



9th APCTP - BLTP JINR Joint Workshop at Kazakhstan

*Modern problems of nuclear and
elementary particle physics*

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Holographic Baryons in Dense Matter

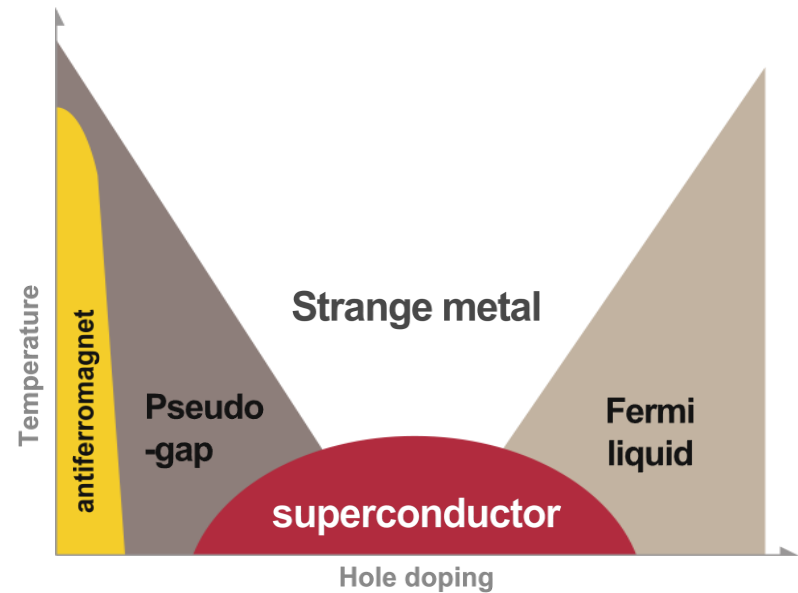
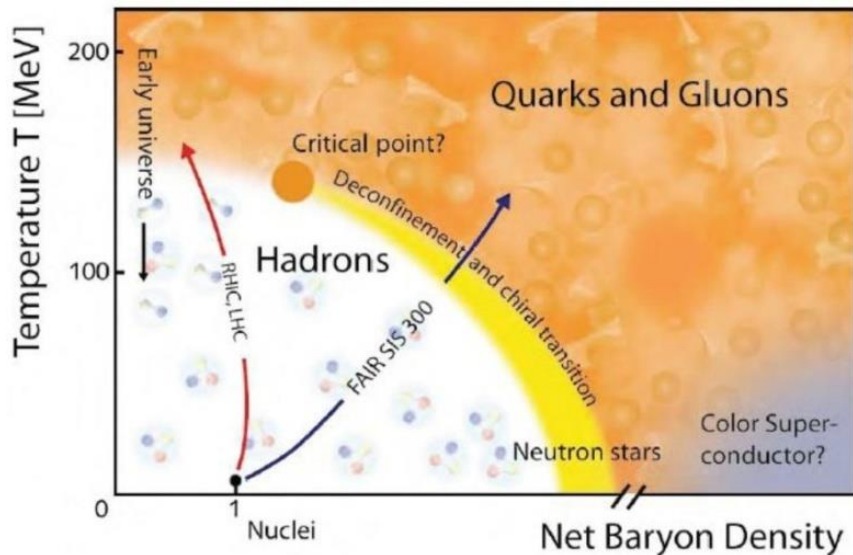
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I. Introduction : Motivation & Basics

Q : How to understand the nonperturbative physics of the strongly interacting systems ?

Ex) In QCD, how to explain confinement, chiral symmetry breaking, phases (with or w/o chemical potential), meson spectra etc. ?

Ex) How to understand the phenomena in the Strongly correlated condensed matter systems?



AdS-CFT Holography : 3+1 dim. QFT \Leftrightarrow 4+1 Classical Gravity Theory

- Useful tool for strongly interacting systems such as QCD, Composite (Higgs) particles?, Condensed Matter, etc.

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String theory as a tool for the strongly interacting systems

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II. Holography Principle (AdS/CFT Correspondence)

"2nd revolution of the string theory (1994)

Quantum Field Theory

in a given space time dimension (Ex): $3+1=4$ dim)

can be equivalently described by

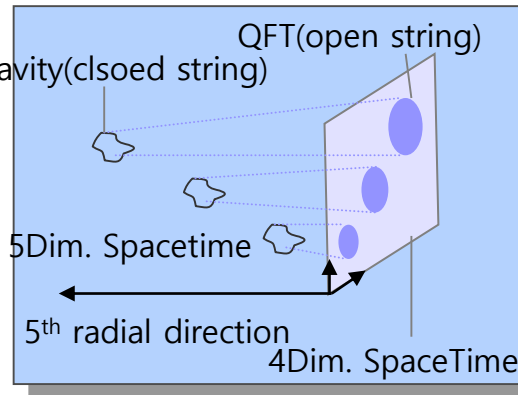
the classical gravity theory

in one higher dimensional spacetime (Ex): $4+1=5$ dim).

(Ex: $p = 3$)

(Classical) Gravity Theory
Anti de Sitter (AdS) Space
in $(p+1)+1$ dimension

time radial



(Quantum) Field Theory
Conformal Field Theory (CFT)
in $p+1$ dimension

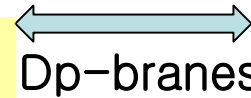
space time

Main idea on holography through the Dp branes

Dp-brane carry tension(energy) and charges (for p+2 form)

Dp brane's low E dynamics by fluctuating Open Strings

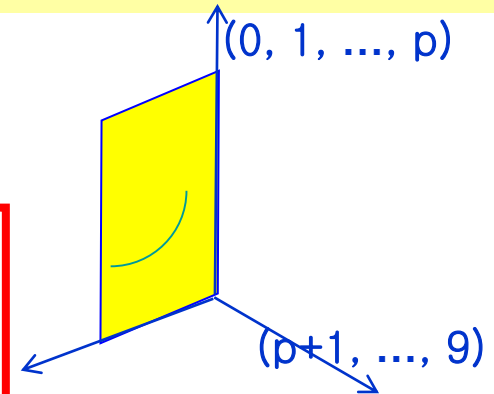
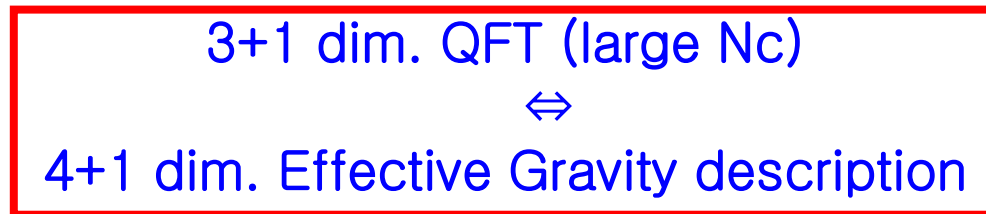
⇒ SUGRA on AdS (p+2) space



= (p+1)dim. SU(Nc) SYM

$$\#Dp\text{-branes} = Nc$$

Question : 4 = 5 ?

