## Bounds on Scaling Dimension in Conformal Quantum Mechanics

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based on arXiv:1704.00286

Supersymmetries & Quantum Symmetries – SQS'2017

Dubna, Russia 2017 July 31

QFT = Quantum Mechanics + Special Relativity

State vector in Hilbert space + Pincare sym.

Fields => Casimir of Poincare alg

Spin & Mass



Maxwell eq. is Lorentz inv.

QFT = Quantum Mechanics + Special Relativity

State vector in Hilbert space + Pincare sym.

Fields => Casimir of Poincare alg

Spin & Mass



Maxwell eq. is conformal inv.

'1915 Bateman, Cunningham

CFT = Quantum Mechanics + Conformal sym.

Operators => Casimir of conf alg

**Spin & Scaling dimension** 

CFT can be defined via sym properties of the **correlation functions** !

 $\left\langle \mathcal{O}_1(x_1)\cdots\mathcal{O}_n(x_n)\right\rangle$ 

anomalous dimension, critical dimension etc.



No need Lagrangian !



Q. What can we learn CQM from **correlation function** ?

 $\langle \mathcal{O}_{\Delta_1}(t_1)\cdots\mathcal{O}_{\Delta_n}(t_n)\rangle$ 

w/o Lagrangian description

# <u>Outline</u>



## III. Non-Lagrangian Approach for CQM

- i C-function in CQM
- ii No-Go thm in CQM

## <u>Outline</u>

- I. Conformal Symmetry of Time
- II. Lagrangian CQM



## <u>Main Results</u>



## I. Conformal Symmetry of Time

## **Conformal Symmetry**

Conformal Transf = Invertible coordinate map that leaves metric invariant up to scale

$$x \rightarrow x' \qquad g_{\mu\nu}(x) \rightarrow g'_{\mu\nu}(x') = \Omega(x)g(x)$$
finite transf.
infinitesimal transf.
  
1.Translation

$$x'^{\mu} = x^{\mu} + a^{\mu} \qquad P_{\mu} = -i\partial_{\mu}$$
2.Dilatation

$$x'^{\mu} = \alpha x^{\mu} \qquad D = -ix^{\mu}\partial_{\mu}$$
3.Rotation

$$x'^{\mu} = M^{\mu}{}_{\nu}x^{\nu} \qquad L_{\mu\nu} = i(x_{\mu}x_{\nu} - x_{\nu}x_{\mu})$$
4.SCT

$$x'^{\mu} = \frac{x^{\mu} - b^{\mu}x^{2}}{1 - 2 \cdot x + b^{2}x^{2}} \qquad K_{\mu} = -i(2x_{\mu}x^{\nu}\partial_{\nu} - x^{2}\partial_{\mu})$$

Extension of Poincare sym.



Locally preserving angle between 2 distinct points

#### **Conformal Transformation of Time**

$$\begin{aligned} x^{\mu} \to x^{\mu} + \epsilon^{\mu} \\ g_{\mu\nu} \to g_{\mu\nu} \to \partial_{\mu}\epsilon_{\nu} + \partial_{\nu}\epsilon_{\mu} \\ f(x)g_{\mu\nu} \longrightarrow f(x) = \frac{2}{d}\partial_{\mu}\epsilon^{\mu} \\ \partial_{\mu}\epsilon^{\mu} = A + B_{\mu}x^{\mu} \\ \partial_{\mu}\epsilon_{\mu} = A + B_{\mu}x^{\mu} \\ \partial_{\mu}\partial_{\nu}\epsilon_{\rho} = \eta_{\mu\rho}\partial_{\nu}f + \eta_{\nu\rho}\partial_{\mu}f - \eta_{\mu\nu}\partial_{\rho}f \end{aligned}$$

#### no constraint



 $\operatorname{Conf}(\mathbb{R}) \cong \operatorname{Diff}(\mathbb{R})$  $\operatorname{conf}(\mathbb{R}) = C^{\infty}(\mathbb{R})$ 

#### But...

- Definition through metric tensor & absence of angle shows that d=1 is completely different.
- $Diff(\mathbb{R})$  essentially requires the presence of **gravity**.



