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BRST structure of renormalization in the background field approach

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based on works with: D. Blas M. Herrero-Valea S.Sibiryakov and C. Steinwachs

Introduction

Consider a quantum gauge field theory

Assume existence of a gauge invariant regularized path integral measure (no anomalies)

Task: Prove that gauge invariance is preserved by renormalization



40+ year old topic. What news can there be ?

- simplified treatment for standard effective theories (YM with higher dimensional operators, gravity)
- extension to effective theories (derivative expansion)
- extension to a very broad class (e.g. theories without Lorentz invariance)

What about gauge invariance ?

this is tricky ...

GI is explicitly broken by the gauge-fixing. Instead, we have to

rely on the Slavnov-Taylor identities



The latter gets deformed at each loop order and requires nonlinear field renormalization to restore ...



Use the background-field method



At 2 loop and higher need $\langle \varphi \rangle \neq \phi$ to subtract subdivergences = need to classify the BRST cohomology.

Otherwise non-local divergences at intermediate steps Kallosh (1974); Arefeva, Faddeev, Slavnov (1974); Abbott (1981); Ichinose, Omote (1982); Barvinsky, Vilkovisky (1987)

Still, presence of background gauge transformations greatly simplifies the task !

Background field extension of the BRST operator (Grassi, 1996)

Inclusion of generating functional sources into the gauge fermion



BRST structure of renormalization via decoupling of the background field

Quantum corrected gauge fermion is a generating functional of the field reparameterization

No power counting or use of field dimensionalities

Extension to (nonrenormalizable) effective field theories



Introduction

BRST formalism

Assumptions on the class of gauge theories

Background field gauge fixing method

Introduction of sources

Main result: BRST structure of renormalization and field reparametrization

Slavnov-Taylor and Ward identities

Decoupling the background field and field redifinition

Example: 2D O(N) sigma model

Summary and outlook: the power and beauty of the nilpotent BRST operator

BRST formalism

Gauge theory:

$$\varphi = \varphi^{a}, \quad S = S[\varphi]$$
$$\frac{\delta S}{\delta \varphi^{a}} R^{a}_{\alpha} = 0$$

Generators of gauge transformations:

Feynman-DeWitt-Faddeev-Popov functional integral

$$e^{-W[J]} = \int d\varphi \, e^{-S[\varphi] - \frac{1}{2}\chi^{\alpha}O_{\alpha\beta}\chi^{\beta} - J\varphi} \left(\det O_{\alpha\beta}\right)^{1/2} \det\left(\frac{\delta\chi^{\alpha}}{\delta\varphi^{a}}R_{\beta}^{a}\right)$$

$$gauge-breaking measure reasure operator for the second second$$



Assumptions on the class of theories

- i) linear gauge generators: $\delta_{\varepsilon}\varphi^{a} = \underbrace{(R^{a}_{\ b\alpha}\varphi^{b} + P^{a}_{\ \alpha})}_{R^{a}_{\ \alpha}(\varphi)}\varepsilon^{\alpha}$
- ii) off-shell closure: $[\delta_{\varepsilon}, \delta_{\eta}] \varphi^{a} = \delta_{\varsigma} \varphi^{a}$, $\varsigma^{\alpha} = C^{\alpha}_{\ \beta\gamma} \varepsilon^{\beta} \eta^{\gamma}$



v) absence of anomalies

vi)locality of divergences after removal of subdivergences

(nontrivial in Lorentz-violating theories, like Horava gravity, and should be verified in each model)

A.B, D. Blas, M. Herrero-Valea, S.Sibiryakov and C. Steinwachs (2016) Examples:

YM:
$$\delta_{\varepsilon}A^{i}_{\mu} = f^{ijk}A^{j}_{\mu}\varepsilon^{k} + \partial_{\mu}\varepsilon^{i}$$