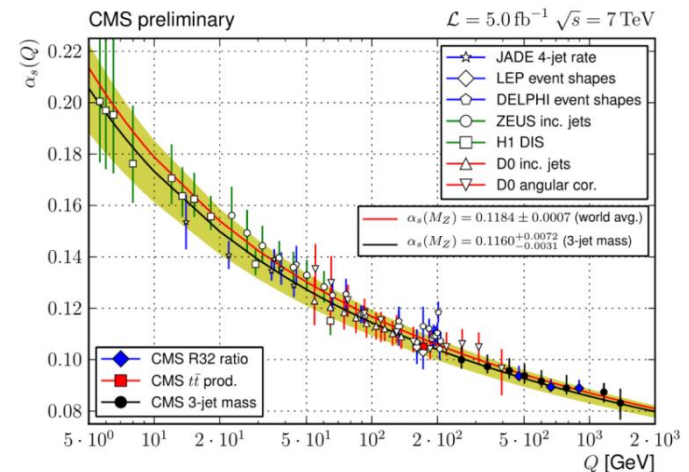


Naïve Answer : Coupling constants are running in QFT !

$$\beta(g^2) = \frac{dg^2(\mu)}{d \ln \mu}$$

Energy scale in QFT corresponds to the parameter in extra “dimension” or radial direction in AdS5 space


$$g_s = e^{\phi(r)} = g_{YM}^2(\mu)$$



New Paradigm for the Strongly Interacting Quantum System

‘Size’ L of the 5dim is proportional to the coupling constant λ of the 4 dim.

4Dim QFT	Perturbative : Easy	Nonperturbative : Hard
Coupling constant λ	$\lambda \ll 1$	$\lambda \gg 1$
Size of the parameter L	$L \ll 1$	$L \gg 1$
5Dim parameter	Quantum Gravity : Hard	Classical Gravity : “Easy”



• Strongly Interacting Quantum System ($\lambda \gg 1$) \leftrightarrow Classical Gravity ($L \gg 1$)

New Methodology : can use the 5 dim. classical gravity description for the 4dim. strongly interacting system.

4d QFT (on "boundary")



5d Gravity (in "bulk")

Parameter (g_{YM}^2, N)

$$4\pi g_s N = \frac{R^4}{\alpha'^2} = \lambda = g_{YM}^2 N$$

(g_s, R)

Ex) Nc of D3
branes

N=4 SU(Nc) SYM



SUGRA on AdS5 x S5

Comments

- With $\beta(g^2) = 0$ (conf. inv.)

In Anti-deSitter Space

N=4 SU(Nc) SYM



SUGRA on AdS5 x S5

Nc of D3 branes

(g_{YM}^2, N)

$$4\pi g_s N = \frac{R^4}{\alpha'^2} = \lambda = g_{YM}^2 N$$

(g_s, R)

- With $\beta(g^2) \rightarrow 0$ (conf. inv.)

In asymptotic AdS Space

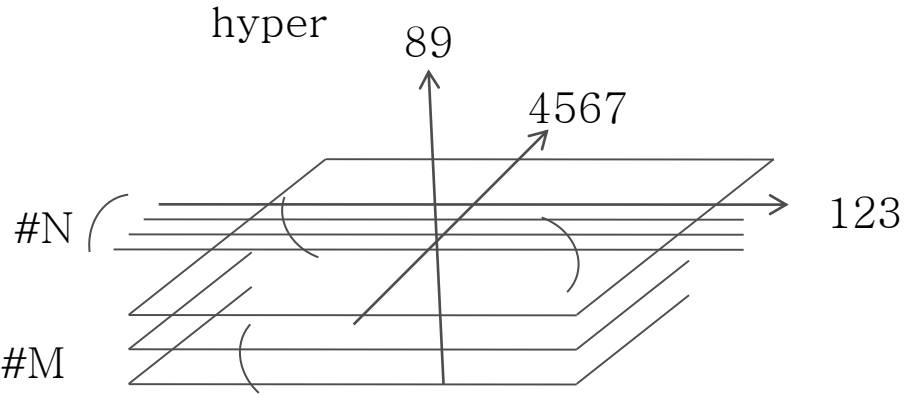
(ex) QCD

?? (in asymptotic AdS Space)

Intersecting D-Branes – Flavors, mesons, etc.

Ex) D3-D7 Low energy dynamics \rightarrow N=2 SYM with #M

Strings
 3-3 : $A_{\mu}, \Phi, \lambda, \chi$ 0,1,2,3
 (N=2 Vector multiplet in adjoint)
 3-7 : $Q, \tilde{Q}, \psi, \tilde{\psi}$
 (N=2 Hypermultiplet matter in fundamental)



7-7 open strings : Low energy dynamics for D7 branes (DBI action)

$$S_{D7} = -\mu_7 \int d^8\xi \sqrt{-\det(P[G]_{ab} + 2\pi\alpha' F_{ab})} + \frac{(2\pi\alpha')^2}{2} \mu_7 \int P[C^{(4)}] \wedge F \wedge F$$

$$\mu_7 = [(2\pi)^7 g_s \alpha'^4]^{-1}$$

Still far from QCD !