# Appearance of $D \& K_{\mu}$ is intrinsically motivated time symmetry !

Infinitesimal transf.



$$\mathfrak{conf}(\mathbb{R}) := \mathfrak{so}(1,2) \cong \mathfrak{sl}(2,\mathbb{R}) \cong \mathfrak{sp}(2)$$

#### **Conformal Symmetry of Time**

New time

$$G = uH + vD + wK \qquad d\tau = \frac{dt}{u + vt + wt^2}$$
$$G|\Psi\rangle = i\frac{\partial}{\partial\tau}|\Psi\rangle$$

### **Schrodinger Equation**

=> G is the new Hamiltonian of new time  ${\cal T}$ 

$$\tau = \int d\tau = \int_{t_0}^t \frac{dt'}{u + vt' + wt'^2} + \tau_0 \qquad \qquad \text{Discriminant of new time} \\ \Delta = v^2 - 4uw$$

$$= \begin{cases} \frac{1}{\sqrt{\Delta}} \left( \ln \left| \frac{2wt + v - \sqrt{\Delta}}{2wt + v + \sqrt{\Delta}} \right| - \ln \left| \frac{v - \sqrt{\Delta}}{v + \sqrt{\Delta}} \right| \right) & \text{for } \Delta > 0 \\ \\ \frac{2}{\sqrt{|\Delta|}} \left( \tan^{-1} \frac{2wt + v}{\sqrt{|\Delta|}} - \tan^{-1} \frac{v}{\sqrt{|\Delta|}} \right) & \text{for } \Delta < 0 \\ \\ - \left( \frac{2}{2wt + v} - \frac{2}{v} \right) & \text{for } \Delta = 0 \end{cases}$$

3 different classes of new time

#### finite transf. of time

$$t' = \frac{at+b}{ct+d}$$
  $A = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \in PSL(2,\mathbb{R})$   
 $\det A = 1$ 

I.Translation
$$t' = t - \epsilon_1$$
 $A = \begin{pmatrix} 1 & 0 \\ -\epsilon_1 & 1 \end{pmatrix}$ 2. Dilatation $t' = e^{-\epsilon_2}t$  $A = \begin{pmatrix} e^{-\frac{\epsilon_2}{2}} & 0 \\ 0 & e^{\frac{\epsilon_2}{2}} \end{pmatrix}$ 

**3. SCT** 
$$t' = \frac{t}{\epsilon_3 t + 1}$$
  $A = \begin{pmatrix} 1 & \epsilon_3 \\ 0 & 1 \end{pmatrix}$ 

#### infinitesimal transf. of time

$$\delta t = \epsilon_1 + \epsilon_2 t + \epsilon_3 t^2$$

## Difference between CQM & CFT

I. **Time symmetry** taken seriously

 $(H \neq D, energy \& dimension, no Wick rotation, no radial quantization)$ 



II. Hilbert space rather than Fock space (zero point energy, ground state ≠ vacuum) '08 Sen '11 Chamon et al

III. AdS<sub>2</sub>/CFT<sub>1</sub> correspondence (one-dimensional disconnected bdy, AdS<sub>2</sub> factor in BH)

## II. Lagrangian CQM

## Lagrangian CQM (DFF model)

#### '76 de Alfaro Fubini Furlan





d=1

scale inv. scalar field theory

$S = \frac{1}{2} \int$	$dt \left( \dot{x}^2 - \right)$	$\left(\frac{g}{x^2}\right)$
$t' = \frac{at+b}{ct+d}$	x'(t') =	$rac{x(t)}{ct+d}$

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 $H = \frac{1}{2}(p^2 + \frac{g}{x^2})$  $D = tH - \frac{1}{4}(xp + px)$ 

