

# BRST renormalization

Peter Lavrov

Tomsk State Pedagogical University

Dubna, SQS'11, 23 July 2011

Based on PL, I. Shapiro, Phys. Rev. D81, 2010

- Introduction
- BV-quantization: main features
- General gauge theories in curved space
- Renormalization in curved space
- Non-covariant gauges
- Conclusion

## Renormalization of Yang-Mills theories:

- Non-abelian gauge fields (Yang, Mills, 1951)
- Non-unitarity of S-matrix (Feynman, 1963)
- Faddeev-Popov quantization (Faddeev, Popov, 1967)
- Gauge invariant renormalization ('t Hooft, Veltman, 1971)
- Slavnov-Taylor identity (Taylor, 1971; Slavnov, 1972)
- BRST symmetry (Becchi, Rouet, Stora, 1975, Tyutin, 1975)
- Zinn-Justin equation (Zinn-Justin, 1977)

## Renormalization of general gauge theories

- Supergravity theories (Freedman, van Nieuwenhuizen, Ferrara, 1976; Deser, Zumino, 1976) → Gauge theories with open algebras
- Reducible gauge theories (Townsend, 1979)
- BV-quantization (Batalin, Vilkovisky, 1981, 1983)
- Gauge invariant renormalizability of general gauge theories (Voronov, Tyutin, 1982; Voronov, PL, Tyutin, 1982)

## Renormalization in curved space-time

Utiyama, DeWitt, 1962

*Renormalization of a classical gravitational field interacting with quantized matter fields*

Panangaden, 1981

*One Loop Renormalization of Quantum Electrodynamics in Curved Space-Time*

Toms, 1982,1983

*Renormalization of Interacting Scalar Field Theories in Curved Space-Time;*

*The Background - Field Method and the Renormalization of Nonabelian Gauge Theories in Curved Space-Time*

Buchbinder, 1984

*Renormalization Group Equations In Curved Space-Time*

## BV quantization: main features

[Batalin, Vilkovisky, 1981, 1983; Voronov, Tyutin, 1982; Voronov, PL, Tyutin, 1982]

### Initial action

The starting point of the BV method is a theory of fields

$A^i$  ( $i = 1, 2, \dots, n$ ) with Grassmann parities  $\varepsilon(A^i) = \varepsilon_i$ , for which the initial classical action  $S_0(A)$  is assumed to have at least one stationary point  $A_0^i$

$$S_{0,i}(A)|_{A_0} = 0$$

and to be regular in the neighborhood of  $A_0$ .

### Gauge invariance

$$\delta A^i = R_\alpha^i(A) \xi^\alpha$$

$$S_{0,i}(A) R_\alpha^i(A) = 0, \quad \alpha = 1, 2, \dots, m, \quad 0 < m < n, \quad \varepsilon(\xi^\alpha) = \varepsilon_\alpha.$$

Here  $\xi^\alpha$  are arbitrary functions of space-time coordinates, and  $R_\alpha^i(A)$  are generators of gauge transformations.

## Gauge algebra

$$R_{\alpha,j}^i R_{\beta}^j - (-1)^{\varepsilon_{\alpha}\varepsilon_{\beta}} R_{\beta,j}^i R_{\alpha}^j = -R_{\gamma}^i F_{\alpha\beta}^{\gamma} - S_{0,j} M_{\alpha\beta}^{ij},$$

where  $F_{\alpha\beta}^{\gamma} = F_{\alpha\beta}^{\gamma}(A)$  are structure functions with the following symmetry properties:

$$F_{\alpha\beta}^{\gamma}(A) = -(-1)^{\varepsilon_{\alpha}\varepsilon_{\beta}} F_{\beta\alpha}^{\gamma}(A)$$

and  $M_{\alpha\beta}^{ij} = M_{\alpha\beta}^{ij}(A)$  are satisfying the conditions

$$M_{\alpha\beta}^{ij}(A) = -(-1)^{\varepsilon_i\varepsilon_j} M_{\alpha\beta}^{ji}(A) = -(-1)^{\varepsilon_{\alpha}\varepsilon_{\beta}} M_{\beta\alpha}^{ij}(A).$$

## Configuration space

$$\phi^A = (A^i, \dots), \quad \varepsilon(\phi^A) = \varepsilon_A, \quad gh(A^i) = 0$$

## Antifields

$$\phi_A^* = (A_i^*, \dots), \quad \varepsilon(\phi_A^*) = \varepsilon_A + 1, \quad gh(\phi_A^*) = -1 - gh(\phi^A)$$

## Antibracket

$$(F, G) \equiv \frac{\delta F}{\delta \phi^A} \frac{\delta G}{\delta \phi_A^*} - (F \leftrightarrow G) (-1)^{(\varepsilon(F)+1) \cdot (\varepsilon(G)+1)} .$$

$$\varepsilon((F, G)) = \varepsilon(F) + \varepsilon(G) + 1;$$



## Properties of antibracket

### 1) Generalized antisymmetry

$$(F, G) = -(G, F)(-1)^{(\varepsilon(F)+1)(\varepsilon(G)+1)};$$

### 2) Leibniz rule

$$(F, GH) = (F, G)H + (F, H)G(-1)^{\varepsilon(G)\varepsilon(H)};$$

### 3) Generalized Jacobi identity

$$((F, G), H)(-1)^{(\varepsilon(F)+1)(\varepsilon(H)+1)} + \text{cycle}(F, G, H) \equiv 0.$$