Extension of the AdS/CFT

- the gravity theory on the asymptotically AdS space \rightarrow modified boundary quantum field theory (nonconf, less SUSY, etc.)
- Gravity w/ black hole background corresponds to the finite T field theory

AdS/CFT Dictionary

Witten 98:

Gubser, Klebanov, Polyakov 98

Parameters (g_s , R) $4\pi g_s N = \frac{R^4}{\alpha'^2} = \lambda = g_{YM}^2 N$ (g_{YM}^2 , N)

Partition function of bulk gravity theory (semi-classial)

$$Z_{str}[\phi_0(x)] = \int_{\phi_0} \mathcal{D}\phi \exp(-S[\phi, g_{\mu\nu}])$$

$$= e^{-S(\phi_0|\phi_0)}$$

$\phi(t, \mathbf{x}; u = \infty) = u^{\Delta-4} \phi_0(t, \mathbf{x})$ ϕ
 ϕ_0 bdry value of the bulk field

Generating functional of bdry

QFT for operator \mathcal{O}

$$Z[\phi_0(x)] = \left\langle \exp \int_{boundary} d^d x \phi_0 \mathcal{O} \right\rangle$$

$$= \int \mathcal{D}[\Phi] \exp\{iS_4 + i \int \phi_0(x) \mathcal{O}\}$$

ϕ_0 : source of the bdry op. \mathcal{O}

- ϕ : scalar $\rightarrow S = \int d^4 x du \sqrt{-g} (g^{ab} \partial_a \phi \partial_b \phi - m^2 \phi^2)$ $\phi(u) \sim u^{4-\Delta} \phi_0 + u^\Delta \langle \mathcal{O} \rangle$
- Correlation functions by $\frac{\delta^n Z_{string}}{\delta \phi_0(t_1, \mathbf{x}_1) \cdots \delta \phi_0(t_n, \mathbf{x}_n)} = \left\langle T \mathcal{O}(t_1, \mathbf{x}_1) \cdots \mathcal{O}(t_n, \mathbf{x}_n) \right\rangle_{field\ theory}$
- 5D bulk field $\Phi(t, \mathbf{x}, u) \leftrightarrow$ Operator $\mathcal{O}(t, \mathbf{x})$
w/ 5D mass $E(\lambda, J_1, J_2, \dots) \leftrightarrow$ w/ Operator dimension $\Delta(\lambda, J_1, J_2, \dots)$
- 5D gauge symmetry \leftrightarrow Current (global symmetry)
- Radial coord. r in the bulk is proportional to the energy scale E of QFT

\mathcal{O} (Operator in QFT) \leftrightarrow ϕ (p-form Field in 5D)

$$(\Delta - p)(\Delta + p - 4) = m_5^2$$

Δ : Conformal dimension
 m_5^2 : mass (squared)

Ex)

4D: $\mathcal{O}(x)$	5D: $\phi(x, z)$	p	Δ	$(m_5)^2$
$\bar{q}_L \gamma^\mu t^a q_L$	$A_{L\mu}^a$	1	3	0
$\bar{q}_R \gamma^\mu t^a q_R$	$A_{R\mu}^a$	1	3	0
$\bar{q}_R^\alpha q_L^\beta$	$(2/z) X^{\alpha\beta}$	0	3	-3
$\langle \text{Tr} G^2 \rangle$ Gluon cond .	dilaton	0	4	0
$\bar{q}_L \gamma^\mu q_L$ baryon density	vector w/ U(1)	1	3	0
$\bar{q}_R \gamma^\mu q_R$ Baryon			9/2	$(5/2)^2$

fields in gravity

- massless dilaton
- scalar field with $m^2 = -\frac{3}{R^2}$
- $m=0$ vector field A_μ in the $SU(N_f)$ gauge group



dual

operators of QCD

- gluon condensation $\langle \text{Tr} G^2 \rangle$
- chiral condensation $\bar{q}_R q_L$
- mesons in the $SU(N_f)$ flavor group

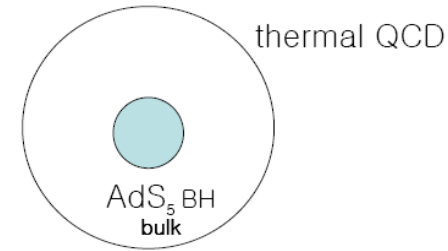
Temperature

- Black hole geometry

- $T = \frac{r_T}{\pi R^2}$

E. Witten (1998)

$$ds_5^2 = \frac{1}{z^2} \left(f^2(z) dt^2 - (dx^i)^2 - \frac{1}{f^2(z)} dz^2 \right),$$



Flavor degrees of freedom

$$f^2(z) = 1 - \left(\frac{z}{z_T} \right)^4 \quad T = \frac{1}{\pi z_T}$$

- Adding probe brane

- $y(\rho) = M_q + \frac{\langle \bar{\psi} \psi \rangle}{\rho^2} + \dots \quad (\rho \gg 1)$

Chemical potential or Density

- Turning on $U(1)$ gauge field on probe brane

- $A_\mu \leftrightarrow \langle J^\mu \rangle = \bar{\psi} \gamma^\mu \psi$

- $A_t = \mu + \frac{Q}{\rho^2} + \dots \quad (\rho \gg 1)$

Source of gauge field

- End point of fundamental strings
- Physical object which carry $U(1)$ baryon charge
- Fundamental strings which connect probe brane and black hole
→ Quarks
- Fundamental strings which connect probe brane and baryon vertex
→ Baryons

III. Application – AdS/QCD (Holographic QCD)

Witten '98

Goal : Using the 5 dim. dual gravity, study 4dim. strongly interacting QCD such as spectra & Phases, etc.

parameters (N_c , N_f , m_q , T and μ , χ -symm., gluon condensation, etc.)

Ex) finite temperature for the pure Yang-Mills theory without quark matters

Needs the dual geometry !.