Dilatation generator

SCT generator

$$K = t^{2}H - \frac{1}{2}t(xp + px) + \frac{1}{2}x^{2}$$



- The spectrum is **bounded below**
- For E>0, there exists a plane wave **normalizable** state

$$\phi_E(x) = \sqrt{x} J_{\sqrt{g+\frac{1}{4}}} \left(\sqrt{2E}x\right)$$

• For E=0 (vacuum state), the eigenstate is **non-normalizable** 

$$\left(-\frac{d^2}{dx^2} + \frac{g}{x^2}\right)\phi(x) = 0$$

$$\phi(x) = x^{\alpha}$$

$$\alpha_+ = \frac{1 + \sqrt{1 + 4g}}{2}$$

$$\alpha_- = \frac{1 - \sqrt{1 + 4g}}{2}$$

$$\mathbf{x}$$

## DFF's proposal

Change time and Hamiltonian

e time and Hamiltonian  

$$\tau = \tan^{-1} t - \tan^{-1} t_{0}$$

$$G = L_{0} = \frac{1}{2}(H + K) \propto p^{2} + \frac{g}{x^{2}} + x^{2}$$

$$L_{\pm} = \frac{1}{2}\left(\frac{K}{a} - aH \pm 2iD\right)$$

$$[L_{n}, L_{m}] = (m - n)L_{m+n}$$

$$L_{0}|n\rangle = r_{n}|n\rangle \qquad r_{n} = r_{0} + n, \quad n = 0, 1, 2, \cdots$$

$$C_{2} = r_{0}(r_{0} - 1) \qquad r_{0} = \frac{1}{2}\left(1 + \sqrt{g^{2} + \frac{1}{4}}\right)$$

$$\psi_{0}(x)$$

normalized vave function 
$$\phi_0 = \sqrt{\frac{2}{\Gamma(2r_0)}} e^{-\frac{x^2}{2}x^{\frac{1}{2}} + \sqrt{g + \frac{1}{4}}}$$
  
 $\phi_n(x) = \sqrt{\frac{\Gamma(n+1)}{2\Gamma(n+2r_0)}} x^{-\frac{1}{2}} x^{2r_0} e^{-\frac{x^2}{2}} L_n^{2r_0-1}(x^2)$ 

## <u>n-point function</u>

#### '76 de Alfaro et al.

#### They assume the existence of



$$\begin{split} H|t\rangle &= -i\frac{d}{dt}|t\rangle, \qquad \qquad L_0|t\rangle = -\frac{i}{2}\left[\left(a + \frac{t^2}{a}\right)\frac{d}{dt} + 2r_0\frac{t}{a}\right]|t\rangle\\ D|t\rangle &= -i\left(t\frac{d}{dt} + r_0\right)|t\rangle, \\ K|t\rangle &= -i\left(t^2\frac{d}{dt} + 2r_0t\right)|t\rangle \end{split}$$

Define 
$$\beta_n := \langle t | n \rangle$$
  
 $\frac{i}{2} \left[ \left( a + \frac{t^2}{a} \right) \frac{d}{dt} + 2r_0 \frac{t}{a} \right] \beta_n = r_n \beta_n$ 

$$\beta_n(t) = (-1)^n \left[ \frac{\Gamma(2r_0 + n)}{n!} \right]^{\frac{1}{2}} \left( \frac{a - it}{a + it} \right)^{r_n} \frac{1}{\left( 1 + \frac{t^2}{a^2} \right)^{r_0}}$$

$$F_2(t_1, t_2) = \langle t_1 | t_2 \rangle$$
  
=  $\sum_n \beta_n(t_1) \beta_n^*(t_2)$   
=  $\frac{\Gamma(2r_0) a^{2r_0}}{[2i(t_1 - t_2)]^{2r_0}} \propto \frac{1}{(t_1 - t_2)^{2r_0}}$ 

#### 'II Chamon, Jackiw, Santos

### Explicit construction of $|t\rangle$

$$t \rangle = \mathcal{O}(t) | n = 0 \rangle$$
  $\mathcal{O}(t) = N(t)e^{-i\omega(t)L_{+}}$   
 $N(t) = \sqrt{\Gamma(2r_{0})} \left(\frac{\omega(t)+1}{2}\right)^{2r_{0}}$   
 $\omega(t) = \frac{a+it}{a-it}$ 

- $|t\rangle$  is almost coherent states  $(L_{-}+\omega L_{0})|t
  angle=-r_{0}\omega|t
  angle$
- $|t\rangle$  gives operator-state correspondence  $|\Psi\rangle := e^{-Ha}|t=0\rangle = e^{-Ha}e^{-L_+}|n=0\rangle$

$$L_0|\Psi\rangle = r_0|\Psi\rangle$$

$$|\Psi\rangle\propto|n=0\rangle$$



operator is not primary & state is not conformal inv.