GR:
$$\delta_{\varepsilon}g_{\mu\nu} = \varepsilon^{\lambda}\partial_{\lambda}g_{\mu\nu} + g_{\mu\lambda}\partial_{\nu}\varepsilon^{\lambda} + g_{\nu\lambda}\partial_{\mu}\varepsilon^{\lambda}$$

Also higher-derivative gravity, also non-relativistic (Lifshitz) theories

Counterexample:

Supergravity (the algebra does not close off-shell)

Background gauge-fixing

• choose g.f. function $\chi^{lpha}(arphi,\phi)=\chi^{lpha}_a(\phi)(arphi-\phi)^a$ to be

invariant under BGT: $\delta_{\varepsilon}\varphi^{a} = R^{a}_{\ \alpha}(\varphi)\varepsilon^{\alpha} \qquad \delta_{\varepsilon}\phi^{a} = R^{a}_{\ \alpha}(\phi)\varepsilon^{\alpha}$

• promote $s \mapsto Q = s + \Omega^a \frac{\partial}{\delta \phi^a}$ the same anticommuting auxiliary field, controls dependence of g.f. on background

g.f. term at tree level:
$$Q\Psi_0$$

auxiliary (anti-)fields coupled to $s\varphi^a$, $s\omega^\alpha$ antighost Lagrange multiplier
 $\Psi_0 = -(\gamma_a - \bar{\omega}_\alpha \chi^\alpha_a(\phi))(\varphi - \phi)^a + \zeta_\alpha \omega^\alpha - \frac{1}{2}\bar{\omega}_\alpha O^{\alpha\beta}(\phi)b_\beta$
 $\hat{\gamma}_a$



More on background gauge transformations – linear representation of the gauge group

$$\delta_{\varepsilon}\varphi^{a} = R^{a}_{\ \alpha}(\varphi)\,\varepsilon^{\alpha} , \quad \delta_{\varepsilon}\phi^{a} = R^{a}_{\ \alpha}(\phi)\,\varepsilon^{\alpha}$$

$$\delta_{\varepsilon}(\varphi^{a} - \phi^{a}) = \frac{\delta R^{a}{}_{\alpha}}{\delta \varphi^{b}}(\varphi^{b} - \phi^{b})\varepsilon^{\alpha}$$

fundamental representation

$$\delta_{\varepsilon}\chi^{\alpha} \equiv \frac{\delta\chi^{\alpha}}{\delta\varphi^{a}}\delta_{\varepsilon}\varphi^{a} + \frac{\delta\chi^{\alpha}}{\delta\phi^{a}}\delta_{\varepsilon}\phi^{a} = -C^{\alpha}_{\ \beta\gamma}\chi^{\beta}\varepsilon^{\gamma}$$

adjoint representation

B.g.t. of sources:

$$\delta_{\varepsilon}\gamma_{a} = -\gamma_{b}R^{b}{}_{a\alpha}\varepsilon^{\alpha} , \quad \delta_{\varepsilon}\omega^{\alpha} = -C^{\alpha}{}_{\beta\gamma}\omega^{\beta}\varepsilon^{\gamma}$$
$$\delta_{\varepsilon}\zeta_{\alpha} = \zeta_{\beta}C^{\beta}{}_{\alpha\gamma}\varepsilon^{\gamma}, \quad \delta_{\varepsilon}\Omega^{\alpha} = R^{a}{}_{b\alpha}\Omega^{b}\varepsilon^{\alpha}$$
$$\int_{\varepsilon}\Psi_{0} = 0, \quad \delta_{\varepsilon}\Sigma_{0} = 0$$

Renormalization at a glance

generating
functional
$$W[J] = -\hbar \log \int d\Phi \exp \left[-\frac{1}{\hbar} (S[\Phi] + J\Phi) \right]$$

mean fields,
effective action $\langle \Phi \rangle = \frac{\delta W}{\delta J}$, $\Gamma[\langle \Phi \rangle] = W - J \langle \Phi \rangle$
subtraction $S_{\rm ren} = S_0[\Phi] - \hbar \Gamma_{\rm div}^{1-\rm loop}[\Phi] - \hbar^2 \Gamma_{\rm div}^{2-\rm loop}[\Phi] - \dots$
local Linearly realized global symmetries of S $real symmetries$ of Γ

