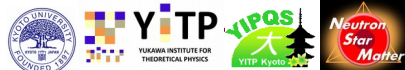
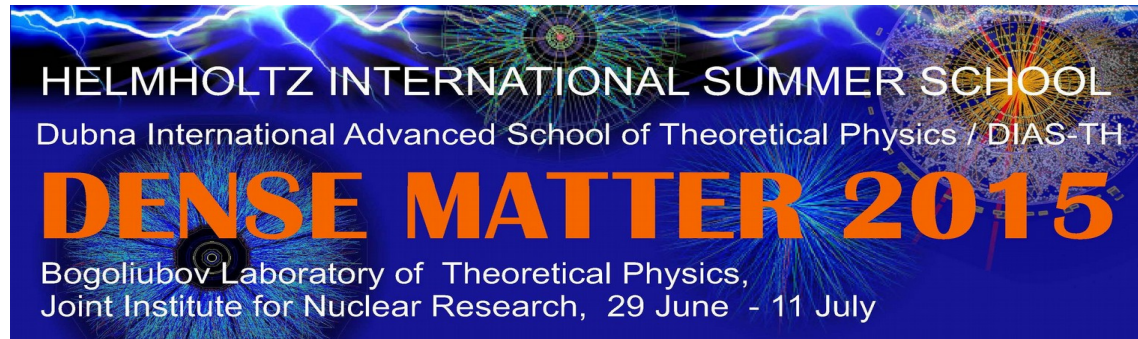


# *Approaches to QCD phase diagram; effective models, strong coupling lattice QCD, and compact stars*

**Akira Ohnishi (YITP, Kyoto U.)**

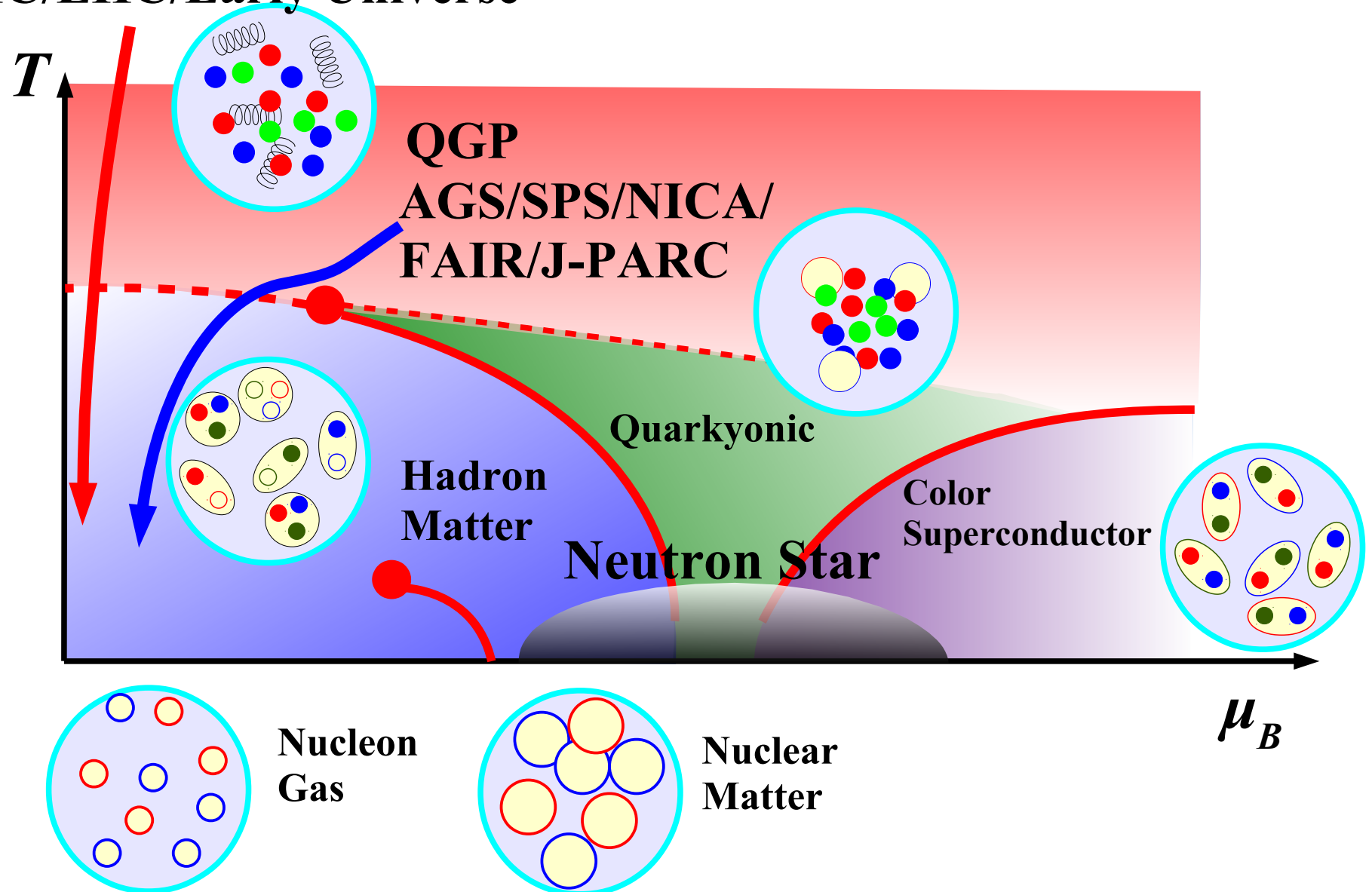
*“Dense Matter 2015”, JINR, Jun.29-Jul.11, 2015.*

*Helmholtz Int. Summer School & Dubna Int. Adv. School on Theor. Phys. / DIAS-TH,  
Bogoliubov Lab. of Theor. Phys., Joint Inst. for Nucl. Research, Russia.*



# QCD Phase Diagram

RHIC/LHC/Early Universe

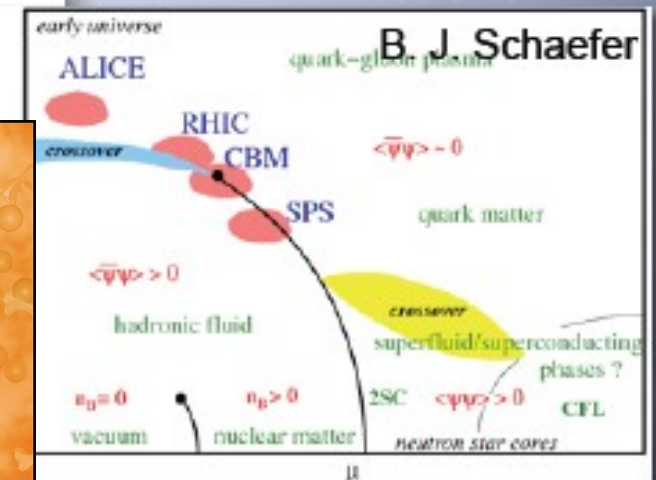
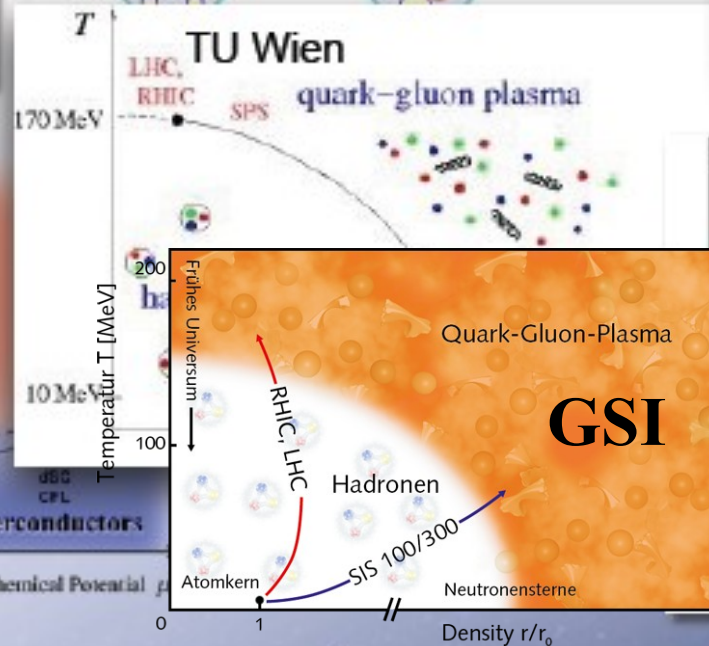
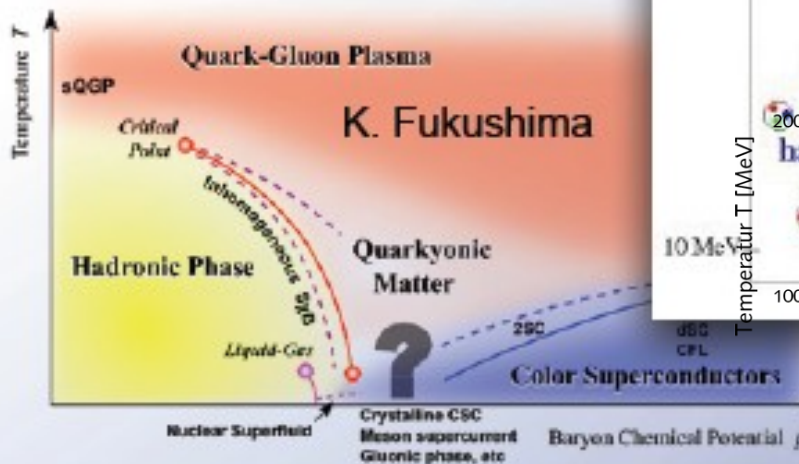
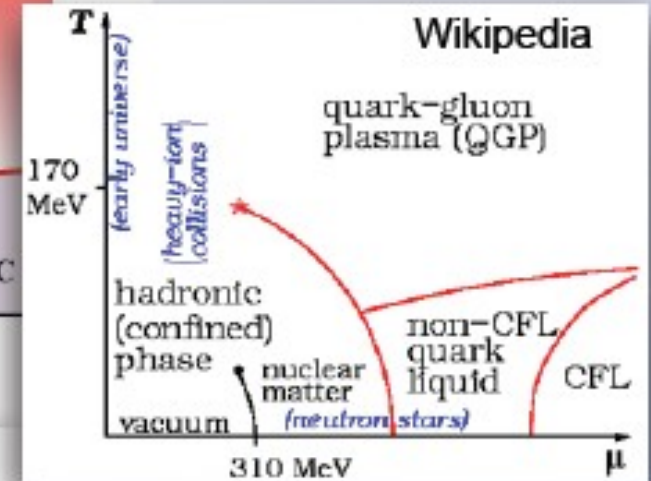
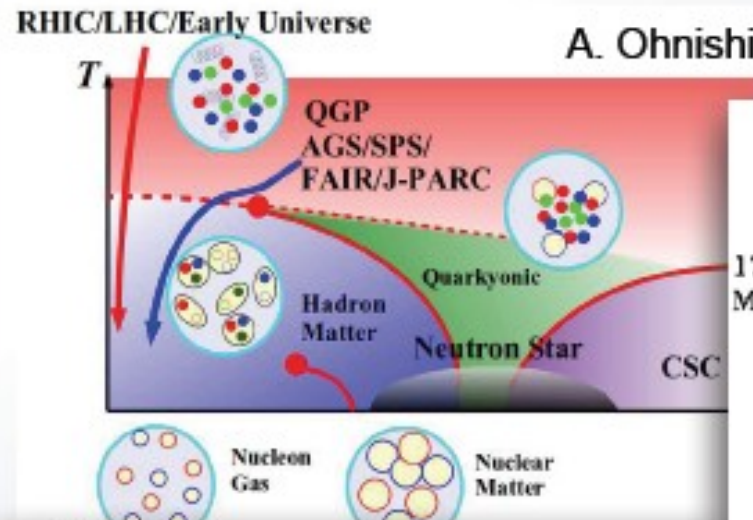
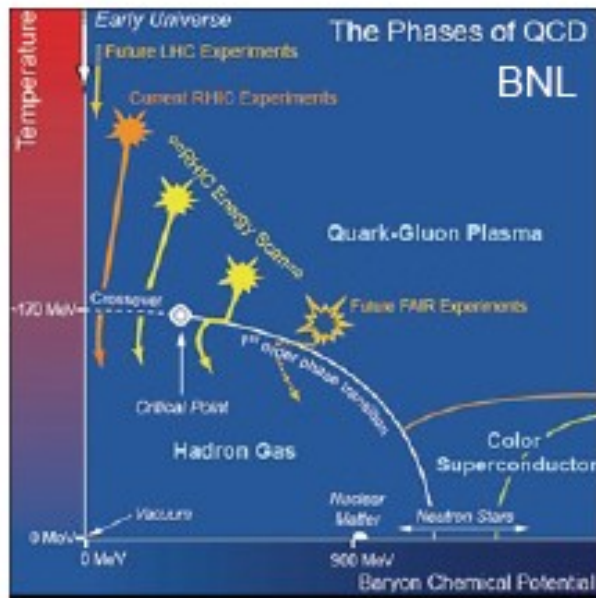


AO, PTPS 193('12)1

# Introduction – QCD phase diagrams

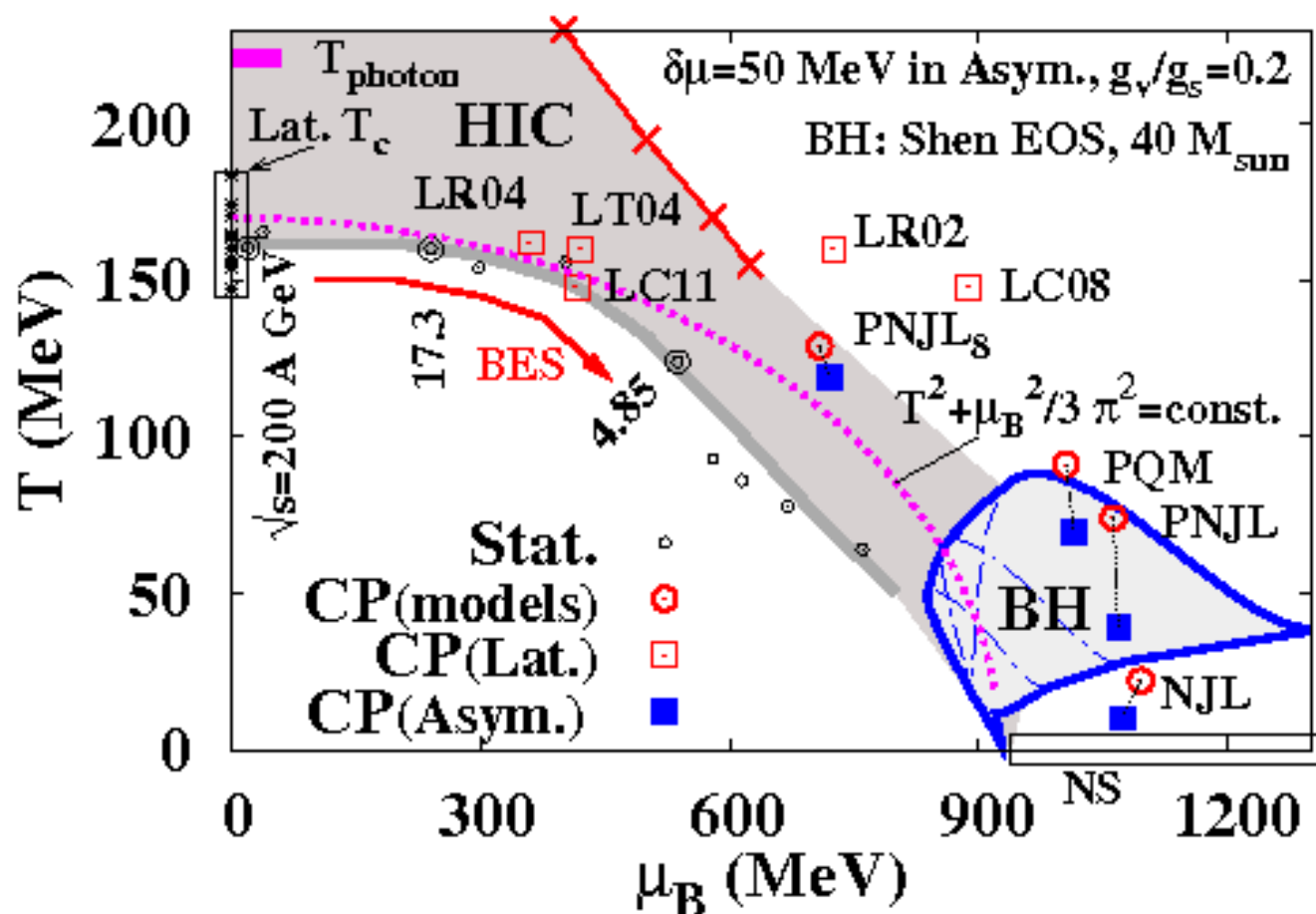
by M. Hempel

- fundamental question: phase diagram of strongly interacting matter
- typical examples in  $T$ - $\mu$ , first order phase transitions (PT) as lines:





