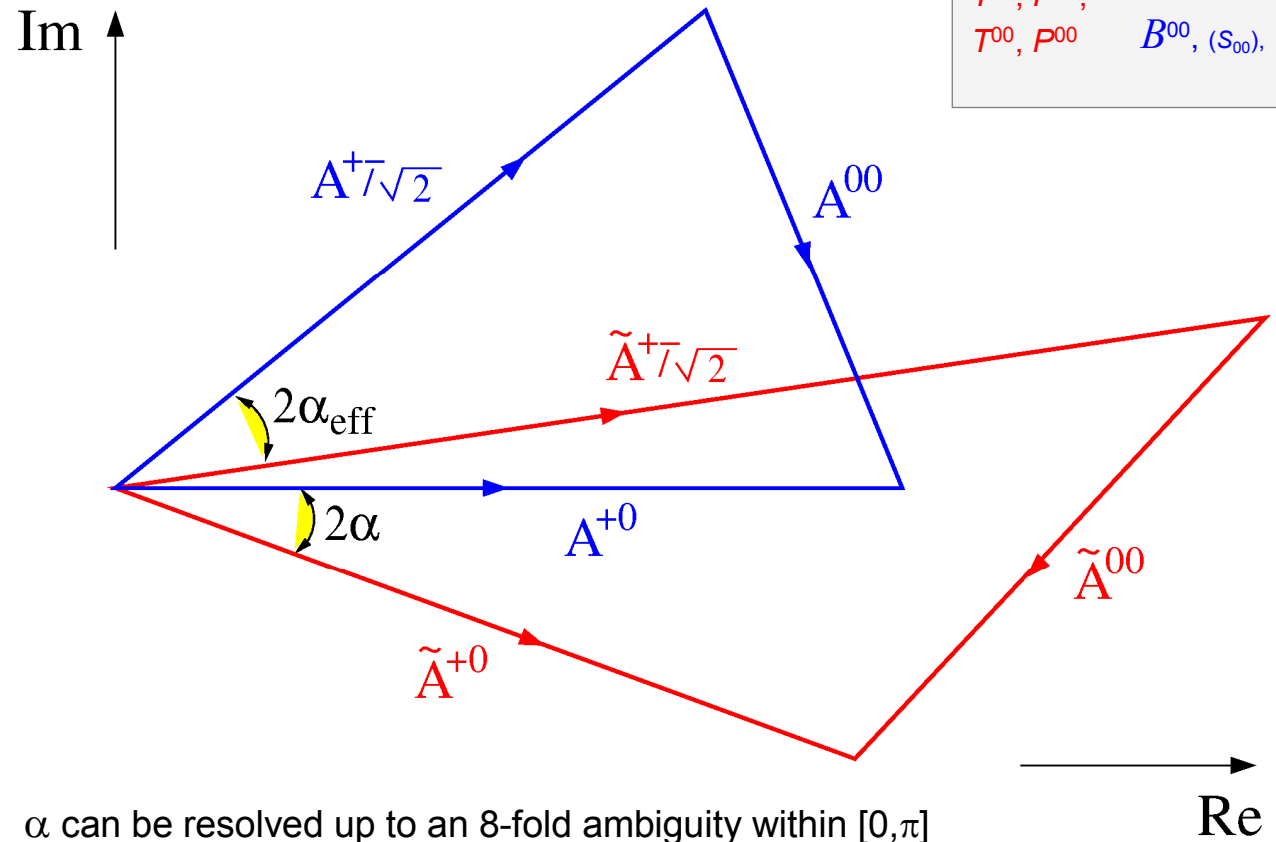
$\rightarrow$   $P^{00}$  measures the penguin pollution in  $B^0 \rightarrow \pi^+\pi^-$  !

since:  $\mathcal{B}(B^0 \rightarrow \pi^0\pi^0) = |T^{00}e^{-\alpha} + P^{00}|^2 + |T^{00}e^{+\alpha} + P^{00}|^2$  the branching fraction provides an upper limit on  $P^{00}$ , if  $\alpha \neq 0$

Refs. for SU(2) analyses : Gronau-London, PRL, 65, 3381 (1990), Lipkin *et al.*, PRD 44, 1454 (1991), [a.o.](#)

# Isospin Analysis for $B \rightarrow \pi\pi, \rho\rho$

Unknowns	Observables	Constraints	Account
$\alpha,$	$B^{+-}, S_{\pi\pi}, C_{\pi\pi}$	2 isospin triangles and one common side	13 unknowns
$T^{+-}, P^{+-},$	$B^{+0}, A_{CP}$		- 7 observ.
$T^{+0}, P^{+0},$	$B^{00}, (S_{00}), C_{00}$		- 5 constraints
$T^{00}, P^{00}$			- 1 glob. phase = 0 ☺

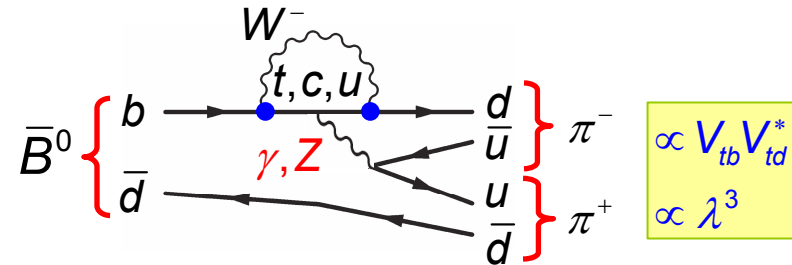


- Assumptions:
- neglect EW penguins (shifts  $\alpha$  by  $\sim +2^\circ$ ) penguins
  - neglect SU(2) breaking
  - in  $\rho\rho$ : Q2B approx. (neglect interference)

Refs. for SU(2) analyses : Gronau-London, PRL, 65, 3381 (1990), Lipkin *et al.*, PRD 44, 1454 (1991), a.o.

# digression: Electroweak (EW) Penguins

- EW penguins can mediate  $\Delta I = 3/2$  transitions and hence violate the SU(2) relations



- Use “Fiertz” trick : the effective weak Hamiltonian of the decay  $B \rightarrow \pi\pi$  reads:

$$H_{\text{eff}} = \frac{G_F}{\sqrt{2}} \left[ V_{ub} V_{ud}^* (c_1 O_1 + c_2 O_2) - \sum_{i=3}^{10} V_{tb} V_{td}^* c_i O_i \right] + \text{h.c.}$$

where  $O_1$  and  $O_2$  are  $(V-A) \times (V-A)$  tree operators and  $O_{7-10}$  EW penguins operators  
 $O_7$  and  $O_8$  have Lorentz structure  $(V-A) \times (V+A)$  while  $O_9$  and  $O_{10}$  are  $(V-A) \times (V-A)$   
 but:  $c_7, c_8 \ll c_9, c_{10}$  so that one can Fiertz-relate the EW  $O_9, O_{10}$  to the tree  $O_1, O_2$  :

$$P_{EW}^{+-} = \left( T^{+-} / \sqrt{2} + T^{00} \right) \left| \frac{V_{tb}^* V_{td}}{V_{ub}^* V_{ud}} \right| \cdot f \left( \frac{c_9 + c_{10}}{c_1 + c_2} \right)$$

Neubert-Rosner,  
 PLB 441, 403 (1998)  
 PRL 81, 5076 (1998)

- Hence, if  $f(\dots)$  real,  $A_{CP}(\pi^+\pi^0)$  not sensitive to  $P_{EW}$  !

# Bounds on Penguins

- ★ Once the penguin is bound, one can compute a bound on  $|\alpha - \alpha_{\text{eff}}|$  :

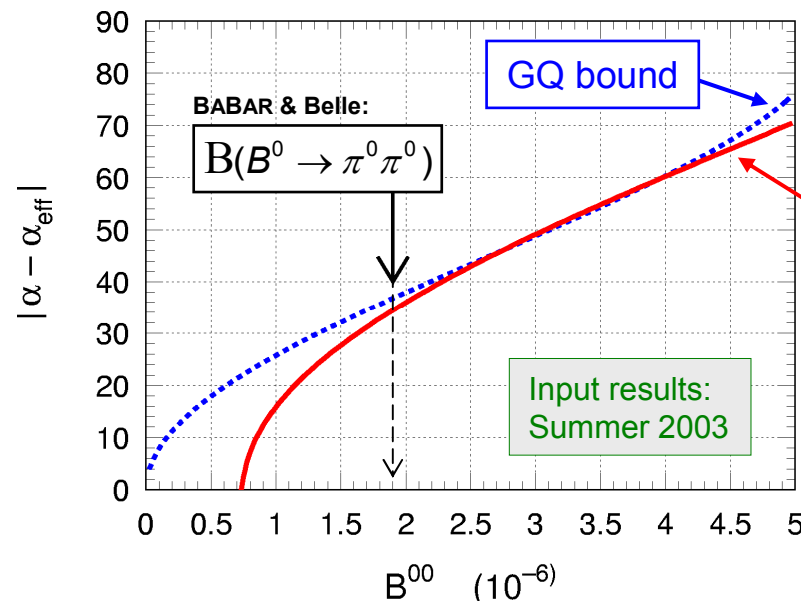
$$\sin^2(\alpha - \alpha_{\text{eff}}) \leq \frac{B(B^0 \rightarrow \pi^0 \pi^0)}{B(B^+ \rightarrow \pi^+ \pi^0)} \equiv \frac{B^{00}}{B^{+0}}$$

Grossman-Quinn,  
PRD 58, 017504 (1998)

- ★ This first limit does not unite all the information from the SU(2) triangle. This one does:

$$\sin^2(\alpha - \alpha_{\text{eff}}) \leq \frac{B^{00}}{B^{+0}} + \left( \frac{1}{\sqrt{1 - C_{h^+h^-}^2}} - 1 \right) \left( \frac{B^{00}}{B^{+0}} - \frac{1}{2} \right) - \frac{(B^{00} + B^{+-}/2 - B^{+0})^2}{2B^{+0}B^{+-}\sqrt{1 - C_{h^+h^-}^2}}$$

GLSS bound and numerical solution of isospin analysis give same bound on  $|\alpha - \alpha_{\text{eff}}|$



Gronau-London-Sinha-Sinha  
PL B514, 315 (2001)

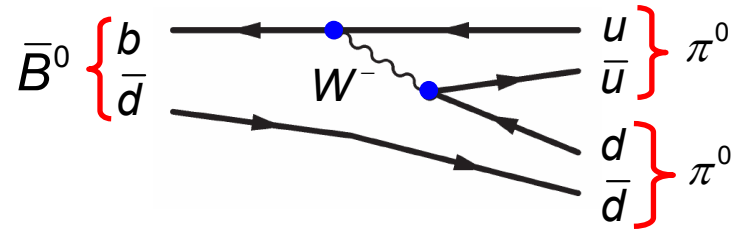


# Color-suppressed $b \rightarrow u$ Decays

$$b \rightarrow u\bar{u}d$$

$$\propto V_{ub}V_{ud}^*$$

$$\propto \lambda^3$$



famous modes:

$$B^0 \rightarrow \pi^0 \pi^0$$

$$B^0 \rightarrow \rho^0 \pi^0$$

$$B^0 \rightarrow \rho^0 \rho^0$$

- ★ Internal tree decays **must have a neutral particle** in the final state

The quarks from the virtual  $W$  decay must match the color of the decaying  $B$  meson to produce color-singlet final state mesons ➔ **internal diagrams are suppressed by  $\sim 1/N_c$**

**Naive color suppression  $\approx$  verified** in  $B(B^0 \rightarrow D^0\pi^0)/B(B^0 \rightarrow D^-\pi^+) = (1/10.4)_{\text{exp}} \sim (1/N_c)^2$

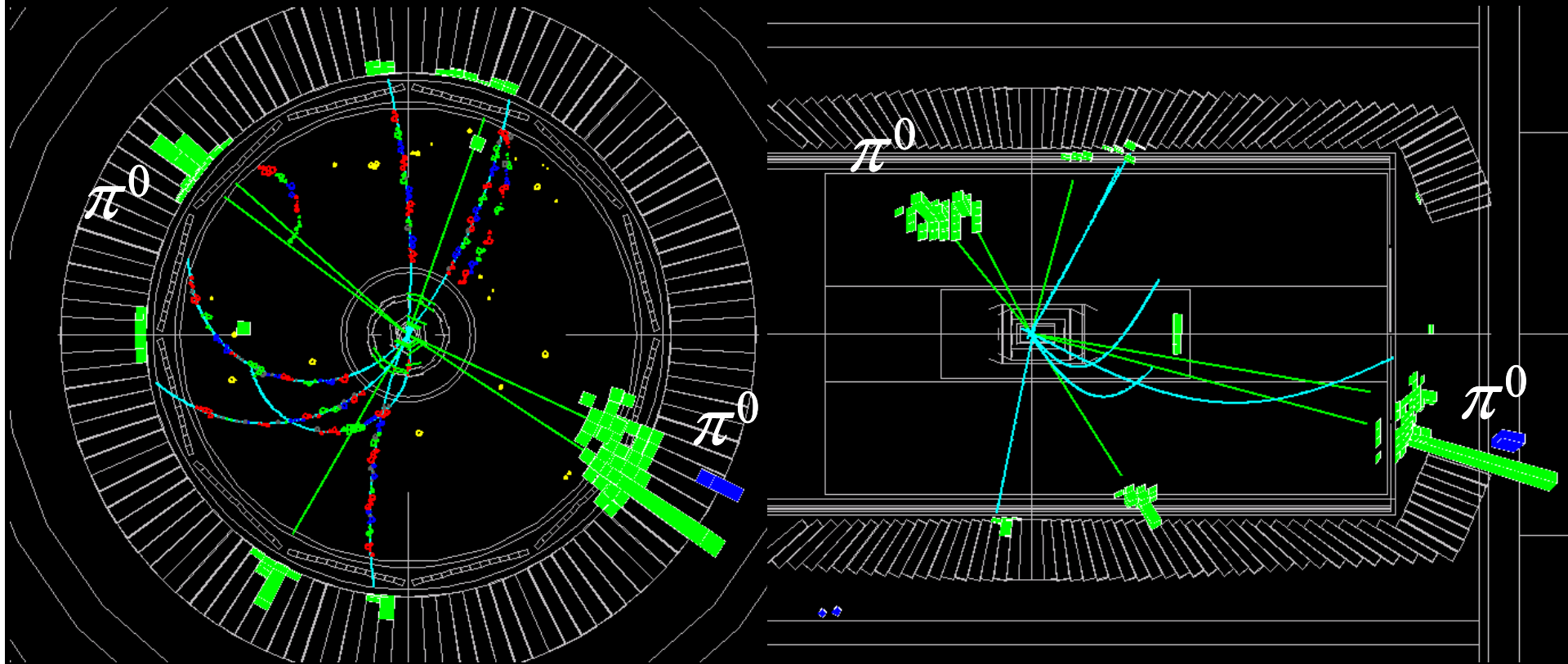
Some color-suppressed diagrams are in addition isospin-suppressed (e.g.,  $\pi^+\pi^-$  vs.  $\pi^0\pi^0$ )

- ★ **Final state interaction** (rescattering) and **non-factorizable contributions** can **substantially modify the color-suppression**
- ★ Since the tree amplitude is suppressed, **internal tree decays are sensitive to the penguin amplitude** ➔ will see later

# SU(2) – Crucial Ingredient : $B \rightarrow \pi^0 \pi^0$ (color-suppressed)

A  $B^0 \rightarrow \pi^0 \pi^0$  candidate

BABAR



$$B(B^0 \rightarrow \pi^0 \pi^0) = (1.51 \pm 0.28) \times 10^{-6}$$

$$C(B^0 \rightarrow \pi^0 \pi^0) = -0.28 \pm 0.39$$

BABAR, hep-ex/0412037  
Belle, hep-ex/0408100

# Analysis Techniques for Charmless $B$ Decays

- ★ Strong DIRC Particle ID to separate pions from kaons.

- ★ Event shape monomials ( $L_0, L_2$ ), and  $B$  kinematics optimally combined in Multivariate Analyzer [MVA] (Neural Network (NN) or Fisher Discriminant).

- ★ New NN-based  $B$ -flavor tagging with “ $Q$ ” of 30.5 %

- ★ Unbinned maximum-likelihood fit using beam-energy-substituted  $B$  mass ( $m_{ES}$ ),  $B$ -energy difference ( $\Delta E$ ), the resonance mass and helicity angle, the MVA, and  $\Delta t$ .

- ★ Likelihood components are signal, continuum background, charmed and charmless  $B$ -related backgrounds; as many likelihood-model parameters as possible are determined simultaneously from the fit to reduce systematic errors.

- ★ Tagging-performance parameters and  $\Delta t$  resolution parameters determined simultaneously from fit to fully reconstructed  $B$  decays to charm.



# Analysis Techniques for Charmless $B$ Decays

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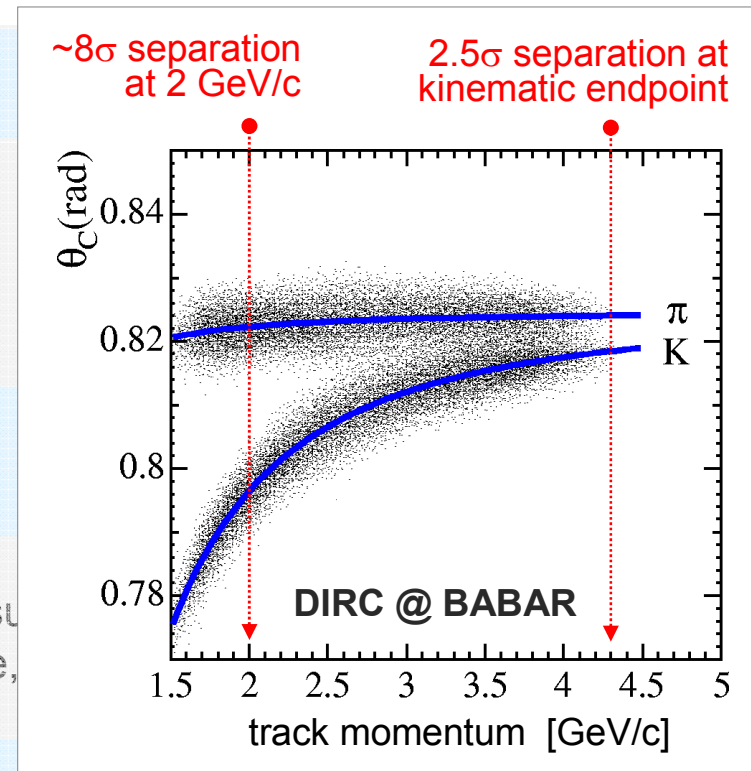
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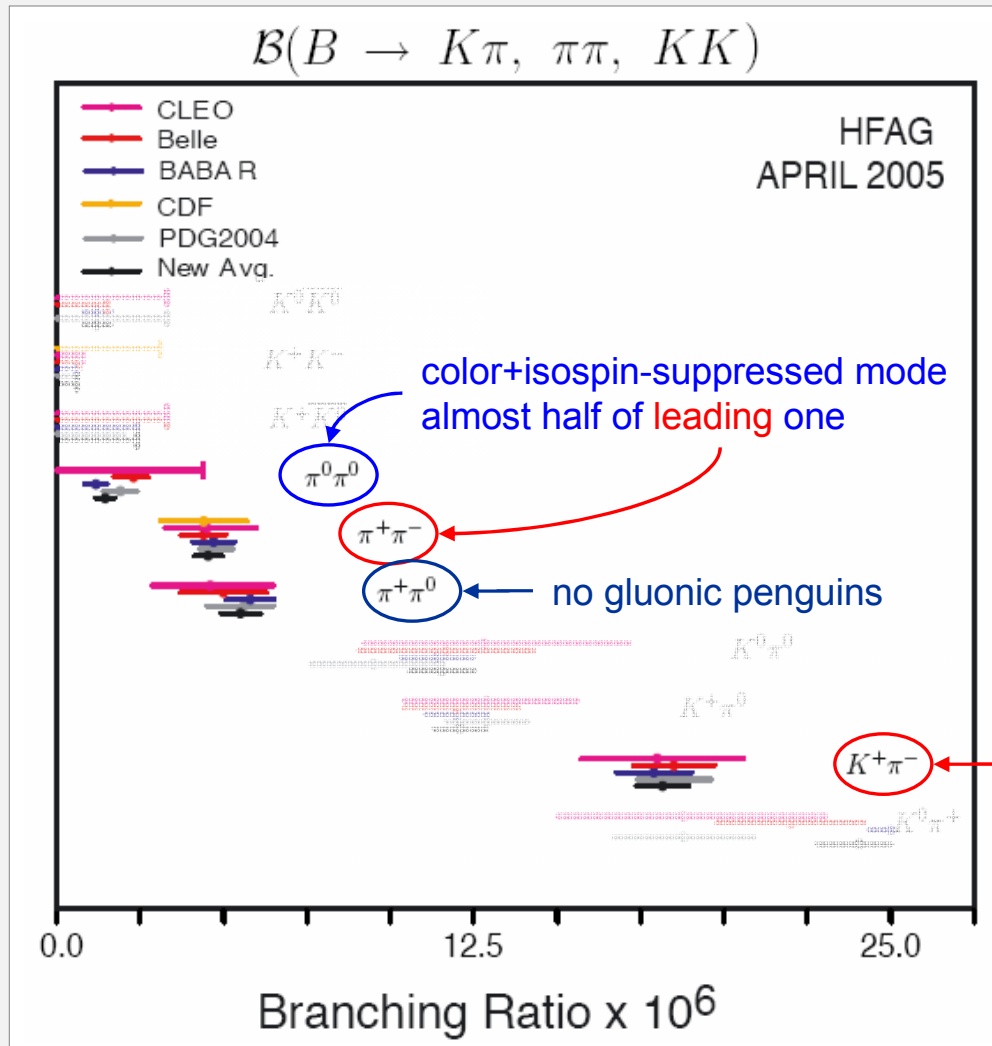
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# $B \rightarrow hh'$ Branching Fractions

Zero penguins and color suppr. predicts the hierarchy:  $BR(\pi^+\pi^-) = 2 \times BR(\pi^+\pi^0) \approx 20 \times BR(\pi^0\pi^0)$



but measurement gives:  $\frac{B^{+-}}{B^{00}} = 3.1^{+0.8}_{-0.6}$

➡ penguins could be important!