But gauge symmetries must be broken by the gauge fixing

Remaining BRST invariance is non-linear:

$$\delta arphi = arphi \, \omega$$
 , but $\delta \langle arphi
angle
eq \langle arphi
angle$ mot a symmetry of Γ



Effective action:

$$W[\mathcal{J}] \to \Gamma\Big[\langle \Phi \rangle, \phi, \gamma, \zeta, \Omega\Big] = W - J_a(\langle \varphi^a \rangle - \phi^a) - \bar{\xi}_\alpha \langle \omega^\alpha \rangle - \xi^\alpha \langle \bar{\omega}_\alpha \rangle - y^\alpha \langle b_\alpha \rangle$$

mean field
$$\Gamma_{L-1} = \Sigma_0 + \sum_{l=1}^{\infty} \hbar^l \Gamma_{L-1}^{(l)}$$

$$\Gamma_{L-1}^{(l)} < \infty, \quad l \le L-1$$

finite

 $\Gamma_{L-1,\infty}^{(L)} \equiv \Gamma_{L,\infty}[\langle \Phi \rangle, \phi, \gamma, \zeta, \Omega] \quad \text{local} - \text{BPHZ technique}$



Main result

L-th order generating functional:

)

(1)

reparameterized fields

$$\exp\left\{-\frac{1}{\hbar}W_{L}[\mathcal{J}]\right\}$$

$$=\int d\Phi \exp\left\{-\frac{1}{\hbar}\left(\Sigma_{L}+J_{a}(\tilde{\varphi}_{L}^{a}-\phi^{a})+\bar{\xi}_{\alpha}\tilde{\omega}_{L}^{\alpha}+\xi^{\alpha}\bar{\omega}_{\alpha}+y^{\alpha}b_{\alpha}\right)\right\}$$

BRST structure of the renormalized action

$$\Sigma_L[\Phi,\phi,\gamma,\zeta,\Omega] = S_L[\varphi] + Q \Psi_L[\Phi,\phi,\gamma,\zeta,\Omega]$$

Renormalized gauge fermion

only original gauge field

$$\begin{split} \Psi_{L} &= \widehat{\Psi}_{L}[\varphi, \omega, \phi, \widehat{\gamma}, \zeta, \Omega] - \frac{1}{2} \overline{\omega}_{\alpha} O^{\alpha \beta}(\phi) b_{\beta} & \text{L-th loop order} \\ \widehat{\Psi}_{0} &= -\widehat{\gamma}_{a}(\varphi^{a} - \phi^{a}) + \zeta_{\alpha} \omega^{\alpha} & \text{tree level} \end{split}$$

Local reparameterization of quantum fields to composite operators including external sources

 $\tilde{\varphi}_L^a = \tilde{\varphi}_L^a(\varphi, \omega, \phi, \hat{\gamma}, \zeta, \Omega) \qquad \tilde{\omega}_L^\alpha = \tilde{\omega}_L^\alpha(\varphi, \omega, \phi, \hat{\gamma}, \zeta, \Omega)$

Gauge fermion is a generating function of the field redefinition

$$\tilde{\varphi}_L^a - \phi^a = -\frac{\delta \Psi_L}{\delta \gamma_a} , \qquad \tilde{\omega}_L^\alpha = \frac{\delta \Psi_L}{\delta \zeta_\alpha}$$

Applies to (non-renormalizable) **EFT** --nonlinear dependence on sources ξ and ζ

For renormalizable theories

$$\widehat{\Psi}_L = -\widehat{\gamma}_a U_L^{\ a}(\varphi, \phi) + \zeta_\alpha \omega^\beta V_{L\beta}^{\ \alpha}(\varphi, \phi) \qquad \text{linear in } \xi \text{ and } \zeta$$