# QCD phase diagram (Exp. & Theor. Studies)

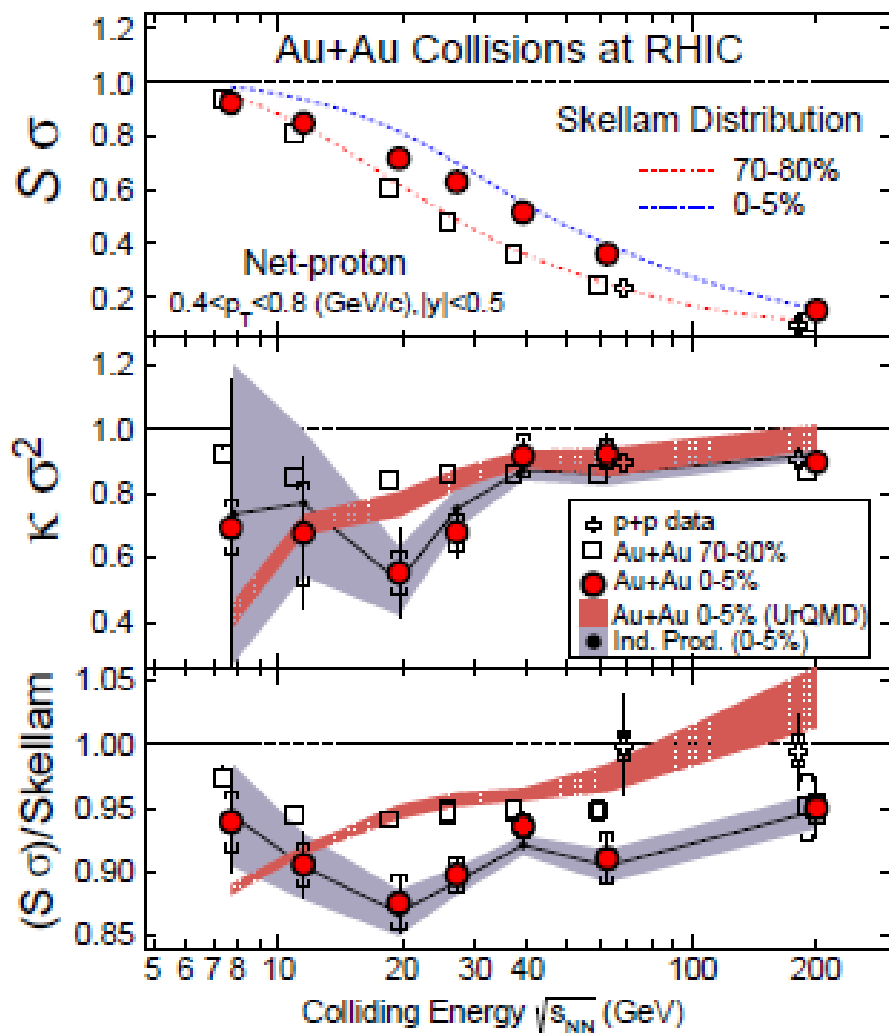


Hempel,  
 Cleymans,  
 Castorina,  
 Randrup,  
 and many others

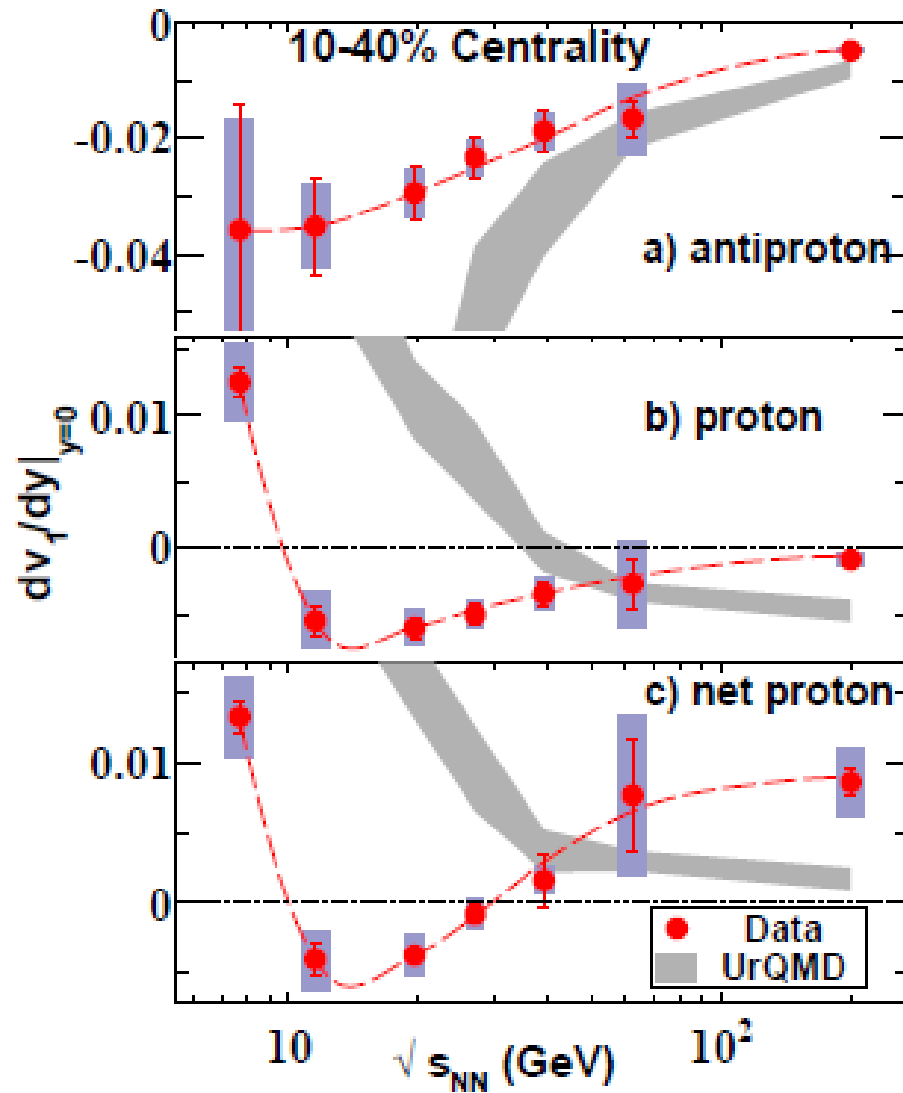
*QCD phase transition is not only an academic problem,  
 but also a subject which would be measured  
 in HIC or Compact Stars*

# Net-Proton Number Moments & Directed Flow

- Non-monotonic behavior of  $\kappa\sigma^2$  and  $dv_1/dy$ . CP signal ?



STAR Collab. (PRL 112('14)032302)

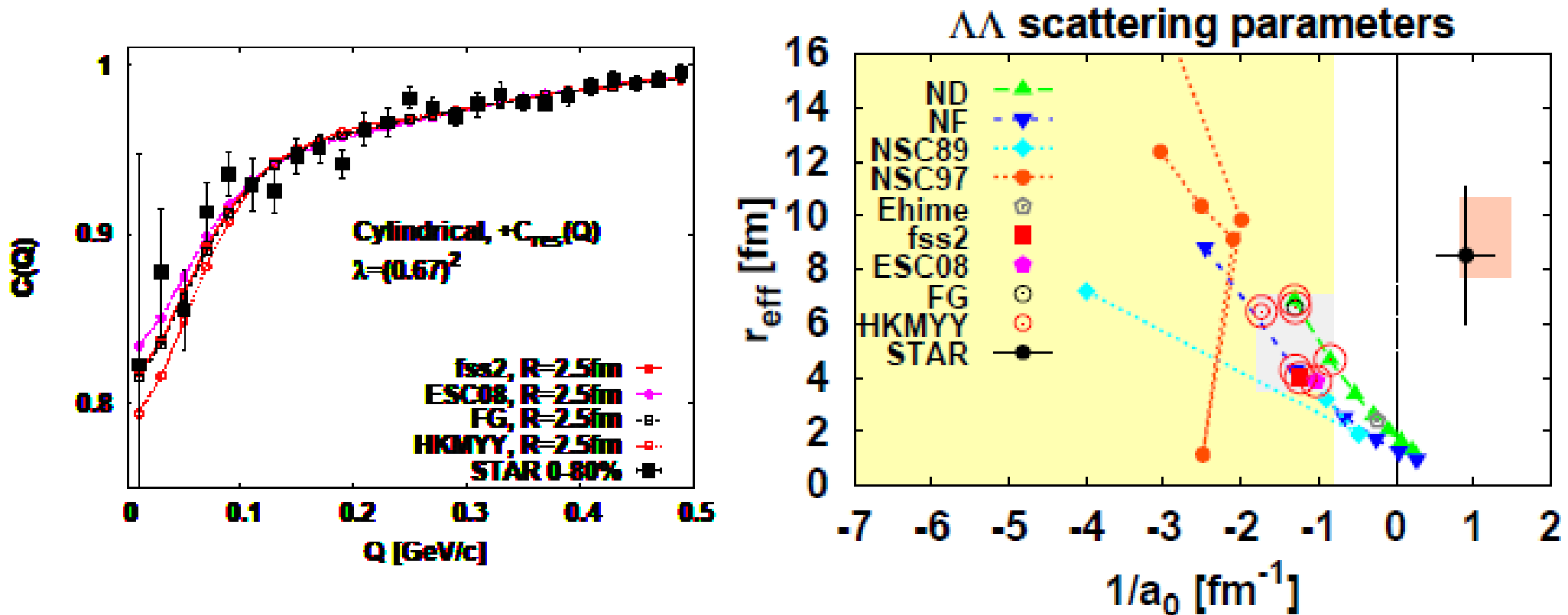


STAR Collab., PRL 112('14)162301.

# $\Lambda\Lambda$ interaction from $\Lambda\Lambda$ correlation at RHIC

$\Lambda\Lambda$  correlation with long. and transverse flow effects,  $\Sigma^0$  feed down, and unknown long tail effects

→ Constraints on  $\Lambda\Lambda$  interaction



*K.Morita, T.Furumoto, AO, PRC91('15)024916 [arXiv:1408.6682]  
Data: Adamczyk et al. (STAR Collaboration), PRL 114 ('15) 022301.*

# Physics of Dense Matter

- “Dense Matter” ( $\rho_B > \rho_0$ ) and QCD phase diagram would be probed in heavy-ion collisions and compact star phenomena.
- Theoretical approaches to QCD phase diagram
  - Lattice QCD Monte-Carlo simulations (Sign problem)
  - Effective models (Lec.1, prediction is model dependent)
  - Approximation in LQCD, e.g. Strong-coupling lattice QCD
- Dense matter in compact star phenomena
  - Neutron Stars, Supernova, Black Hole formation, Binary Neutron Star Merger, ....
  - Key variable =  $Y_Q = Q(\text{of hadrons}) / B$  (Nuclear matter  $Y_Q = Y_e$ )  
→ Phase diagram of isospin-asymmetric matter

# Contents

## ■ Lecture 1

- Introduction to physics of QCD phase diagram
- Spontaneous Chiral Symmetry Breaking in NJL
- Restoration of Chiral Symmetry in NJL
- Summary

## ■ Lecture 2

- Introduction
- QCD Phase Diagram in Strong-Coupling Lattice QCD
- Dense Matter in Compact Star Phenomena
- Summary



---

*QCD phase diagram  
in strong-coupling lattice QCD*

# Lattice QCD

- Space-time discretization of fields

- Quarks = Grassmann number on sites

$$\chi_i \chi_j = -\chi_j \chi_i, \quad \int d\chi 1 = 0, \quad \int d\chi \chi = 1$$

$$\rightarrow \int d\chi_1 d\chi_2 \cdots d\bar{\chi}_1 d\bar{\chi}_2 \cdots \exp(\bar{\chi} D \chi) = \det(D)$$

- Gluons  $\rightarrow$  Link variable

$$U_\mu(x) = \exp \left[ ig \int_x^{x+\hat{\mu}} dx A(x) \right] \sim \exp(ig A_\mu)$$

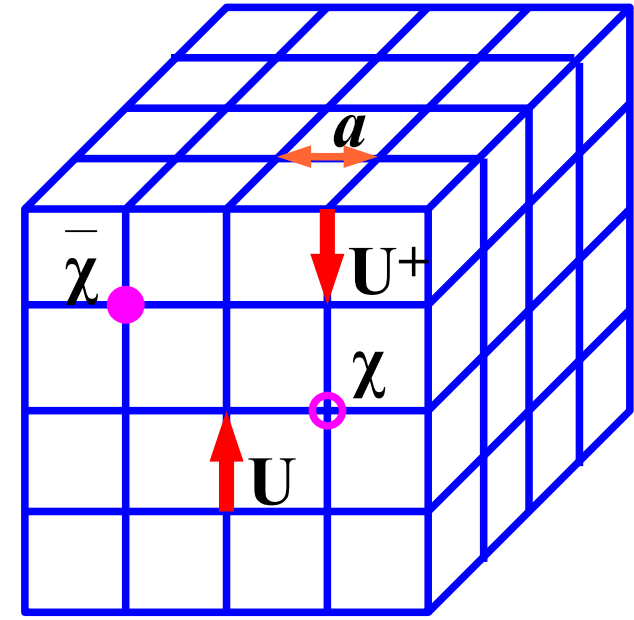
$$\int dU U_{ab} = 0, \quad \int dU U_{ab} U_{cd}^+ = \delta_{ad} \delta_{bc} / N_c, \quad \int dU U_{ab} U_{cd} U_{ef} = \varepsilon_{ace} \varepsilon_{bdf} / N_c!$$

- Gauge transf.

$$\chi(x) \rightarrow V(x) \chi(x), \quad \bar{\chi}(x) \rightarrow \bar{\chi}(x) V^+(x),$$

$$U_\mu(x) \rightarrow V(x) U_\mu(x) V(x+\hat{\mu})$$

$$\bar{\chi}(x) U_\mu(x) \chi(x+\hat{\mu}) = \text{invariant}$$



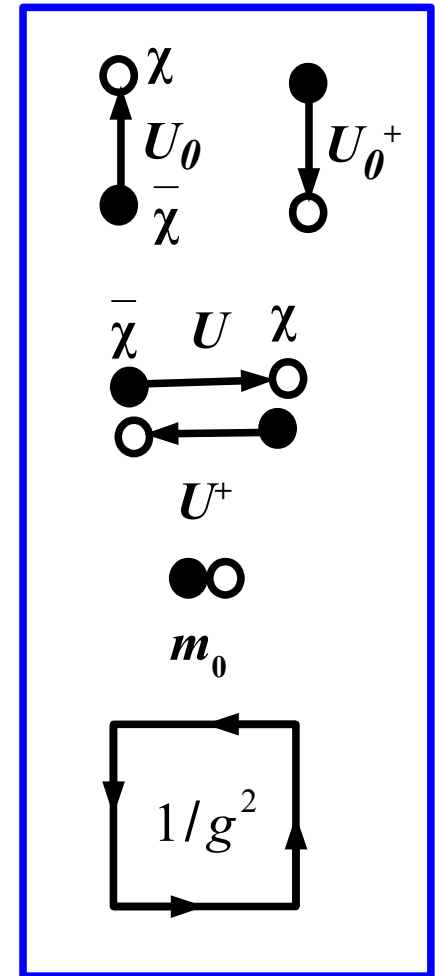
**Lattice spacing = a**

**$\rightarrow$  Lattice unit: a=1**

# Lattice QCD action

## ■ Lattice QCD action (unrooted staggered fermion)

$$\begin{aligned}
 L = & \frac{1}{2} \sum_x \left[ \bar{\chi}_x U_0(x) e^{\mu} \chi_{x+\hat{0}} - \chi_{x+\hat{0}}^- U_0^+(x) e^{-\mu} \chi_x \right] \\
 & + \frac{1}{2} \sum_{x,j} \eta_j(x) \left[ \bar{\chi}_x U_j(x) \chi_{x+\hat{j}} - \chi_{x+\hat{j}}^- U_j^+(x) \chi_x \right] \\
 & + m_0 \sum_x \bar{\chi}_x \chi_x \quad \rightarrow \chi (\partial + i g A) \chi \\
 & + \frac{2 N_c}{g^2} \sum_{\text{plaq.}} \left[ 1 - \frac{1}{N_c} \text{Re tr } U_{\mu\nu}(x) \right] \quad \text{Stokes theorem} \\
 & \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \quad \rightarrow \text{rotation}
 \end{aligned}$$



### ● Staggered sign factor ( $\sim \gamma$ matrix)

$$\eta_j(\mathbf{x}) = (-1)^{x_0 + \dots + x_{j-1}}$$

### ● Chiral transf.

$$\chi_x \rightarrow \exp[i \theta \varepsilon(\mathbf{x})] \chi_x, \quad \varepsilon(\mathbf{x}) = (-1)^{x_0 + x_1 + x_2 + x_3}$$

$\chi$  quark  
(Grassmann #)  
U link  $\sim \exp(igA)$

# Sign problem in lattice QCD

- Fermion determinant (= stat. weight of MC integral) becomes complex at finite  $\mu$  in LQCD.

$$\begin{aligned} Z &= \int D[U, q, \bar{q}] \exp(-\bar{q} D(\mu, U) q - S_G(U)) \\ &= \int D[U] \text{Det}(D(\mu, U)) \exp(-S_G(U)) \end{aligned}$$

$$\left[ \gamma_5 D(\mu) \gamma_5 \right]^+ = D(-\mu^*) \rightarrow \left[ \text{Det}(D(\mu)) \right]^* = \text{Det}(D(-\mu^*))$$

( $\gamma_5$  hermiticity)

- Note: Euclidean  $D = \gamma_\mu D_\mu + m - \mu \gamma_0$  ( $\gamma =$  Hermite,  $D_\mu =$  anti-Hermite)
- Fermion det. (Det D) is real for zero  $\mu$  (and pure imag.  $\mu$ )
- Fermion det. is complex for finite real  $\mu$ .

