Dense QCD Phases in Heavy Ion Collisions JINR, Dubna, August 21 – September 4, 2010

Phase Transitions & Instabilities Jørgen Randrup (LBNL)

Lecture #1: Phase coexistence

Lecture #2: Phase separation



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Phase Transitions & Instabilities

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Phase coexistence Illustrative examples Finite range effects Phase crossing

Dynamic

Mean field instabilities

Instabilities in fluid dynamics

Instabilities in chiral dynamics

$$\begin{array}{c} \textbf{Basic thermodynamics} \\ \textbf{X}_{1} = \{E_{1}, N_{1}, V_{1}, \ldots\} \Rightarrow S_{1}(\textbf{X}_{1}) \\ \textbf{X}_{i} = \{E_{1}, N_{1}, V_{1}, \ldots\} \Rightarrow S_{1}(\textbf{X}_{1}) \\ \textbf{X}_{i} = \{E_{1}, N_{1}, V_{1}, \ldots\} \Rightarrow S_{2}(\textbf{X}_{2}) \\ \textbf{X}_{i} = \{E_{2}, N_{2}, V_{2}, \ldots\} \Rightarrow S_{2}(\textbf{X}_{2}) \\ \textbf{X}_{i} = \{E_{2}, N, V_{i}, \ldots\} \Rightarrow S_{2}(\textbf{X}_{2}) \\ \textbf{X}_{i} = \{E_{i}, N, V_{i}, \ldots\} \Rightarrow S_{2}(\textbf{X}_{2}) \\ \textbf{X}_{i} = \{E_{i}, N, V_{i}, \ldots\} \Rightarrow S_{2}(\textbf{X}_{2}) \\ \textbf{X}_{i} = \{E_{i}, N, V_{i}, \ldots\} \Rightarrow S_{2}(\textbf{X}_{2}) \\ \textbf{X}_{i} = \{E_{i}, N, V_{i}, \ldots\} \Rightarrow S_{2}(\textbf{X}_{2}) \\ \textbf{X}_{i} = \{E_{i}, N, V_{i}, \ldots\} \Rightarrow S_{2}(\textbf{X}_{2}) \\ \textbf{X}_{i} = S_{i} + S_{2} + \ldots \\ \textbf{X}_{i} = \{E_{i}, N, V_{i}, \ldots\} \Rightarrow S_{i} + \textbf{X}_{2} + \ldots \\ \textbf{X}_{i} = \sum_{i} \delta X_{i}^{\ell} = 0 \\ \textbf{X}_{i}^{\ell} = \sum_{i} \delta X_{i}^{\ell} = 0 \\ \textbf{X}_{i}^{\ell} = \sum_{i} \delta X_{i}^{\ell} = 0 \\ \textbf{X}_{i} = \sum_{i} \delta X_{i}^{\ell} = 0 \\ \textbf{X}_{i} = \sum_{i} \delta S_{i}(\textbf{X}_{i} = \bar{\textbf{X}}_{i}) = \sum_{i\ell} \left(\frac{\partial S_{i}}{\partial X_{i}^{\ell}} \right)_{\textbf{X}_{i} = \bar{\textbf{X}}_{i}} \\ \textbf{X}_{i} = \sum_{i} \delta X_{i}^{\ell} = \sum_{i} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i} = \frac{1}{2} \left(\sum_{i} \lambda_{i}^{\ell} \delta X_{i}^{\ell} \right) \\ \textbf{X}_{i}$$

=> Only negative eigenvalues of the entropy curvature matrices:

 $\partial^2 S_i$ ∂X_i^{ℓ}

Thermodynamics with no conserved charge:

Statistical equilibrium in bulk matter





Entropy function *S*{*X*}:

Control parameter(s) {X}:

$$S(E,V) = V\sigma(\varepsilon)$$

Derivative(s) $\lambda_X = \partial_X S$: $\begin{cases} \beta = 1/T = \partial_E S(E, V) = \partial_\varepsilon \sigma(\varepsilon) & temperature \\ \pi = p/T = \partial_V S(E, V) = \sigma - \beta \varepsilon & pressure \end{cases}$