 $\tilde{\varphi}_{L}^{a} = \phi^{a} + U_{L}^{\ a}(\varphi, \phi) , \quad \tilde{\omega}_{L}^{\alpha} = V_{L\beta}^{\ \alpha}(\varphi, \phi) \, \omega^{\beta} \quad \text{ independent of other sources}$



I think you should be a little more specific, here in Step 2

Slavnov-Taylor and Ward identities



Slavnov-Taylor and Ward identities for Γ

Decoupling of background fields in $\Gamma_{\rm div}$

L-th order renormalization:

ST identity for $\Gamma_{L,\infty} = \hat{\Gamma}_{L,\infty} \equiv \hat{\Gamma}_{\infty} [\varphi, \omega, \phi, \hat{\gamma}, \zeta, \Omega]$ $Q_{+}\hat{\Gamma}_{\infty} = \left[\frac{\delta\hat{\Gamma}_{0}}{\delta\hat{\gamma}}\frac{\delta}{\delta\varphi} + \frac{\delta\hat{\Gamma}_{0}}{\delta\varphi}\frac{\delta}{\delta\hat{\gamma}} + \frac{\delta\hat{\Gamma}_{0}}{\delta\zeta}\frac{\delta}{\delta\omega} + \frac{\delta\hat{\Gamma}_{0}}{\delta\omega}\frac{\delta}{\delta\zeta} + \Omega\frac{\delta}{\delta\phi}\right]\hat{\Gamma}_{\infty}$ $\equiv (\hat{\Gamma}_{0}, \hat{\Gamma}_{\infty}) + \Omega\frac{\delta\hat{\Gamma}_{\infty}}{\delta\phi} = 0$ nilpotent antibracket $(\hat{\Gamma}_{0}, (\hat{\Gamma}_{0}, X)) = 0$ $\hat{\Gamma}_{\infty} = \sum_{k}^{K} \Omega^{a_{1}} \dots \Omega^{a_{k}}\hat{\Gamma}_{\infty, \{k\}, [a_{1}, \dots, a_{k}]}$

K finite for any $L < \infty$!

Applies to nonrenormalizable EFT within gradient expansion

Truncate in number of derivatives + locality + fermionic statistics of $\varOmega \quad \bigcirc \quad 0 \leq k \leq K$



Structure of *A*

ST+W identities: $(q_0 + q_1) \Lambda = 0$

$$(q_0)^2 = (q_1)^2 = q_0 q_1 + q_1 q_0 = 0$$

$$q_0 = \frac{\delta S}{\delta \varphi^a} \frac{\delta}{\delta \hat{\gamma}_a} - \hat{\gamma}_a R^a{}_\alpha(\varphi) \frac{\delta}{\delta \zeta_\alpha}, \quad q_1 = -\frac{1}{2} C^{\gamma}{}_{\alpha\beta} \omega^\alpha \omega^\beta \frac{\delta}{\delta \omega^\gamma}$$

Kozhul-Tate differential has a trivial cohomology under the assumption of local completeness and irreducibility of gauge generators for $\Lambda |_{\omega=\hat{\gamma}=\zeta=0} = 0$ $\Lambda = \sum_{k=1}^{\infty} \omega^{\alpha_1} \dots \omega^{\alpha_k} \Lambda^{\{k\}}_{[\alpha_1,\dots,\alpha_k]}$ $\Gamma_{L,\infty} = S_L[\varphi] + Q_+ \Upsilon_L$ Batalin, Vilkovisky (1985) Henneaux (1991) Vandoren, Van Proeyen (1994) $q_0 X = 0, X |_{\omega=\hat{\gamma}=\zeta=0} = 0$ $\Rightarrow X = q_0 Y$ Iocal