## ■ Approximate methods:

- Taylor expansion, Imag.  $\mu$ , Canonical, Re-weighting, Fugacity expansion, Histogram method, Complex Langevin, Strong-coupling lattice QCD

# Sign Problem

## ■ Monte-Carlo integral of oscillating function

$$Z = \int dx \exp(-x^2 + 2iax) = \sqrt{\pi} \exp(-a^2)$$

$$\langle O \rangle = \frac{1}{Z} \int dx O(x) e^{-x^2 + 2iax}$$

**Easy problem for human is not necessarily easy for computers.**

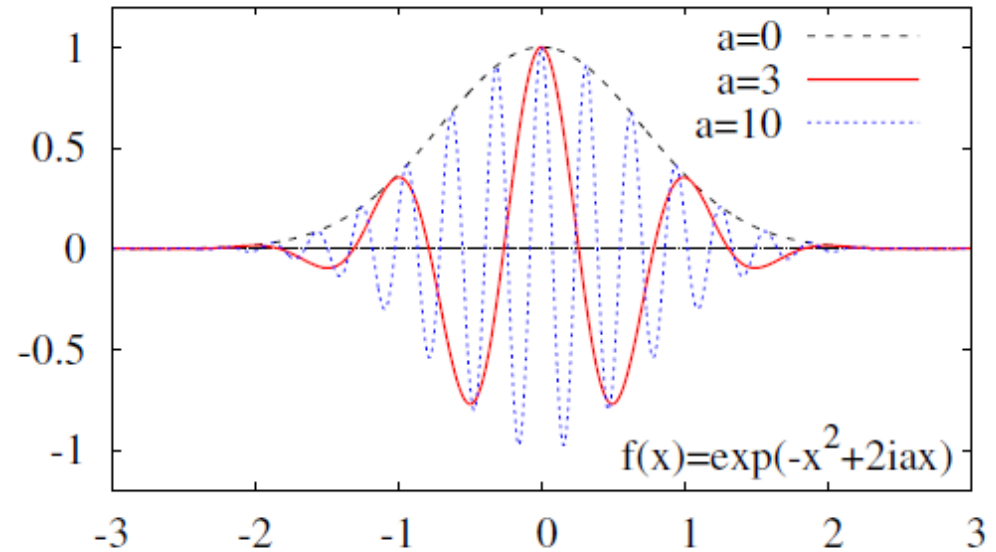
## ■ Complex phase appears from fluctuations of H and N.

*de Forcrand*

$$Z = \sum \langle \psi | \exp[-(H - \mu N)/T] | \psi \rangle = \sum \prod \langle \psi_\tau | \exp[-(H - \mu N)/(N_\tau T)] | \psi_{\tau+1} \rangle$$

→ **Description based on “Hadronic” (color singlet) action would be helpful to reduce fluctuations.**

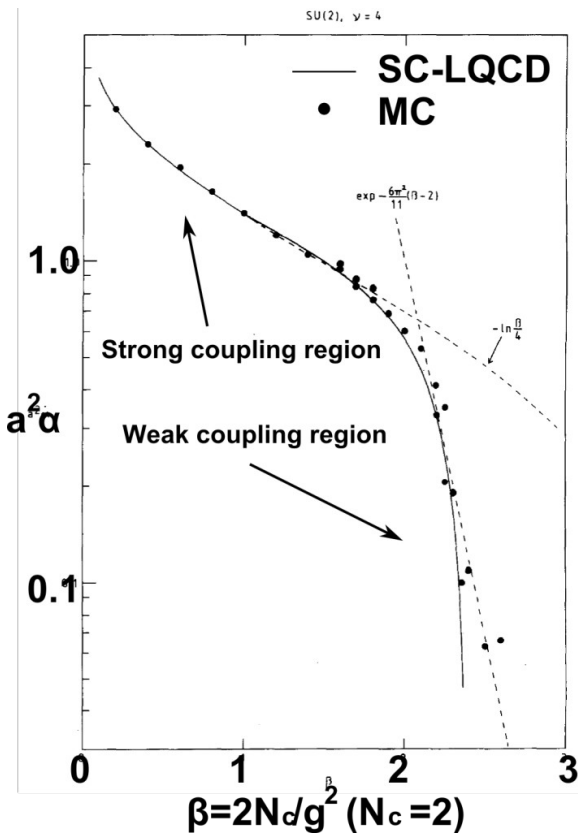
→ **Strong coupling lattice QCD**





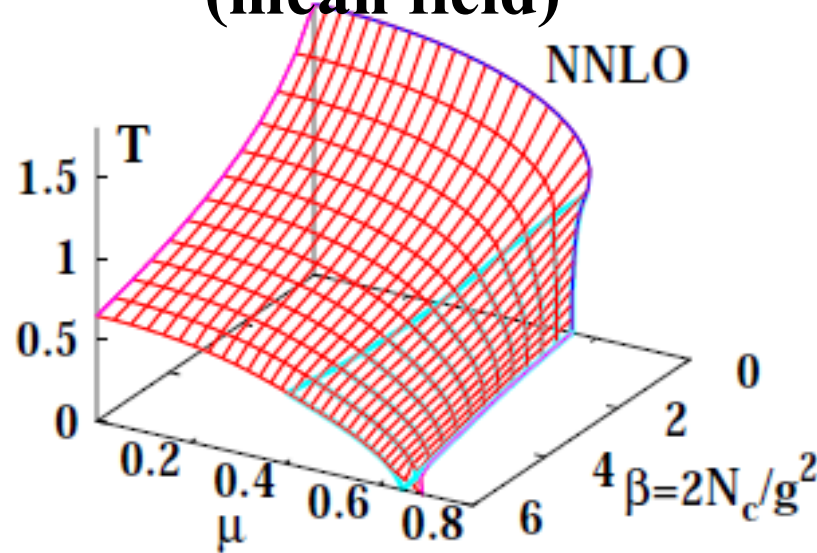
# Strong Coupling Lattice QCD

## Pure YM



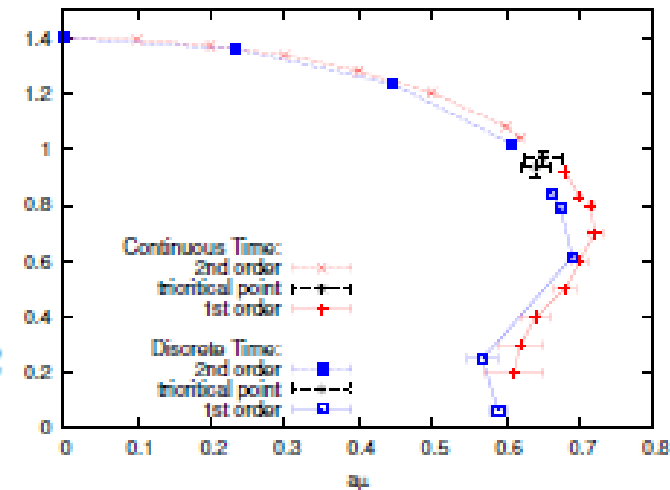
*Wilson ('74), Creutz ('80), Munster ('80, '81), Lottini, Philipsen, Langelage's ('11)*

## Phase diagram (mean field)



*Kawamoto ('80), Kawamoto, Smit ('81), Damagaard, Hochberg, Kawamoto ('85), Ilgenfritz, Kripfganz ('85), Bilic, Karsch, Redlich ('92), Fukushima ('03); Nishida ('03), Kawamoto, Miura, AO, Ohnuma ('07). Miura, Nakano, AO, Kawamoto ('09), Nakano, Miura, AO ('10)*

## Fluctuations



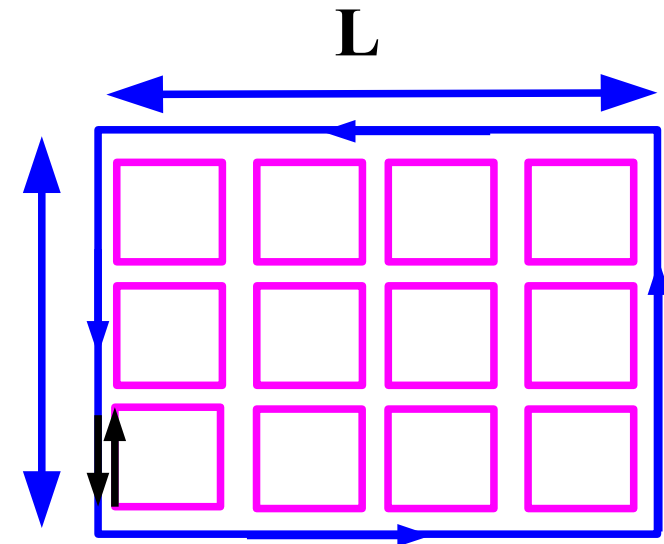
*Mutter, Karsch ('89), de Forcrand, Fromm ('10), de Forcrand, Unger ('11), AO, Ichihara, Nakano ('12), Ichihara, Nakano, AO ('14), de Forcrand, Langelage, Philipsen, Unger ('14)*

# Area Law

Wilson ('74), Creutz ('80), Munster ('80, '81)

## Wilson loop in pure Yang-Mills theory

$$\begin{aligned} & \langle W(C=L \times N_\tau) \rangle \\ &= \frac{1}{Z} \int DU W(C) \exp \left[ \frac{1}{g^2} \sum_P \text{tr}(U_P + U_P^+) \right] N_t \\ &= \exp(-V(L) N_\tau) \quad \mathbf{V(L)=heavy-qq\ pot.} \end{aligned}$$



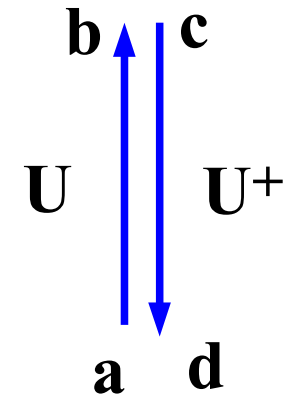
$$\square = 1/N_c g^2$$

## One-link integral

$$\int dU U_{ab} U_{cd}^+ = \frac{1}{N_c} \delta_{ad} \delta_{bc}$$

## In the strong coupling limit

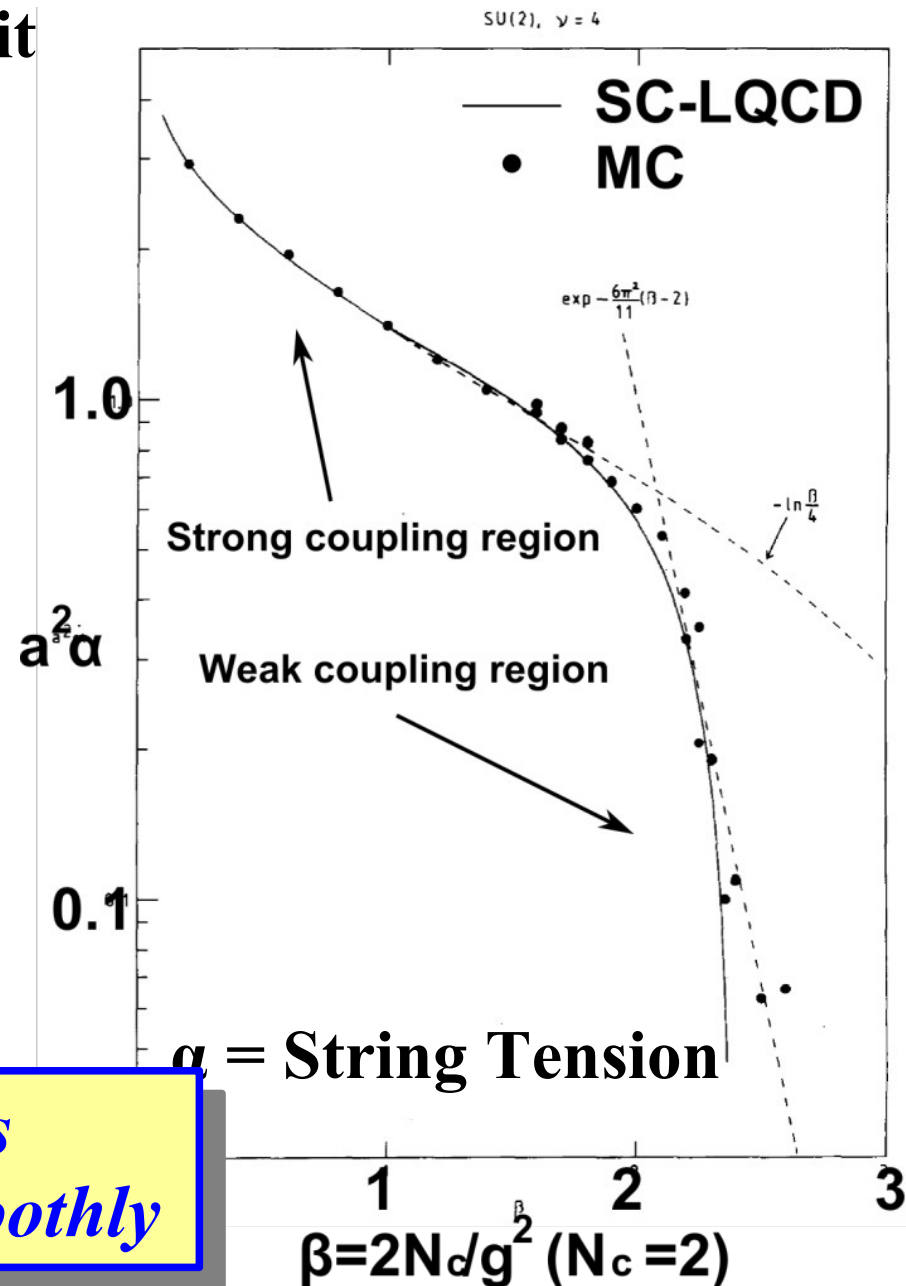
$$\langle W(C) \rangle = N \left( \frac{1}{g^2 N} \right)^{LN_\tau} \rightarrow V(L) = L \log(g^2 N)$$



**Linear potential between heavy-quarks  
→ Confinement (Wilson, 1974)**

# Area Law

- Area law in the strong coupling limit  
*Wilson ('74)*
- Verification of the area law in Lattice MC simulation  
*Creutz ('80)*
- Strong coupling expansion to higher orders  
*Munster ('80, '81),  
Lottini, Philipsen, Langelage ('11)*
- Weak coupling region  
→  $g^2/4\pi = 1 / \beta_0 \log (q^2/\Lambda^2)$   
→  $a \sim 1/q \sim \exp(2\pi/g^2\beta_0)/\Lambda$



*Strong coupling expansion connects  
SCL and Weak coupling region smoothly*

# Strong Coupling Lattice QCD

## Strong coupling limit

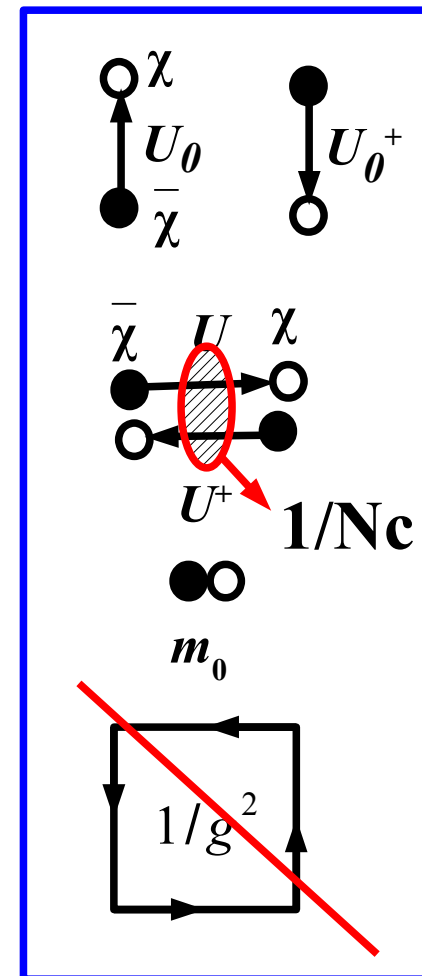
*Damgaard, Kawamoto, Shigemoto ('84)*

$$S_{\text{SCL}} = S_F^{(t)} - \frac{1}{4N_c} \sum_{x,j} M_x M_{x+\hat{j}} + m_0 \sum_x M_x$$

$$(M_x = \bar{\chi}_x \chi_x)$$

- Integrate out spatial links using one-link formula, and pick up diagrams with min. quark numbers.

$$\int dU U_{ab} U_{cd}^+ = \delta_{ad} \delta_{bc} / N_c$$



## Lattice QCD in SCL

→ Fermion action with nearest neighbor four Fermi interaction

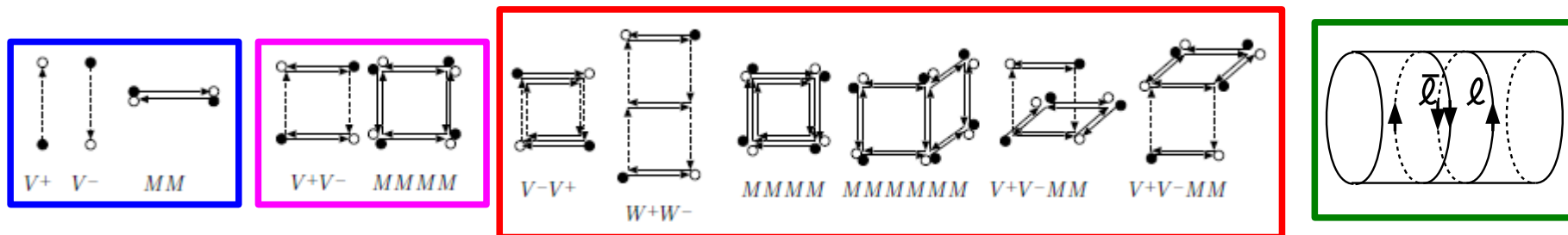
# Finite Coupling Effects

## Effective Action with finite coupling corrections

Integral of  $\exp(-S_G)$  over spatial links with  $\exp(-S_F)$  weight  $\rightarrow S_{\text{eff}}$

$$S_{\text{eff}} = S_{\text{SCL}} - \log \langle \exp(-S_G) \rangle = S_{\text{SCL}} - \sum_{n=1} \frac{(-1)^n}{n!} \langle S_G^n \rangle_c$$