Also: without penguins expect:

$$\frac{BR(B^0 \rightarrow K^+ \pi^-)}{BR(B^0 \rightarrow \pi^+ \pi^-)} \sim \frac{|V_{us}|^2}{|V_{ud}|^2} \sim 0.05$$

but measurement gives:  $4.0 \pm 0.4$

➡ penguins are important!

# CP Results for $B^0 \rightarrow \pi^+ \pi^-$

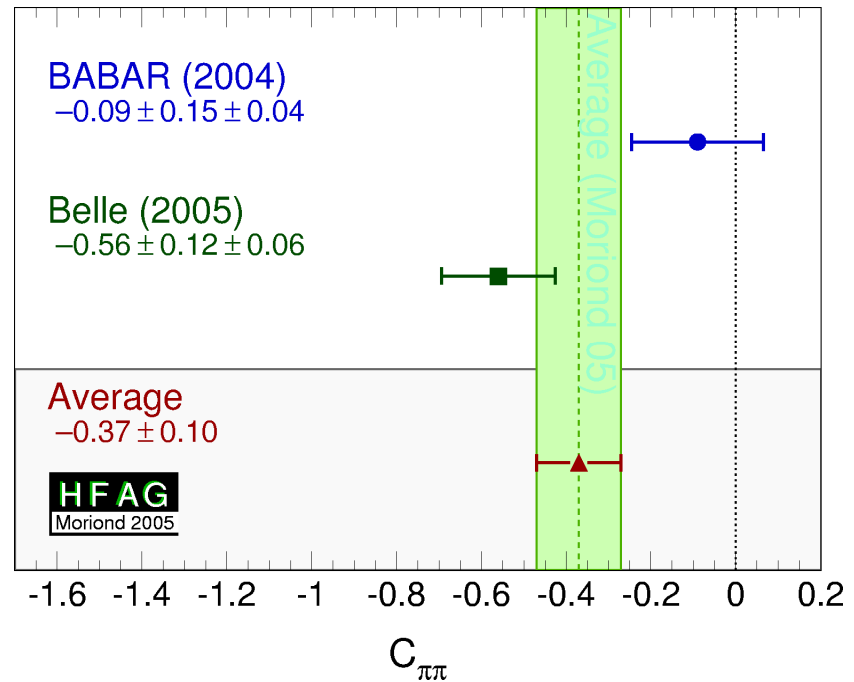
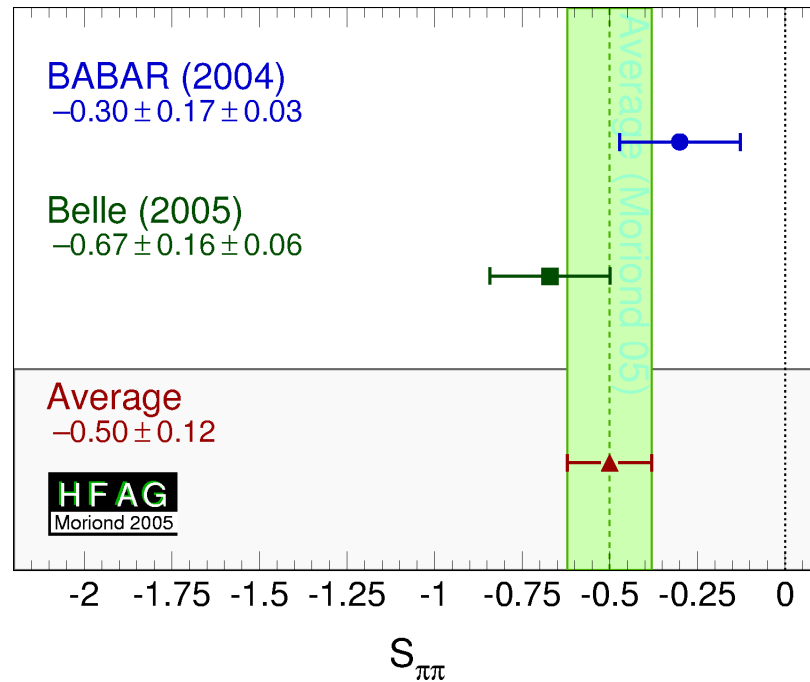
✿ Results for the time-dependent analysis :

	BABAR (227m)	Belle (275m)	Average
$S_{\pi\pi}$	$-0.30 \pm 0.17 \pm 0.03$	$-0.67 \pm 0.16 \pm 0.06$	$-0.50 \pm 0.12$
$C_{\pi\pi}$	$-0.09 \pm 0.15 \pm 0.04$	$-0.56 \pm 0.12 \pm 0.06$	$-0.37 \pm 0.10$

BABAR, hep-ex/0501071  
Belle, hep-ex/0502035

Mediocre (but improved) agreement :

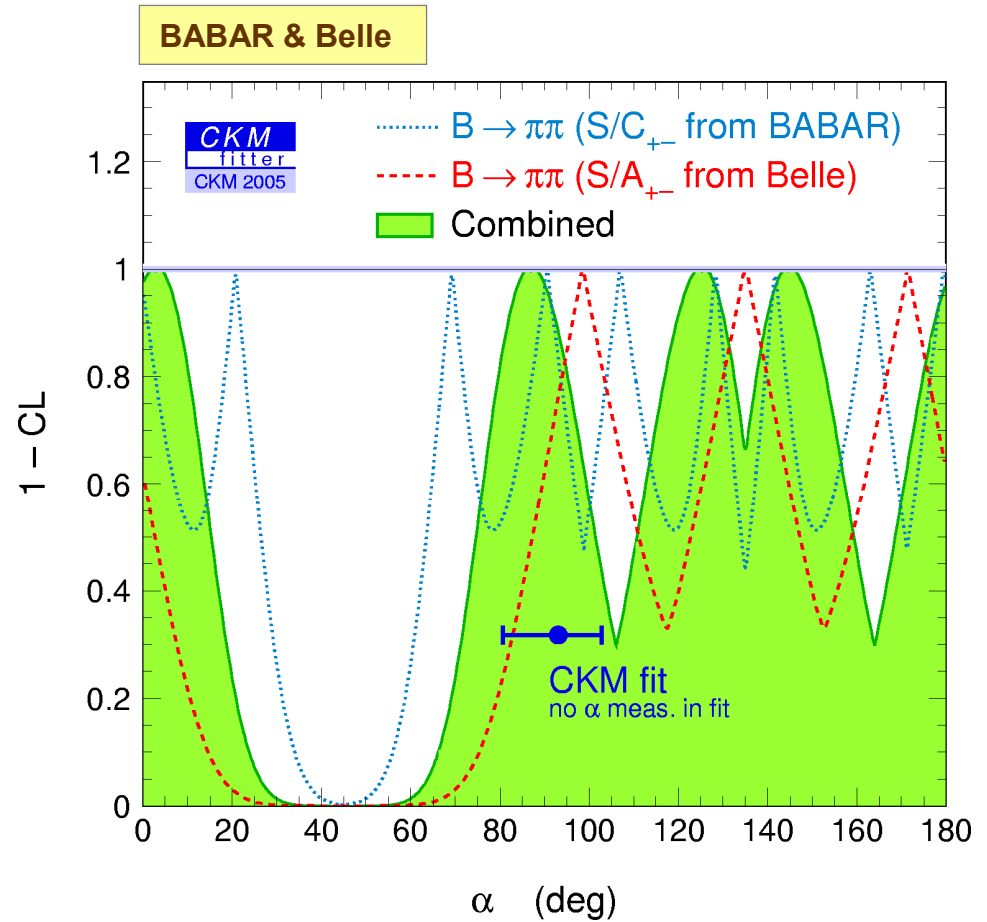
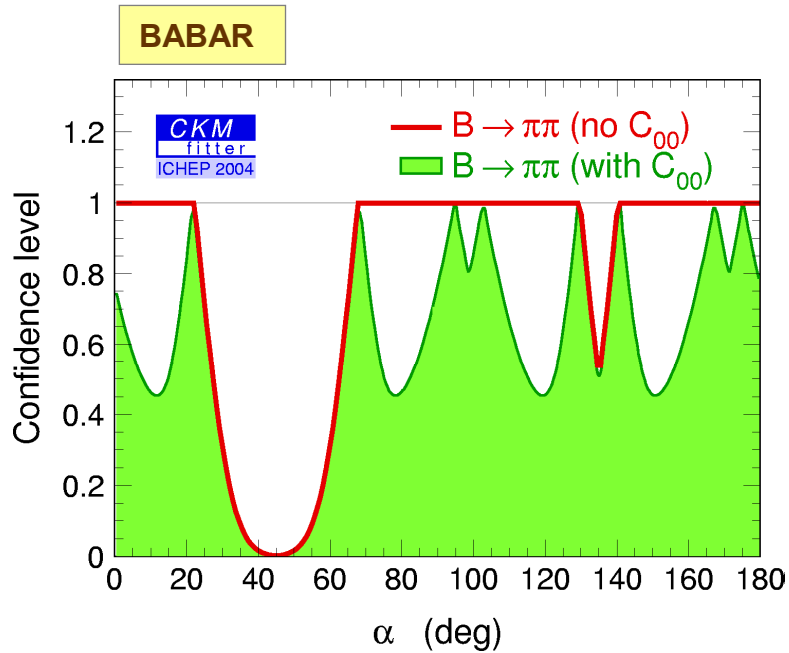
$$\chi^2 = 7.9 \text{ (CL} = 0.019 \Rightarrow 2.3\sigma)$$



# $B \rightarrow \pi\pi$ Isospin Analysis

☀  $\chi^2$  fit of isospin relations to observables:

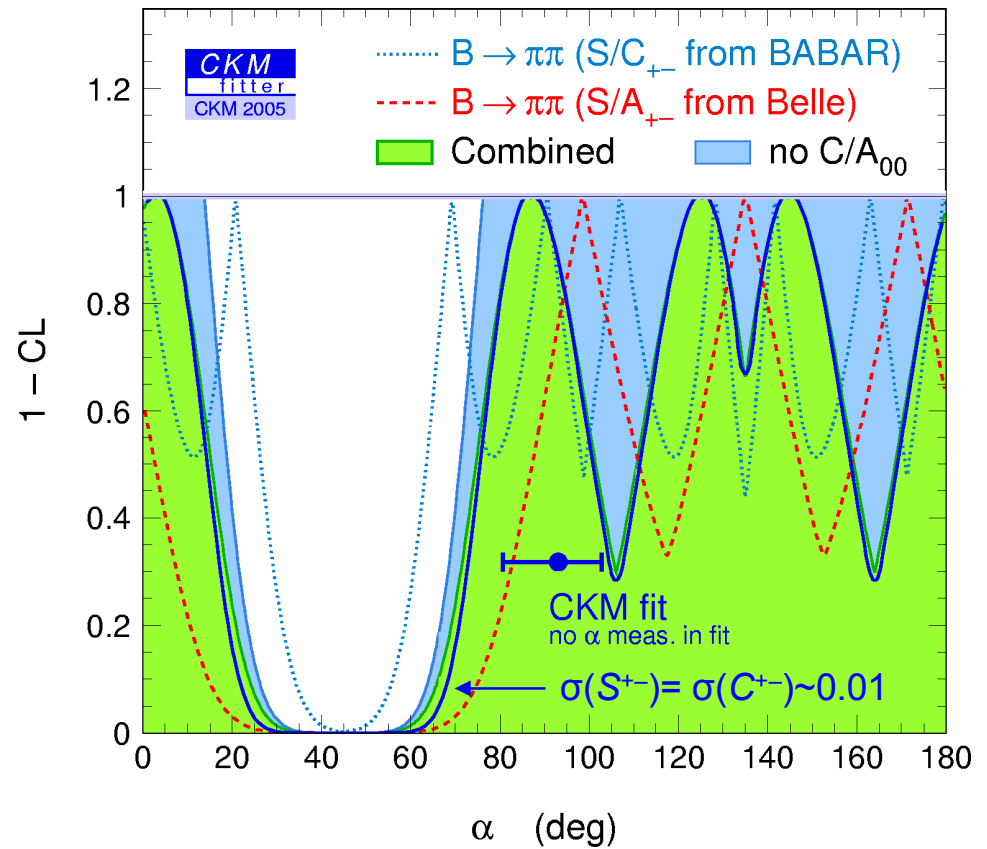
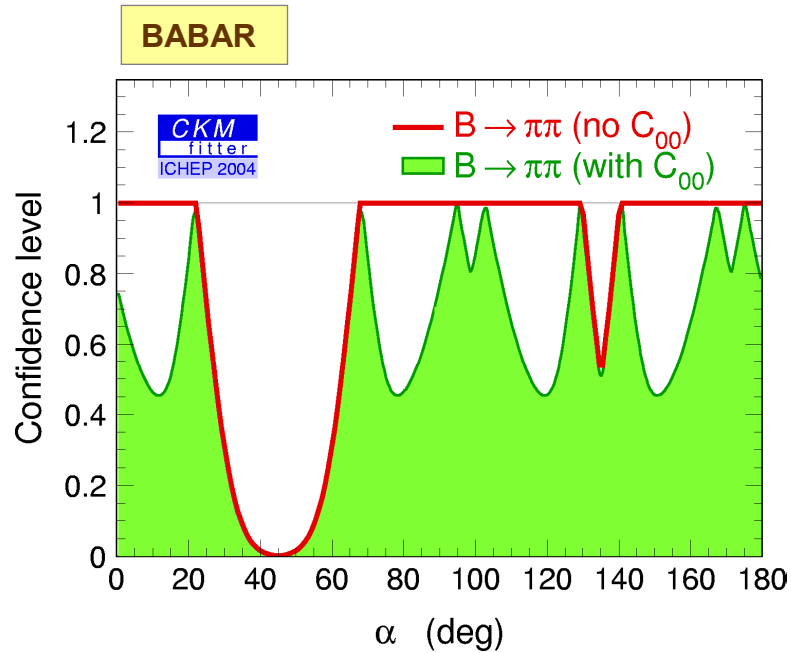
$$|\alpha - \alpha_{\text{eff}}| < 38^\circ \text{ (90\% CL)}$$



# $B \rightarrow \pi\pi$ Isospin Analysis

☀  $\chi^2$  fit of isospin relations to observables:

$$|\alpha - \alpha_{\text{eff}}| < 38^\circ \text{ (90\% CL)}$$



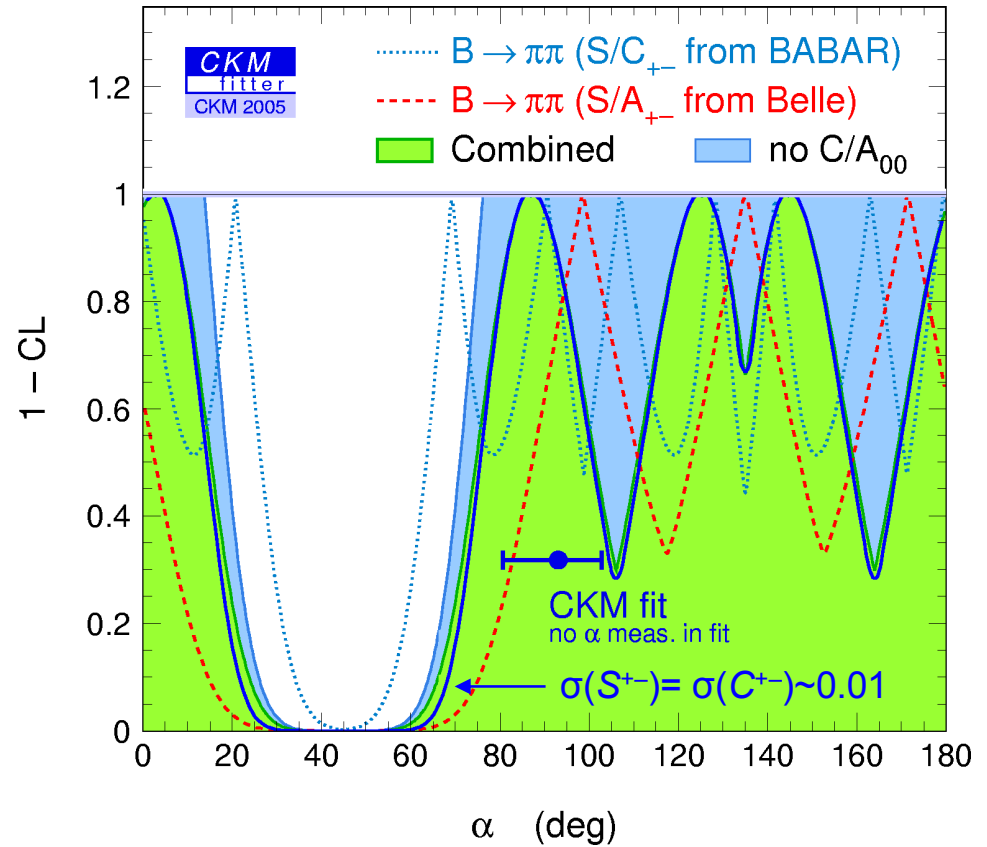
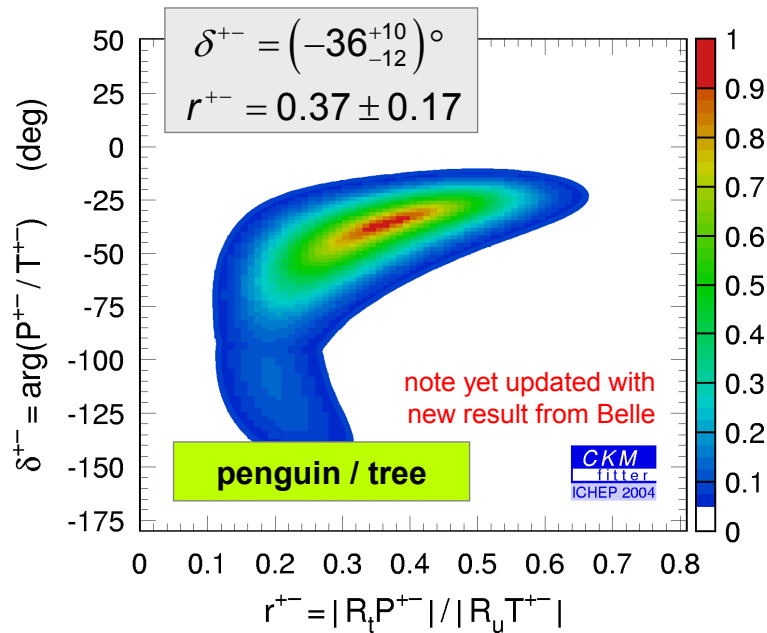


# $B \rightarrow \pi\pi$ Isospin Analysis

☀  $\chi^2$  fit of isospin relations to observables:

$$|\alpha - \alpha_{\text{eff}}| < 38^\circ \text{ (90\% CL)}$$

BABAR & Belle



☀ Study decay dynamics ...

See theory talks !