L-th order subtraction and $Q_+ \rightarrow Q$ transition via field redefinition

$$\Sigma_{L}[\Phi,\phi,\gamma,\zeta,\Omega] = \Sigma_{L-1} - \hbar^{L}\Gamma_{L,\infty} + \mathcal{O}(\hbar^{L+1})$$

field redefinition $\Phi
ightarrow \Phi'$:

gauge invariant counterterm

$$\Sigma_{L}[\Phi,\phi,\gamma,\zeta,\Omega] = \left[S_{L-1} - \hbar^{L}S_{L} + Q\Psi_{L}\right]_{\Phi \to \Phi'}$$

gauge fermion renormalization at L-th order

$$\Psi_L = \Psi_{L-1} - \hbar^L \Upsilon_L$$

$$\varphi^a - \phi^a = -\frac{\delta \Psi_L(\Phi')}{\delta \gamma_a}, \quad \omega^\alpha = \frac{\delta \Psi_L(\Phi')}{\delta \zeta_\alpha}$$

Gauge fermion is a generating function of the field redefinition

Example: 2D O(N) gauge sigma model

$$S[\varphi] = \frac{1}{2g^2} \int d^2 x \left\{ \frac{1}{\varphi^2} \left[\delta_{ij} - \frac{\varphi_i \varphi_j}{\varphi^2} \right] \partial_\mu \varphi^i \partial^\mu \varphi^j \right\}, \quad i = 1, \dots N$$

Abelian gauge symmetry

$$\delta_{\varepsilon}\varphi^{i}(x) = \varphi^{i}(x)\,\varepsilon(x)$$

One-loop renormalization

$$S_{1}[\varphi] = \left(\frac{1}{2g^{2}} + \hbar \frac{N-2}{4\pi(2-d)}\right) \int d^{2}x \left\{\frac{1}{\varphi^{2}} \left[\delta_{ij} - \frac{\varphi_{i}\varphi_{j}}{\varphi^{2}}\right] \partial_{\mu}\varphi^{i}\partial^{\mu}\varphi^{j}\right\}$$
$$\varphi^{i} \mapsto \tilde{\varphi}_{1}^{i} = \varphi^{i} - \frac{\hbar}{4\pi(2-d)} \left[\frac{\phi^{2}(\varphi^{2} + \phi^{2})}{(\varphi \cdot \phi)^{2}}\varphi^{i} - \frac{2\varphi^{2}}{(\varphi \cdot \phi)}\phi^{i}\right], \quad (\varphi \cdot \phi) \equiv \varphi_{i}\phi^{i}$$
$$\underbrace{\text{essentially}}_{\text{nonlinear}}$$

Conclusions and Outlook

Background field method is not only a convenient calculational tool, but is also efficient for general analysis of the structure of renormalization

cf. Grassi (1996), Anselmi (2014)

- BRST structure (gauge invariance) is preserved by renormalization for non-anomalous theories whose gauge algebra:
 - i) has linear generators
 - ii) closes off-shell

can be relaxed (?)

- iii) is locally complete
- iv) is irreducible

can be relaxed

Generalizations: open algebras, supersymmetry, composite operators, anomalies

The power and beauty of nilpotent BRST charge

$$Q \to Q_{\text{ext}} = s + \Omega \frac{\delta}{\delta \phi} - J \frac{\delta}{\delta \gamma} + \bar{\xi} \frac{\delta}{\delta \zeta} + \xi \frac{\delta}{\delta y}, \qquad Q_{\text{ext}}^2 = 0$$
$$\Psi \to \Psi_{\text{ext}} \equiv \Psi + y\bar{\omega}$$
$$\Sigma \to \Sigma_{\text{ext}} = \Sigma - J \frac{\delta \Psi}{\delta \gamma} + \bar{\xi} \frac{\delta \Psi}{\delta \zeta} + \xi \bar{\omega} + yb = S + Q_{\text{ext}} \Psi_{\text{ext}}$$

$$e^{-W/\hbar} = \int d\Phi \, e^{-(S+Q_{\text{ext}}\Psi_{\text{ext}})/\hbar}$$