$\langle S_G^n \rangle_c = \text{Cumulant (connected diagram contr.)}$  *c.f. R.Kubo('62)*



$$S_{\text{eff}} = \frac{1}{2} \sum_x (V_x^+ - V_x^-) - \frac{b_\sigma}{2d} \sum_{x,j>0} [MM]_{j,x}$$

*SCL (Kawamoto-Smit, '81)*

$$+ \frac{1}{2} \frac{\beta_\tau}{2d} \sum_{x,j>0} [V^+V^- + V^-V^+]_{j,x} - \frac{1}{2} \frac{\beta_s}{d(d-1)} \sum_{x,j>0,k>0,k \neq j} [MMMM]_{jk,x}$$

*NLO (Faldt-Petersson, '86)*

$$- \frac{\beta_{\tau\tau}}{2d} \sum_{x,j>0} [W^+W^- + W^-W^+]_{j,x} - \frac{\beta_{ss}}{4d(d-1)(d-2)} \sum_{\substack{x,j>0,|k|>0,|l|>0 \\ |k| \neq j, |l| \neq j, |l| \neq |k|}} [MMMM]_{jk,x} [MM]_{j,x+l}$$

*NNLO (Nakano, Miura, AO, '09)*

$$+ \frac{\beta_{\tau s}}{8d(d-1)} \sum_{x,j>0,|k| \neq j} [V^+V^- + V^-V^+]_{j,x} \left( [MM]_{j,x+\hat{k}} + [MM]_{j,x+\hat{k}+\hat{0}} \right)$$

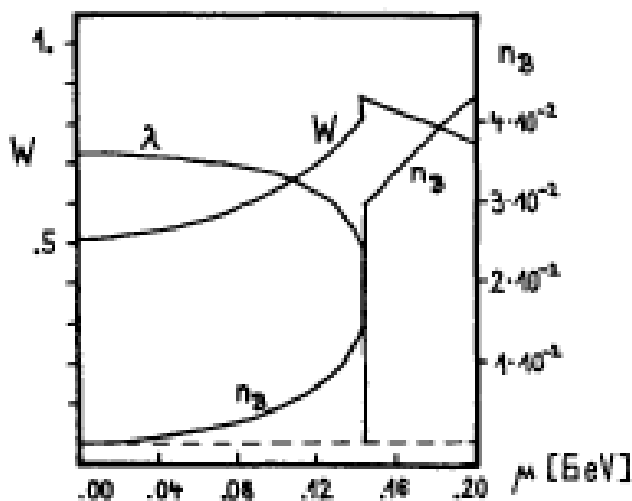
$$- \left( \frac{1}{g^2 N_c} \right)^{N_\tau} N_c^2 \sum_{\mathbf{x}, j>0} \left( \bar{P}_\mathbf{x} P_{\mathbf{x}+\hat{j}} + h.c. \right)$$

*Polyakov loop (Gocksch, Ogilvie ('85), Fukushima ('04)  
Nakano, Miura, AO ('11))*

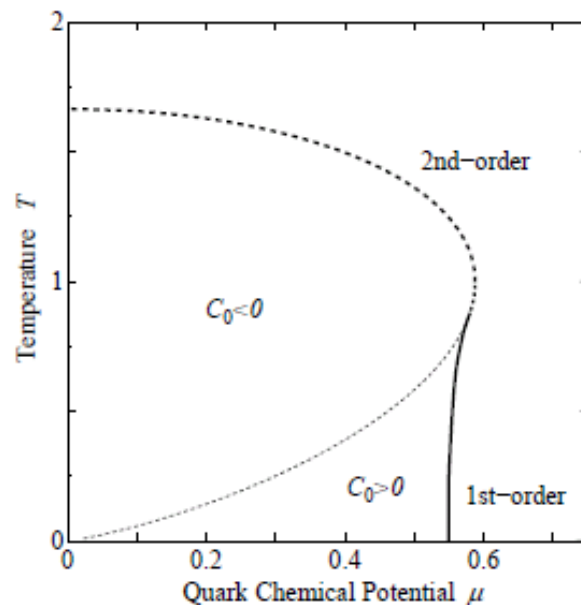


# Phase diagram in SC-LQCD (mean field)

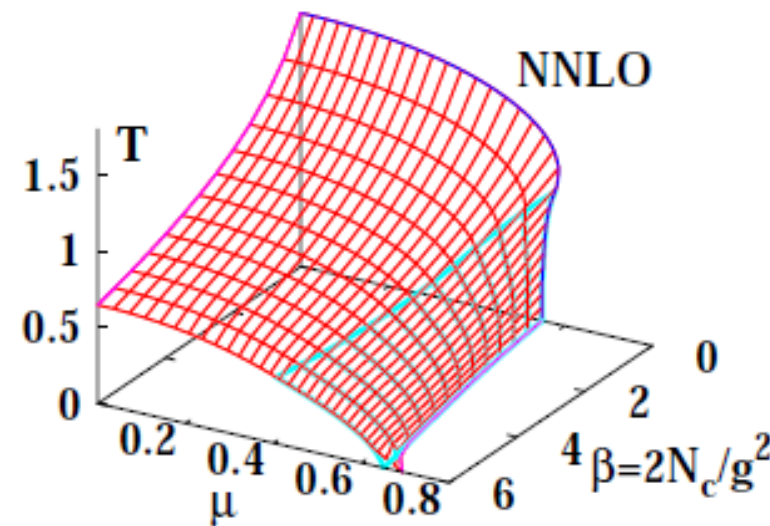
- “Standard” simple procedure in Fermion many-body problem
  - Bosonize interaction term (Hubbard-Stratonovich transformation)
  - Mean field approximation (constant auxiliary field)
  - Fermion & temporal link integral  
 Damgaard, Kawamoto, Shigemoto ('84); Ilgenfritz, Kripfganz ('85); Faldt, Petersson ('86); Bilic, Karsch, Redlich ('92); Fukushima ('04); Nishida ('04); Miura, Nakano, AO, Kawamoto ('09); Nakano, Miura, AO ('10, '11)



Ilgenfritz, Kripfganz ('85)



Fukushima ('04)

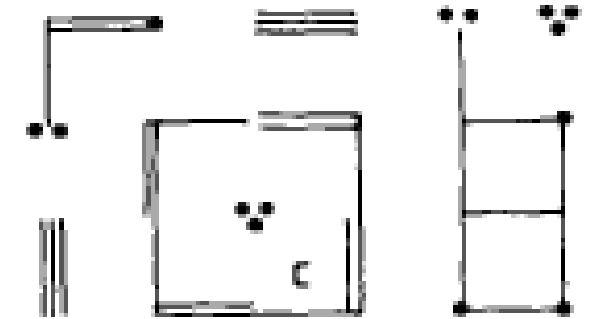


AO, Miura, Nakano, Kawamoto ('09)

# SC-LQCD with Fluctuations

## ■ Monomer-Dimer-Polymer (MDP) simulation

*Mutter, Karsch ('89), de Forcrand, Fromm ('10),  
de Forcrand, Unger ('11)*



### ● Integrating out all links

→  $Z$  = weight sum of monomer,  
dimer, polymer configurations

$$Z(m, \mu) = \sum_{\{n_x, n_b, C_B\}} \prod_b \frac{(N_c - n_b)!}{N_c! n_b!} \prod_x \frac{N_c!}{n_x!} (2m)^{n_x} \prod_{C_B} w(C_B) \quad w(C_B, \pm) = \varepsilon(C_B) \exp(\pm 3\ell L_t \mu)$$

## ■ Auxiliary Field Monte-Carlo (AFMC) method

*Ichihara, AO, Nakano ('14)*

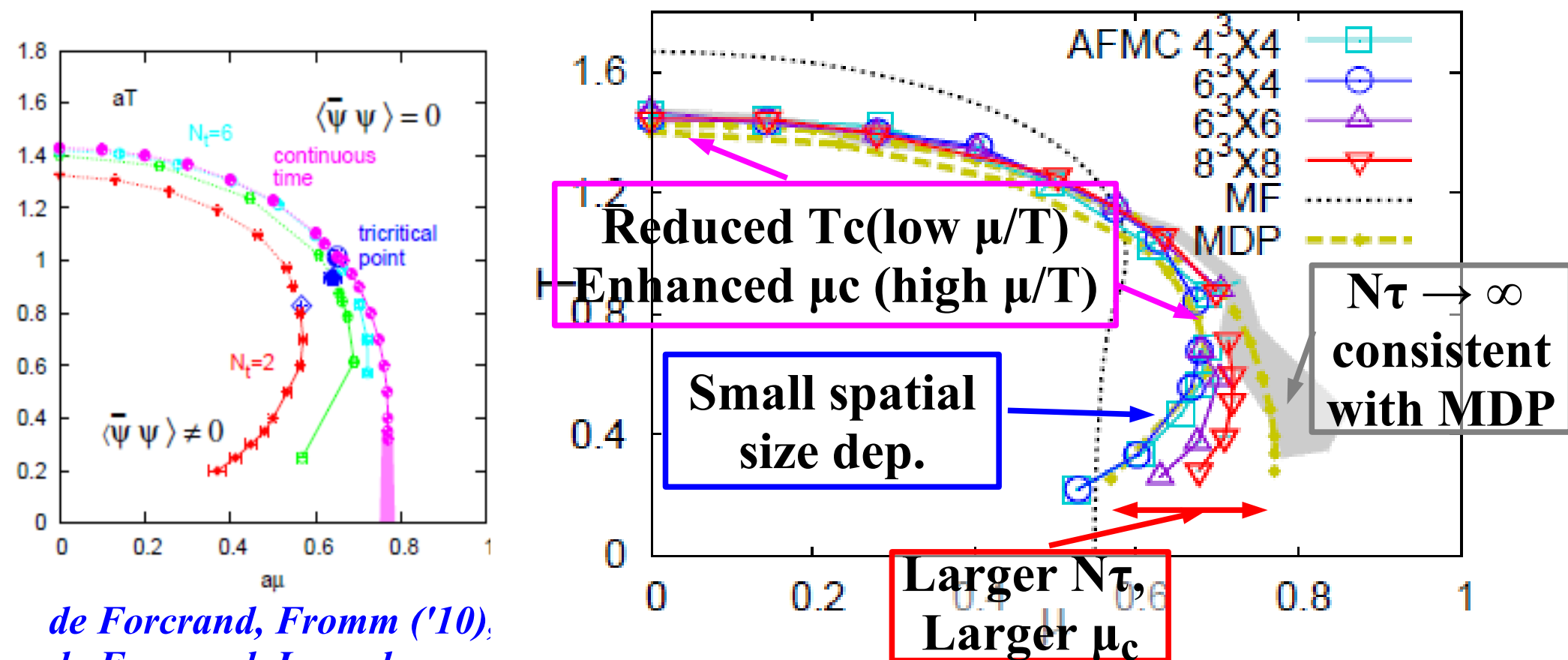
### ● Bosonize the effective action, and MC integral over aux. field.

$$S_{\text{eff}} = S_F^{(t)} + \sum_x m_x M_x + \frac{L^3}{4 N_c} \sum_{\mathbf{k}, \tau} f(\mathbf{k}) \left[ |\sigma_{\mathbf{k}, \tau}|^2 + |\pi_{\mathbf{k}, \tau}|^2 \right]$$

$$m_x = m_0 + \frac{1}{4 N_c} \sum_j (\sigma + i \varepsilon \pi)_{x \pm \hat{j}}, \quad f(\mathbf{k}) = \sum_j \cos k_j, \quad \varepsilon = (-1)^{x_0 + x_1 + x_2 + x_3}$$

# Phase diagram

- Phase diagrams in two independent methods (MDP & AFMC) agree with each other in the strong coupling limit.  
→ SCL phase diagram is determined !



*de Forcrand, Fromm ('10),  
de Forcrand, Langelage,  
Philipsen, Unger ('14)*

*Ichihara, AO, Nakano ('14)*

# Cumulant Ratio: Phase transition signal ?

## ■ Cumulants c.f. Kaczmarek

$$\chi^{(n)} = \frac{\partial^n (P/T^4)}{\partial \hat{\mu}^n}, \quad \hat{\mu} = \mu_B/T$$

$$\chi^{(4)}/\chi^{(2)} = \kappa \sigma^2 \quad (\kappa: \text{kurtosis})$$

- $\kappa \sigma^2$  shows DOF at  $\mu=0$ , and criticality at  $\mu>0$ .

## ■ Lattice MC at $\mu=0$

*Bazarov, .., Kaczmarek, et al. ('14),  
Bellwied et al. ('13), ....  
Gavai, Gupta ('05), Allton et al. ('05),*

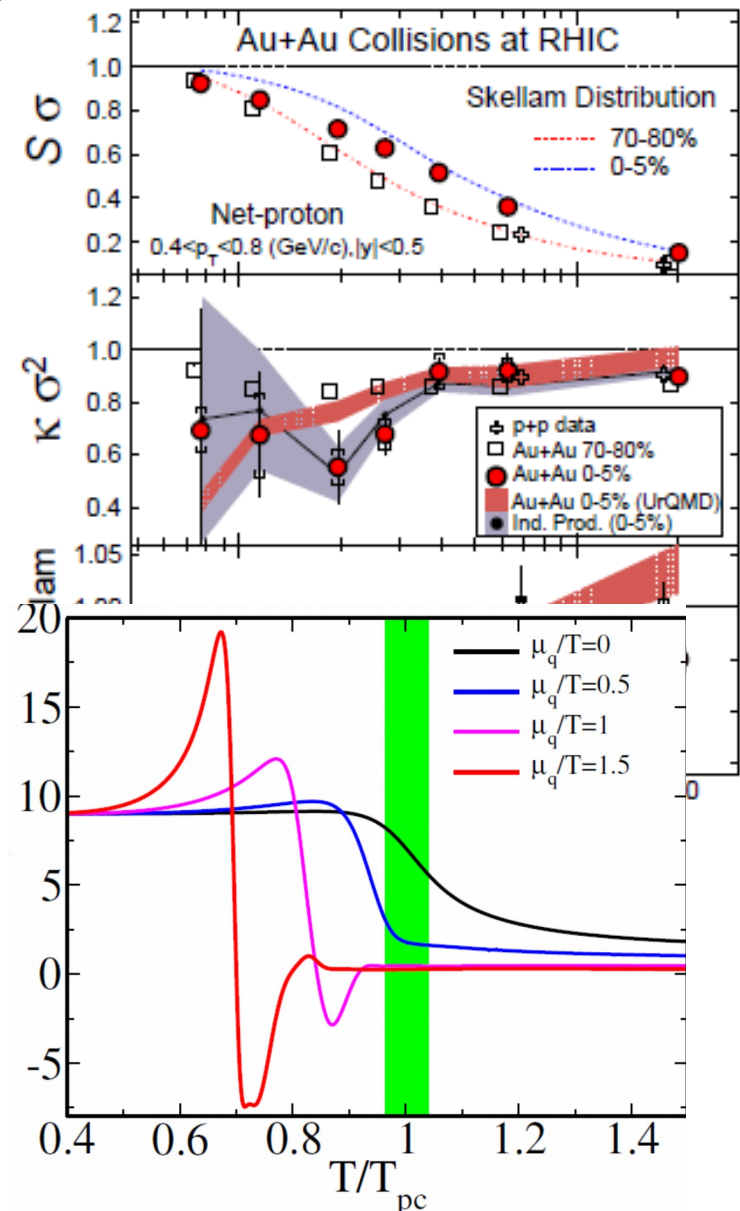
## ■ Lattice MC at $\mu>0$ but large $m_q$