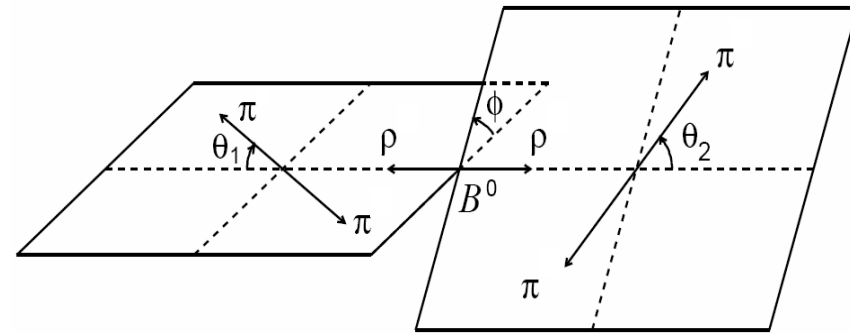
# A “surprise” : $B^0 \rightarrow \rho^+ \rho^-$

- ★ Branching fractions for the  $B \rightarrow \rho\rho$  system (WA) :

$$B^{+-} = (30 \pm 6) \times 10^{-6}, \quad B^{+0} = (26.4^{+6.1}_{-6.4}) \times 10^{-6}, \quad B^{00} < 1.1 \times 10^{-6} \text{ at 90\% CL}$$

BABAR,  
hep-ex/0412067

➔ small penguins !



- ★ Experimental complications (I) :

$B \rightarrow VV$  can have  $L_{VV}=0,1,2$ , with  
 $CP(L_{VV}=0,2) = +1$  and  $CP(L_{VV}=1) = -1$

Nature's great present : longitudinal polarization dominates ➔ almost no  $CP$  dilution

- ★ Experimental complications (II) :

Dominant mode:  $B \rightarrow \rho^+ \rho^- \rightarrow \pi^+ \pi^- \pi^0 \pi^0 \Rightarrow$  large misreconstruction rate ( $\sim 50\%$ )

The large misreconstruction rate makes the fit vulnerable to biases from correlations and backgrounds

4-body mode and broad, light resonance: large amounts of  $B$ -related backgrounds

# $B \rightarrow \rho\rho$ Isospin Analysis

✿ Results from  $CP$  fit : BABAR, hep-ex/0503049

BABAR (232m)	
$S_{\rho\rho,L}$	$-0.33 \pm 0.24^{+0.08}_{-0.14}$
$C_{\rho\rho,L}$	$-0.03 \pm 0.18 \pm 0.09$

✿ Isospin analysis :

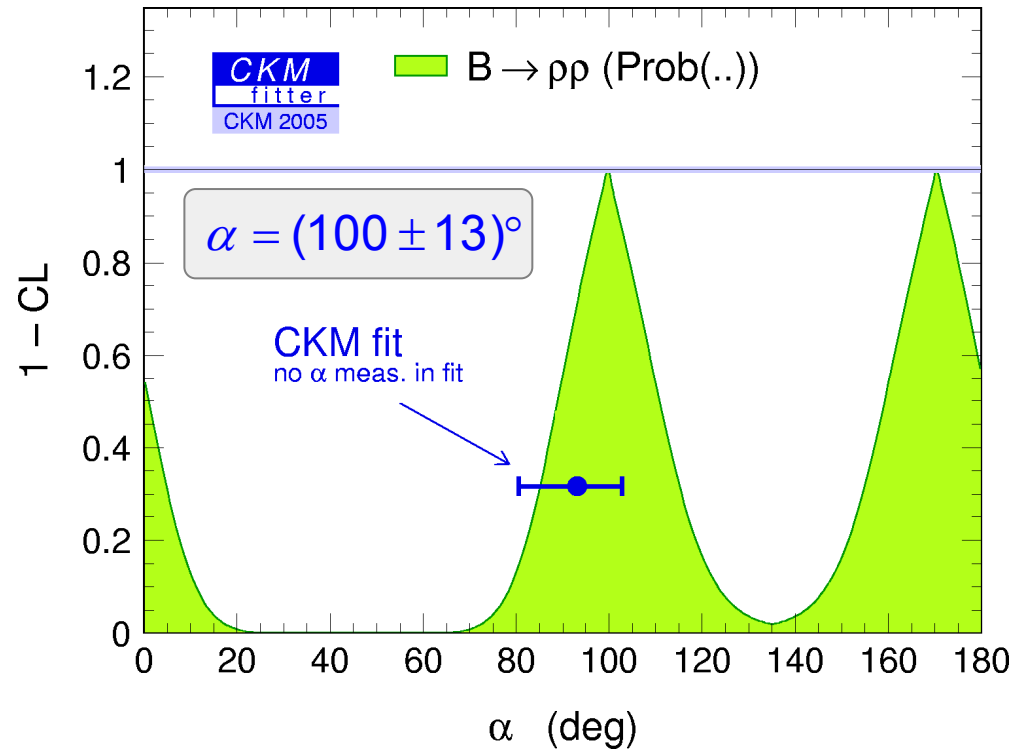
$$|\alpha - \alpha_{\text{eff}}| < 11^\circ \text{ (68\% CL)}$$

penguin / tree

$$\delta^{+-} = (\dots)^\circ$$

$$r^{+-} = 0.07^{+0.14}_{-0.07}$$

← As expected:  
much smaller than in  $B \rightarrow \pi\pi$



# $B \rightarrow \rho\rho$ Isospin Analysis

✿ Results from  $CP$  fit : BABAR, hep-ex/0503049

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$S_{\rho\rho,L}$	$-0.33 \pm 0.24^{+0.08}_{-0.14}$
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✿ Isospin analysis :

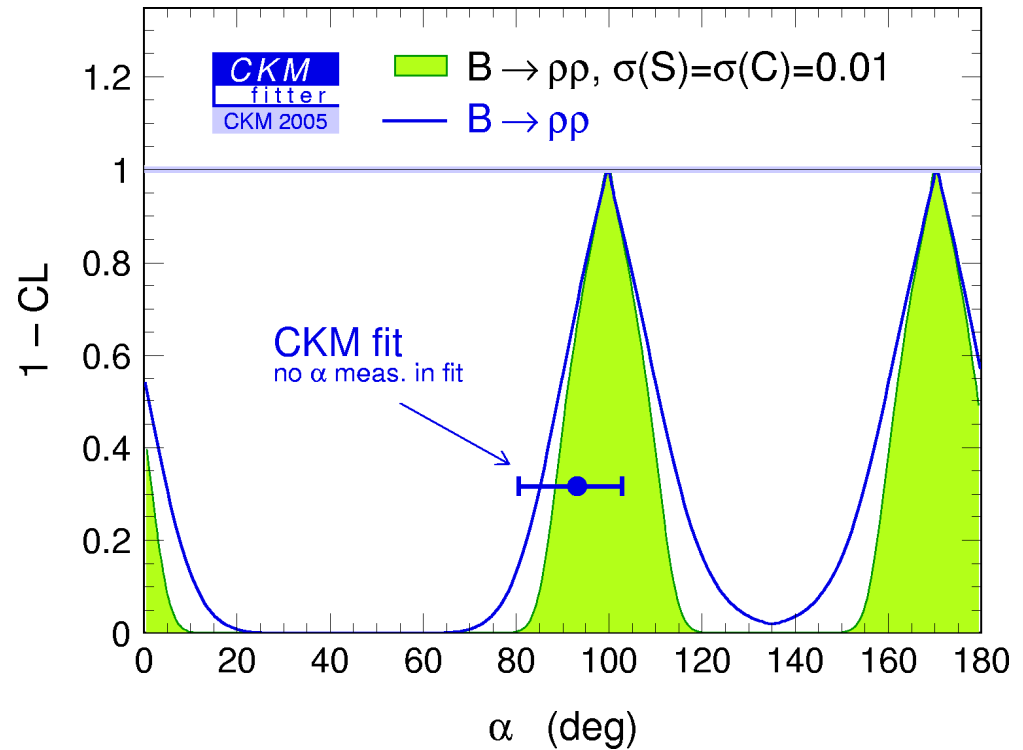
$$|\alpha - \alpha_{\text{eff}}| < 11^\circ \text{ (68\% CL)}$$

penguin / tree

$$\delta^{+-} = (\dots)^\circ$$

$$r^{+-} = 0.07^{+0.14}_{-0.07}$$

← As expected:  
much smaller than in  $B \rightarrow \pi\pi$



# Combination of $\pi\pi, \rho\pi, \rho\rho$ : first measurement of $\alpha$

Combining the three analyses ( $B \rightarrow \rho\rho$  best single measurement) :

mirror solution disfavored

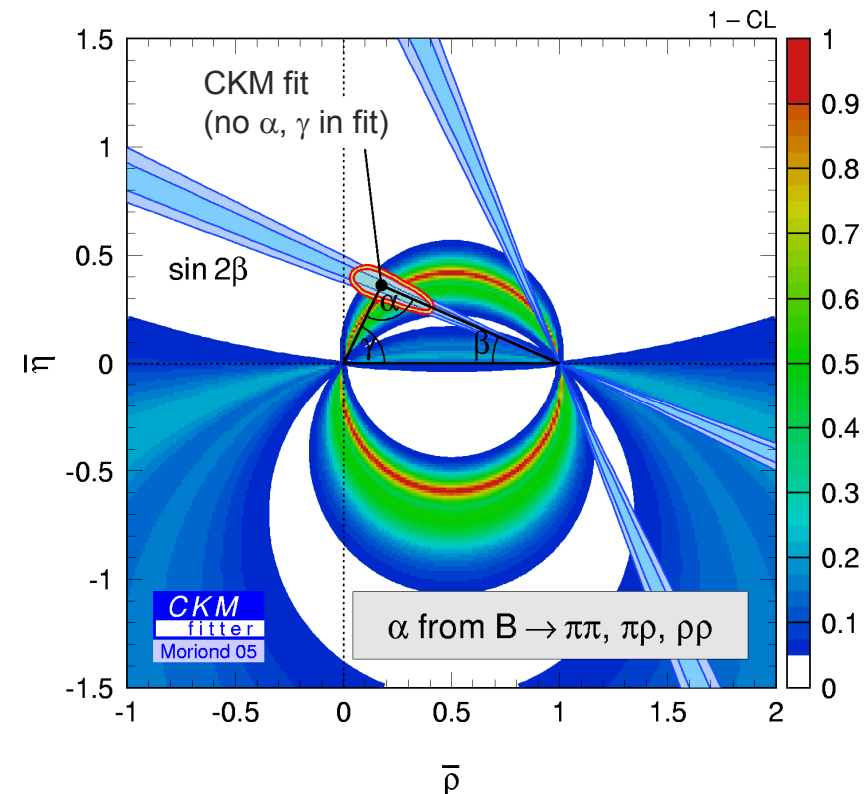
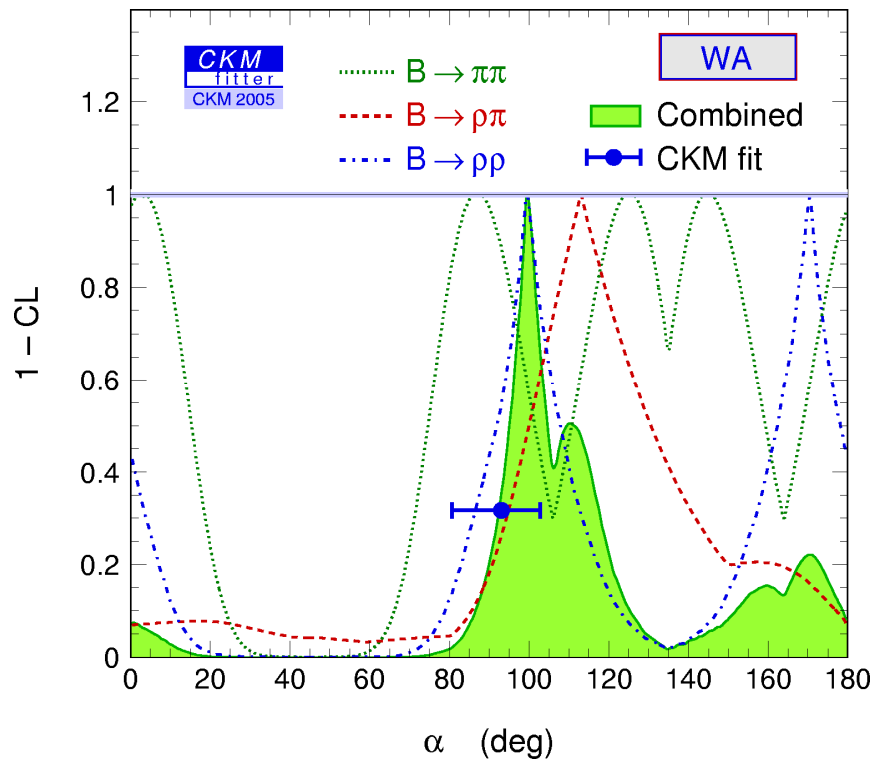
for the SM solution we find :

similar precision as CKM fit :

$$\alpha_{B\text{-Factories}} = \left[ 101^{+16}_{-9} \right]^\circ$$



$$\alpha_{\text{CKM}} = \left[ 93^{+10}_{-13} \right]^\circ$$

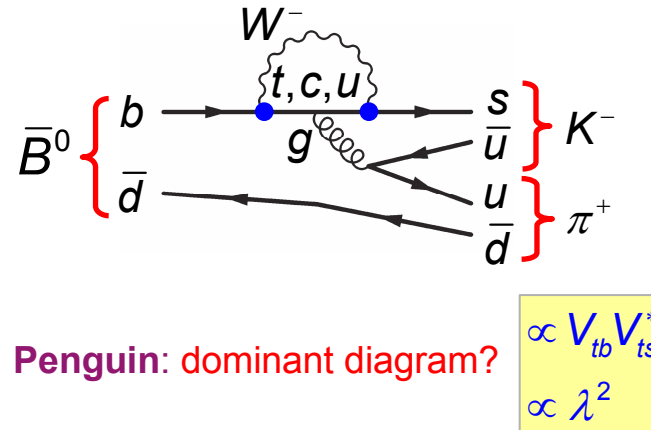
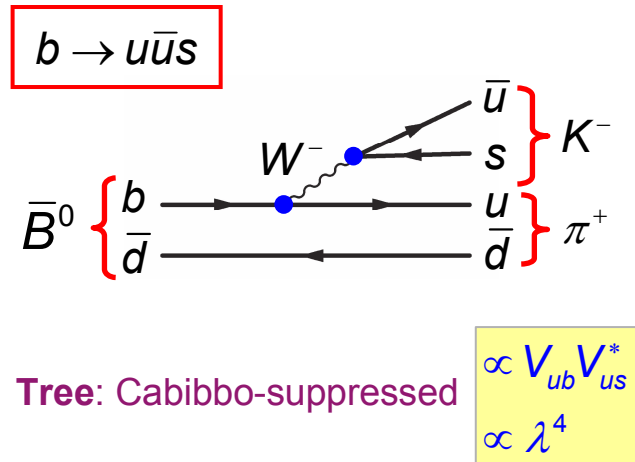


## (1<sup>st</sup> generation-) *B*-Factories' future on $\alpha$ ... till ~ 2008

- ☀ Expect ~ 4 times more statistics for both BABAR and Belle
- ☀  $\pi\pi$  isospin analysis will “rapidly” improve with better  $C(\pi^0\pi^0)$  measurements
- ☀  $\rho\rho$  isospin analysis, future unclear:
  - bound on penguin pollution only improves with  $\sqrt{B(\rho^0\rho^0)}$
  - if discovered, not enough statistics to well measure  $C(\rho^0\rho^0)$  and  $S(\rho^0\rho^0)$
  - on the other hands: no Belle results yet !
  - at very large statistics, systematics and model-dependence will become an issue
- ☀  $\rho\pi$  isospin analysis ... not very promising
- ☀  $\rho\pi$  Dalitz analysis is just at the beginning: very challenging; still much to learn; no Belle result yet; model-dependence is an issue !

*Excursion to*  
 $B \rightarrow \pi\pi, K\pi, KK$  (and more) Decays

# Charmless $b \rightarrow s$ Decays



famous modes:

$$B^0 \rightarrow K^+\pi^-$$

$$B^0 \rightarrow \rho^-K^+$$

$$B^0 \rightarrow K^{*+}\pi^-$$

$$B^0 \rightarrow \phi K^0$$

★ Note: no way to produce opposite charge-flavor combination (“self-tagging”) :

$$B^0 \not\rightarrow K^-\pi^+ \quad \text{and} \quad \bar{B}^0 \not\rightarrow K^+\pi^-$$

➡  $K^+\pi^-$  is a flavor eigenstate and cannot exhibit mixing-induced  $CP$  violation

★ Since  $b \rightarrow s$  decays are penguin-enhanced, they provide sensitivity to the penguin pollution in the corresponding  $b \rightarrow u$  mode, using SU(3) symmetry

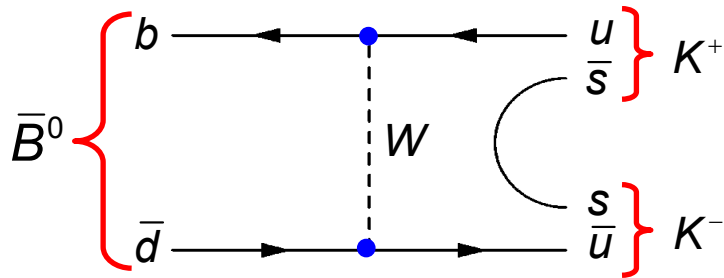
$$P_{\pi\pi}^{+-} \sim P_{K\pi}^{+-}$$

★ Could exhibit sensitivity to new physics



# Charmless $b \rightarrow s$ Decays (cont.)

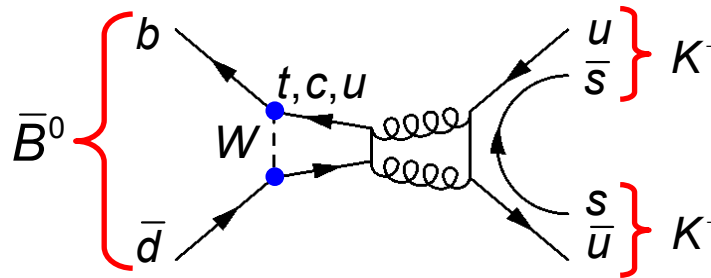
$b\bar{d} \rightarrow u\bar{s} \bar{u}s$  are expected to have tiny branching fractions



Tree: exchange diagram

$$\propto V_{ub} V_{ud}^*$$

$$\propto \lambda^3$$



Penguin: Okubo-Zweig-Iizuka (OZI) - suppressed annihilation ("disconnected quark lines are suppressed")

$$\propto V_{tb} V_{td}^*$$

$$\propto \lambda^3$$

famous modes:

$$B^0 \rightarrow K^+ K^-$$

$$B^0 \rightarrow K^{*-} K^+$$

$$B^0 \rightarrow K^{*+} K^{*-}$$

★ Exchange (neutral decays) and annihilation (charged decays) decay rates go with

$$(f_{B_d})^2$$

★ Exchange diagrams also contribute to  $B^0 \rightarrow \pi^+ \pi^-$ . Their size is an important unknown in the theoretical prediction of its decay dynamics, since they do not "factorize"

→ theory lectures

# Direct $CP$ Violation

- ★ We have seen in the first lecture that **direct  $CP$  violation** (=  $CP$  violation in decay) **requires at least two decay amplitudes with different weak and strong phases**

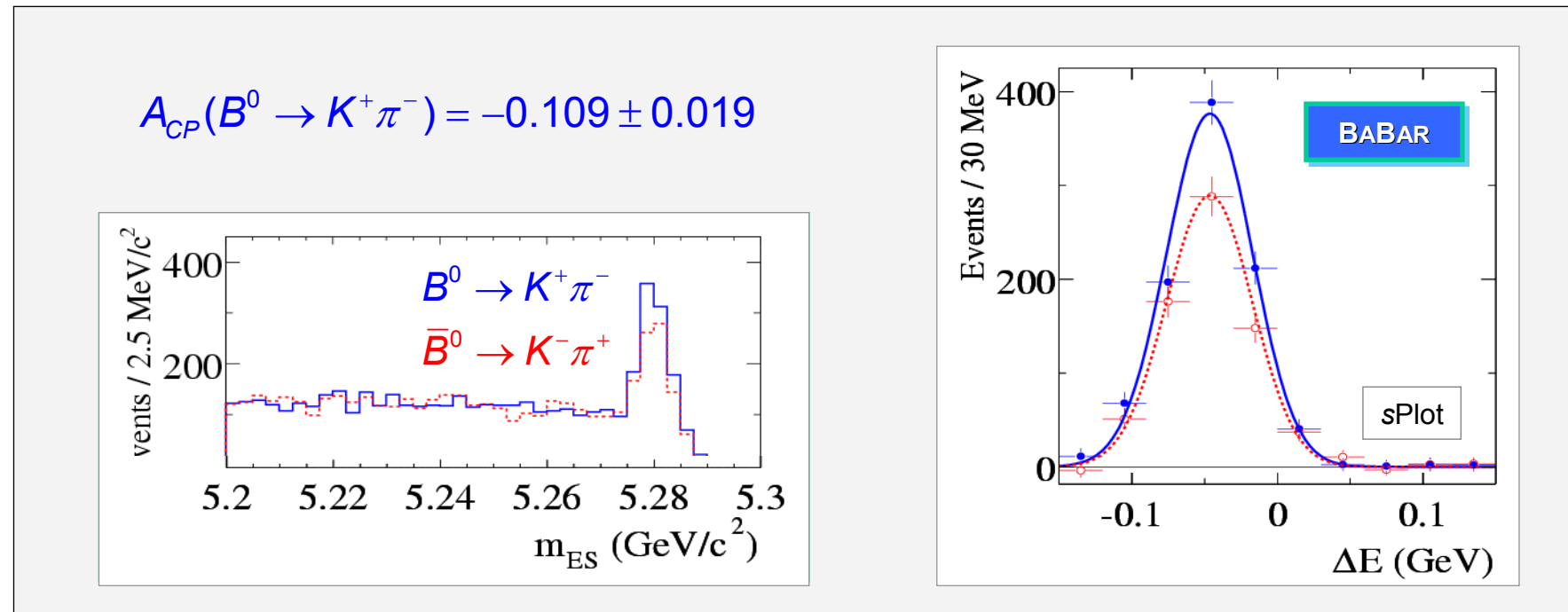
$$A_{CP} \equiv \frac{\Gamma(\bar{B} \rightarrow \bar{f}) - \Gamma(B \rightarrow f)}{\Gamma(\bar{B} \rightarrow \bar{f}) + \Gamma(B \rightarrow f)} = \frac{|\bar{A}_f|^2 - |A_f|^2}{|\bar{A}_f|^2 + |A_f|^2} \propto |T| |P| \sin(\Delta\phi) \sin(\Delta\theta)$$

convention:  $A_{CP}$  if flavor eigenstate (e.g.,  $K^+\pi^-$ ,  $\pi^+\pi^0$ ),  $C_{hh}$  if flavor mixing occurs

- ★ Occurrence of direct  $CP$  violation thus indicates **significant penguin contributions**
- ★ On the other hand: non-occurrence does not necessarily mean zero penguins, but could be due to vanishing strong phases !