Phase transformation with no conserved charge:



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Thermodynamics with one conserved charge:

Statistical equilibrium in bulk matter

er
Control parameter(s) {X}:
Entropy function S{X}:
Derivative(s)
$$\lambda_X = \partial_X S$$
:
Entropy function S{X}:

$$\begin{cases}
Energy E = V\epsilon \quad \epsilon = E/V \\
Charge N = V\rho \quad \rho = N/V \\
Volume V \rightarrow \infty
\end{cases}$$

$$\begin{cases}
Energy E = V\epsilon \quad \epsilon = E/V \\
Charge N = V\rho \quad \rho = N/V \\
Volume V \rightarrow \infty
\end{cases}$$

$$\begin{cases}
Final S(E,N,V) = V\sigma(\epsilon,\rho) \\
\beta = 1/T = \partial_E S(E,N,V) = \partial_{\epsilon}\sigma(\epsilon,\rho) \\
\alpha = -\mu/T = \partial_N S(E,N,V) = \partial_{\rho}\sigma(\epsilon,\rho)
\end{cases}$$

$$\pi = \rho/T = \partial_V S(E, N, V) = \sigma - \beta \varepsilon - \alpha \rho$$

Thermodynamic (local) <u>stability</u>: $\delta^2 S_{tot} < 0$ => Curvature matrix { $\partial_{\chi} \partial_{\chi'} \sigma(\varepsilon, \rho)$ } has only *negative* eigenvalues



Thermodynamic <u>coexistence</u>: $\delta S_{tot} = 0$ => $T_1 = T_2 \& \mu_1 = \mu_2 \& p_1 = p_2$

<=> $\sigma(\epsilon, \rho)$ has common tangent!





$$\begin{array}{ll} & \underset{(entropy \ density}{\text{entropy}} \sigma(\varepsilon, \rho) \Rightarrow \begin{cases} \beta(\varepsilon, \rho) = \partial_{\varepsilon} \sigma(\varepsilon, \rho) = 1/T(\varepsilon, \rho) & \text{temperature} \\ \alpha(\varepsilon, \rho) = \partial_{\rho} \sigma(\varepsilon, \rho) = -\mu(\varepsilon, \rho)/T(\varepsilon, \rho) & \text{chemical potential} \end{cases} \\ \Rightarrow \begin{cases} p(\varepsilon, \rho) = \sigma T - \varepsilon + \mu \rho & \text{pressure} \\ h(\varepsilon, \rho) = p + \varepsilon & \text{enthalpy density} \end{cases} \end{array}$$

<u>Canonical representation:</u> only <E> is specified

Then replace *S* by *S*' = *S* $-\beta E$ and require $\delta S'=0 \& \delta^2 S'<0$

Or, equivalently, consider F = -TS' = E-TS and require $\delta F = 0 \& \delta^2 F > 0$

free

2

energy density

 $f_{T}(\rho) \equiv \varepsilon_{T}(\rho) - T\sigma_{T}(\rho) = \mu_{T}(\rho)\rho - p_{T}(\rho)$ $\mu_{T}(\rho) = \partial_{\rho}f_{T}(\rho)$ $\sigma_{T}(\rho) = -\partial_{T}f_{T}(\rho)$

Phase coexistence \Leftrightarrow $f_{\tau}(\rho)$ has common tangent!



Phase Transitions & Instabilities

Phase coexistence

Illustrative examples

Finite range effects

Phase crossing

Mean field instabilities

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Instabilities in chiral dynamics

Van der Waals fluid



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Density fluctuations in the presence of spinodal instabilities

C. Sasaki, B. Friman, K. Redlich, Phys. Rev. Lett. 99, 232301 (2007)



Net quark number susceptibility at T=50 MeV as a function of the quark number density across the first-order phase transition JRandrup: Dubna School, 2010

The net quark number susceptibility in the stable and meta-stable regions School, 2010

Inclusion of interaction energy

Entropy density of non-interacting system:
$$\sigma_{\text{free}}(\varepsilon, \rho)$$