Approaches :

- **Top-down Approach** : rooted in string theory
Find brane config. or SUGRA solution giving the gravity dual (May put the probe brane)
Ex) N_c of D3(D4) + M of D7(D8), 10Dim. SUGRA solution etc.
- **Bottom-up Approach**: phenomenological
Introduce fields, etc. (as needed based on AdS/CFT)
5D setup \rightarrow 4D effective Lagrangian

Low T QCD Phase transition High T

	Confinement	Deconfinement
QCD (4Dim)	Hadron	Quark-Gluon
Gravity (5Dim)	Thermal AdS	AdS Black Hole

Hawking–Page transition
 =Transition of bulk geometry

Hawking–Page phase transition

[Herzog , Phys.Rev.Lett.98:091601,2007]

The geometry is described by the following action

$$S = \frac{1}{2\kappa^2} \int d^5x \sqrt{g} (-\mathcal{R} + 2\Lambda)$$

$\Lambda = -\frac{6}{R^2}$: cosmological constant

The geometry with smaller action is the stable one for given T.

$$\Delta S = \lim_{\epsilon \rightarrow 0} (S_{AdSBH} - S_{tAdS}) = \frac{\pi z_h R^3}{\kappa^2} \left(\frac{1}{z_{IR}^4} - \frac{1}{2z_h^4} \right)$$

> 0 for $T < T_c$
 < 0 for $T > T_c$

Ex) Confinement –Deconfinement

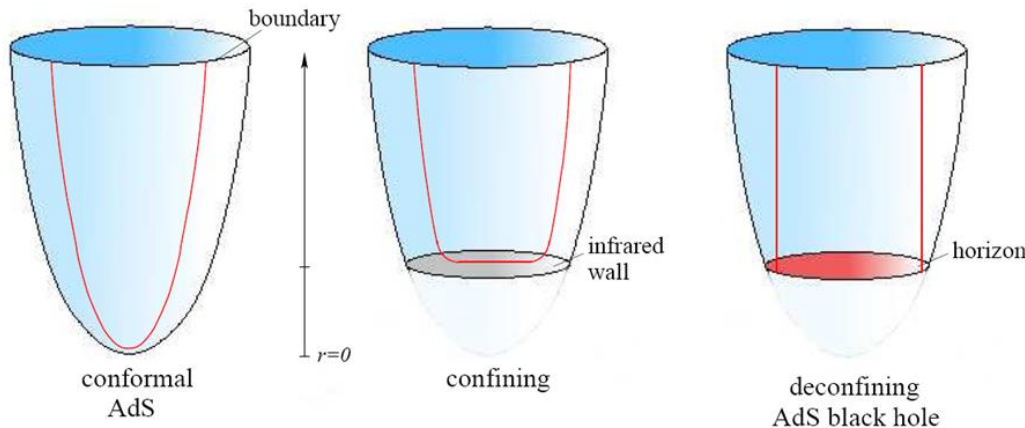


Figure from Erdmenger et.al, EPJA (2008)

Holographic QCD for finite chemical potential

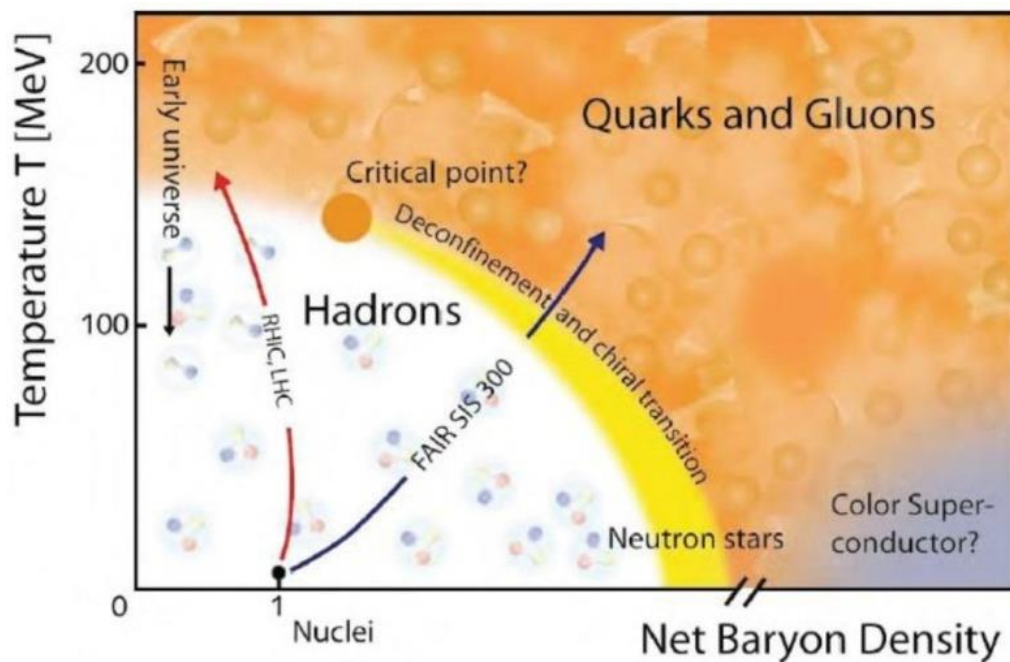
Low T QCD Phase transition High T

	Confinement	Deconfinement
QCD (4Dim)	Hadron	Quark-Gluon
Gravity (5Dim)	thermal & charged AdS	RNAdS Black Hole