# III. No-Lagrangian Approach for CQM

Reconsider the original situation in DFF-model

- I. The energy spectrum is **continuous**
- II. The vacuum state is **non-normalizable**





The situation may not be essentially problem !

The old issues in CQM could be due to an inappropriate quantization manner which naively assume that all variables in Lagrangian are physical variables.



Here we will skip the issue on Lagrangian CQM, but address the Non-Lagrangian CQM as follows

### Stepl

Introduce 2 ingredients which stem from conformal symmetry

Vacuum State  $|\Omega|$ 

Primary Operators ' ${\cal O}_{\Lambda}$ 



#### <u>Step2</u>

Construct physical states as

$$\mathcal{O}_{\Delta_1}(t_1)\cdots\mathcal{O}_{\Delta_n}(t_n)|\Omega\rangle$$

## Vacuum state $|\Omega angle$

Def  $\frac{\partial e}{\partial H} = 0$ 

Due to the constraints, vacuum is not generically physical state, but rather the reference state

 $|\Omega\rangle \not\in \mathcal{H}$ Hilbert space

### Bra (dual states)

$$\neg \operatorname{\mathsf{Def}}_{\operatorname{\langle bra}|} : |\operatorname{ket}\rangle \mapsto \mathbb{C}$$

 $SL(2,\mathbb{R})$  Casimir operator  $\mathcal{C}_2 = KH + iD - D^2$ 

imposes constraints on construction of bra

 $\langle \operatorname{bra} | K \Leftrightarrow H | \operatorname{ket} \rangle$  $\langle \operatorname{bra} | D \Leftrightarrow D | \operatorname{ket} \rangle$  $\langle \operatorname{bra} | \Leftrightarrow D | \operatorname{ket} \rangle$ 

We take the normalization  $\langle \Omega | \Omega \rangle = 1$ 



non-normalizable  $\Rightarrow No !$ 

vacuum ?

such vacuum is generically not physical state, it cannot be written as wfn of physical obserbables as in DFF.

 $d \in \mathbb{R}$ 

$$\begin{split} D|\Omega\rangle &= id|\Omega\rangle & \text{scaling dimension of vacuum} \\ &\langle \Omega|D|\Omega\rangle = id \\ &\langle \Omega|D^2|\Omega\rangle = -(d+\mathcal{C}_2) = -d^2 \end{split}$$

$$\mathcal{C}_2 = d(d-1)$$

Casimir characterizes the scaling dimension of vacuum of CQM !

% Conformal boost 
$$K$$
 increases  $d$  by I  
 $D(K^n | \Omega \rangle) = i(d+n) K | \Omega \rangle$ 

### **Energy eigenstate**

$$H|E\rangle = E|E\rangle$$

The energy eigenstates in CQM generally have continuous spectrum.

 $H(e^{i\alpha D}|E\rangle) = e^{-\alpha}E(e^{i\alpha D}|E\rangle)$ 

Dilatation operator makes such energy eigenstate.

However, again we do not identify generic energy eigenstates  $|E\rangle$  with the physical states !

We have to project out non-physical states from |E
angle

Thus far we have no physical input. We have just viewed the SL(2,R) as conformal symmetry and introduced reference states for CQM.