Interaction-energy density: $w(\rho)$
Entropy density with interaction included: $\sigma(\varepsilon, \rho) \doteq \sigma_{\text{free}}(\varepsilon - w(\rho), \rho)$
 $\begin{cases} \beta(\varepsilon, \rho) = \frac{\partial \sigma(\varepsilon, \rho)}{\partial \varepsilon} = \frac{\partial \sigma(\varepsilon - w(\rho), \rho)}{\partial \varepsilon} = \beta_{\text{free}}(\varepsilon - w(\rho), \rho) \\ \alpha(\varepsilon, \rho) = \frac{\partial \sigma(\varepsilon, \rho)}{\partial \rho} = \frac{\partial \sigma(\varepsilon - w(\rho), \rho)}{\partial \rho} = \alpha_{\text{free}}(\varepsilon - w(\rho), \rho) - \beta_{\text{free}}(\varepsilon - w(\rho), \rho) \partial_{\rho} w(\rho) \end{cases}$
Chemical potential is shifted: $\mu(T; \rho) = \mu_{\text{free}}(T; \rho) + \partial_{\rho} w(\rho)$
Free energy density is shifted: $f = \varepsilon - T\sigma = f_{\text{free}} + w$
Note: $\partial_{\rho} f(T; \rho) = \partial_{\rho} f_{\text{free}}(T; \rho) + \partial_{\rho} w(\rho) = \mu_{\text{free}}(T; \rho) + \partial_{\rho} w(\rho) = \mu(T; \rho)$
Pressure is augmented by $p_{\text{int}}(\rho) = \rho \frac{\partial w}{\partial \rho} - w(\rho) = \rho^2 \frac{\partial}{\partial \rho} \frac{w}{\rho}$ since $p = \rho\mu - f = \rho(\mu_{\text{free}} + \partial_{\rho} w) - (f_{\text{free}} + w) = (\rho\mu_{\text{free}} - f_{\text{free}}) + (\rho\partial_{\rho} w - w) = p_{\text{free}} + p_{\text{int}}$

=>

Nuclear matter



Nuclear phase diagram in different representations



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Isentropic changes

Entropy density: $\sigma(\varepsilon,\rho)$

Energy density: ε Net baryon density: ρ Temperature: $T(\varepsilon,\rho) = 1/\sigma_{\varepsilon}$ Chemical potential: $\mu(\varepsilon,\rho) = -T\sigma_{\rho}$ Pressure: $p(\varepsilon,\rho) = T\sigma - \varepsilon + \mu\rho$ Enthalpy density: $h(\varepsilon,\rho) = p + \varepsilon$

Entropy per (net) baryon: $s(\varepsilon, \rho) = \sigma/\rho$

Changes: $(\delta \varepsilon, \delta \rho) \Rightarrow \delta s$:

 $\rho^{2}T\delta s = \rho^{2}T\delta(\sigma/\rho) = \rho T\delta\sigma - T\sigma\delta\rho = \rho\delta\varepsilon - \mu\rho\delta\rho - [h-\mu\rho]\delta\rho = \rho\delta\varepsilon - h\delta\rho$

$$\delta s = 0 \implies \rho \delta \varepsilon = h \delta \rho$$



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Canonical description: T specified



$$f_T(
ho) \equiv \varepsilon_T(
ho) - T\sigma_T(
ho) = \mu_T(
ho)
ho - p_T(
ho)$$

Phase coexistence \Leftrightarrow common tangent:





Nuclear liquid-gas phase coexistence

nucleon gas phase



nuclear liquid phase (nuclear matter)

can coexist in mutual equilibrium



phase mixture

Equation of state: Finite range

Free energy density for uniform matter: $f_0(
ho,T)$

But we need to treat non-uniform systems: $\rho(\mathbf{r})$, $T(\mathbf{r})$

Local density approximation:

$$f[\rho(\cdot), T(\cdot)](\boldsymbol{r}) \doteq f_0(\rho(\boldsymbol{r}), T(\boldsymbol{r}))$$



... implies:



=> Finite range *must* be taken into account

<u>Non</u>-uniform density $\tilde{
ho}(\boldsymbol{r})$



gradient $ilde w({m r}) \equiv w_0(ilde
ho({m r})) + rac{1}{2}C({m
abla} ilde
ho({m r}))^2$

$$\frac{\text{local entropy density:}}{\Rightarrow \text{ total entropy: } S[\tilde{\varepsilon}(\boldsymbol{r}), \tilde{\rho}(\boldsymbol{r})] \equiv \int \tilde{\sigma}(\boldsymbol{r}) d\boldsymbol{r}} \Rightarrow \begin{cases} \tilde{\beta}(\boldsymbol{r}) \equiv \frac{\delta S}{\delta \tilde{\varepsilon}(\boldsymbol{r})} \Rightarrow \tilde{T}(\boldsymbol{r}) \\ \tilde{\alpha}(\boldsymbol{r}) \equiv \frac{\delta S}{\delta \tilde{\rho}(\boldsymbol{r})} \Rightarrow \tilde{\mu}(\boldsymbol{r}) \end{cases}$$

 \Rightarrow local pressure $ilde{p}(m{r})$ & local enthalpy density $ilde{h}(m{r})$ & ...

Note: Constant $T(\mathbf{r})$ and $\mu(\mathbf{r}) \Rightarrow$ constant $p(\mathbf{r})$

<u>Canonical scenario:</u> constant temperature T

 \Rightarrow Free energy density: $\tilde{f}_T(\mathbf{r}) = f_T(\tilde{
ho}(\mathbf{r})) + \frac{1}{2}C(\mathbf{\nabla}\tilde{
ho}(\mathbf{r}))^2$

J. Randrup, Phys. Rev. C 79, 054911 (2009) JRandrup: Dubna School, 2010

The gradient term modifies the local pressure

Small deviations from uniformity:

$$p(r) \approx p_0(\varepsilon(r), \rho(r)) - C\rho_0 \nabla^2 \rho(r)$$

Small harmonic density undulations:

$$\rho(r,t) = \rho_0 + \delta\rho(x,t) \doteq \rho_0 + \rho_k e^{ikx - i\omega t}$$

$$p_k \rightarrow p_k + C\rho_0 k^2 \rho_k$$

The gradient term generates a phase boundary





Global equilibrium requires constant T, μ , p:

$$egin{aligned} 0 &\doteq \delta S - eta_0 \delta E - lpha_0 \delta N = \int\!dx \left\{ [ilde{eta}(x) - eta_0] \delta ilde{arepsilon}(x) + [ilde{lpha}(x) - lpha_0] \delta ilde{
ho}(x)
ight\} \ &\Rightarrow \quad ilde{eta}(x) = eta_0 \quad \& \quad ilde{lpha}(x) = lpha_0 \quad \Rightarrow \quad ilde{p}(x) = p_0 \end{aligned}$$

The interface density profile is determined by

$$C\partial_x^2
ho(x) \doteq \mu_T(
ho(x)) - \mu_0 = \partial_
ho \Delta f_T(
ho(x))$$

where $\Delta f_T(
ho) \equiv f_T(
ho) - f_T^M(
ho)$
 $f_T^M(
ho) \equiv f_T(
ho_i) + \mu_0(
ho -
ho_i) \leq f_T(
ho)$

The interface tension is given by

$$\gamma_T^{12} = \int_{\rho_1}^{\rho_2} d\rho \left[2C\Delta f_T(\rho) \right]^{\frac{1}{2}} \qquad \qquad \begin{array}{c} \rho(x) \text{ not} \\ \text{Needed!} \end{array}$$

JRandrup: Dubna School, 2010 J. Randrup, Phys. Rev. C 79, 054911 (2009)





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Instabilities in chiral dynamics

Hadron Gas versus Quark-Gluon Plasma



Hadron Gas versus Quark-Gluon Plasma



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Nuclear dynamics at $E_{coll} \approx E_{Fermi}$



Individual nucleons move in common one-body field while occasionally experiencing Pauli-suppressed binary collisions

One-particle Hamiltonian



Two-body collisions



The state of the system is characterized by its reduced one-particle phase-space density:

Instabilities in Fermi liquids: Nuclear matter

$$\delta f(\boldsymbol{r},\boldsymbol{p},t) = f(\boldsymbol{r},\boldsymbol{p},t) - f_{0}(\boldsymbol{p})$$

$$\delta f(\boldsymbol{r},\boldsymbol{p},t) = \sum_{\boldsymbol{k}} f_{\boldsymbol{k}}(\boldsymbol{p},t) e^{i\boldsymbol{k}\cdot\boldsymbol{r}}$$

$$h[f](\boldsymbol{r},\boldsymbol{p}) = \frac{p^{2}}{2m^{*}} + U(\rho(\boldsymbol{r})) \qquad \frac{\partial}{\partial t} \delta f + \boldsymbol{v} \cdot \frac{\partial}{\partial \boldsymbol{r}} \delta f - \frac{\partial f_{0}}{\partial \boldsymbol{p}} \cdot \left(\frac{\partial U}{\partial \rho} \frac{\partial}{\partial \boldsymbol{r}} \delta \rho\right) = 0 \qquad \boldsymbol{v} = \frac{\partial h}{\partial \boldsymbol{p}} = \frac{\boldsymbol{p}}{m^{*}}$$

$$\delta \rho(\boldsymbol{r},t) = g \int \frac{d^{3}\boldsymbol{p}}{h^{3}} \delta f(\boldsymbol{r},\boldsymbol{p},t) \qquad \Longrightarrow \qquad \rho_{\boldsymbol{k}}(t) = g \int \frac{d^{3}\boldsymbol{p}}{h^{3}} f_{\boldsymbol{k}}(\boldsymbol{p},t)$$

$$f_{\boldsymbol{k}}(\boldsymbol{p},t) = f_{\boldsymbol{k}}(\boldsymbol{p}) e^{-i\omega_{k}t} \quad \Longrightarrow \quad (-\omega_{k} + \boldsymbol{v} \cdot \boldsymbol{k}) f_{\boldsymbol{k}}(\boldsymbol{p}) = \boldsymbol{v} \cdot \boldsymbol{k} \frac{\partial f_{0}}{\partial \epsilon} \frac{\partial U}{\partial \rho} \rho_{\boldsymbol{k}}$$

$$1 \doteq \frac{\partial U}{\partial \rho} g \int \frac{d^3 \boldsymbol{p}}{h^3} \frac{\boldsymbol{v} \cdot \boldsymbol{k}}{\boldsymbol{v} \cdot \boldsymbol{k} - \omega_k} \frac{\partial f_0}{\partial \epsilon} = \frac{\partial U}{\partial \rho} g \int \frac{d^3 \boldsymbol{p}}{h^3} \frac{(\boldsymbol{v} \cdot \boldsymbol{k})^2}{(\boldsymbol{v} \cdot \boldsymbol{k})^2 - \omega_k^2} \frac{\partial f_0}{\partial \epsilon}$$

Finite range:
$$\tilde{g}(r_{12}): \tilde{U} = \tilde{g} * U: \partial_{\rho}U \rightarrow \tilde{g}_k \partial_{\rho}U$$

Nuclear spinodal instabilities



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Spinodal boundaries in the (ρ , T) phase plane:



Dependence of growth rates on density, temperature and wave length:



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Spinodal instabilities in finite nuclear systems



RPA calculations for unstable octupole modes in Sn isotopes:

- (a) radial dependence of the form factor at the dilution D = 1:5 for neutrons (solid), protons (dotted), and nucleons (dashed);
- (b) contour plots of the perturbed neutron density;
- (c) contour plots of the perturbed proton density.

M. Colonna, Ph. Chomaz, S. Ayik, Phys. Rev. Lett. 88 (2002) 122701

Statistical multifragmentation:





Spinodal fragmentation:

=> *Equal* sizes

=> *Different* fragment sizes (Igor Mishustin, 2003)

Optimal collision energy



Experiment: INDRA @ GANIL

B. Borderie *et al*, Phys. Rev. Lett. 86 (2001) 3252

32 MeV/A Xe + Sn (b=0)



INDRA







B. Borderie et al, Phys. Rev. Lett. 86 (2001) 3252