Hawking-Page transition (BHL, Park, Sin JHEP 0907,(2009))
 $(q = 0)$

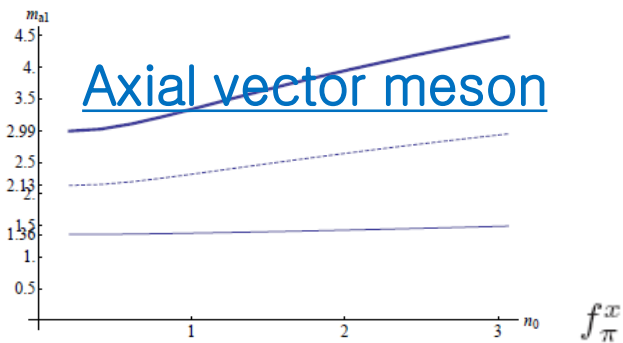
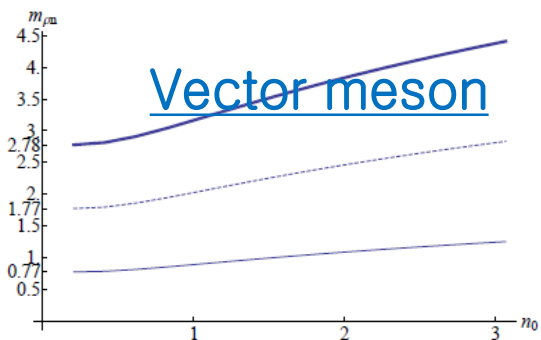
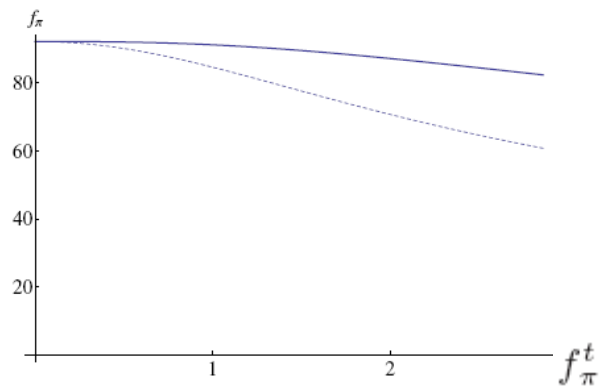
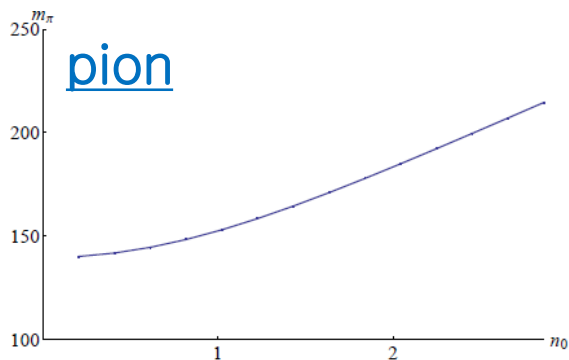
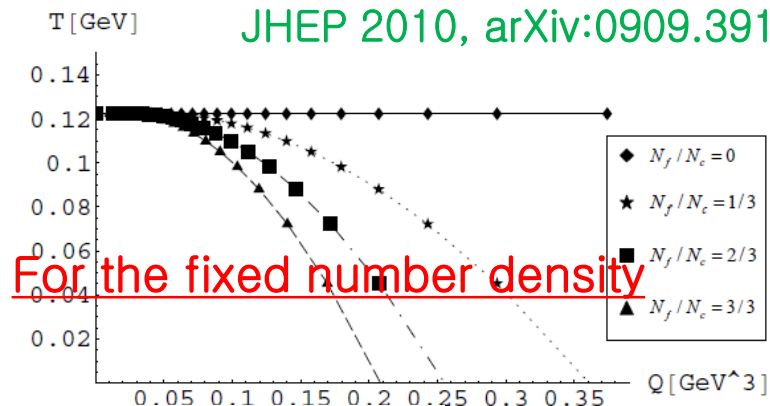
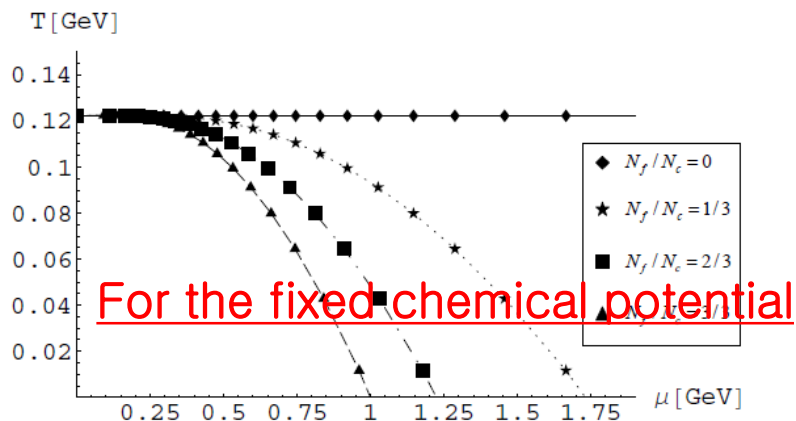
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Gravity (5Dim)	Thermal AdS	AdS Black Hole
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$$\Delta S = \int d^5x \sqrt{G} \text{Tr} \left[|DX|^2 - \frac{3}{R^2} |X|^2 + \frac{1}{4g_5^2} (F_L^2 + F_R^2) \right] \quad M_X^2 = -3/l^2$$

Jo, BHL, Park, Sin
JHEP 2010, arXiv:0909.3914



Nucleons in a nuclear medium

Action (Hard Wall Model, $U(2) \times U(2)$)

BHL, C. Park
Phys.Lett. B746 (2015)

$$S = \int d^5x \sqrt{-G} \left[\frac{1}{2\kappa^2} (\mathcal{R} - 2\Lambda) - \frac{1}{4g^2} \left(F_{MN}^{(L)} F^{(L)MN} + F_{MN}^{(R)} F^{(R)MN} \right) \right] \quad \Lambda = -6/R^2$$

+ complex scalar Φ + fermion fields (Ψ_1, Ψ_2)

$$F_{MN}^{(L)} = \partial_M L_N - \partial_N L_M - i [L_M, L_N],$$

$$F_{MN}^{(R)} = \partial_M R_N - \partial_N R_M - i [R_M, R_N].$$

The nuclear medium :

classified by baryon and isospin charges

→ turn on only diagonal time components of the gauge field

$$V_t^0 \quad V_t^3 \quad L_M = R_M = -V_M^I$$

tcAdS background geometry

$$ds^2 = \frac{R^2}{z^2} \left(-f(z) dt^2 + \frac{1}{f(z)} dz^2 + d\vec{x}^2 \right)$$

$$f(z) = 1 + \frac{3Q^2 \kappa^2}{g^2 R^2} z^6 + \frac{D^2 \kappa^2}{3g^2 R^2} z^6,$$

$$V_t^0 = \frac{Q}{\sqrt{2}} (2z_{IR}^2 - 3z^2),$$

$$V_t^3 = \frac{D}{3\sqrt{2}} (2z_{IR}^2 - 3z^2),$$

$$Q = Q_P + Q_N \quad D = Q_P - Q_N$$

For chiral symmetry, introduce a complex scalar field Φ with a negative mass, -3 (in $R=1$ unit).

$$\Phi = \phi \mathbb{1} e^{i\sqrt{2}\pi},$$

Equation of motion

$$0 = \frac{1}{\sqrt{-g}} \partial_z (\sqrt{-g} g^{zz} \partial_z \phi) + 3\phi,$$