# Q. How can we construct CQM by imposing physical requirement?



**Unitary** evolution of energy eigenstates |E
angle

## **D-function**

$$\begin{array}{lll} \mbox{Define} & D(E) := \frac{1}{i} \langle E | D | E \rangle & \\ & & \mbox{completeness of } | E \rangle & 1 = \int dE | E \rangle \langle E | \\ & \mbox{normalization} & \langle E_1 | E_2 \rangle = \delta(E_1 - E_2) \end{array} \\ \\ D(E) = \frac{1 \pm \sqrt{1 + 4(\mathcal{C}_2 - E^2)}}{2} & \\ & \mbox{quantum scaling dimension of } | E \rangle & \\ & \mbox{unitarity of the evolution operator for } | E \rangle \\ & \mbox{can be realized when } E^2 = \mathcal{C}_2 & \mbox{as } | E \rangle \sim t^{D(E)=0} & \\ & \mbox{for a choice of negative sign} \end{array}$$

for a choice of negative sign

$$D(E) = \frac{1 - \sqrt{1 + 4(\mathcal{C}_2 - E^2)}}{2}$$

## Q. When can we choose negative sign?

### AdS/CFT & BF bound

 $\mathsf{AdS}_{\mathsf{d+1}}$  free scalar with mass  $\mathcal{m}^{\text{-}}$ 

$$S = \int d^{d+1}x \sqrt{-g} \left( g^{\mu\nu} \partial_{\mu} \phi \partial_{\nu} \phi + m^2 \phi^2 \right)$$

AdS/CFT correspondence claims that bulk mass  $\mathcal{M}$  of scalar in AdS<sub>d+1</sub> is related to scaling dimension of corresponding boundary CFT<sub>d</sub> operator

'98 GKP; Witten

$$\Delta_{\pm} = \frac{d \pm \sqrt{d^2 + 4m^2}}{2}$$

$$-\frac{d^2}{4} + 1 < m^2 \qquad \text{I admissible BC of wfn and quantization =>} \qquad \Delta_+$$
$$-\frac{d^2}{4} < m^2 < -\frac{d^2}{4} + 1 \qquad \text{2 admissible BC of wfn and 2 quantizations =>} \qquad \Delta_{\pm}$$
$$\text{'82 Breitenlohner, Freedman}$$



Bounds on dimensions of the reference vacuum state

## **C-function and C-theorem**



Actually the D-function  $D(E) := \frac{1}{i} \langle E | D | E \rangle$  follow the properties of C-function !

A function of coupling constant g and energy scale Ewhich is defined on the space of theory

- real
- decreasing monotonically along descrease of energy scale  $\frac{dD(E)}{dE} = \frac{2E}{\sqrt{1+4(C_2-E^2)}} \ge 0$
- stationary at RG-fixed pt and equal to crucial parameter in CQM



## **Primary Operators**

To describe physical system, we postulate the presence of **primary operators** 

$$\mathcal{O}_{\Delta}(t) \to \left(\frac{\partial t'}{\partial t}\right)^{\Delta} \mathcal{O}_{\Delta}(t') \qquad t' = \frac{at+b}{ct+d} \\ = \frac{1}{(ct+d)^{2\Delta}} \mathcal{O}_{\Delta}(t') \qquad A = \begin{pmatrix} a & c \\ b & d \end{pmatrix} \in PSL(2,\mathbb{R})$$

 $H\mathcal{O}_{\Delta}(t) = i\dot{\mathcal{O}}_{\Delta}(t)$  $D\mathcal{O}_{\Delta}(t) = \left(-it\frac{\partial}{\partial t} + \Delta\right)\mathcal{O}_{\Delta}(t)$  $K\mathcal{O}_{\Delta}(t) = \left(it^{2}\frac{\partial}{\partial t} - 2t\Delta\right)\mathcal{O}_{\Delta}(t)$ 

Q. What can we learn CQM from correlation function ?

 $\langle \mathcal{O}_{\Delta_1}(t_1) \cdots \mathcal{O}_{\Delta_n}(t_n) \rangle = \mathcal{O}_{\Delta_1}(t_1) \cdots \mathcal{O}_{\Delta_n}(t_n) \rangle = \mathcal{O}_{\Delta_1}(t_1) \cdots \mathcal{O}_{\Delta_n}(t_n) |\Omega\rangle$ 

w/o Lagrangian description

## Weinberg-Witten Theorem '80 Weinberg-Witten

- I. If theory has Lorentz covariant conserved current, massless charged spin > 1/2 particle does not exist.
  Charge conservation
- II. If theory has Lorentz covariant EM tensor, <=> Energy conservation massless spin > I does not exist.