# Direct $CP$ Violation : $B^0 \rightarrow K^+ \pi^-$

- Large asymmetry observed by BABAR and Belle in  $B^0 \rightarrow K^+ \pi^-$  decays (Summer 2004) :

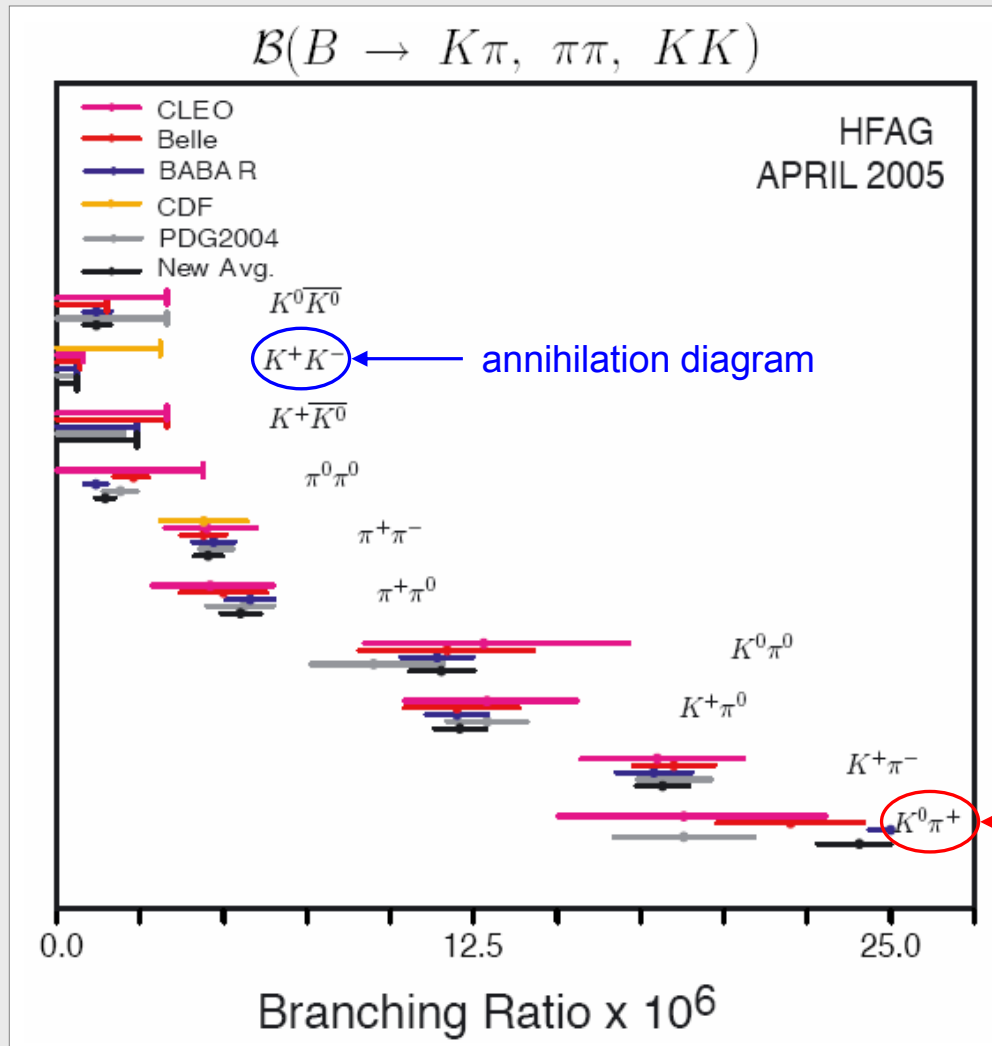


- Expect more  $B$  decays modes (also charged ones) to soon exhibit direct  $CP$  violation
- Direct CPV requires interference of amplitudes of similar size and with different weak and strong phases  $\Rightarrow$  cannot be reliably predicted (at present) for use in CKM fit

# $B \rightarrow hh'$ Branching Fractions

Zero trees predict the hierarchy:  $BR(K^+\pi^-) \sim BR(K^0\pi^+) \sim 2 \times BR(K^+\pi^0) \sim 2 \times BR(K^0\pi^0)$

note: lifetime factors omitted here



Without trees expect:

$$\frac{BR(B^0 \rightarrow K^0 \pi^+)}{BR(B^0 \rightarrow K^+ \pi^-)} \approx 1.1$$

But measurement gives:

$$\frac{BR(B^0 \rightarrow K^0 \pi^+)}{BR(B^0 \rightarrow K^+ \pi^-)} = 1.32 \pm 0.09$$

→ trees are not negligible!

# digression: $B \rightarrow K\pi$ with Flavor Symmetries

- ☀  $B \rightarrow K\pi$  decays are related through isospin in **quadrangle** (4 different final states)
  - 📖 neglecting EW penguins system is solvable (9 obs. vs 8 unknowns): **determines  $\alpha$**
  - 📖 needs huge statistics and has many discrete ambiguities
  - 📖 if EW penguins are included system underconstrained; ways out:
    - use SU(3) flavor symmetry
    - relate EW penguins to tree via Fiertz transformation
    - neglect exchange/annihilation or color-suppressed EW penguins ☹
    - a mixture of these
- ☀ SU(3) flavor symmetry relates  $\Delta B=1$  decay amplitudes belonging to a given SU(3) multiplet
  - 📖  $B \rightarrow PP$  (2 pseudoscalars) has SU(3) singlet and octet relating final states of all charges with the modes  $\pi, \eta, \eta', K, \dots$
  - 📖  $B \rightarrow PV$  (pseudoscalar + vector) has SU(3) octet relating final states of all charges with the modes  $\eta, \pi, K, \dots$  and  $\rho, K^*, \phi, \dots$
- ☀ SU(3) violations can be large; correction only possible for factorizable terms

→ theory lectures

# “Penguin Puzzles”

☀ Long list of publications on the so-called  $B \rightarrow K\pi$  puzzle

Silva-Wolfenstein, 1993  
Benke-Neubert, NP, B675, 333 (2003)  
Buras *et al.* (BFRS), EPJ C32, 45 (2003); (+ 2005)  
Chiang *et al.*, PRD D70, 034020 (2004)  
Wu-Zhou, hep-ph/0503077  
Charles *et al.*, EPJ C41, 1–131 (2005)  
apologies to the many other authors on this problem

☀ There’s more puzzles ...

☀ My personal take on puzzles:

📄 there is no puzzle in  $B \rightarrow \rho\rho$ : color suppression works when penguins are small

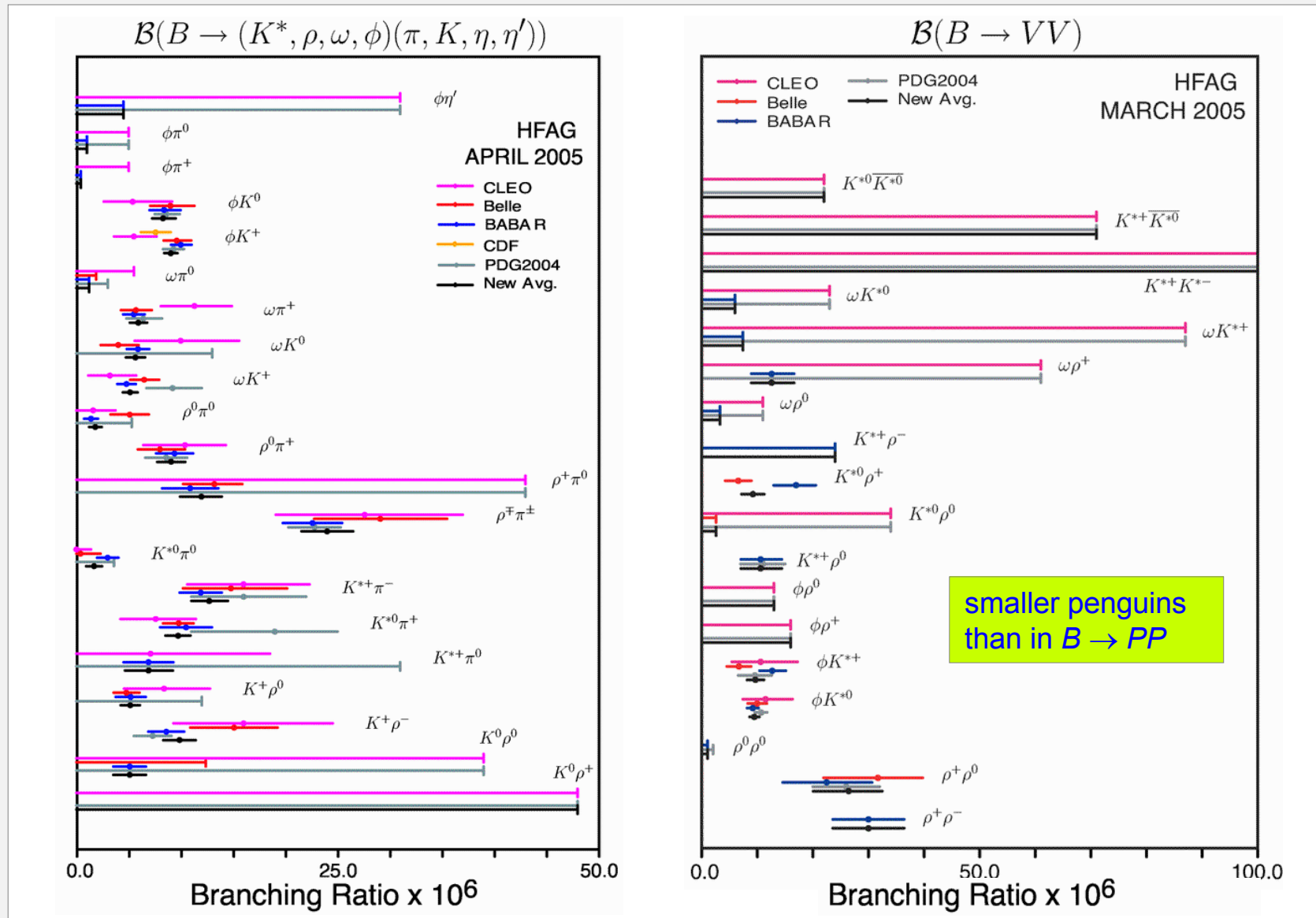
📄 there is a  $\pi\pi$  puzzle: why are terms  $\sim V_{ub}$  so large ?

📄 there is a  $K\pi$  puzzle: why are terms  $\sim V_{ub}$  so large ?

📄 there is an s-penguin puzzle: why are terms  $\sim V_{ub}$  so large ?

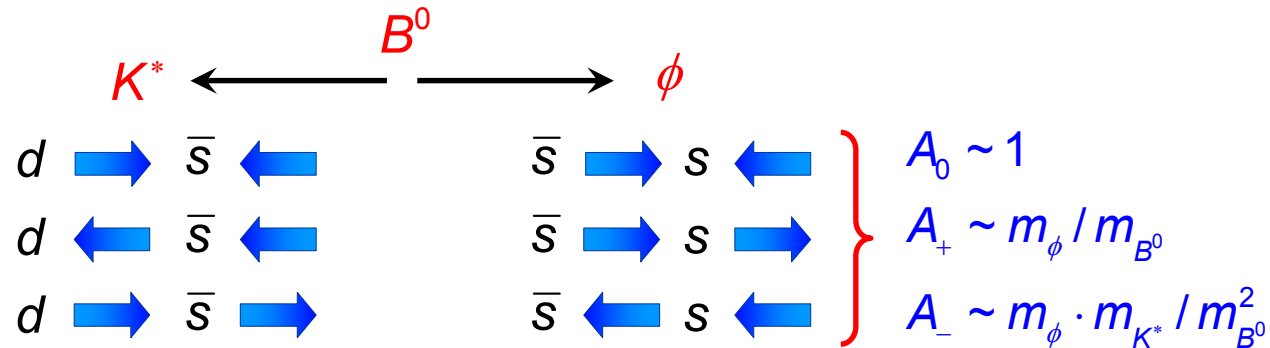
} similar magnitude of “*u*-penguin” enhancement

# $B \rightarrow PV, VV$ Branching Fractions



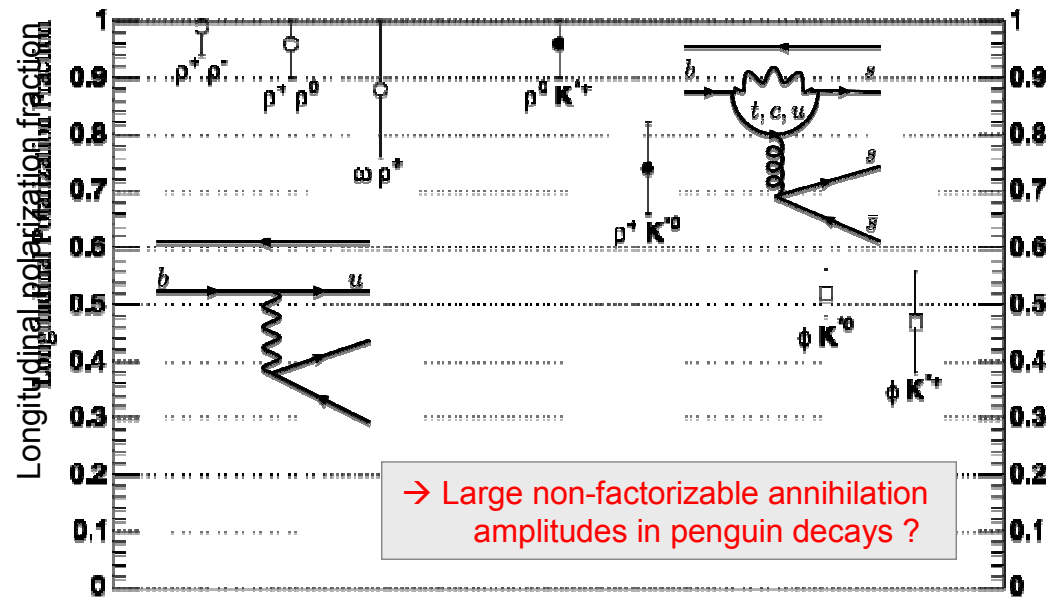
# Polarization in $B \rightarrow VV$ Decays

- Within naïve factorization, transverse polarization suppressed  $\propto (m_V / m_B)^2$



- Experiment:

BABAR & Belle,  
HFAG Moriond 2005





# Towards $\gamma$ [ next UT input that is not theory limited ]

$$b \rightarrow c\bar{u}s, u\bar{c}s$$

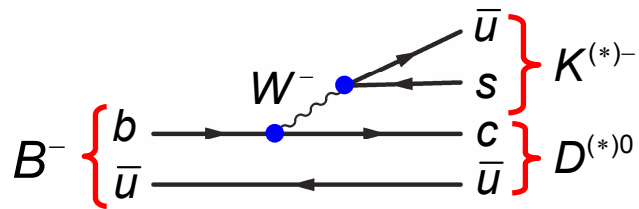
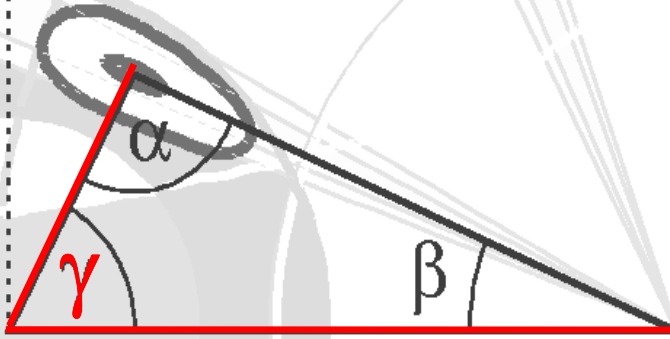
the million dollar Q:

$$\left. \begin{matrix} r_B \\ r_B^* \end{matrix} \right\} \text{how small ?}$$

- GLW :  $D^0$  decays into  $CP$  eigenstate
- ADS :  $D^0$  decays to  $K^-\pi^+$  (favored) and  $K^+\pi^-$  (suppressed)
- GGSZ :  $D^0$  decays to  $K_S\pi^+\pi^-$  (interference in Dalitz plot)

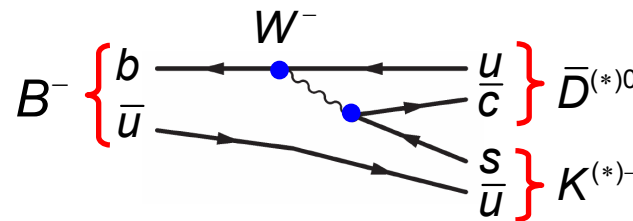
➔ All methods fit simultaneously:  $\gamma$ ,  $r_B$  and  $\theta$

Gronau-London, PL B253, 483 (1991);  
 Gronau-Wyler, PL B265, 172 (1991)  
 Atwood-Dunietz-Soni, PRL 78, 3257 (1997)  
 Giri *et al*, PRD 68, 054018 (2003)



Tree: dominant

$$\propto V_{cb}V_{us}^* \\ \propto \lambda^3$$



Tree: color-suppressed

$$\propto V_{ub}V_{cs}^* \\ \propto \lambda^3 \sqrt{\rho^2 + \eta^2}$$

No Penguins 😊

relative CKM phase :  $\gamma$

# The “GLW” and “ADS” Analyses



**GLW** : measure branching fraction of  $B^- \rightarrow D^0_{(CP)} K^-$

$D^0_{CP\pm}$  reconstructed in  $\pm CP$  (for ex.,  $D^0_{CP+} \rightarrow K^- K^+$ ,  $D^0_{CP-} \rightarrow K_S \pi^0$ )  $\Rightarrow b \rightarrow c$  and  $b \rightarrow u$  interfere



4 observables sensitive to  $\gamma$  :

$$R_{CP\pm} \propto \Gamma(B^- \rightarrow D^0_{CP\pm} K^-) + \Gamma(B^+ \rightarrow D^0_{CP\pm} K^+) \propto 1 + r_B^2 \pm 2r_B \cos \delta_B \cos \gamma$$

$$A_{CP\pm} \propto \Gamma(B^- \rightarrow D^0_{CP\pm} K^-) - \Gamma(B^+ \rightarrow D^0_{CP\pm} K^+) \propto \pm 2r_B \sin \delta_B \sin \gamma / R_{CP\pm}$$