Solution

$$\phi(z) = m_q z {}_2F_1 \left(\frac{1}{6}, \frac{1}{2}, \frac{2}{3}, -\frac{(D^2 + 9Q^2) z^6}{3 N_c} \right) + \sigma z^3 {}_2F_1 \left(\frac{1}{2}, \frac{5}{6}, \frac{4}{3}, -\frac{(D^2 + 9Q^2) z^6}{3 N_c} \right)$$

To describe nucleons, introduce corresponding bulk fermion fields in the tcAdS.

$$S = i \int d^5x \sqrt{-G} \left[\bar{\Psi}^1 \Gamma^M \nabla_M \Psi^1 + \bar{\Psi}^2 \Gamma^M \nabla_M \Psi^2 - m_1 \bar{\Psi}^1 \Psi^1 - m_2 \bar{\Psi}^2 \Psi^2 - g_Y (\bar{\Psi}^1 \Phi \Psi^2 + \bar{\Psi}^2 \Phi^\dagger \Psi^1) \right],$$

$$\begin{aligned}\nabla_M \Psi^1 &= \left(\partial_M - \frac{i}{4} \omega_M - iL_M \right) \Psi^1, \\ \nabla_M \Psi^2 &= \left(\partial_M - \frac{i}{4} \omega_M - iR_M \right) \Psi^2.\end{aligned}$$

the mass of bulk fermions must be $\pm 5/2$ related to the conformal dimension of nucleons, $9/2$, $m_1 = -m_2 = 5/2$.

Equation of motion

$$\begin{aligned}0 &= \left[e_C^M \Gamma^C \left(\partial_M - \frac{i}{4} \omega_M^{AB} \Gamma_{AB} + iV_M \right) - m_1 \right] \Psi^1 - g_Y \phi \Psi^2, \\ 0 &= \left[e_C^M \Gamma^C \left(\partial_M - \frac{i}{4} \omega_M^{AB} \Gamma_{AB} + iV_M \right) - m_2 \right] \Psi^2 - g_Y \phi \Psi^1, \quad \Gamma^{AB} = \frac{i}{2} [\Gamma^A, \Gamma^B]\end{aligned}$$

The boundary boundary condition

$$\delta \bar{\Psi}^{(1,2)} \Gamma^M \Psi^{(1,2)} \Big|_{\epsilon}^{z_{IR}} = 0,$$

the Fourier mode expansion of 5-dimensional fermions

$$\Psi(z, t, \vec{x}) = \sum_{\omega_n} \int \frac{d^3 p}{(2\pi)^4} \Psi(z, \omega_n, \vec{p}) e^{-i(\omega_n t - \vec{p} \cdot \vec{x})},$$

$$\Psi^1(z, \omega_n, \vec{p}) = \begin{pmatrix} f_L^{1(n, \pm, \pm)} \psi_L^{(n, \pm, \pm)} \\ f_R^{1(n, \pm, \pm)} \psi_R^{(n, \pm, \pm)} \end{pmatrix} \quad \Psi^2(z, \omega_n, \vec{p}) = \begin{pmatrix} f_L^{2(n, \pm, \pm)} \psi_L^{(n, \pm, \pm)} \\ f_R^{2(n, \pm, \pm)} \psi_R^{(n, \pm, \pm)} \end{pmatrix}$$

where n denotes the n -th resonance and the first and second sign imply the parity and isospin quantum number respectively.

the normalizable mode functions to be f_{1L} and f_{2R}
To be associated with the 4-dimensional chirality.

Using the previous Fourier mode decomposition, the 5-dimensional Dirac equation is reduced to

$$\begin{pmatrix} \mathcal{D}_- \mathbb{1} & -\frac{g_Y \phi}{z} \mathbb{1} \\ -\frac{g_Y \phi}{z} \mathbb{1} & \mathcal{D}_+ \mathbb{1} \end{pmatrix} \begin{pmatrix} f_L^{1(n, \pm, \pm)} \\ f_L^{2(n, \pm, \pm)} \end{pmatrix} = - \begin{pmatrix} \mathbb{E}_+ & 0 \\ 0 & \mathbb{E}_+ \end{pmatrix} \begin{pmatrix} f_R^{1(n, \pm, \pm)} \\ f_R^{2(n, \pm, \pm)} \end{pmatrix},$$

$$\begin{pmatrix} \mathcal{D}_+ \mathbb{1} & \frac{g_Y \phi}{z} \mathbb{1} \\ \frac{g_Y \phi}{z} \mathbb{1} & \mathcal{D}_- \mathbb{1} \end{pmatrix} \begin{pmatrix} f_R^{1(n, \pm, \pm)} \\ f_R^{2(n, \pm, \pm)} \end{pmatrix} = \begin{pmatrix} \mathbb{E}_- & 0 \\ 0 & \mathbb{E}_- \end{pmatrix} \begin{pmatrix} f_L^{1(n, \pm, \pm)} \\ f_L^{2(n, \pm, \pm)} \end{pmatrix},$$

$$\mathcal{D}_{\pm} = \sqrt{f(z)} \left[\partial_z - \frac{2}{z} \left(1 - \frac{zf'(z)}{8f(z)} \right) \right] \pm \frac{5}{2z},$$

$$\mathbb{E}_{\pm} = \frac{1}{\sqrt{f(z)}} (\omega_n - V_t) \mathbb{1} \pm \vec{\sigma} \cdot \vec{p}.$$

Proton with the isospin charge 1/2 is governed by

$$\begin{aligned} & \begin{pmatrix} \mathcal{D}_- \mathbb{1} & \frac{g_Y \phi}{z} \mathbb{1} \\ \frac{g_Y \phi}{z} \mathbb{1} & \mathcal{D}_+ \mathbb{1} \end{pmatrix} \begin{pmatrix} f_L^{1(1,+,+)} \\ f_R^{1(1,+,+)} \end{pmatrix} \\ &= \begin{pmatrix} - \left\{ \frac{1}{\sqrt{f(z)}} \left(\omega - \frac{V_t^0 + V_t^3}{2} \right) + p \right\} & 0 \\ 0 & \frac{1}{\sqrt{f(z)}} \left(\omega - \frac{V_t^0 + V_t^3}{2} \right) - p \end{pmatrix} \begin{pmatrix} f_R^{1(1,+,+)} \\ f_L^{1(1,+,+)} \end{pmatrix} \end{aligned}$$