*Jin, Kuramashi, Nakamura, Takeda,  
Ukawa ('15)*

## ■ Scaling function analysis

*Friman, Karsch, Redlich, Skokov ('11)*

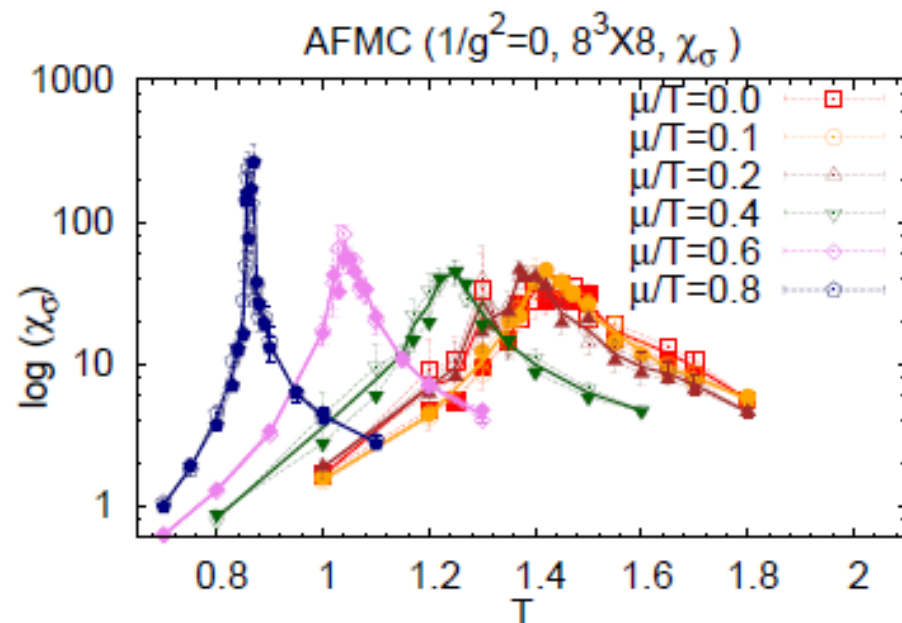
*STAR Collab. (PRL 112('14)032302*



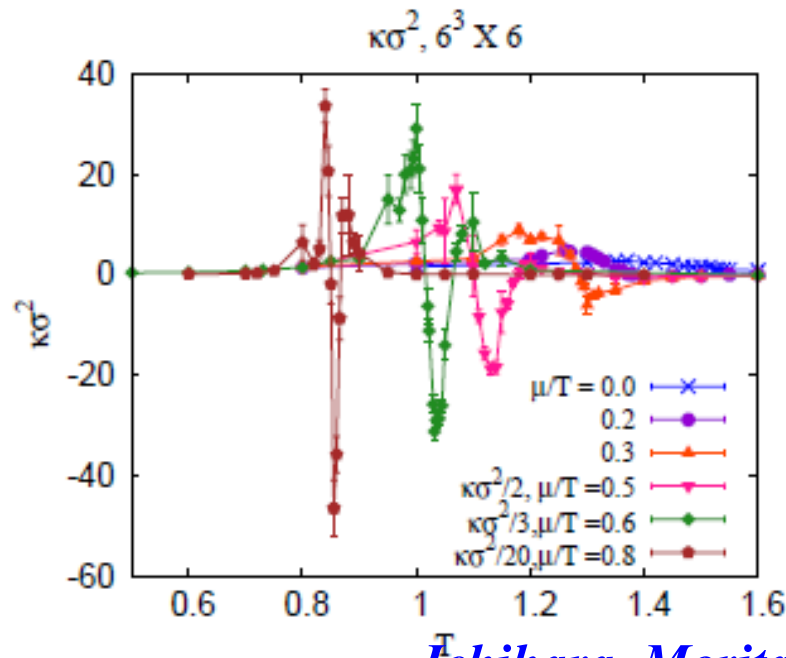
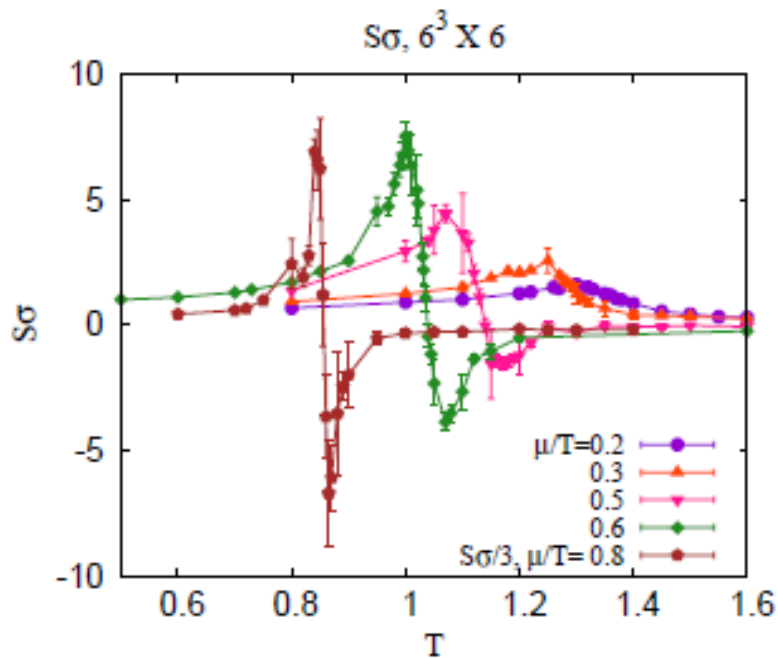
*Friman et al. ('11)*

# Susceptibilities, Skewness, Kurtosis, ...

- Chiral susceptibility  
→ Divergent at  $V \rightarrow \infty$
- Net baryon skewness  
 $S\sigma \rightarrow +\infty$  from below  
-  $\infty$  from above
- Net baryon kurtosis  
 $\kappa\sigma^2 \rightarrow +-+$  structure



*Ichihara, AO, Nakano ('14)*



*Ichihara, Morita, AO, in prep.*



# Caveats

- One species of unrooted staggered fermion corresponds to  $N_f=4$  in the continuum limit, and should show the first order phase transition at  $\mu=0$ . Second order transition shown here comes from  $O(2)$  chiral symmetry remaining also at coarse lattice spacing.
- We have worked in the leading order of  $1/d$  expansion, where the MM term is assumed to remain finite at large spatial dim.,  $d$ . Under this assumption, we quark field scales as  $\chi \propto d^{-1/4}$ , then terms with larger number of quarks such as spatial baryon hopping are suppressed. (MDP includes those terms.)
- Positive slope of the first order phase boundary comes from the saturated quark matter at high density,  $\rho \sim N_c$ . In this case, entropy is carried by the holes rather than particles, and can be smaller in the high density phase. Thus the Clausius-Clapeyron relation is not violated.

$$P_H = P_Q \rightarrow \rho_H d\mu + s_H dT = \rho_Q d\mu + s_Q dT$$

- The sign problem exists in SC-LQCD when fluctuations are included, but it is not very severe and  $V \rightarrow \infty$  limit may be obtained.

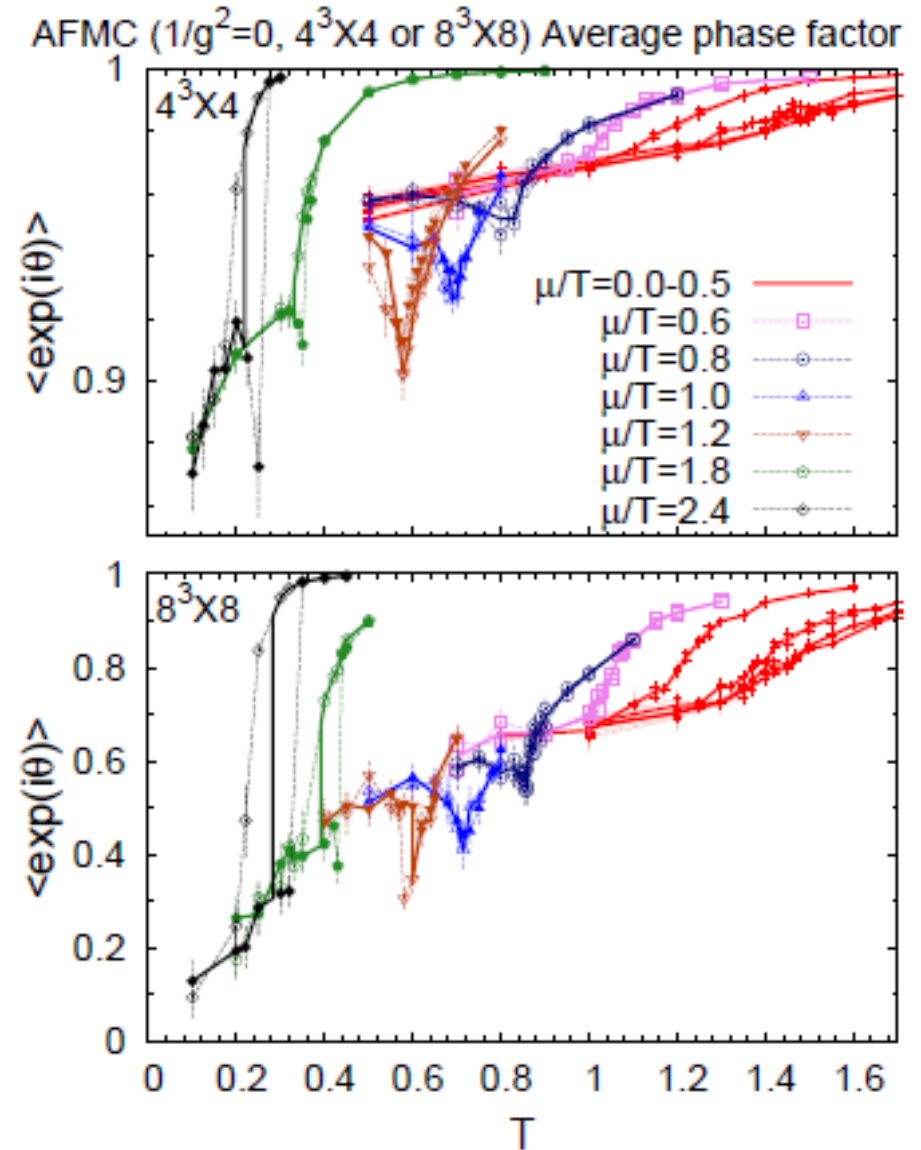
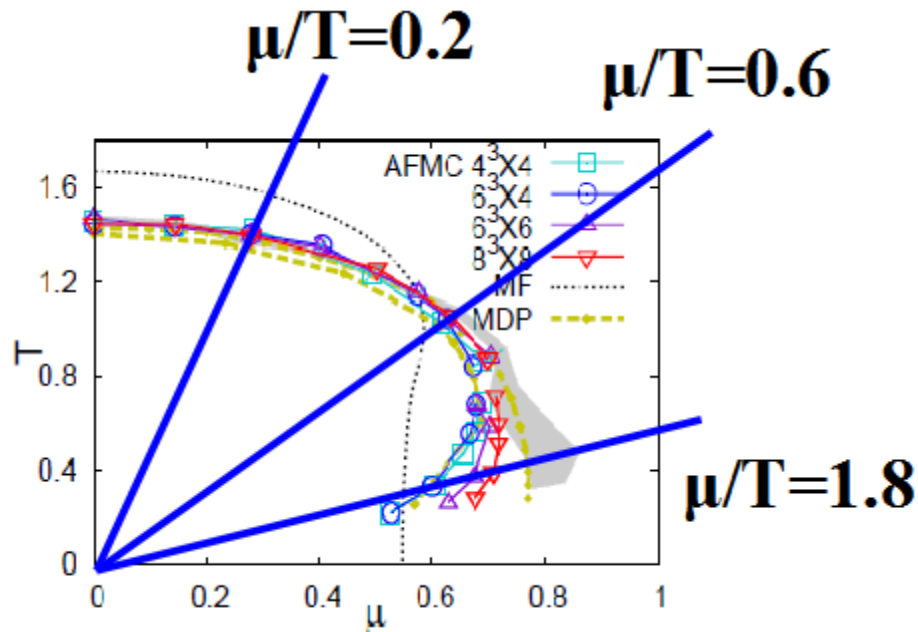
# Average Phase Factor

- Average phase factor = Weight cancellation

$$\langle e^{i\theta} \rangle = Z_{\text{phase quenched}} / Z_{\text{full}}$$

- AFMC results

- $\langle e^{i\theta} \rangle > 0.9$  on  $4^4$  lattice
- $\langle e^{i\theta} \rangle > 0.1$  on  $8^4$  lattice

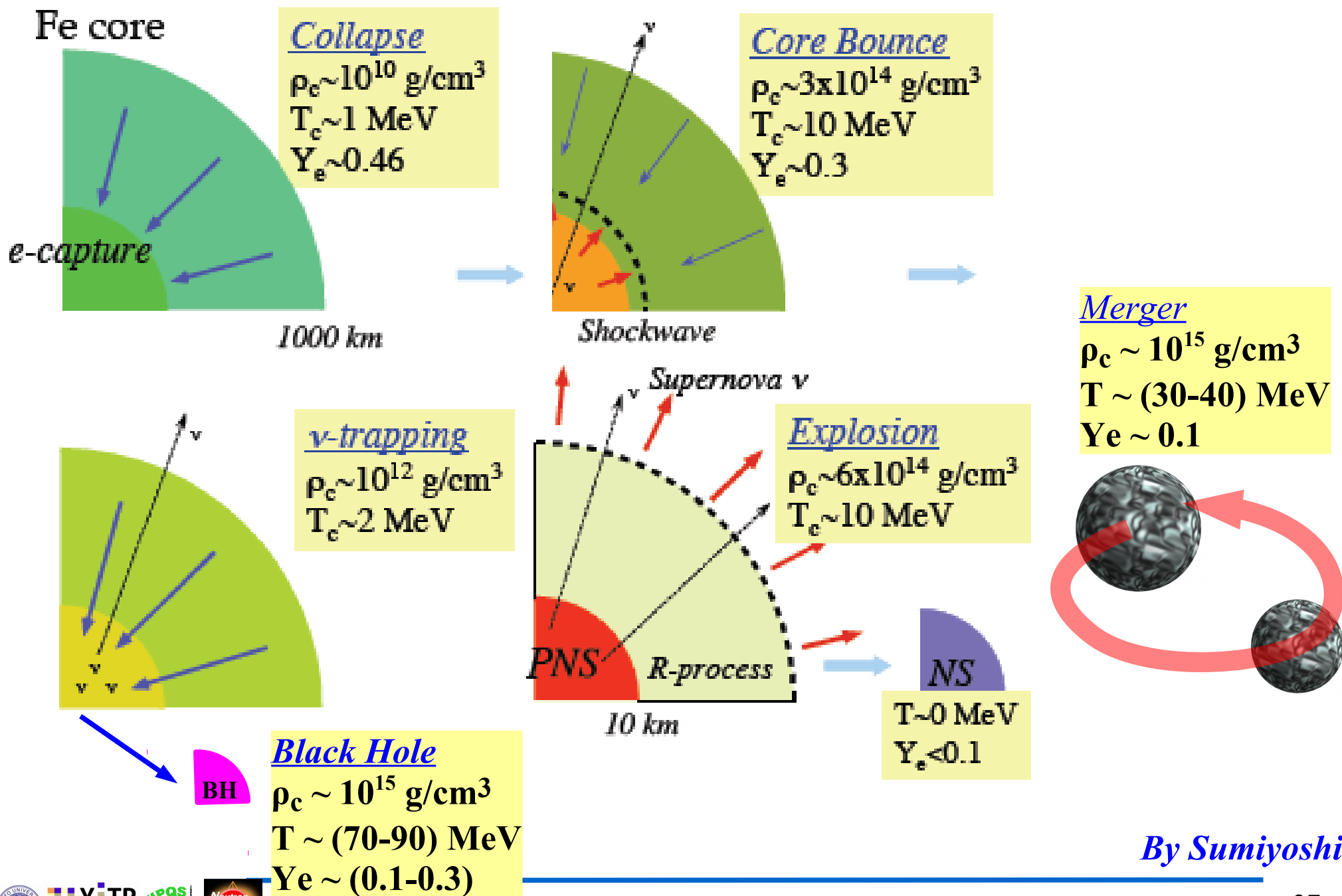


Ichihara, AO, Nakano ('14)

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# *Dense Matter in Compact Star Phenomena*

# Gravitational Collapse of Massive Star

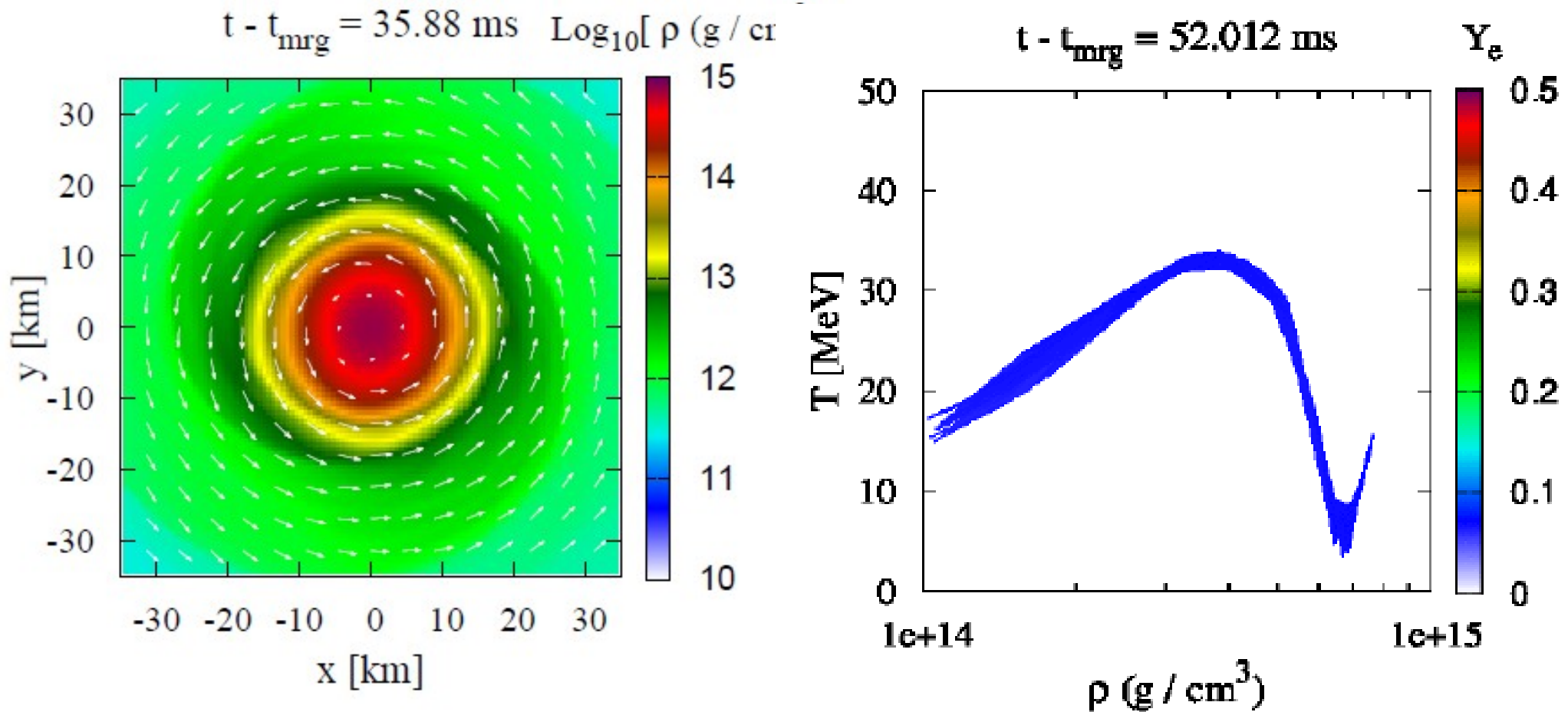


By Sumiyoshi



# Binary Neutron Star Merger

- $T \sim 40$  MeV,  $\rho_B \sim 10^{15}$  g/cm<sup>3</sup>  $\sim 4 \rho_0$  ( $\rho_0 \sim 2.5 \times 10^{14}$  g/cm<sup>3</sup>),  
 $Y_e \sim 0.1$