$r_B \equiv |A(b \rightarrow u\bar{c}s) / A(b \rightarrow c\bar{u}s)|$   
 $\sim 0.1 - 0.3 ??$

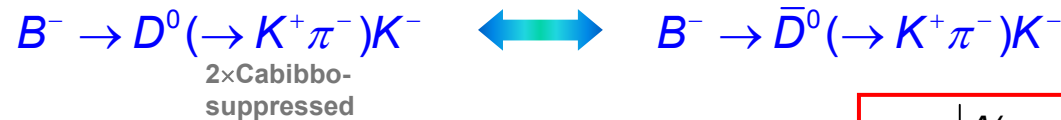
strong phase  
in decay of B



Problem of GLW : requires interference of amplitudes with rather different sizes



**ADS** : disfavor favored amplitude and favor disfavored amplitude



$$R_{ADS} \equiv (...) = r_D^2 + r_B^2 + 2r_B r_D \cos \gamma \cos(\delta_B + \delta_D)$$

$$A_{ADS} \equiv (...) = 2r_B r_D \sin \gamma \sin(\delta_B + \delta_D) / R_{ADS}$$

$r_D \equiv |A(c \rightarrow du\bar{s}) / A(b \rightarrow sud)|$   
 $= 0.060 \pm 0.003$

strong phase  
in decay of D

# “ADS+GLW” : Constraint on $\gamma$

- ☀ BABAR and Belle have measured the observables for GLW and ADS in the modes  $B^- \rightarrow D^0 K^-, D^{*0} K^-$  (upcoming:  $D^0 K^{*-}$ )

- 📄 No significant measurement of suppressed amplitude yet  $\Rightarrow$  limit :  $r_B^{(*)} \lesssim 0.2$

BABAR, hep-ex/0408082, hep-ex/0408060  
 hep-ex/0408069, hep-ex/0408028

Belle, Belle-CONF-0443, hep-ex/0307074  
 hep-ex/0408129

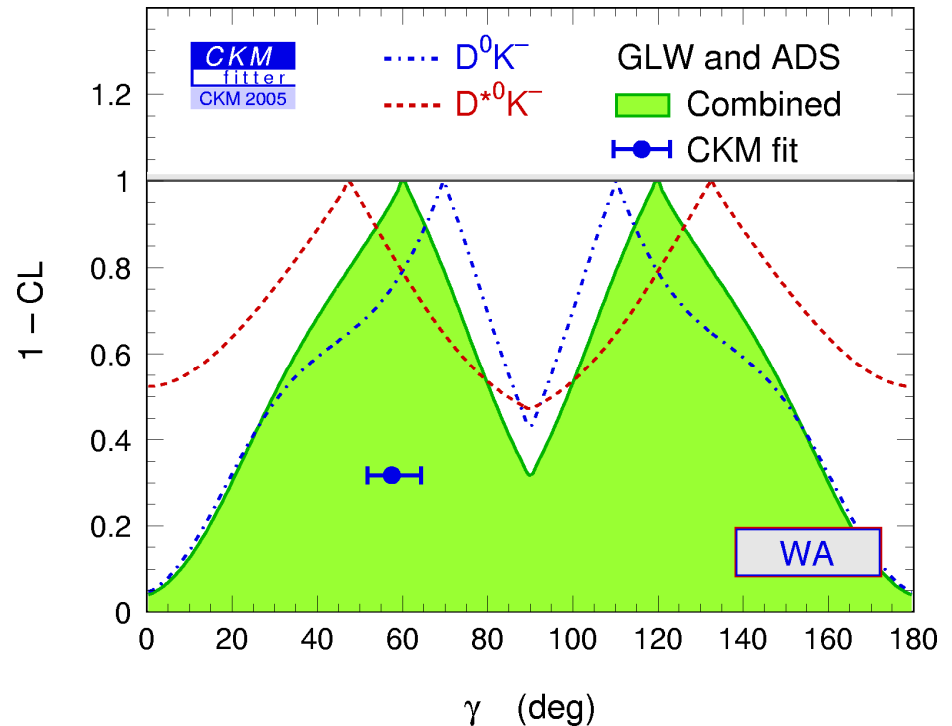
- 📄 for the SM solution :

$$\gamma_{\text{meas}} = \left[ 60^{+30}_{-39} \right]^\circ$$



$$\gamma_{\text{CKM}} = \left[ 58^{+7}_{-5} \right]^\circ$$

- 📄 not yet competitive with CKM fit



# The “GGSZ” Dalitz Analysis

- ☀ Promising : Increase  $B$  decay interference through  $D$  decay Dalitz plot with  $D^0 \rightarrow K_S \pi^+ \pi^-$

Decay amplitudes :

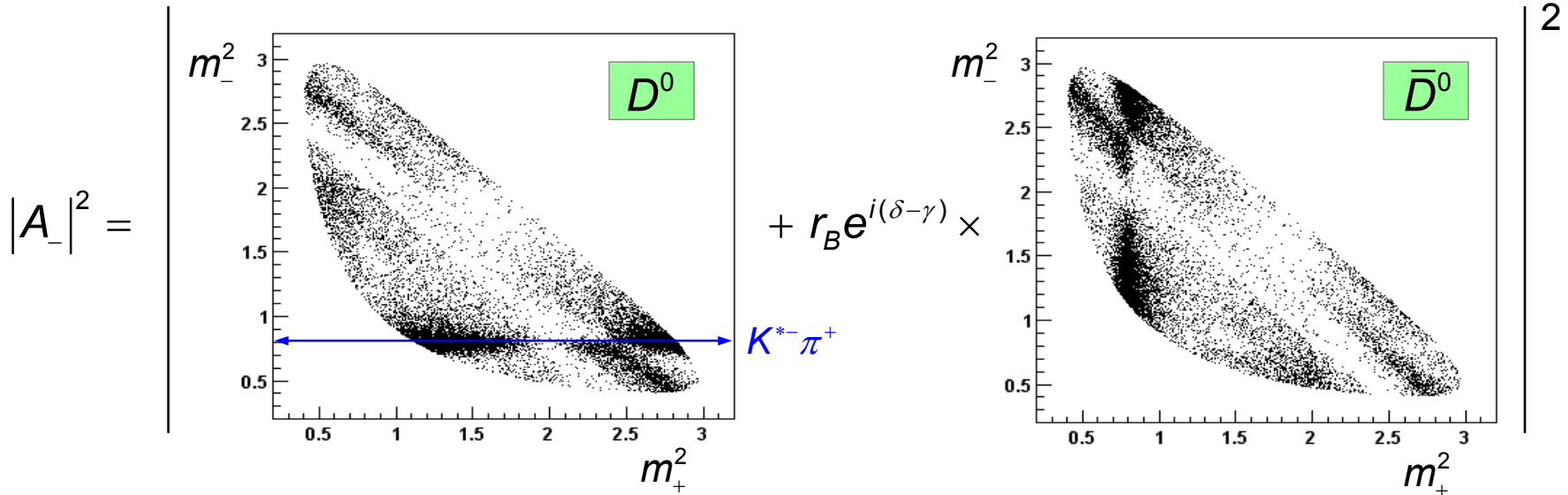
$$A_-(m_-^2, m_+^2) = \left| A(B^- \rightarrow D^0 K^-) \right| \left[ f_{-+} + r_B e^{i(\delta-\gamma)} f_{+-} \right]$$

$$A_+(m_-^2, m_+^2) = \left| A(B^+ \rightarrow \bar{D}^0 K^+) \right| \left[ f_{+-} + r_B e^{i(\delta+\gamma)} f_{-+} \right]$$

Sum of amplitudes contributing to  $D^0 \rightarrow K_S \pi^+ \pi^-$

$$f_{+-} \equiv f(m_+^2, m_-^2)$$

$$m_{\pm} \equiv m(K_S^0 \pi^{\pm})$$



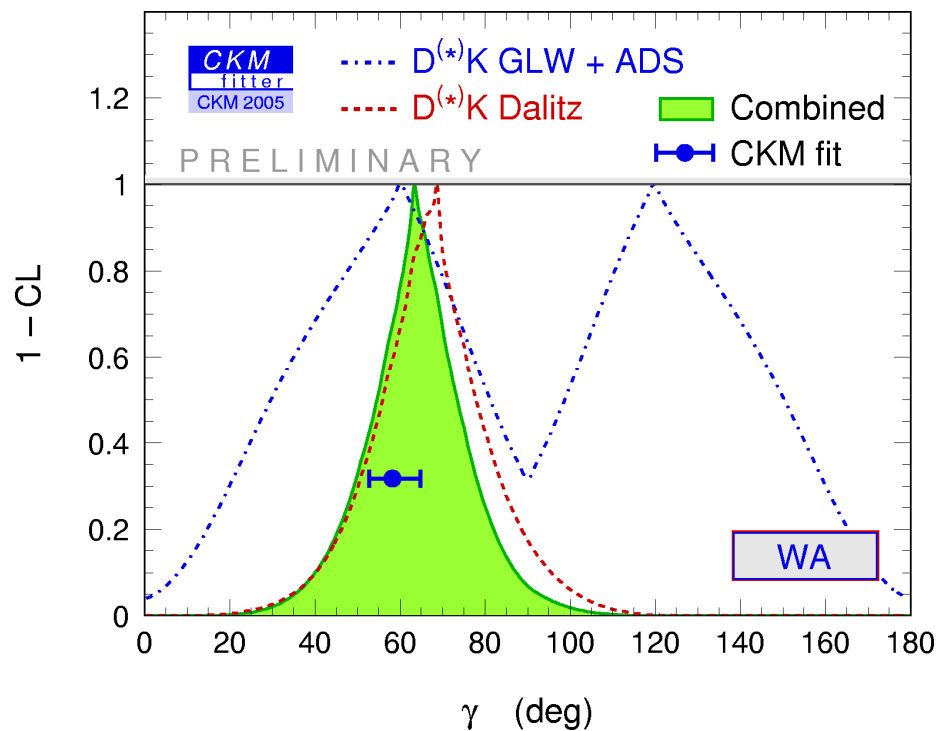
Simultaneous measurement of  $r_B$ ,  $\delta$  and  $\gamma$

BABAR, hep-ex/0408088

# “GGSZ” : Constraint on $\gamma$

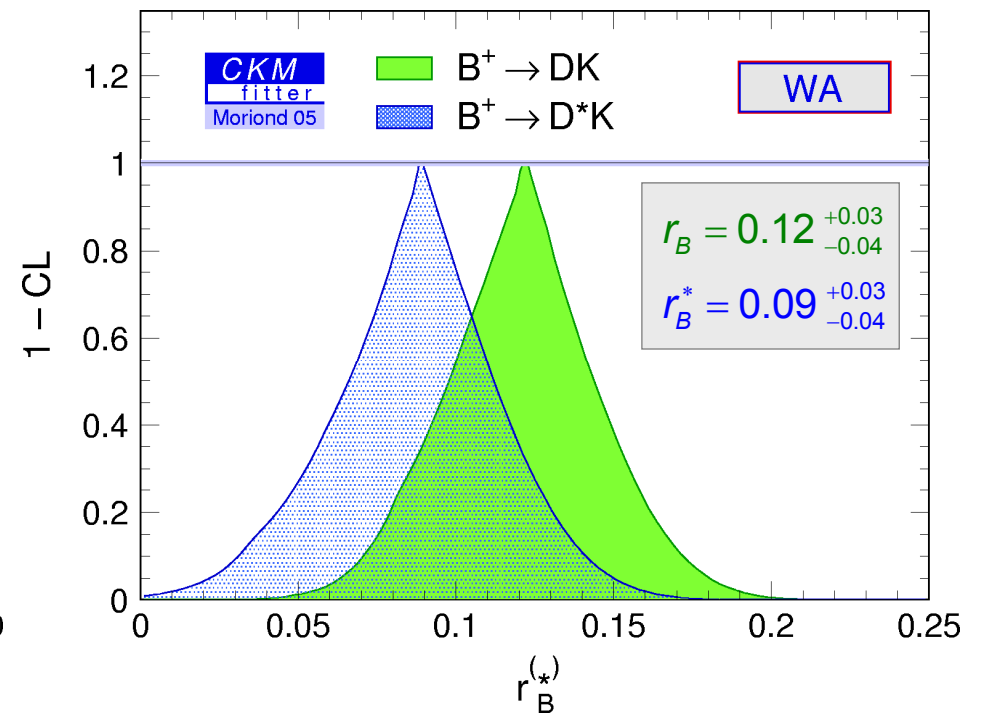
- ☀ huge number of resonances in DP:  $K^*(892)$ ,  $\rho(770)$ ,  $\omega(782)$ ,  $f_0(980,1370)$ ,  $K_0^*(1430)$ , ... ☹
- ☀ amplitudes of Dalitz plot measured in charm control sample ☺

$$\gamma_{\text{meas}} = \left[ 63^{+15}_{-13} \right]^\circ$$



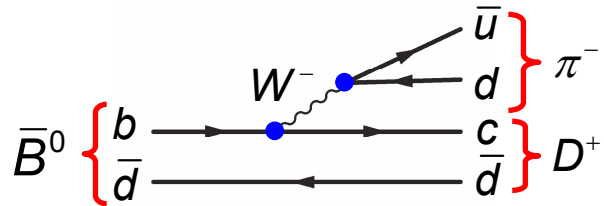
Measurement of amplitude ratio:

[ no improved constraint when adding  $\gamma$  from CKM fit ]



$b \rightarrow c\bar{u}d, u\bar{c}d$

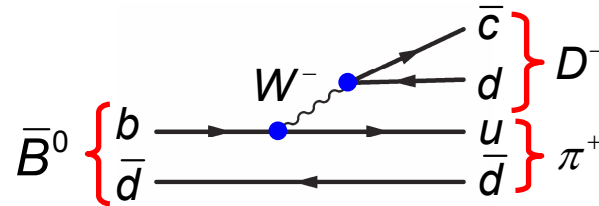
“ $\sin(2\beta + \gamma)$ ”



Tree: dominant

$$\propto V_{cb} V_{ud}^*$$

$$\propto \lambda^2$$



Tree: doubly CKM-suppressed

$$\propto V_{ub} V_{cd}^*$$

$$\propto \lambda^4$$

Similarly:  $B_s^0(\bar{B}_s^0) \rightarrow D_s^- K^+$   
golden  $\gamma$  mode at LHCb

Relative weak phase  $2\beta + \gamma$

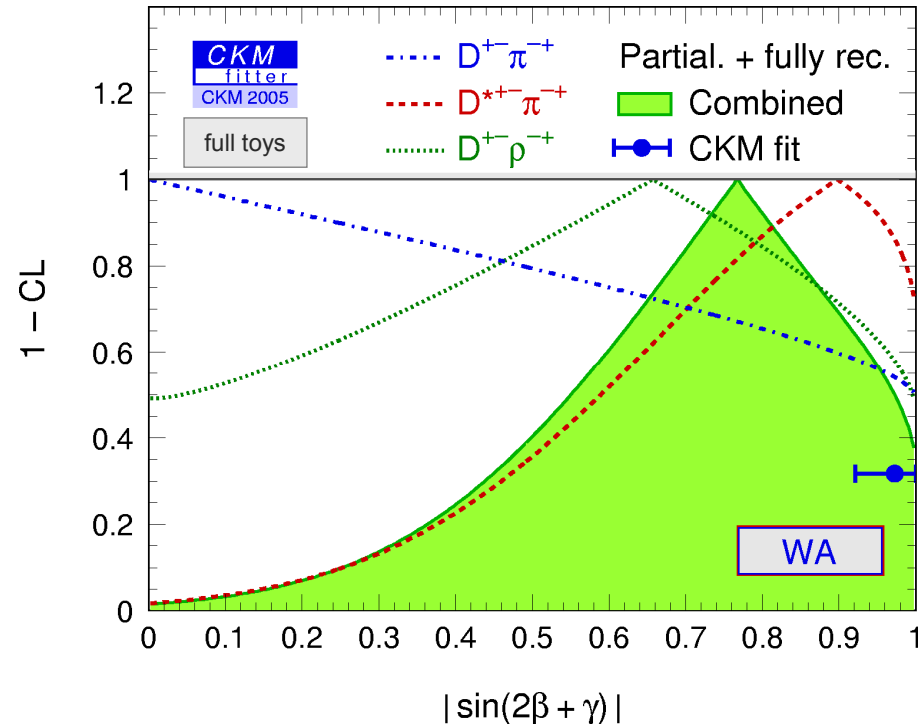
but :  $\gamma$  dependence of the order of  $O(10^{-4})$

- Huge statistics, but small  $CP$  asymmetry
- Unknowns :  $r_{B_0}$ ,  $\gamma$  and  $\delta \Rightarrow$  needs external input
- Use SU(3) to estimate  $r_{B_0}^{(*)}$  (theory error: 30%)

therefore not used in global CKM fit

BABAR, hep-ex/0408038, hep-ex/0408059

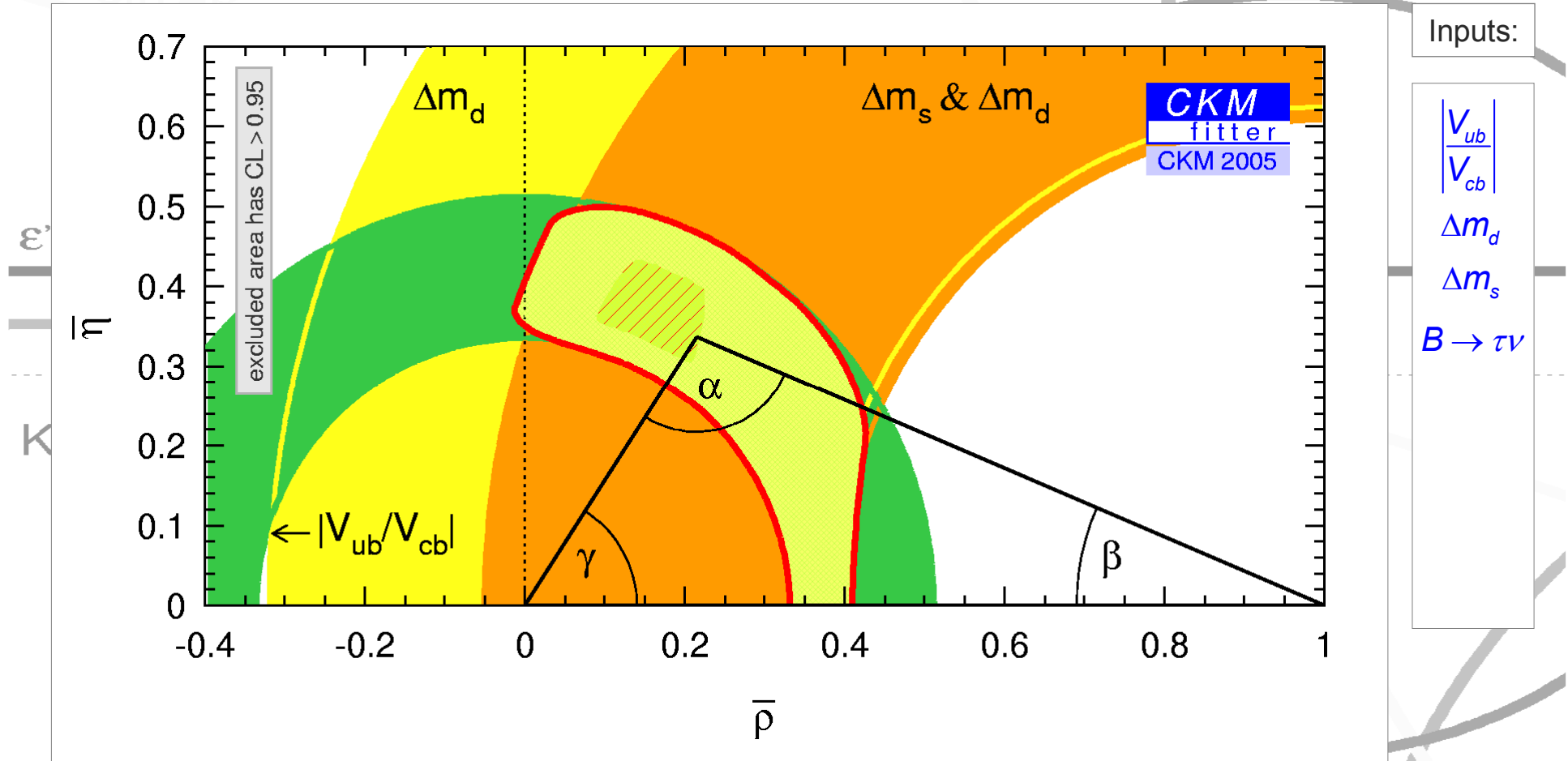
Belle, hep-ex/0408106, PRL 93 (2004) 031802;  
Erratum-ibid. 93 (2004) 059901