Given the conditions, the following matrix elements should never vanish

$$\langle p', \pm j | J^{\mu} | p, \pm j \rangle = 0 \qquad j > \frac{1}{2}$$
  
 
$$\langle p', \pm j | T^{\mu\nu} | p, \pm j \rangle = 0 \qquad j > 1$$

However, states w/ spin j transform as

 $R(\theta)^{\mu}_{\phantom{\mu}\rho} \Rightarrow e^{i\theta}, e^{-i\theta}$ 

Although the statement is just simple **group theoretical analysis**, the it gives powerful constraint on **spin** and physically meaningful.

Casimir of Poincare group

## No-Go Theorem in CQM

Now consider similar constraints in CQM on scaling dimension

We take the normalization

 $\langle \mathcal{O}_{\Delta} | \mathcal{O}_{\Delta} \rangle = 1$  $|\mathcal{O}_{\Delta_1}(t_1) \cdots \mathcal{O}_{\Delta_n}(t_n)\rangle = \mathcal{O}_{\Delta_1}(t_1) \cdots \mathcal{O}_{\Delta_n}(t_n) | \Omega \rangle$   $\mathcal{O}_{\Delta} := \mathcal{O}_{\Delta}(0)$ 

 $\langle \mathcal{O}_{\Delta} | HK | \mathcal{O}_{\Delta} \rangle + (d + \Delta)(d + \Delta + 1)$ = d(d - 1) $\langle \mathcal{O}_{\Delta} | KH | \mathcal{O}_{\Delta} \rangle + (d + \Delta)(d + \Delta - 1)$ 

 $\langle \mathcal{O}_{\Delta} | KH | \mathcal{O}_{\Delta} \rangle = |H| \mathcal{O}_{\Delta} \rangle|^{2}$   $\langle \mathcal{O}_{\Delta} | HK | \mathcal{O}_{\Delta} \rangle = |K| \mathcal{O}_{\Delta} \rangle|^{2} \geq 0 \quad \text{positivity condition}$ 

Casimir of SL(2,R) conformal group











## Bounds on dimensions of physical state



#### The range is consistent with Lagrangian CQM

cf.) supermultiplet of SUSY QM



Furthermore consider charge operator Q

$$Q\mathcal{O}_{\Delta} = q\mathcal{O}_{\Delta}$$
 Giving charge  $q \in \mathbb{R}$  to primary operator  
 $Q|\Omega\rangle = 0$  Giving no charge to reference vacuum state  
 $[H,Q] = 0$  non-dynamical operator (auxiliary field)  
 $[D,Q] = i\delta_Q Q$  Having scaling dimension  $\delta_Q$ 

Consier

sier  $\begin{aligned} \langle \mathcal{O}_{\Delta} | [K,Q]H | \mathcal{O}_{\Delta} \rangle \\ & \blacksquare \qquad [K,Q]H = 2i\delta_Q QD - \delta_Q^2 Q + \delta_Q Q \\ q\delta_Q \left(\delta_Q - 1 + 2(d + \Delta)\right) = 0 \\ & \blacksquare \\ \delta_Q = 0 \qquad d + \Delta = \frac{1 - \delta_Q}{2} \qquad \text{Gauge field may have arbitrary space-time coordinate dependence (local field)} \\ \text{global charge operator} \qquad \text{gauge operator} \end{aligned}$ 



Bounds on dimensions of gauge operator



$$\int \delta_Q = 1 \qquad \text{one-form gauge fields} \ \ ) \text{ photon, gluon etc.}$$
 
$$\delta_Q = 2 \qquad \text{two-form gauge fields} \ ) \text{ graviton}$$

$$\checkmark \quad d + \Delta = \frac{1 - \delta_Q}{2}$$

fermion may couple to one-form gauge fields

scalar may couple to two-form gauge fields

## <u>Main Results</u>