*Courtesy of K. Kiuchi*

*Data are from Y. Sekiguchi, K. Kiuchi, K. Kyotoku, M. Shibata, PRD91('15)064059.*

# Quark Matter in Compact Stars

## ■ Neutron Star

*E.g. N. Glendenning, "Compact Stars"; F. Weber, Prog.Part.Nucl.Phys.54('05)193*

- Cold ( $T \sim 0$ ), Dense ( $\rho_B \sim 5 \rho_0$ ), Highly Asymmetric ( $Y_p \sim (0.1-0.2)$ )

## ■ Supernova *T. Hatsuda, MPLA2('87)805; I. Sagert et al., PRL102 ('09) 081101.*

- Warm ( $T \sim 20$  MeV), Dense ( $\rho_B \sim 1.8 \rho_0$ ), mildly asym. ( $Y_p \sim (0.3-0.4)$ )

## ■ Binary Neutron Star Merger

*Sekiguchi, Kiuchi, Kyotoku, Shibata, PRD91('15)064059.*

- Hot ( $T \sim 30-40$  MeV), Dense ( $\rho_B \sim (4-5) \rho_0$ ),  
Highly Asymmetric ( $Y_p \sim (0.1-0.2)$ )

## ■ Dynamical black hole formation

*K. Sumiyoshi, et al., PRL97('06) 091101; K. Sumiyoshi, C. Ishizuka, A.O., S. Yamada, H. Suzuki, ApJL690('09),L43; Nakazato et al. ('10); Hempel et al. ('12); ...*

- Hot ( $T \sim (70-90)$  MeV), Dense ( $\rho_B \sim (4-5) \rho_0$ ),  
and Asymmetric ( $Y_p \sim (0.1-0.3)$ )



	neutron stars	supernovae	heavy ion collisions
dynamic timescales	(d - yrs)	ms	fm/c
equilibrium	full	weak eq. only partly	only strong eq.
temperatures	0	0 - 100 MeV	10 - 200 MeV
charge neutrality	yes	yes	no
asymmetry	high	moderate	low
highest densities	$< 9 \rho_0$	$< 2-4 \rho_0$	$< 4-5 \rho_0$

weak equilibrium

$$\mu_i = B_i \mu_B + Q_i \mu_Q + L_i \mu_L; \quad \mu_S = 0$$

charge neutrality:

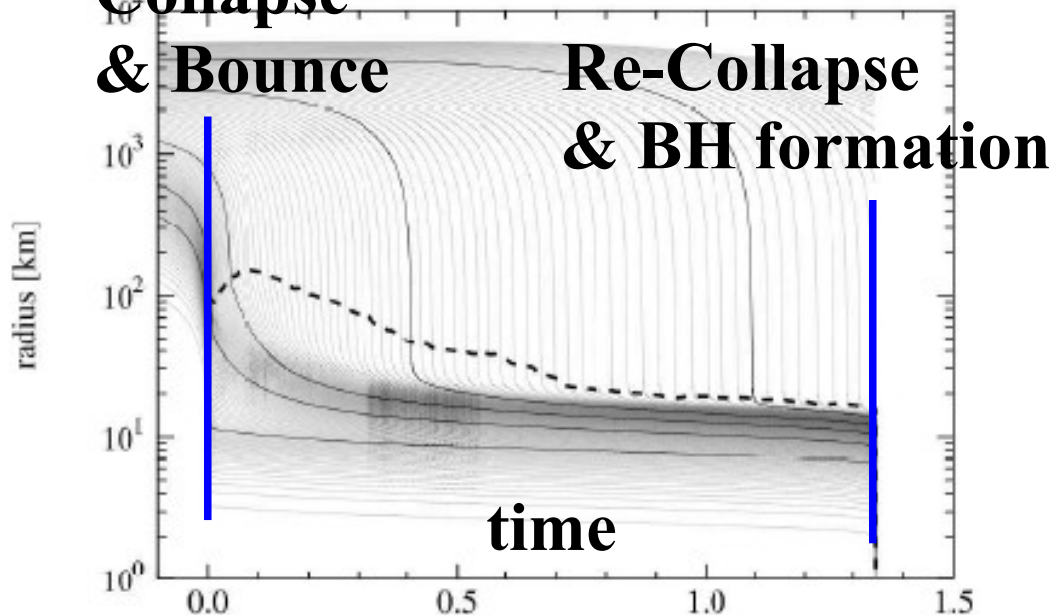
$$Y_Q = Y_e + Y_\mu \Leftrightarrow n_Q = n_e + n_\mu$$

- matter in SN: no weak equilibrium, finite temperature  
 → somewhere between cold neutron stars and heavy-ion collisions

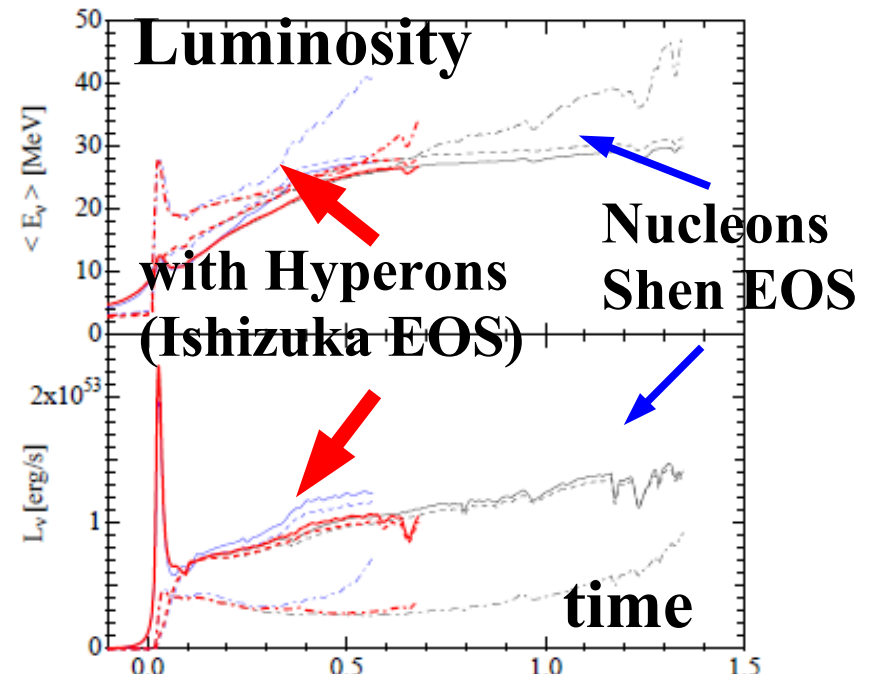
# Dynamical Black Hole Formation

- Gravitational collapse of heavy (e.g.  $40 M_{\odot}$ ) progenitor would lead to BH formation.
  - Shock stalls, and heating by  $\nu$  is not enough to take over strong accretion.  $\rightarrow$  failed supernova
  - $\nu$  emission time  $\sim$  (1-2) sec w/o exotic matter.
  - emission time is shortened by exotic dof (quarks, hyperons, pions).

## Collapse



Sumiyoshi, Yamada, Suzuki,  
Chiba, PRL 97('06)091101.

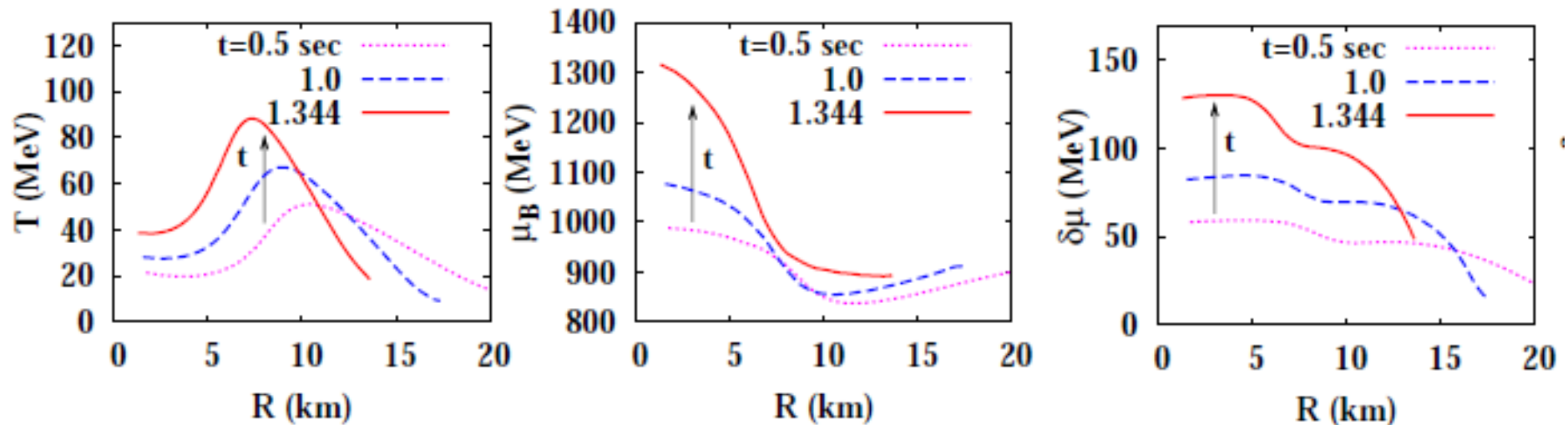


Sumiyoshi, Ishizuka, AO, Yamada,  
Suzuki, ApJL 690('09)43.

# Thermal Condition during BH formation

- Quark-hadron and nuclear physicists are interested in  $(T, \mu)$  !
  - Maximum  $T \sim 90$  MeV (off-center)  
(Heated by shock propagation)
  - Maximum  $\mu_B \sim 1300$  MeV (center)
  - Maximum  $\delta\mu = (\mu_n - \mu_p)/2 \sim 130$  MeV (center)

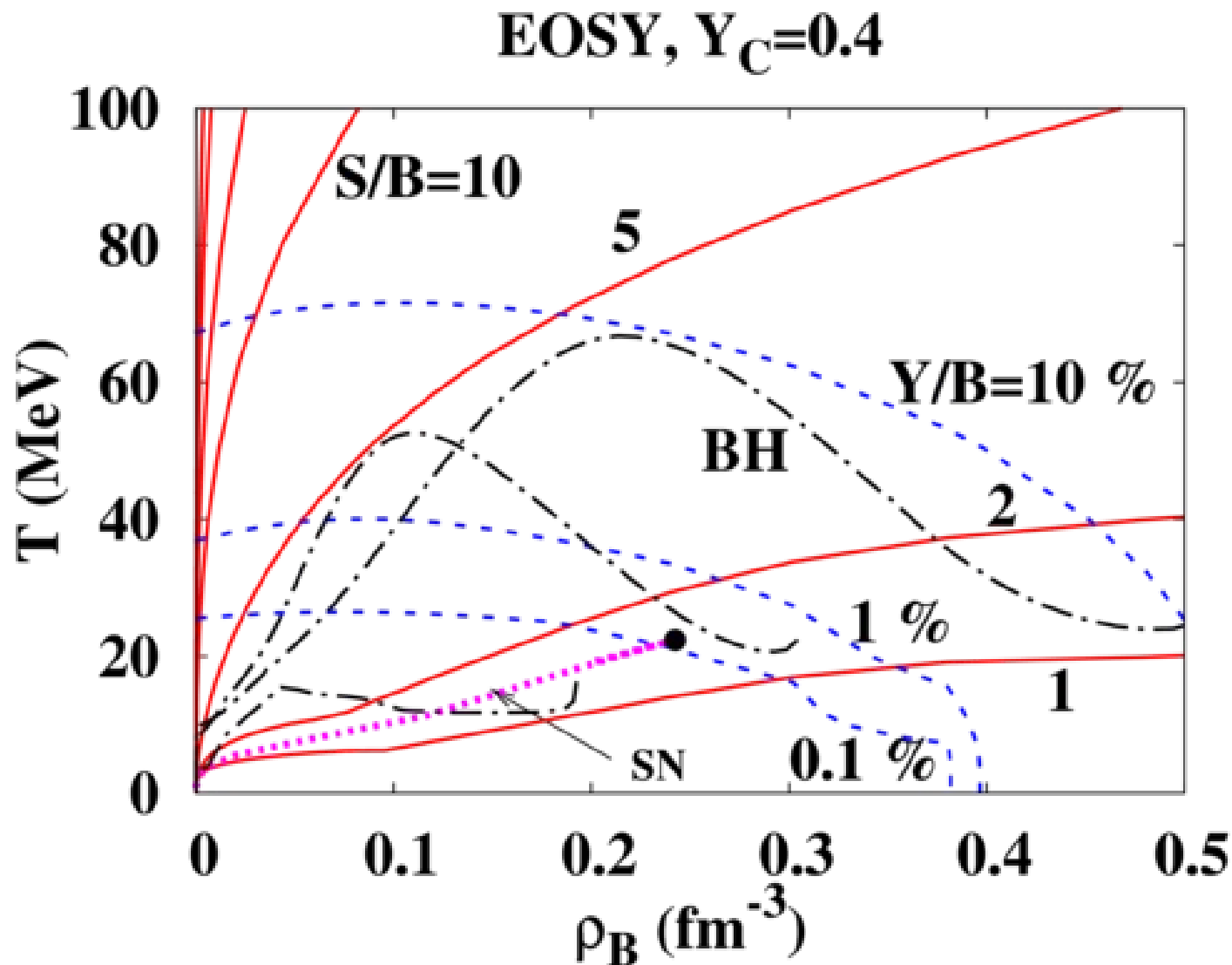
*Can we reach CP ? What is the effects of  $\delta\mu$  ?*



Nucleon+leptons+photon (Shen EOS), 40 Msun

AO, Ueda, Nakano, Ruggieri, Sumiyoshi, PLB704('11),284

# Thermal Condition during BH formation



*Ishizuka, AO, Tsubakihara, Sumiyoshi, Yamada, JPG 35('08) 085201;  
AO et al., NPA 835('10) 374.*

# Chiral Effective Models

- Chiral Effective models: NJL, PNJL, PQM

NJL=Nambu-Jona-Lasinio model,

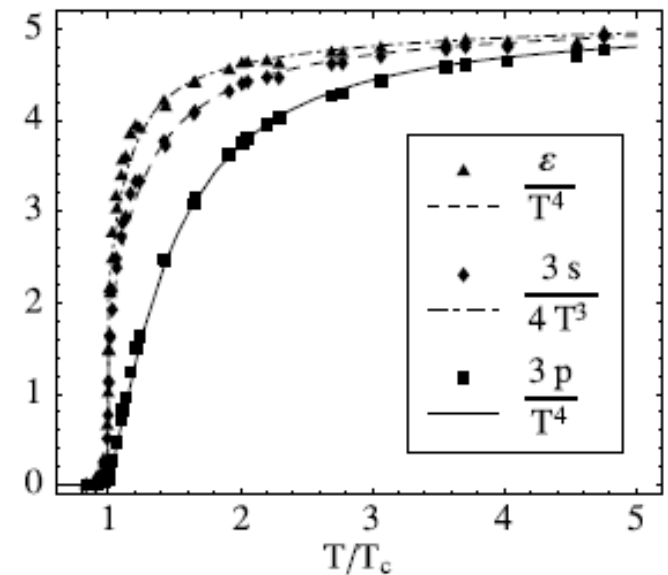
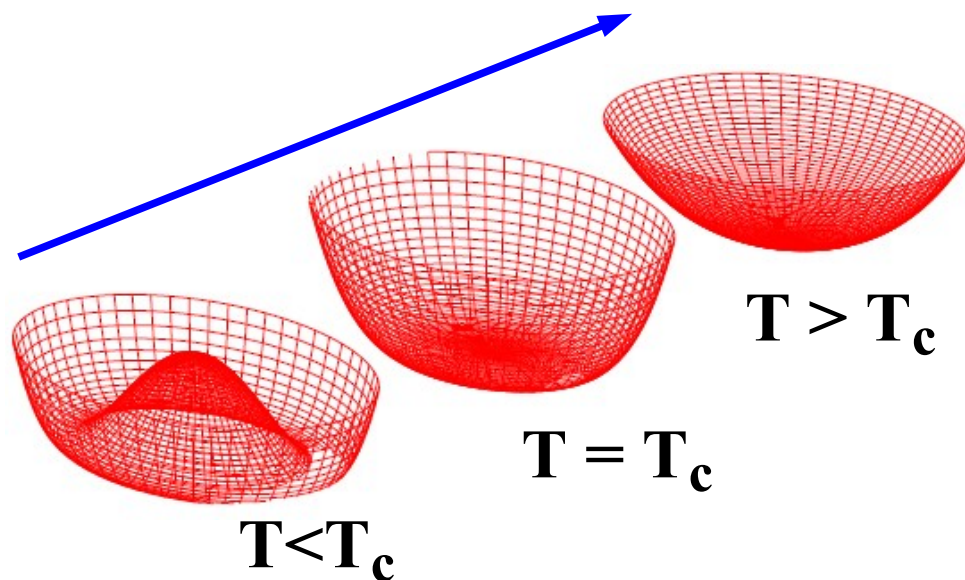
PNJL=Polyakov loop extended NJL,