# Putting it all together

the global CKM fit

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$

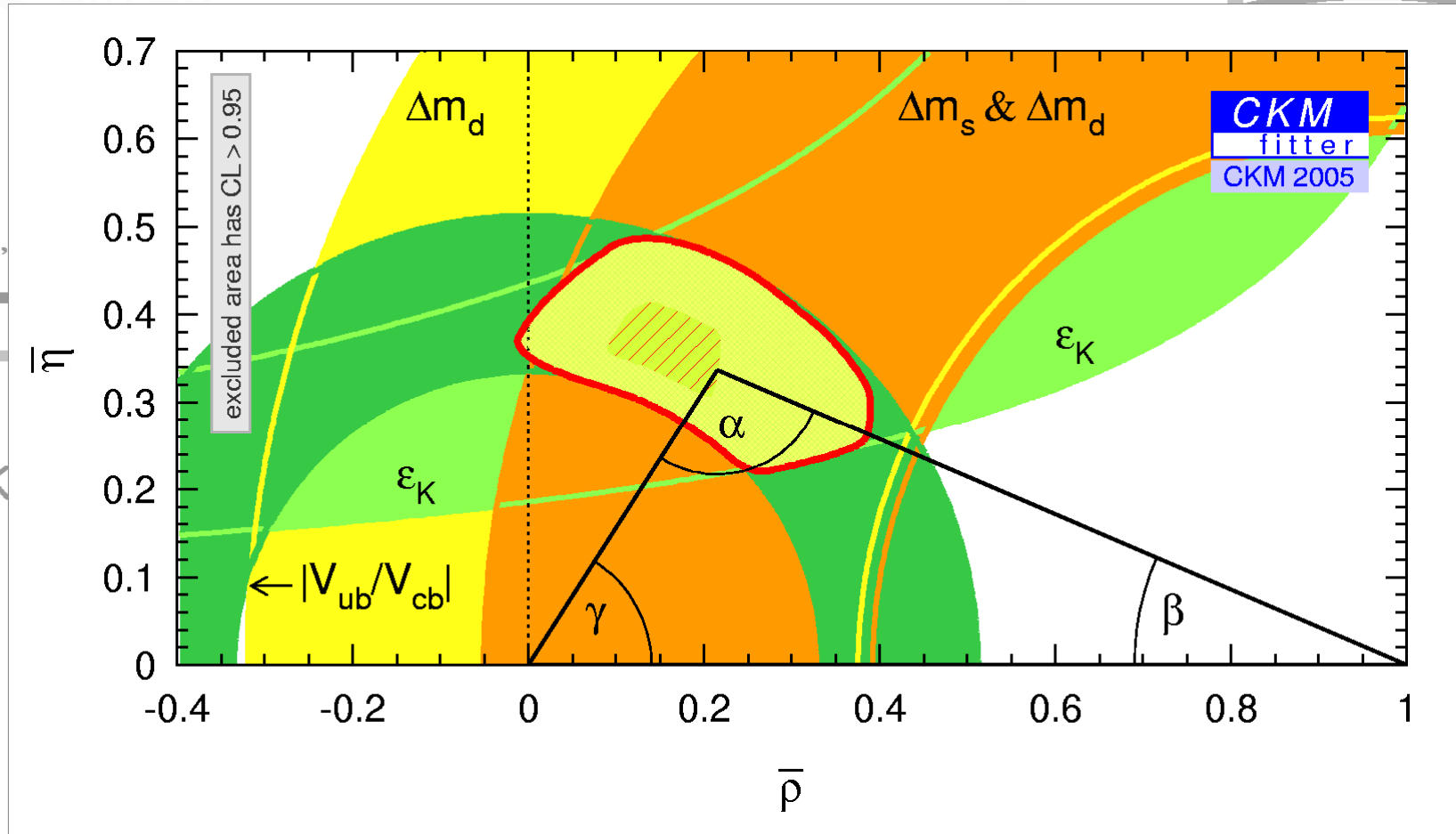


Perfect agreement ... if it weren't for the s-penguin decays

# Putting it all together

the global CKM fit

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$



Inputs:

- $\left| \frac{V_{ub}}{V_{cb}} \right|$
- $\left| \frac{V_{ub}}{V_{cb}} \right|$
- $\Delta m_d$
- $\Delta m_s$
- $B \rightarrow \tau \nu$
- $|\epsilon_K|$

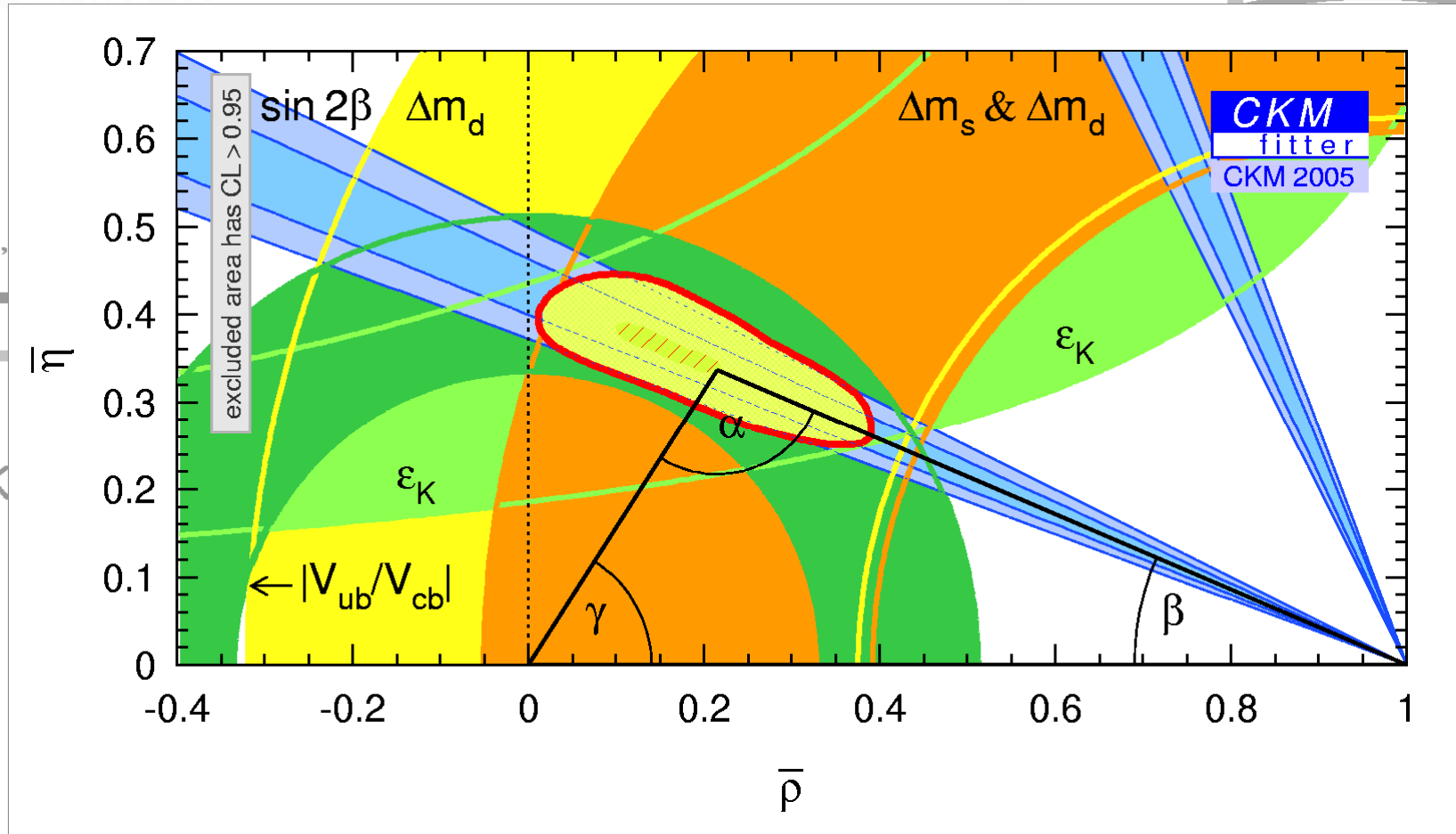
Perfect agreement ... if it weren't for the s-penguin decays



# Putting it all together

the global CKM fit

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$



Inputs:

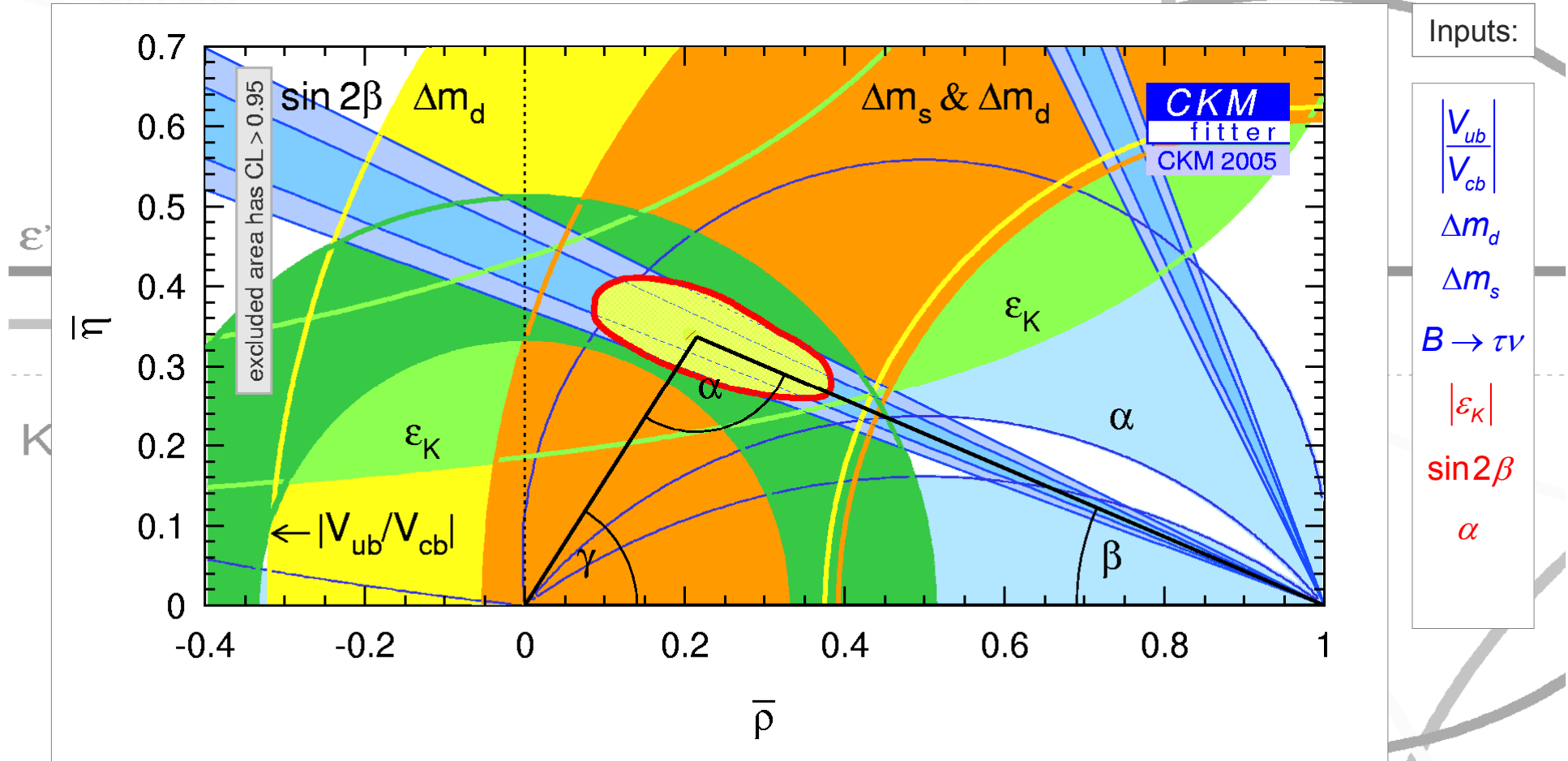
- $|V_{ub}/V_{cb}|$
- $\Delta m_d$
- $\Delta m_s$
- $B \rightarrow \tau \nu$
- $|\epsilon_K|$
- $\sin 2\beta$

Perfect agreement ... if it weren't for the s-penguin decays

# Putting it all together

the global CKM fit

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$

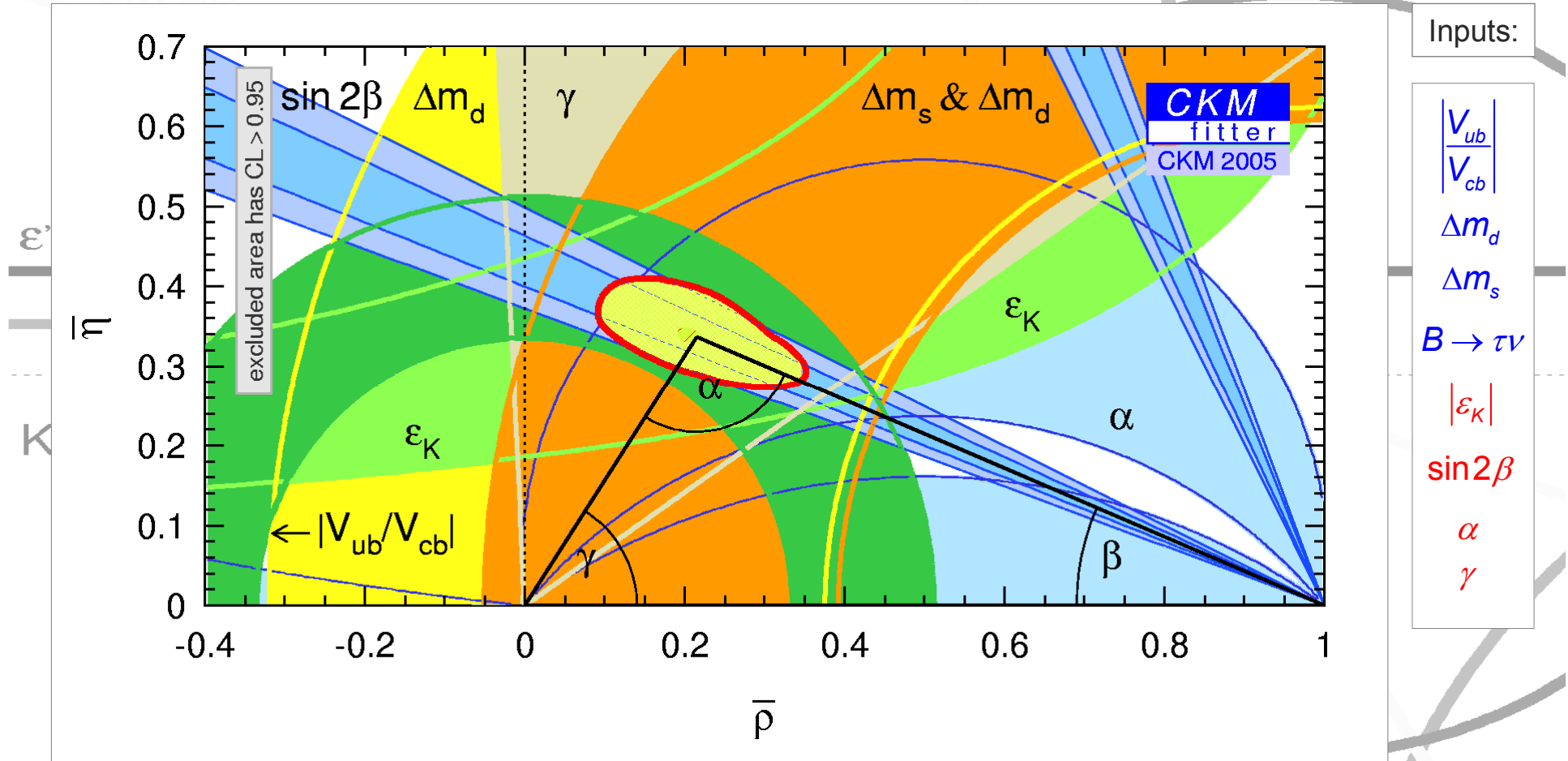


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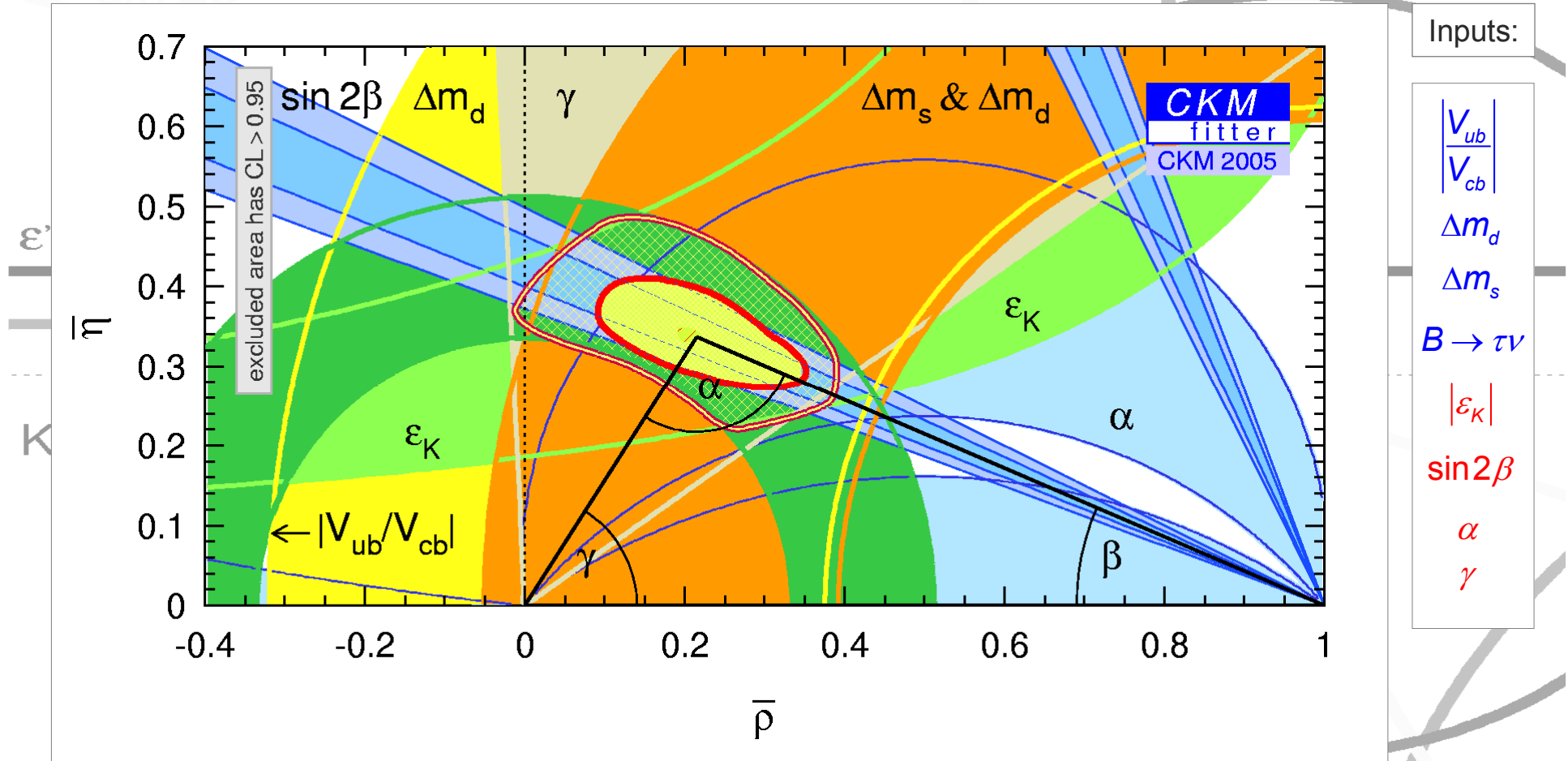