Neutron with the isospin charge -1/2 is governed by

$$\begin{aligned} & \begin{pmatrix} \mathcal{D}_- \mathbb{1} & \frac{g_Y \phi}{z} \mathbb{1} \\ \frac{g_Y \phi}{z} \mathbb{1} & \mathcal{D}_+ \mathbb{1} \end{pmatrix} \begin{pmatrix} f_L^{1(1,+,-)} \\ f_R^{1(1,+,-)} \end{pmatrix} \\ &= \begin{pmatrix} - \left\{ \frac{1}{\sqrt{f(z)}} \left(\omega - \frac{V_t^0 - V_t^3}{2} \right) + p \right\} & 0 \\ 0 & \frac{1}{\sqrt{f(z)}} \left(\omega - \frac{V_t^0 - V_t^3}{2} \right) - p \end{pmatrix} \begin{pmatrix} f_R^{1(1,+,-)} \\ f_L^{1(1,+,-)} \end{pmatrix} \end{aligned}$$

two boundary conditions $f_L^{1(n,\pm,\pm)}(0) = 0$ and $f_R^{1(n,\pm,\pm)}(z_{IR}) = 0$,

Dispersion relation in the vacuum

$$Q = D = 0$$

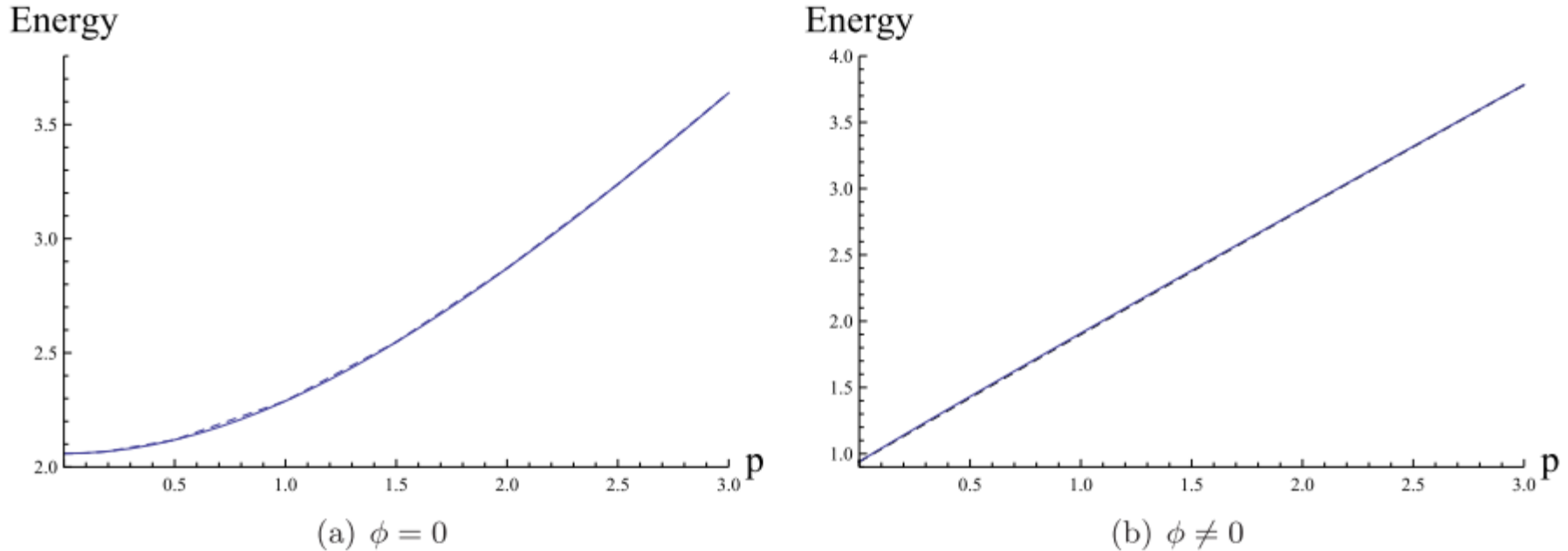


Figure 1: The nucleon's mass in the vacuum

(a) for $m_q = \sigma = 0$ and

(b) with $m_q = 2.38 \text{ MeV}$, $\sigma = (304 \text{ MeV})^3$

and $g_Y = 4.699$ which reproduce the correct nucleon's mass in the vacuum.

Nucleon's rest mass in the nuclear medium

$$m_q = 2.38\text{MeV}, \quad \sigma = (304\text{MeV})^3 \quad \text{and} \quad g_Y = 4.699.$$