PQM=Pol. loop ext. Quark Meson model

*Nambu, Jona-Lasinio ('61), Fukushima('03), Ratti, Thaler, Weise ('06), B.J.Schafer, Pawłowski, Wambach ('07); Skokov, Friman, E.Nakano, Redlich('10)*

- Spontaneous breaking & restoration of chiral symmetry

- Polyakov loop extension → Deconf. transitions



*Roessner et al.('07)*

# Chiral Effective Models ( $N_f=2$ )

## ■ Lagrangian (PQM, as an example)

$$L = \bar{q} \left[ i \gamma^\mu \underline{D}_\mu - g_\sigma (\sigma + i \gamma_5 \boldsymbol{\tau} \cdot \boldsymbol{\pi}) \right] q + \frac{1}{2} \partial^\mu \sigma \partial_\mu \sigma + \frac{1}{2} \partial^\mu \boldsymbol{\pi} \cdot \partial_\mu \boldsymbol{\pi}$$

q-Pol.      quark-meson

$$- \underline{U}_\sigma(\sigma, \boldsymbol{\pi}) - \underline{U}_\Phi(\Phi, \bar{\Phi})$$

chiral      Polyakov

$$F_{\text{eff}} \equiv \Omega/V = U_\sigma(\sigma, \boldsymbol{\pi}=0) + U_\Phi(\Phi, \bar{\Phi}) + \underline{F}_{\text{therm}} + \underline{U}_{\text{vac}}(\sigma, \Phi, \bar{\Phi})$$

particle exc.      q zero point

## ■ Polyakov loop effective potential from Haar measure

$U_\Phi \sim -\log(\text{Haar Measure})$  (Fit lattice data to fix parameters).

## ■ Vector coupling is not known well $\rightarrow$ Comparison of $g_v/g_s=0, 0.2$

$$L_V = -g_v \bar{q} \gamma_\mu (\omega^\mu + \boldsymbol{\tau} \cdot \mathbf{R}^\mu) q - \frac{1}{4} \omega_{\mu\nu} \omega^{\mu\nu} - \frac{1}{4} \mathbf{R}_{\mu\nu} \cdot \mathbf{R}^{\mu\nu} + \frac{1}{2} m_\omega^2 \omega_\mu \omega^\mu + \frac{1}{2} m_\rho^2 R_\mu R^\mu$$

## ■ 8 Fermi interaction

*T. Sasaki, Y. Sakai, H. Kouno, M. Yahiro ('10)*

$$G_{\sigma 8} \left[ (\bar{q}q)^2 + (\bar{q}i\gamma_5\boldsymbol{\tau}q)^2 \right]^2$$



## ■ BH formation calculation

*Sumiyoshi, Yamada, Suzuki, Chiba, PRL 97('06)091101.*

- **v radiation 1D (spherical) Hydrodynamics**
- **v transport is calculated exactly by solving the Boltzmann eq.**
- **Gravitational collapse of  $40 M_{\odot}$  star**
- **Initial condition: WW95**  
*S.E.Woosley, T.A.Weaver, ApJS 101 ('95) 181*
- **Shen EOS (npe $\mu$ )**

## ■ QCD effective models

- **NJL, PNJL, PNJL with 8 quark int., PQM**
- **$N_f=2$**
- **Vector coupling  $\rightarrow G_V/G_S$  ( $g_V/g_S$  in PQM)=0, 0.2**

# Isospin chemical potential

## ■ Isospin chemical potential $\delta\mu$

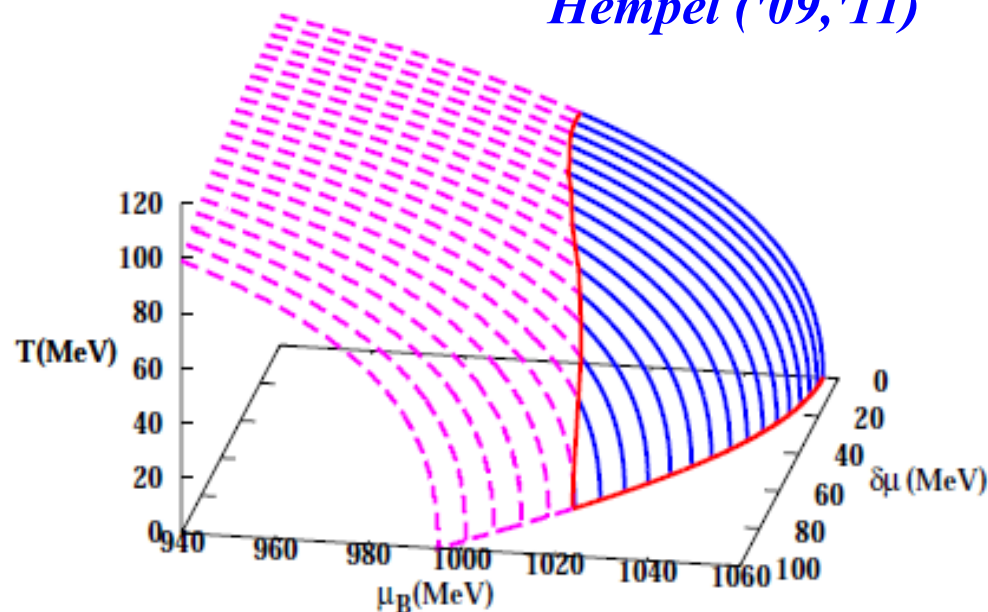
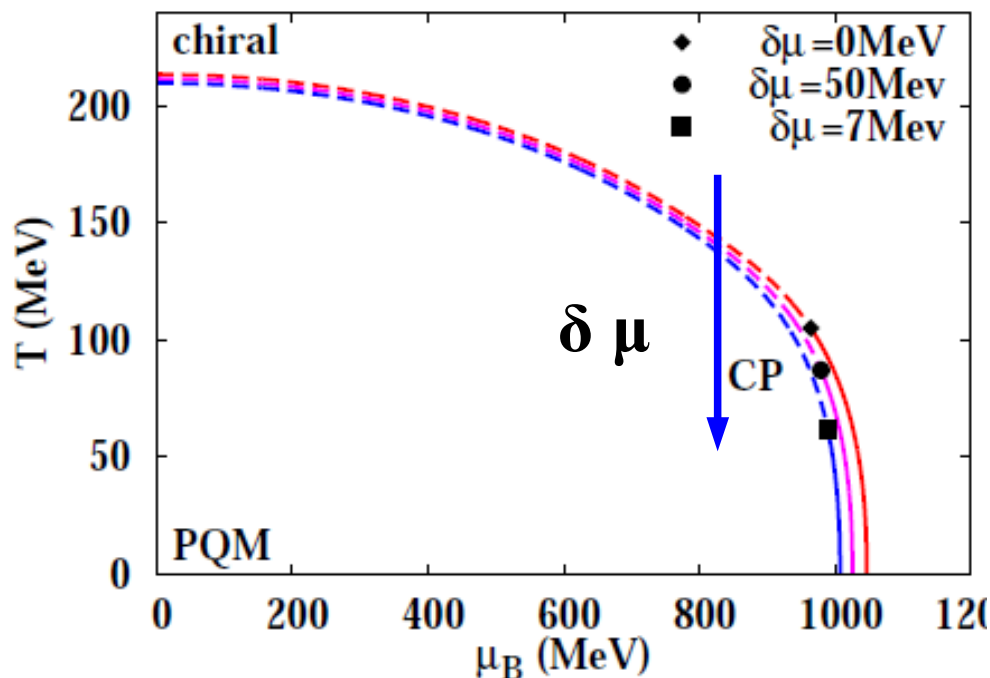
$$\delta\mu = (\mu_d - \mu_u)/2 = (\mu_n - \mu_p)/2 \rightarrow \mu_d = \mu_q + \delta\mu, \mu_u = \mu_q - \delta\mu$$

## ● Finite $\delta\mu \rightarrow$ (Isospin) Asymmetric matter $N_u \neq N_d$

$\rightarrow$  Smaller “Effective” number of flavors

$\rightarrow$  Weaker phase transition  $\rightarrow$  smaller  $T_{CP}$

*c.f. Hempel's Lec.  
Sagert, Pagliara,  
Schaffner-Bielich,  
Hempel ('09,'11)*

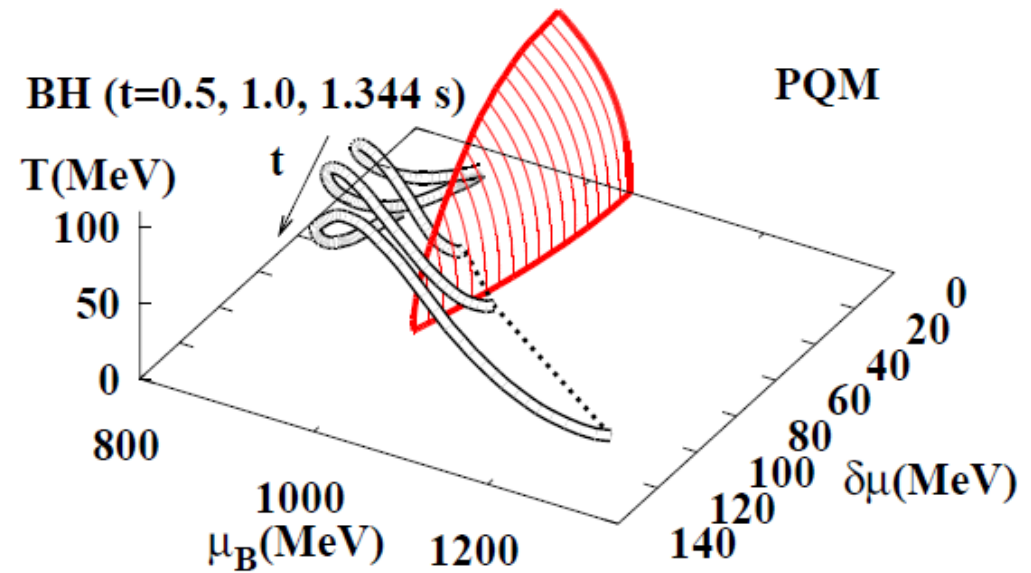
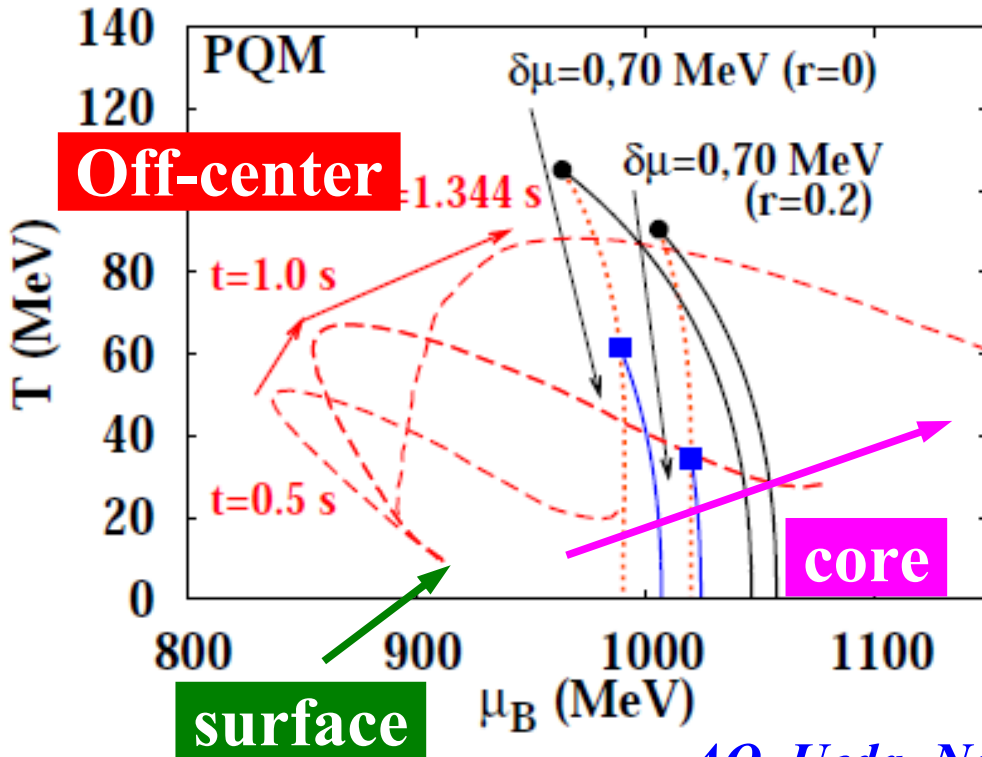