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# Putting it all together

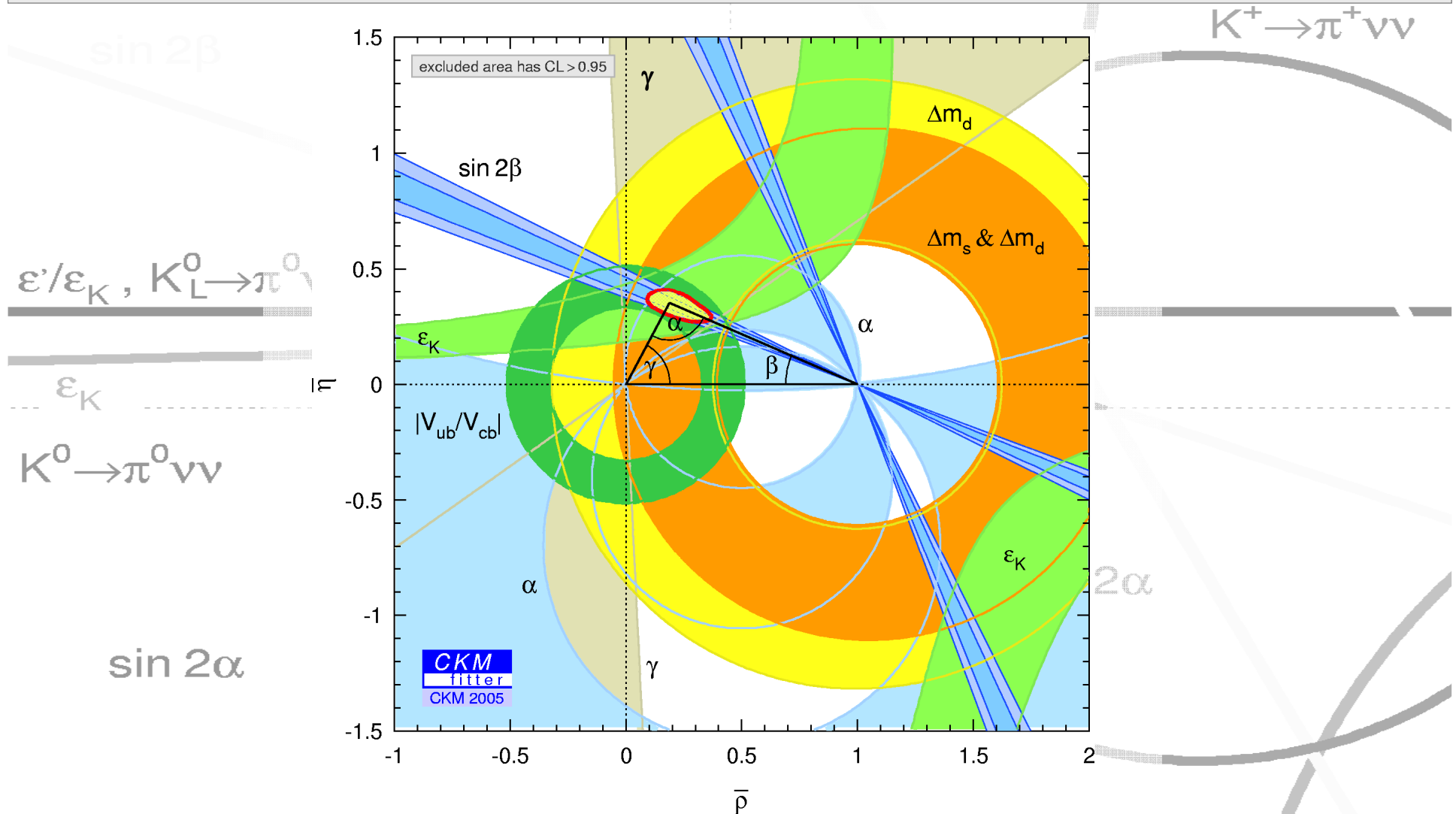
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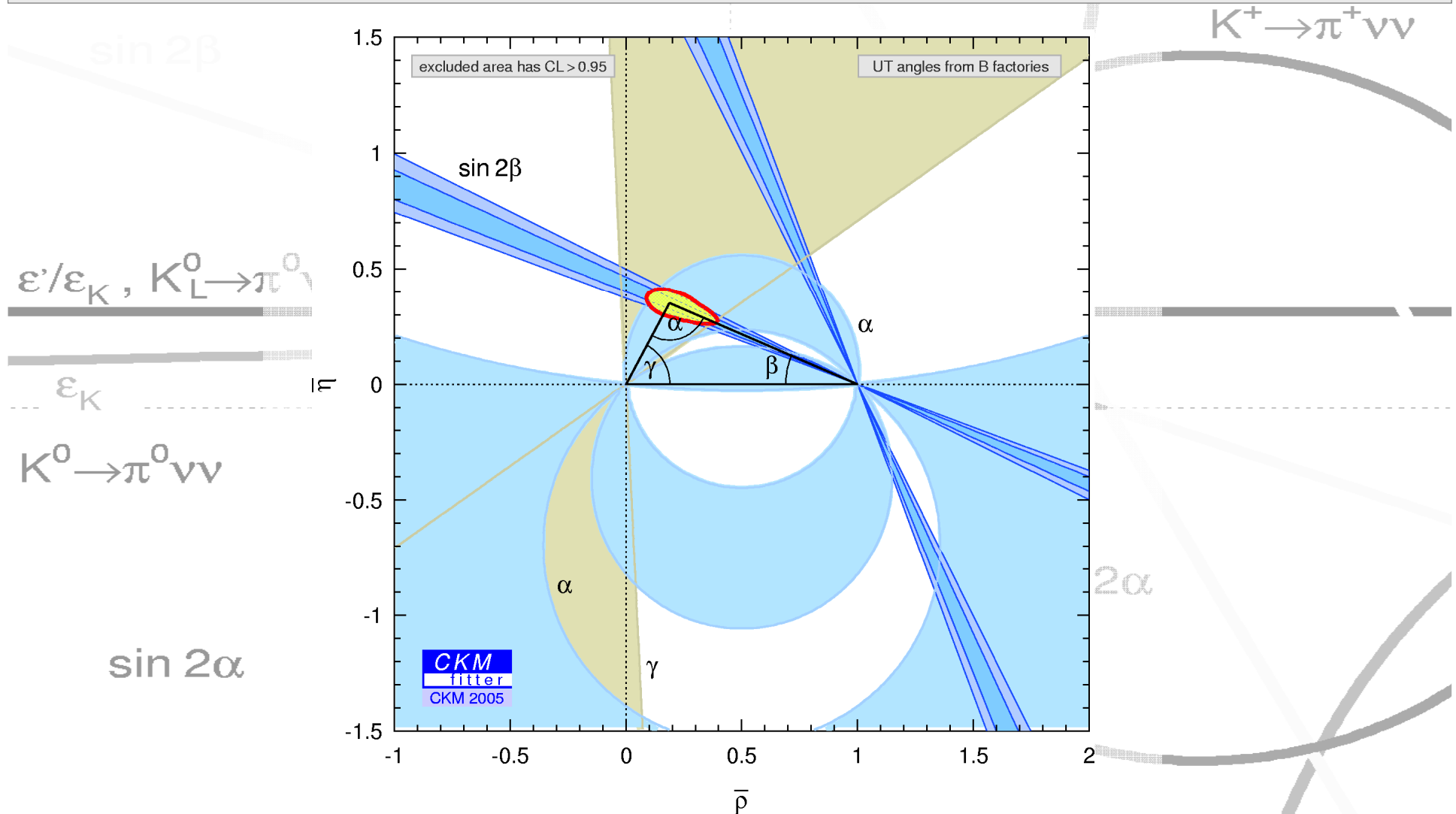
Perfect agreement ... if it weren't for the s-penguin decays

# Putting it all together the impact of the unitarity triangle angles



**The angle measurements dominate !**

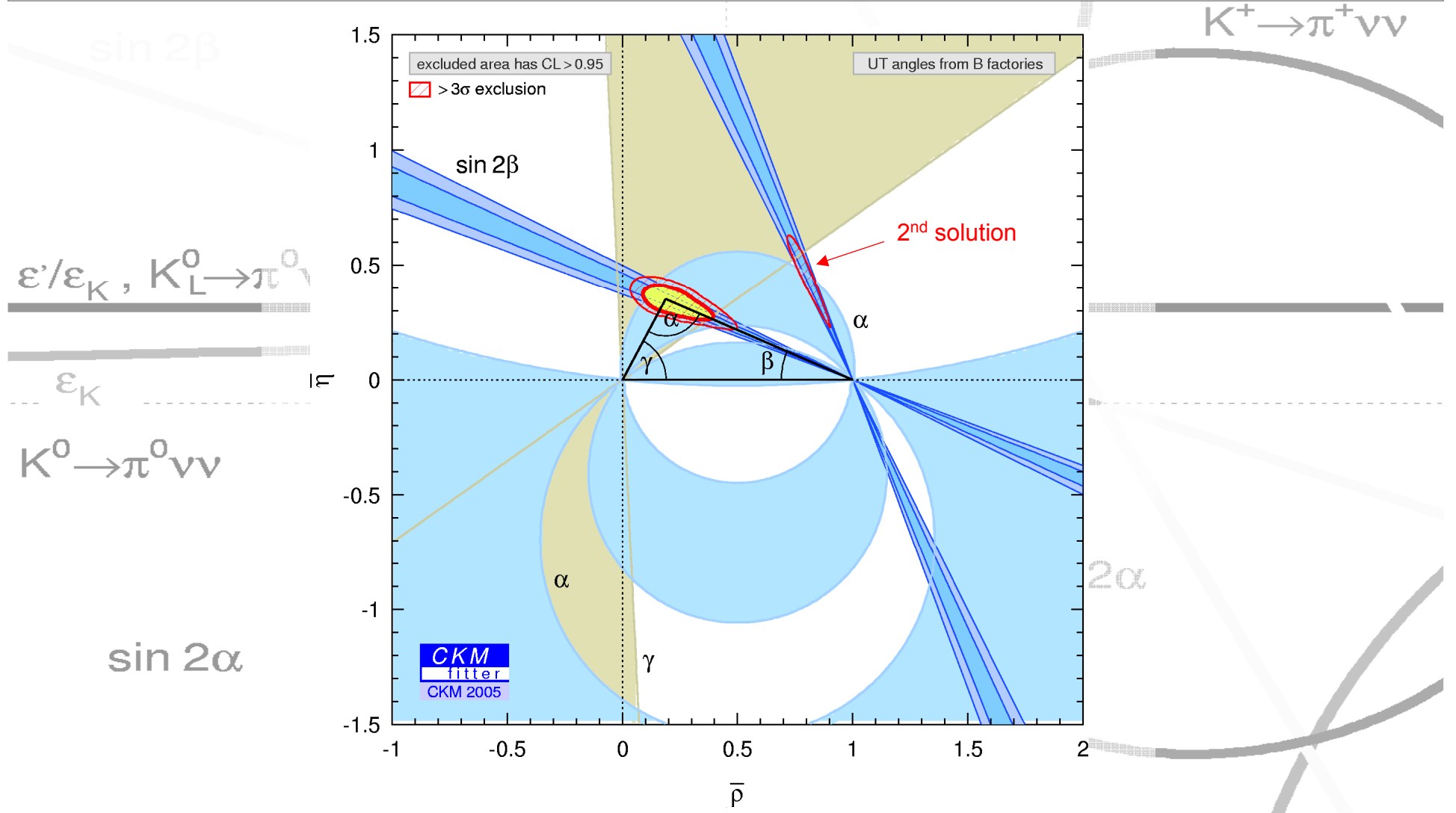
# Putting it all together the impact of the unitarity triangle angles



The angle measurements **dominate** !

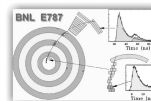
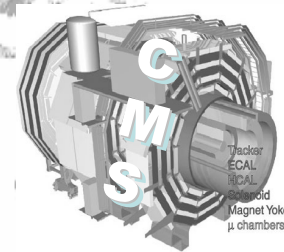
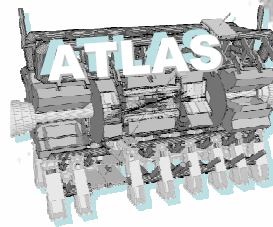
# Putting it all together

the impact of the unitarity triangle angles



The angle measurements dominate !

# The Future Program for Flavor Physics and $CP$ Violation





2000

2002

2004

2006

2008

2010

### Kaons (high intensity)

NA48



NA48/1

NA48/2

NA48/3

E787 / E949

KOPIO (BNL)

E391a (KEK) [ $\sim 4 \times 10^{-10}$ ]

@ J-PARC ?

### B and D Factories

BABAR

100 / fb

500 / fb

1.x / ab ?

$\sim 7 \cdot 10^{35}$  ???

Belle

100 / fb

500 / fb

1.x / ab ?

$\sim 3 \cdot 10^{35}$  ?

CLEO

10 / fb

scan

CLEO-c

### Tevatron Run 2

CDF & D0

BTeV? ☠

### LHC

ATLAS & CMS

LHCb

### Electric dipole moment of neutron

ILL, PNPI

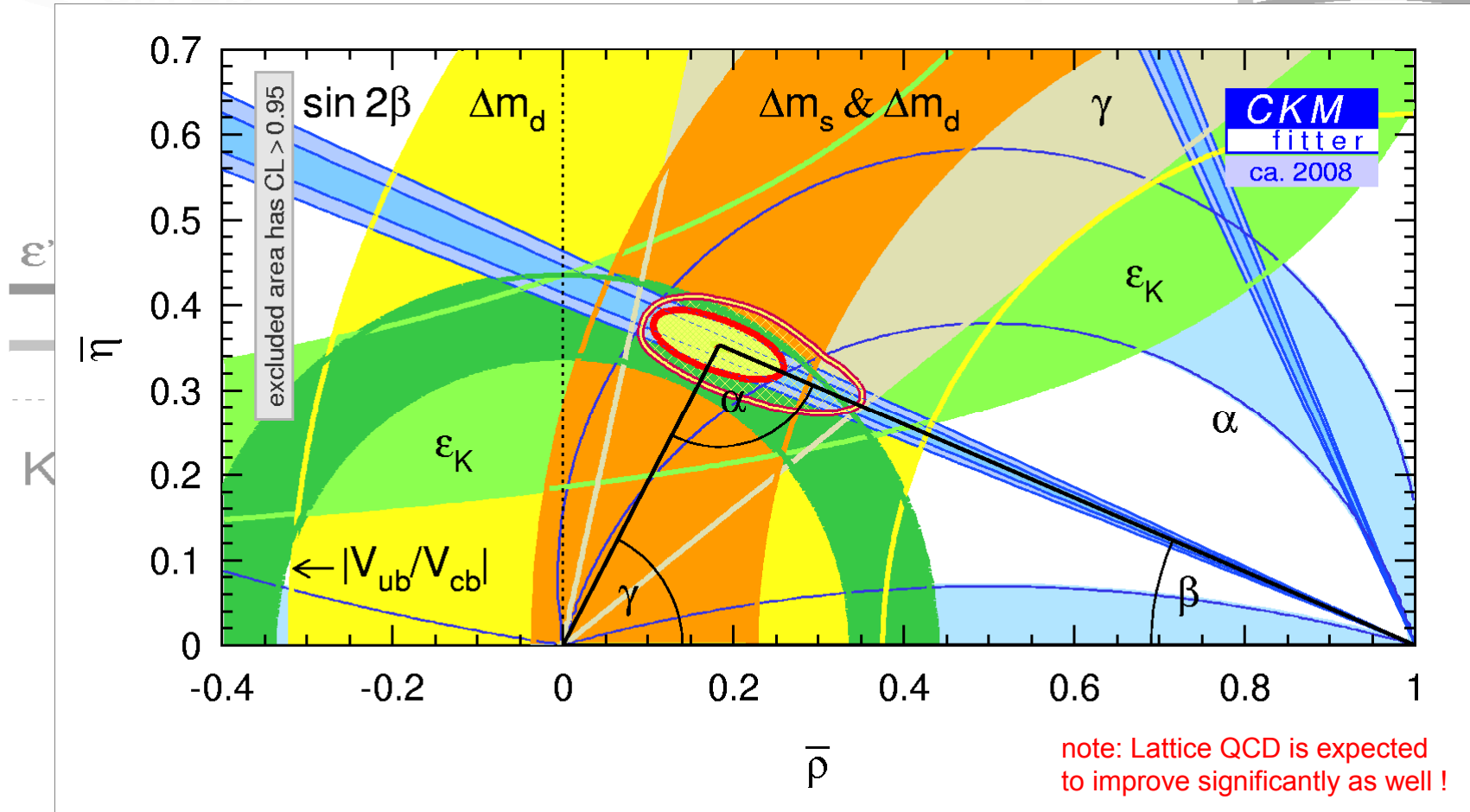
ILL

SUNS at PSI

# The near Future ?

the global CKM fit in 2008

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$



Inputs:

- $|V_{ub}/V_{cb}|$
- $\Delta m_d$
- $\Delta m_s$
- $B \rightarrow \tau \nu$
- $|\epsilon_K|$
- $\sin 2\beta$
- $\alpha$
- $\gamma$

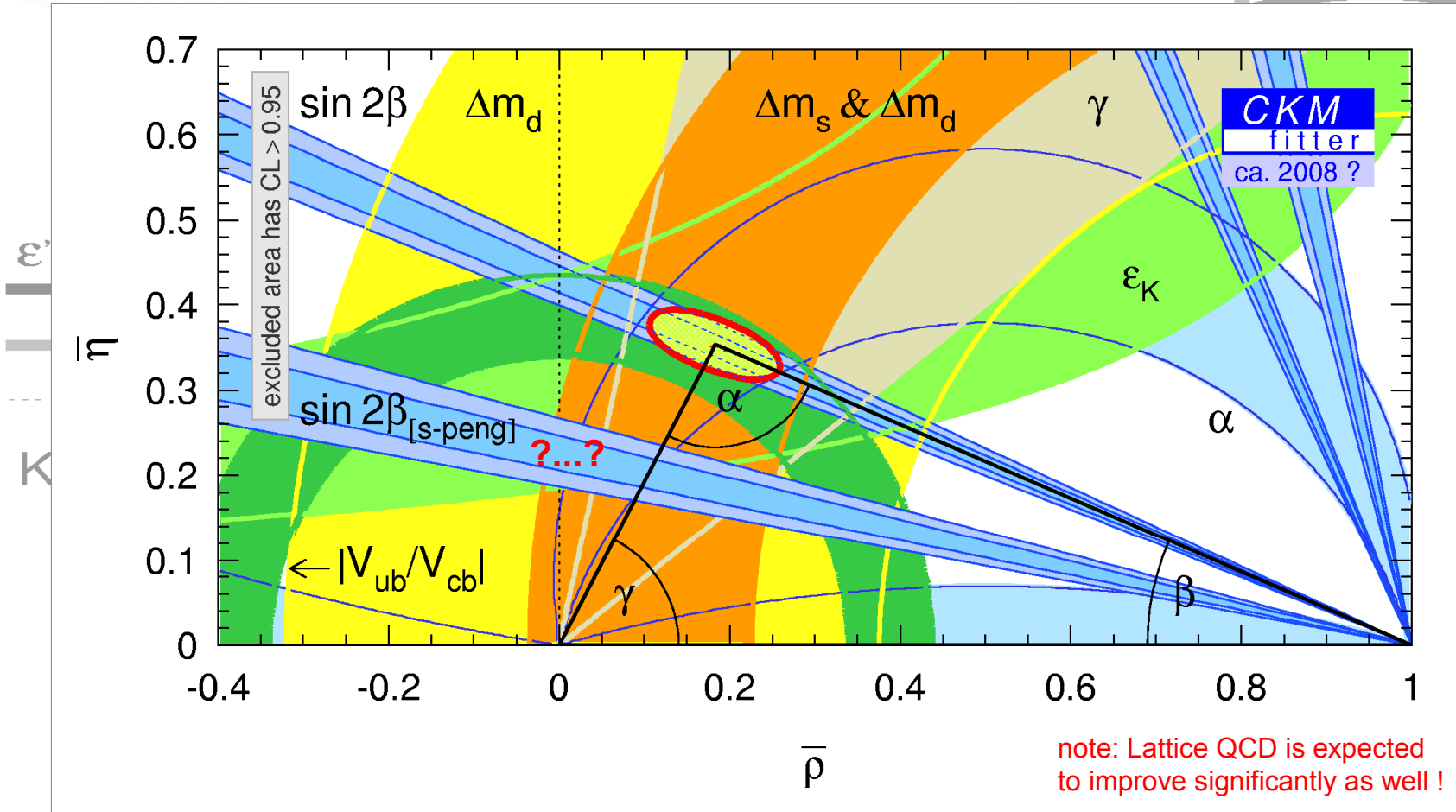
note: Lattice QCD is expected to improve significantly as well !