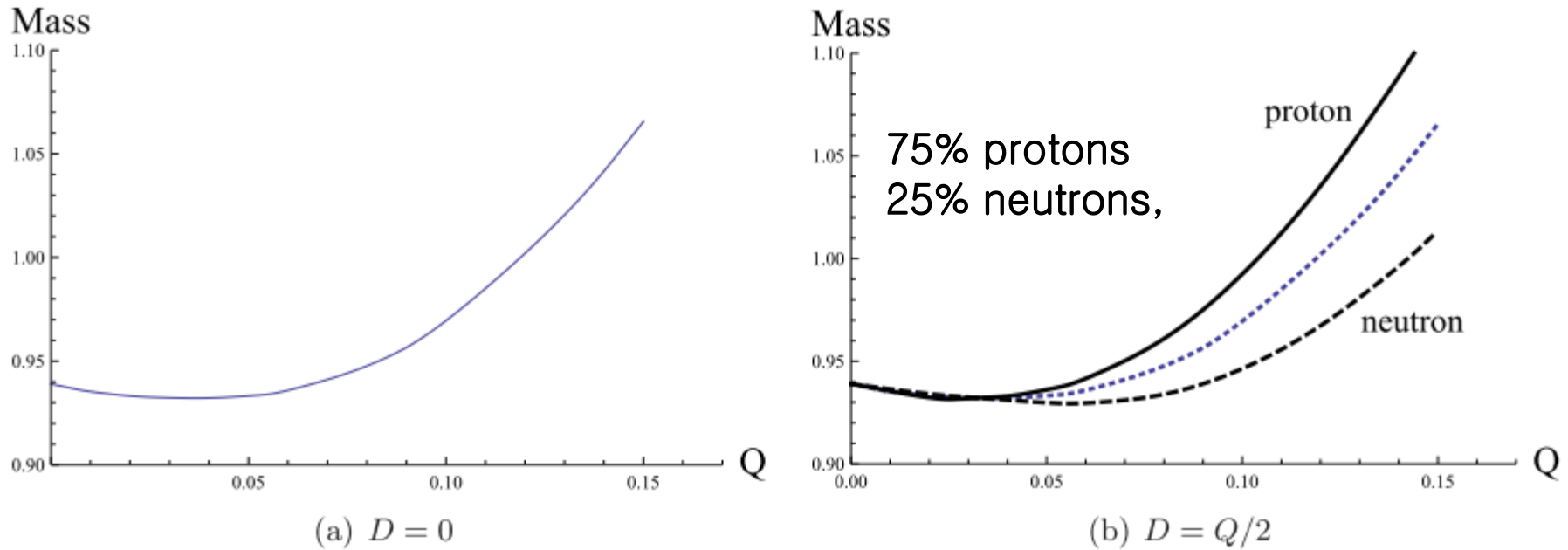


Figure 2: The nucleon's mass spectrum in the nuclear medium.

(a) For $D = 0$, proton and neutron are degenerate.

(b) For $D = Q/2$, the masses of proton and neutron are splitted due to the isospin interaction, where the dotted line denotes the nucleon mass for $D = 0$.

Dispersion relations

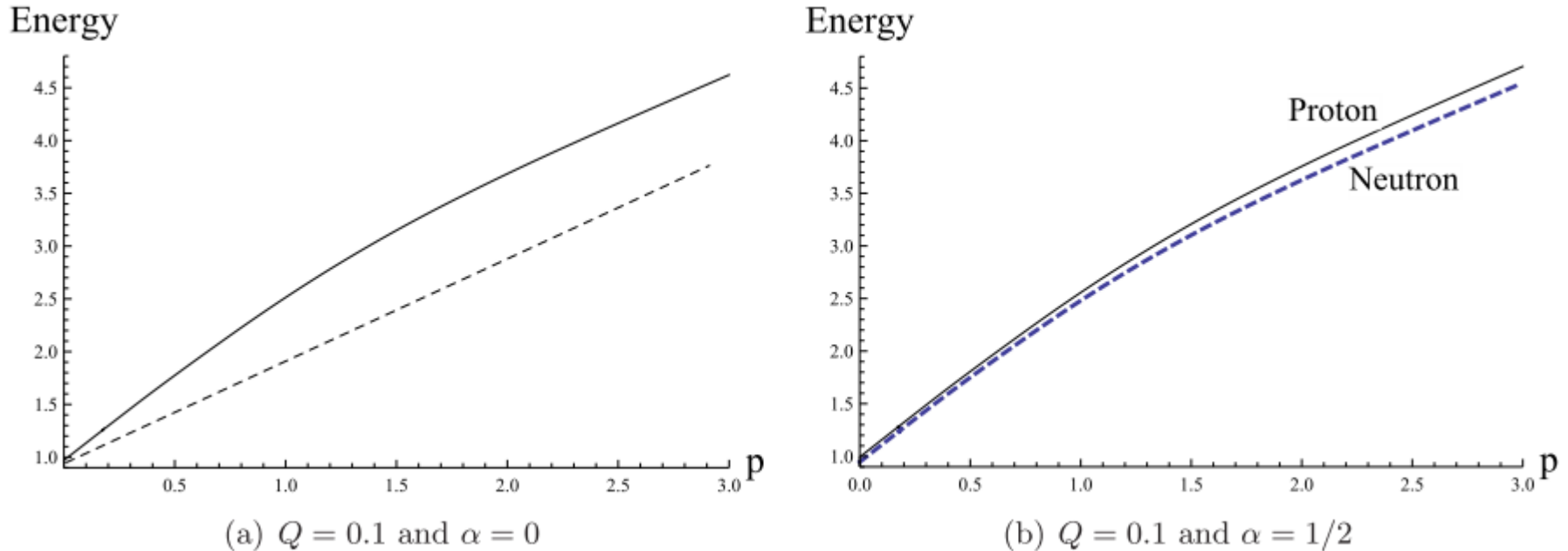


Figure 3: The nucleon's dispersion relation in the nuclear medium.

(a) The dashed and solid line indicate the dispersion relations in the vacuum and in the nuclear medium.

(b) The isospin interaction splits the degeneracy of nucleons. The energy of proton (neutron) slightly increases (decreases).

IV. Summary

- Holographic Principles (through the D-brane configuration)
(d+1 dim.) (classical) gravity \leftrightarrow (d dim.) (quantum) YM theories
- BH geometry \leftrightarrow Finite Temperature field theory

- Constructing the dual geometry :

Top-down & Bottom-up

- Holographic QCD

– w/o chemical potential –

phase : confined phase

Geometry : thermal AdS

\leftrightarrow deconfined phase transition

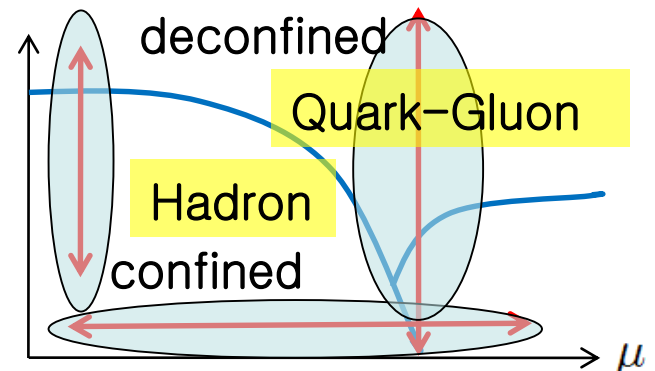
\leftrightarrow AdS BH

Hawking-Page transition

– in dense matter – (U(1) chemical potential \rightarrow baryon density)

deconfined phase by RNAdS BH \leftrightarrow hadronic phase by tcAdS

Hawking-Page phase transition



IV. Summary – continued

- In the hadronic phase, the quark density dependence of the light meson masses has been investigated.
- Holographic study on the Baryon Properties in Dense Matter
- Holographic principle can also be applied to the nonequilibrium physics
- **Holographic Principle may provide a new methodology for the strongly interacting phenomena!**

Thank You !