*AO, Ueda, Nakano, Ruggieri, Sumiyoshi, PLB704('11),284*

*H. Ueda, T. Z. Nakano, AO, M. Ruggieri, K. Sumiyoshi, PRD88('13),074006*

# How is quark matter formed during BH formation ?

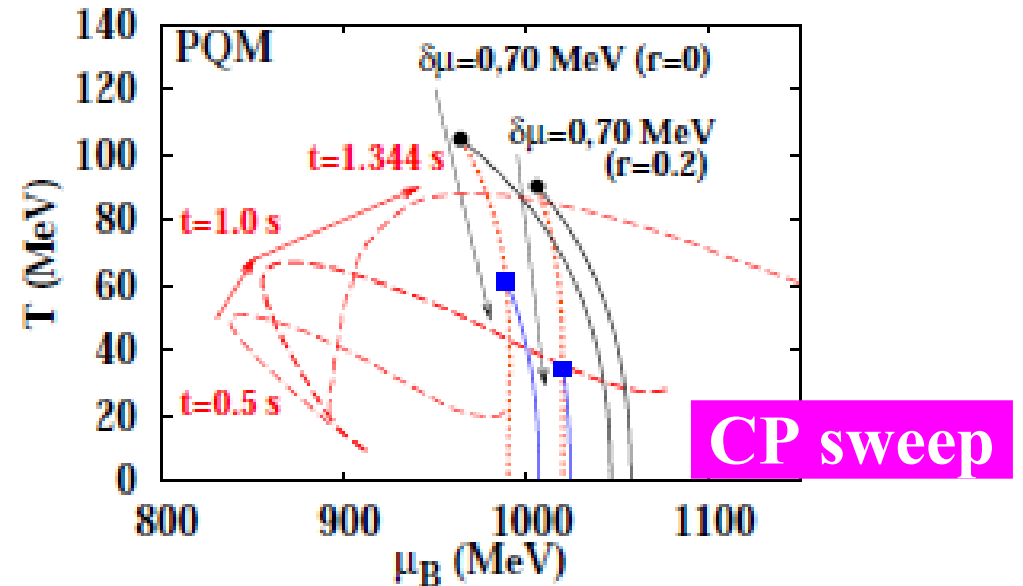
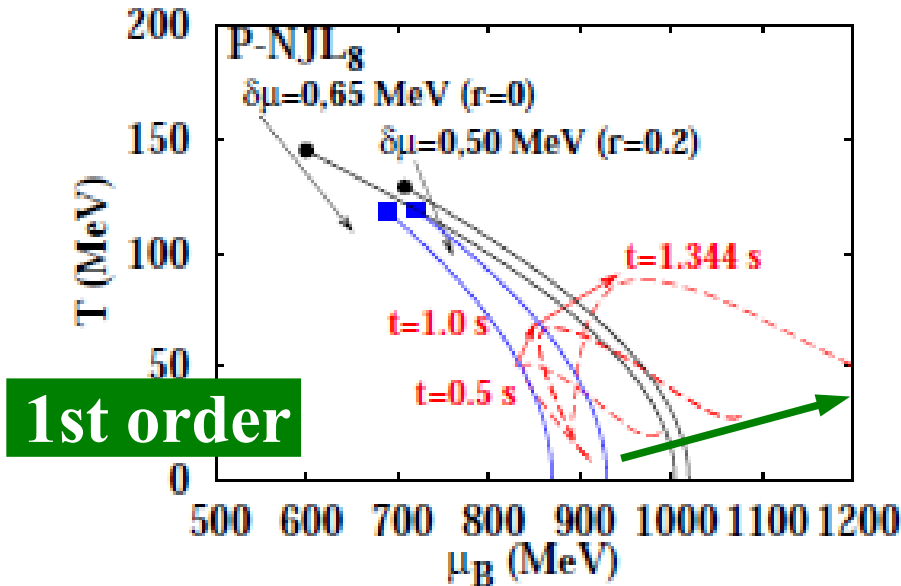
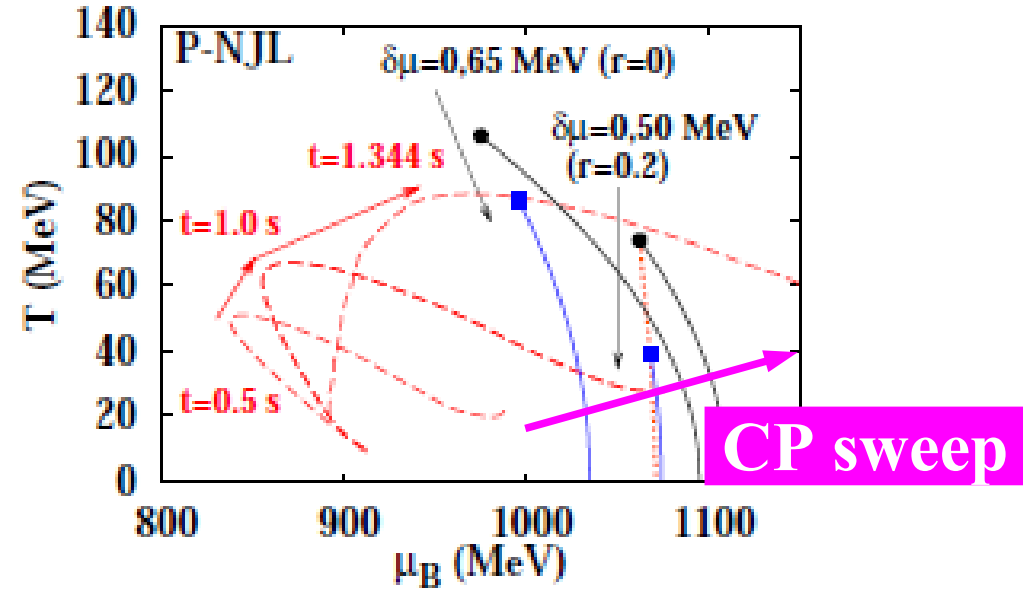
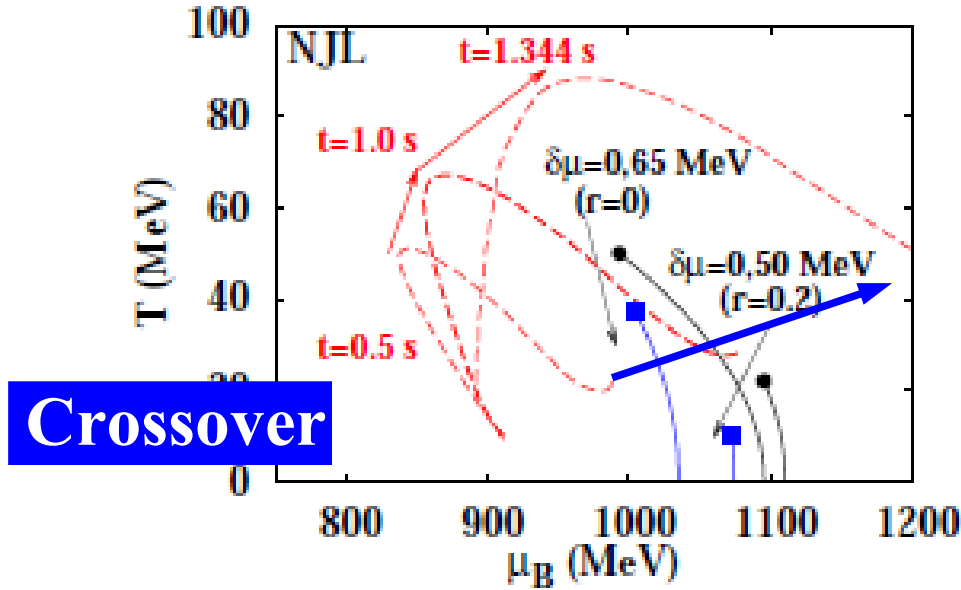
- Highest  $\mu_B$  just before horizon formation  $\sim 1300$  MeV  
 $>$  QCD transition  $\mu$  (1000-1100 MeV)  
 $\rightarrow$  *Quark matter is formed before BH formation*
- Core evolves below CP, Off-center goes above CP  
 $\rightarrow$  *CP sweep*



AO, Ueda, Nakano, Ruggieri, Sumiyoshi, PLB704('11),284

# How is quark matter formed during BH formation ?

- Model dependence to form quark matter → Three ways



# Probed Region of Phase Diagram during BH formation

## ■ CP location

### in Symmetric Matter

- Lattice QCD

$$\mu_{\text{CP}} = (400-900) \text{ MeV}$$

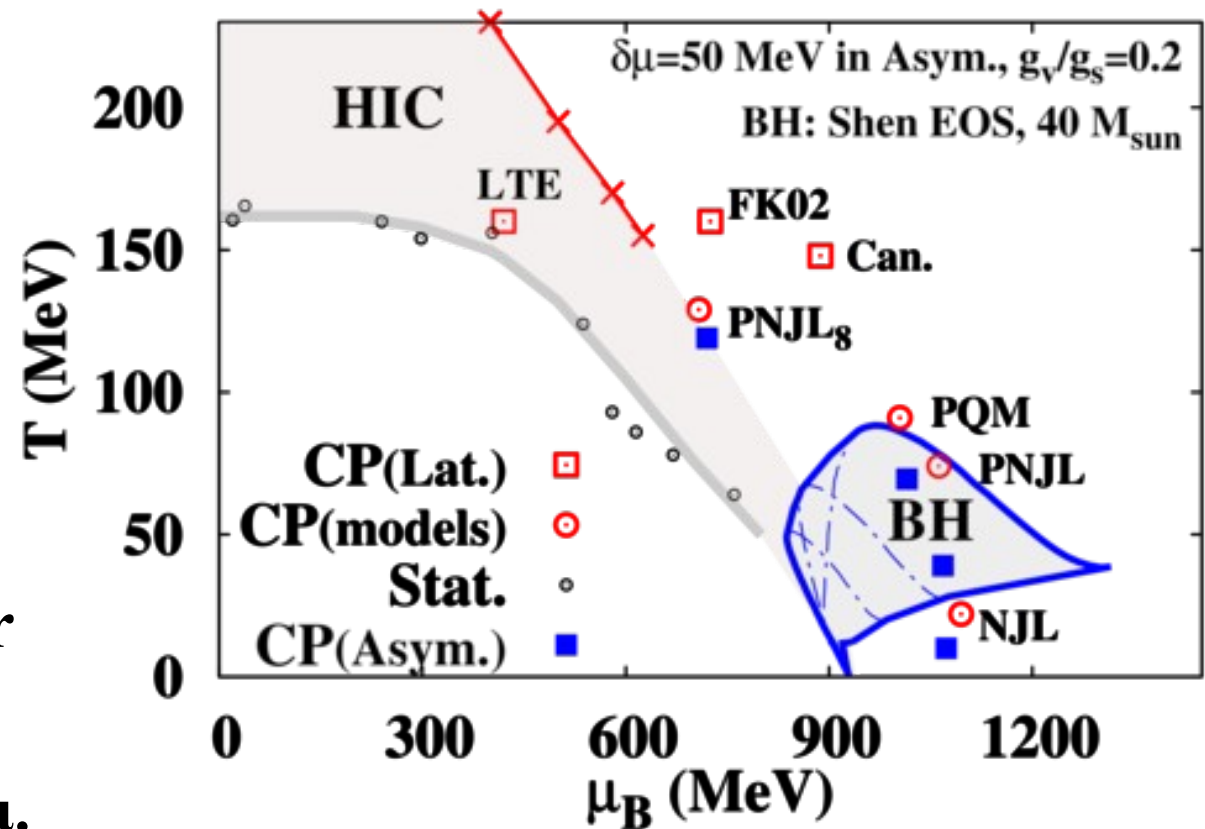
- Effective models

$$\mu_{\text{CP}} = (700-1050) \text{ MeV}$$

## ■ CP in Asymmetric Matter (E.g. $\delta\mu=50 \text{ MeV}$ )

- $T_{\text{CP}}$  decreases at finite  $\delta\mu$ .

→ Accessible  $(T, \mu_{\text{B}})$  region  
during BH formation



(Stephanov plot)

*M.A.Stephanov, Prog.Theor.Phys.Suppl.153 ('04)139;*

*FK02:Z. Fodor, S.D.Katz, JHEP 0203 (2002) 014*

*LTE:S. Ejiri et al., Prog.Theor.Phys.Suppl. 153 (2004) 118;*

*Can: S. Ejiri, PRD78 (2008) 074507*

*Stat.:A. Andronic et al., NPA 772('06)167*

# How about Neutron Stars ?

## ■ Contraction of Proto-Neutron Star

- $(T, \mu_B)$  are not enough at 1 sec after bounce of  $15 M_{\odot}$  star collapse
- Larger  $(T, \mu_B)$  is expected in long time evolution ( $\sim 20$  sec) or heavier proto-neutron stars.

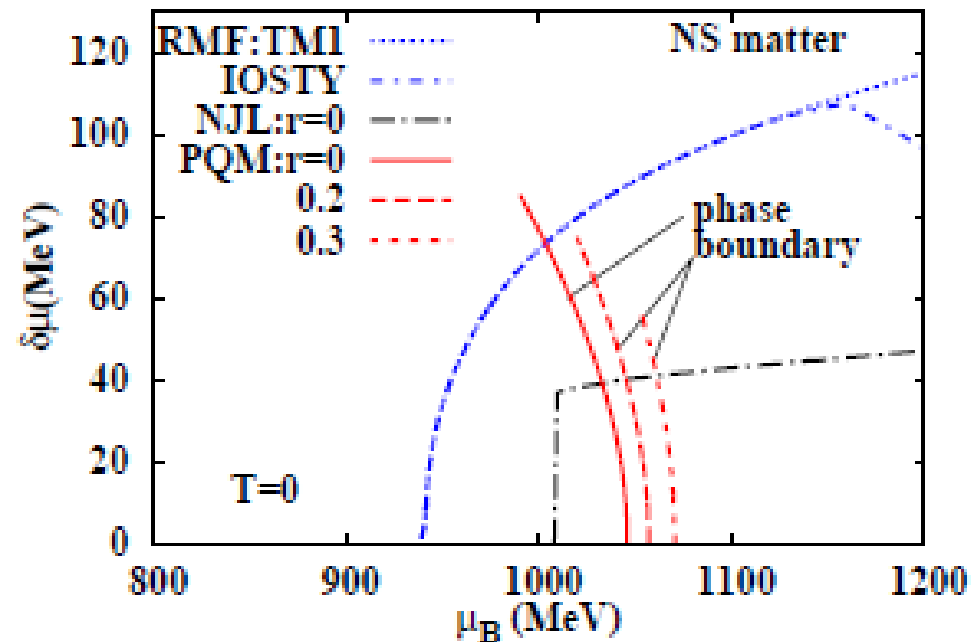
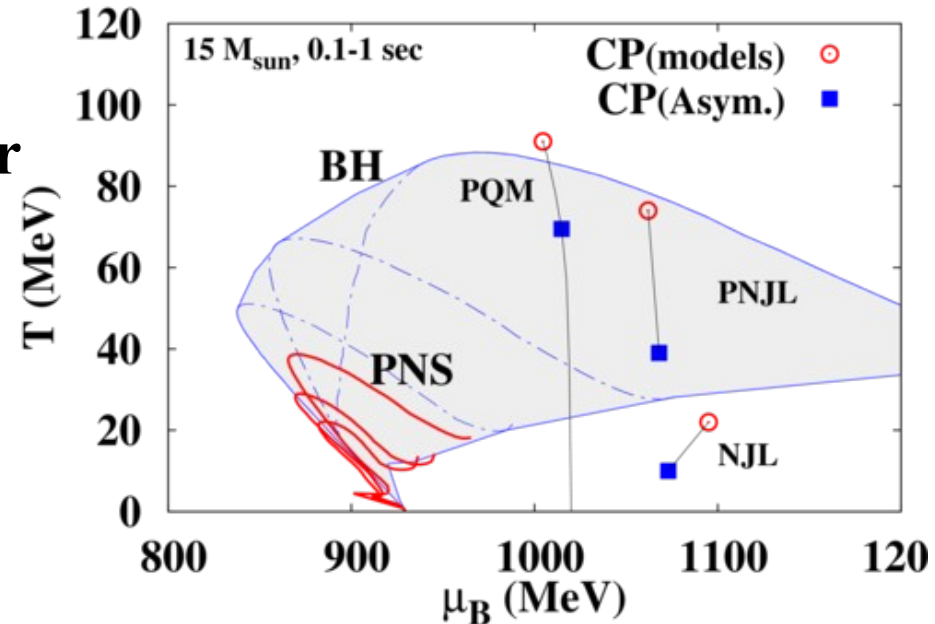
*K. Sumiyoshi et al. ApJ 629 ('05) 922;*

*J. A. Pons et al., ApJ 513 ('99) 780;*

*J. A. Pons et al., ApJ 553 ('01) 382.*

## ■ Cold Neutron Star

- max.  $\delta \mu \sim 100$  MeV
- Possibility of cross over in NS



*H. Ueda et al. ('13)*



# Discussion

## ■ How can we observe the phase transition signal ?

- $\nu$  spectrum ? Gravitational waves ?

Supernova: Second peak in  $\nu$  &  $\bar{\nu}$  emission

*Hatsuda('87), Sagert et al.('09)*

## ■ How frequent do dynamical BH formation take place ?

- Less frequent than SN ( $< 20 M_{\odot}$ ), but should be in collapse of heavy stars ( $> 40 M_{\odot}$ ).

*C.L.Fryer, ApJ 522('99)413; E.O'Connor, C.D.Ott, ApJ 730('11)70*

## ■ Strangeness may reduce $\delta\mu$ in hadronic / quark matter

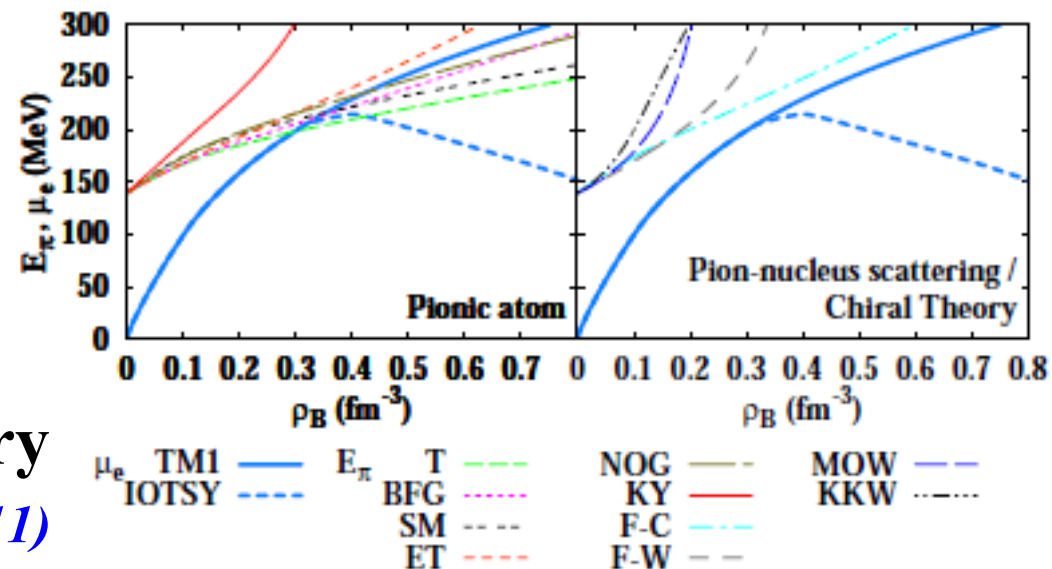
- No s-wave  $\pi$  cond. in NS

*AO, D. Jido, T. Sekihara,*

*K. Tsubakihara, PRC80('09)038202.*

## ■ Hadron-Quark EOS is necessary

*E.g. Steinheimer, Schramm, Stocker('11)*



# Summary of Lecture 2

- While we have the sign problem in lattice QCD at finite  $\mu$ , the phase diagram study is on going using various ideas. I have shown recent results based on the strong-coupling lattice QCD.
  - Smaller weight cancellation allow us to study phase transition at high density.
  - Phase diagram in the strong coupling limit has been confirmed. (Results from MDP and AFMC methods agree.)
  - Cumulant ratio would be interesting !
- Compact stars are also good laboratories of dense matter.
  - NS, SN, BH, BNSM  $\rightarrow$  Dense, Cold/Hot, Isospin asymmetric matter
  - With the first order boundary (and CP) and isospin chem. pot, there are many ways of realizing phase transition in compact star phenomena.

# Summary

- Dense matter is “terra incognita”, and there are many unsolved problems.
- In heavy-ion collisions at  $\sqrt{s} = 5\text{-}10$  A GeV, we expect formation of highest baryon density matter, whose density exceeds  $5 \rho_0$ .  
In equilibrium, this would be above the transition density.
- In compact star phenomena, hydro simulations with hadronic matter EOS suggest the formation of dense matter ( $4\text{-}5 \rho_0$ ,  $\mu_B \sim 1300$  MeV), which is above the transition density in many effective models.
- We need more experimental, observational, and theoretical works to explore dense matter.