- assumptions:
- $\sigma(V_{ub}) \sim 8\%$
  - $\sigma(\Delta m_s) \sim 5\%$
  - $\sigma(\sin 2\beta) \sim 0.019$
  - $\sigma(\alpha) \sim 6^\circ$
  - $\sigma(\gamma) \sim 10^\circ$

# The near Future ?

the global CKM fit in 2008

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$



Inputs:

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assumptions:	$\sigma(V_{ub}) \sim 8\%$	$\sigma(\Delta m_s) \sim 5\%$	$\sigma(\sin 2\beta) \sim 0.019$	$\sigma(\alpha) \sim 6^\circ$	$\sigma(\gamma) \sim 10^\circ$
			$\sigma(\sin 2\beta_{\text{eff}}) \sim 0.04$	?...?	

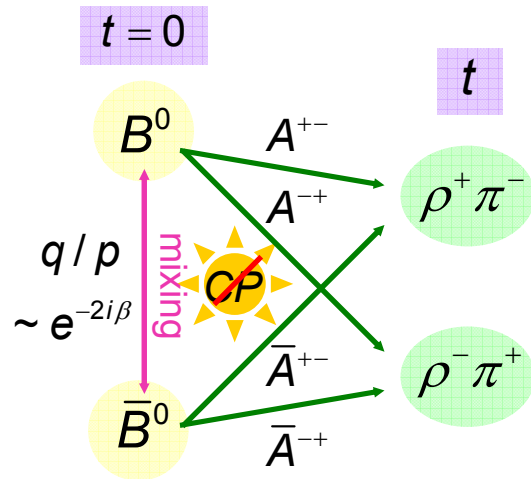
# appendix

- SU(3) analysis
- $\alpha$  from  $B \rightarrow \rho \pi$
- more on  $\gamma$  measurements

# The $B \rightarrow \rho\pi$ System

★ Dominant mode  $\rho^+\pi^-$  is not a  $CP$  eigenstate

Aleksan *et al*, Nucl. Phys. B361, 141 (1991)



$$A_{\rho^+\pi^\mp}(t) = (1 \pm A_{CP}) \cdot (-S_\pm \sin(\Delta m_d t) + C_\pm \cos(\Delta m_d t))$$

where:  $S_\pm = \frac{2 \operatorname{Im} \lambda_{\rho^+\pi^\mp}}{1 + |\lambda_{\rho^+\pi^\mp}|^2}$      $C_\pm = \frac{1 - |\lambda_{\rho^+\pi^\mp}|^2}{1 + |\lambda_{\rho^+\pi^\mp}|^2}$

mixing-induced CPV

direct CPV

$$S \equiv S^+ + S^-$$

$$\Delta S \equiv S^+ - S^-$$

$$C \equiv C^+ + C^-$$

$$\Delta C \equiv C^+ - C^-$$

strong phase difference

$CP$ -"eigenstateness"

★ Pentagon relation between  $\rho\pi$  states

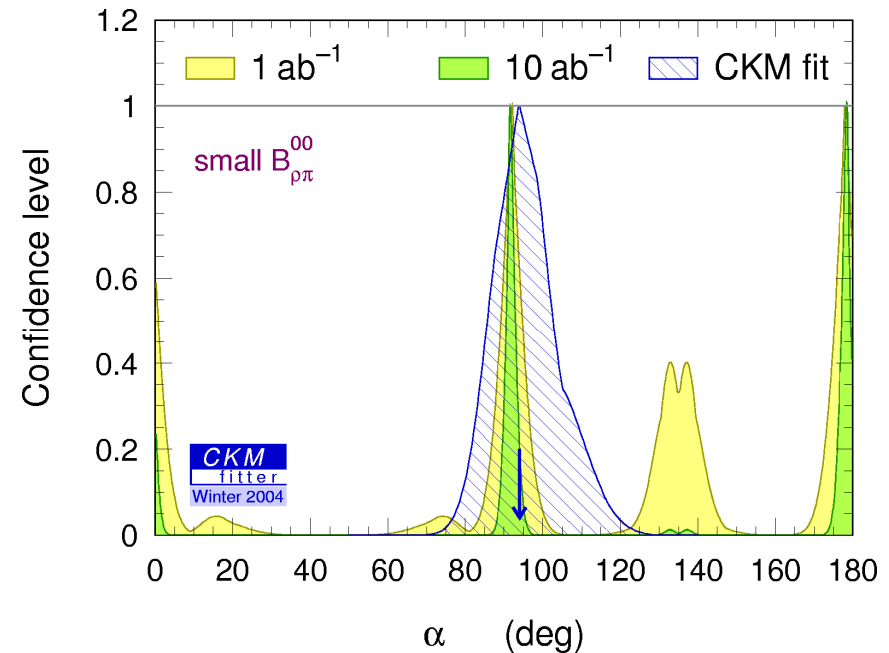
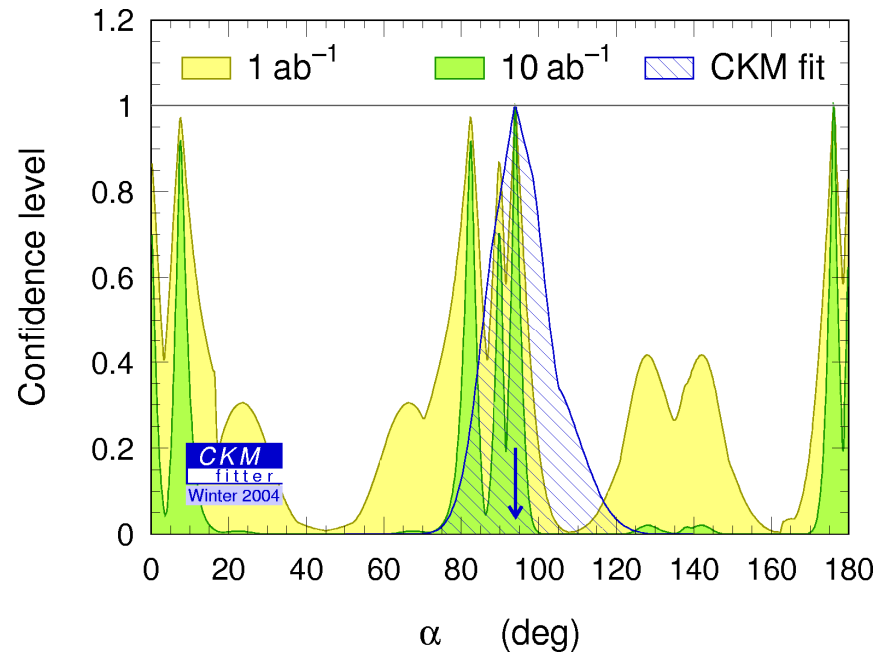
Lipkin *et al.*, PRD 44, 1454 (1991)

Unknowns	Observables	Constraints	Account
$\alpha,$ $T^{+-}, P^{+-},$ $T^{-+}, P^{-+},$ $T^{0+}, P^{0+},$ $T^{0-}, P^{0-},$ $T^{00}, P^{00}$	$B^{+-}, S, \Delta S, C,$ $\Delta C, A_{+-}$ $B^{+0}, A_{+0},$ $B^{0+}, A_{0+}$ $B^{00}, S_{00}, C_{00}$	2 SU(2) pentag. $P^{+0} = -P^{0+}$ $P^{+0} \propto f(P^{+-}, P^{-+})$	21 unknowns - 13 observables - 8 constraints - 1 global phase 21 vs. 22 ☺

# Isospin analysis for $B \rightarrow \rho\pi$ ?

★ At present... no useful constraint. How about the future ?

Charles *et al.*, hep-ex/0406184

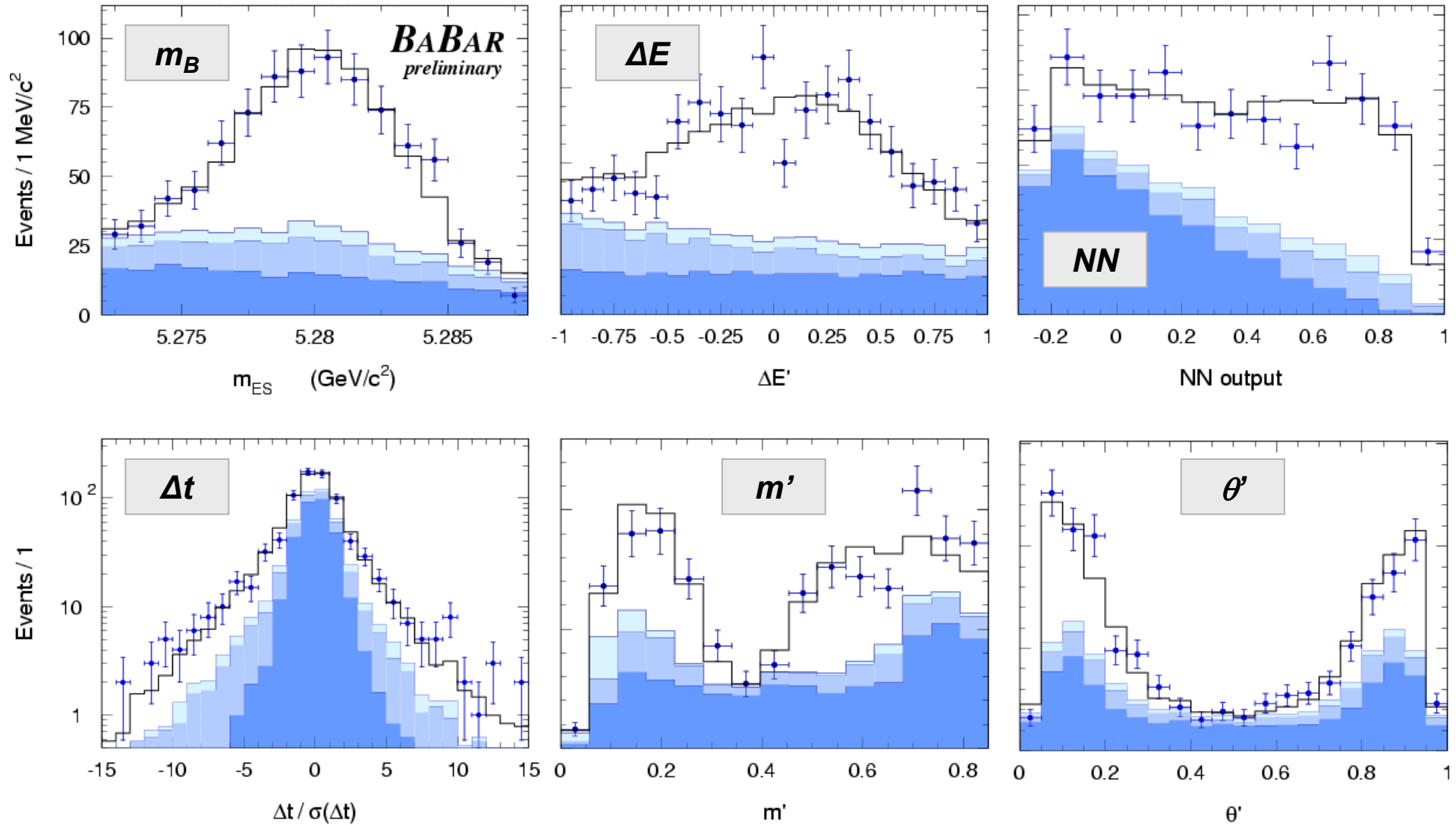


★ Very large statistics needed:

- *quid* systematic errors ?
- *quid* goodness of the Q2B approximation ?

# Fit Projections

$$N_{\pi^+\pi^-\pi^0} = 1064 \pm 51$$



# Results of $B^0 \rightarrow (\rho\pi)^0 \rightarrow \pi^+\pi^-\pi^0$ Dalitz analysis

- From the 16 FF coefficients one determines the physical parameters :

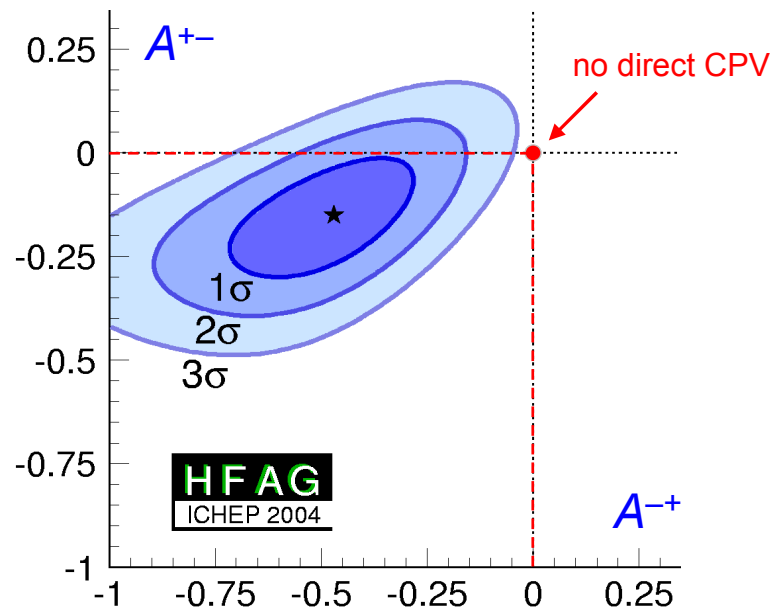
## Direct CP violation ?

Average : BABAR (213m) & Belle (152m)

$$A^{+-} = -0.15 \pm 0.09$$

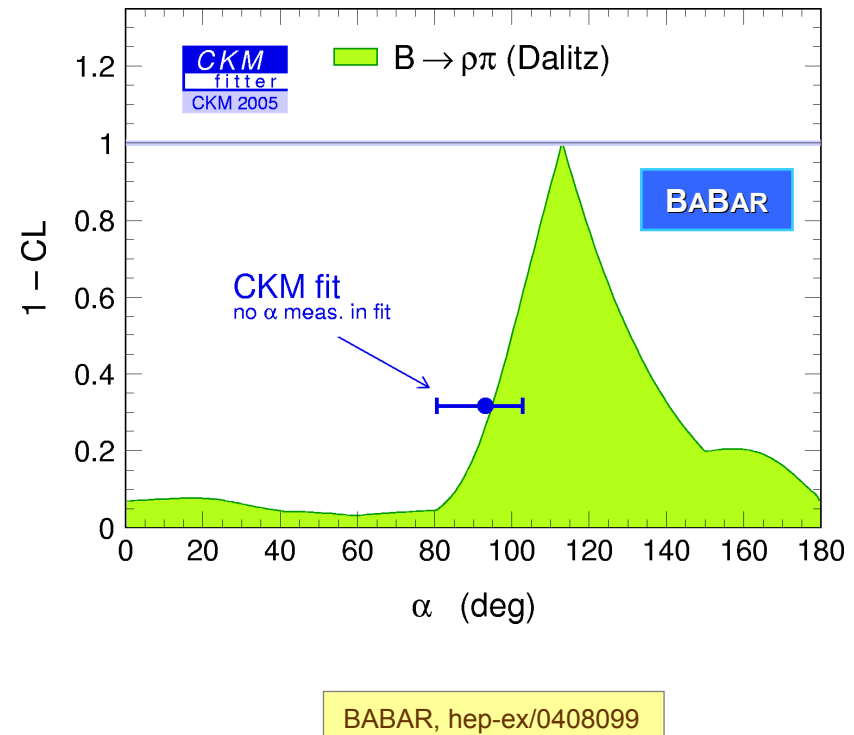
$$A^{-+} = -0.47^{+0.13}_{-0.14}$$

$$\Delta\chi^2(\text{no direct CPV}) = 14.5 \text{ (CL} = 0.00070 \Rightarrow 3.4\sigma\text{)}$$



## Parameters : $\alpha, |T^{+-}|, T^{-+}, T^{00}, P^{+-}, P^{-+}$

Scan in  $\alpha$  using the bilinears :





# $B \rightarrow \pi\pi, K\pi, KK$ Decays in SU(3)

## ☀ Complete $B \rightarrow \pi\pi, K\pi, KK$ analysis in SU(3)

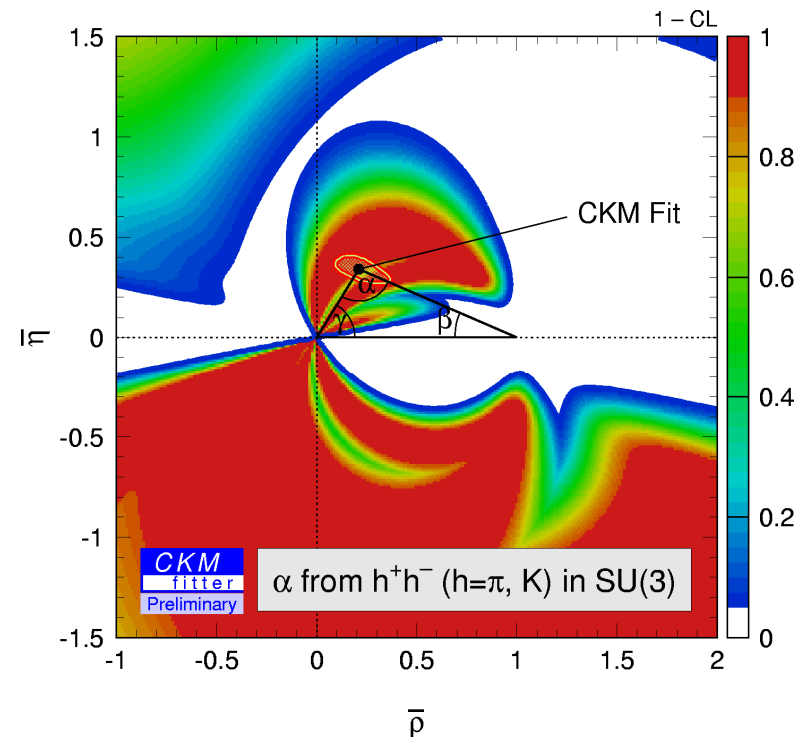
📄 “ $\alpha$ ” from  $B \rightarrow \pi^+\pi^-, K^+\pi^-, K^+K^-$

## ☀ Global analyses:

📄 at present: 13 parameters vs. 19 observables

📄 when everything is measured (incl.  $B_s$ ):

15 parameters vs. ~ 50 observables



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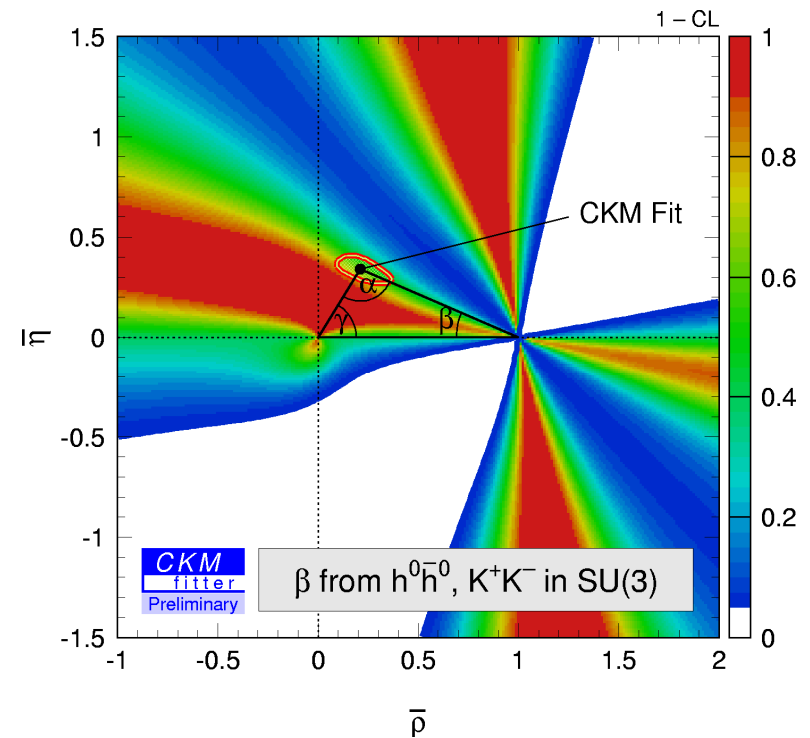
## ☀ Complete $B \rightarrow \pi\pi, K\pi, KK$ analysis in SU(3)

📄 “ $\beta$ ” from  $B \rightarrow \pi^0\pi^0, K^0\pi^0, K^+K^-$

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## ☀ Complete $B \rightarrow \pi\pi, K\pi, KK$ analysis in SU(3)

- 📄 “ $\alpha$ ” from  $B \rightarrow \pi^+\pi^-, K^+\pi^-, K^+K^-$
- 📄 “ $\beta$ ” from  $B \rightarrow \pi^0\pi^0, K^0\pi^0, K^+K^-$
- 📄 interesting combined constraint in  $(\rho, \eta)$  plane

## ☀ Global analyses:

- 📄 at present: 13 parameters vs. 19 observables
- 📄 when everything is measured (incl.  $B_s$ ):  
**15 parameters vs. ~ 50 observables**