### 4) Invariance under the *anticanonical* transformation generated by an odd functional $X = X(\phi, \phi^*)$ , $\varepsilon(X) = 1$ ,

$$\phi'^A = \frac{\delta X(\phi, \phi^{*'})}{\delta \phi_A^{*'}}, \quad \phi_A^* = \frac{\delta X(\phi, \phi^{*'})}{\delta \phi^A}.$$

## $\Delta$ -operator

$$\Delta = (-1)^{\varepsilon_A} \frac{\delta_l}{\delta\phi^A} \frac{\delta}{\delta\phi_A^*}, \quad \Delta^2 = 0, \quad \varepsilon(\Delta) = 1.$$

$$\Delta[F \cdot G] = (\Delta F) \cdot G + F \cdot (\Delta G)(-1)^{\varepsilon(F)} + (F, G)(-1)^{\varepsilon(F)}.$$

## Quantum master equation

$$\frac{1}{2}(S, S) = i\hbar\Delta S \quad \Leftrightarrow \quad \Delta \exp\left\{\frac{i}{\hbar}S\right\} = 0, \quad \varepsilon(S) = gh(S) = 0$$

$$S|_{\phi^*=\hbar=0} = S_0(A).$$

## Generating functional of Green's functions

$$Z(J) = \int d\phi \exp \left\{ \frac{i}{\hbar} [S_{eff}(\phi) + J_A \phi^A] \right\},$$

$$S_{eff}(\phi) = S\left(\phi, \phi^* = \frac{\delta\Psi}{\delta\phi}\right)$$

$\Psi = \Psi(\phi)$  is a fermionic gauge functional.

The gauge-fixing procedure can be described in terms of anticanonical transformation of the variables  $\phi, \phi^*$  in  $S(\phi, \phi^*)$  with the generating functional  $X$

$$X(\phi, \phi^*) = \phi_A^* \phi^A + \Psi(\phi).$$

## BRST symmetry

$$Z(J) = \int d\phi d\phi^* d\lambda \exp \left\{ \frac{i}{\hbar} \left[ S(\phi, \phi^*) + \left( \phi_A^* - \frac{\delta\Psi}{\delta\phi^A} \right) \lambda^A + J_A \phi^A \right] \right\}$$

$\lambda^A$  ( $\varepsilon(\lambda^A) = \varepsilon_A + 1$ ) are the auxiliary (Nakanishi-Lautrup) fields.

$$\delta\phi^A = \lambda^A \mu, \quad \delta\phi_A^* = \mu \frac{\delta S}{\delta\phi^A}, \quad \delta\lambda^A = 0.$$

## Gauge invariance of S-matrix

$$Z_{\Psi+\delta\Psi} = Z_{\Psi} \quad (Z_{\Psi} = Z(0))$$

## Ward identity

Extended generating functional of the Green functions

$$\mathcal{Z}(J, \phi^*) = \int d\phi \exp \left\{ \frac{i}{\hbar} [S_{ext}(\phi, \phi^*) + J_A \phi^A] \right\},$$

$$S_{ext}(\phi, \phi^*) = S\left(\phi, \phi^* + \frac{\delta\Psi}{\delta\phi}\right), \quad \frac{1}{2}(S_{ext}, S_{ext}) = i\hbar\Delta S_{ext}.$$

$$\mathcal{Z}(J, \phi^*)|_{\phi^*=0} = Z(J),$$

$$J_A \frac{\delta\mathcal{Z}}{\delta\phi_A^*} = 0$$

$$(\Gamma, \Gamma) = 0, \quad \Gamma = \Gamma(\phi, \phi^*)$$

$$\Gamma(\phi, \phi^*) = \frac{\hbar}{i} \ln \mathcal{Z}(J, \phi^*) - J_A \phi^A, \quad \phi^A = \frac{\hbar}{i\mathcal{Z}} \frac{\delta\mathcal{Z}}{\delta J_A}, \quad \frac{\delta\Gamma}{\delta\phi^A} = -J_A.$$

## Gauge dependence

$$\Psi \rightarrow \Psi + \delta\Psi$$

$$\delta\Gamma = (\langle \delta\Psi \rangle, \Gamma) = \frac{\delta\Gamma}{\delta\phi^A} \delta Y^A$$

## Gauge invariant renormalizability

$$\frac{1}{2}(S, S) = i\hbar\Delta S, \quad (\Gamma, \Gamma) = 0$$

$$S \rightarrow S_R, \quad \Gamma \rightarrow \Gamma_R$$

$$\frac{1}{2}(S_R, S_R) = i\hbar\Delta S_R, \quad (\Gamma_R, \Gamma_R) = 0$$

## Action

Consider a theory of gauge fields  $A^i$  in an external gravitational field  $g_{\mu\nu}$ .

$$S_0 = S_0(A, g)$$

## gauge invariance

$$S_{0,i} R_a^i = 0, \quad \delta A^i = R_a^i(A, g) \lambda^a, \quad \lambda^a = \lambda^a(x) \quad (a = 1, 2, \dots, n),$$

## general covariance

$$\delta_g S_0 = \frac{\delta S_0}{\delta A^i} \delta_g A^i + \frac{\delta S_0}{\delta g_{\mu\nu}} \delta_g g_{\mu\nu} = 0$$

$$\begin{aligned} \delta_g g_{\mu\nu} &= -g_{\mu\alpha} \partial_\nu \xi^\alpha - g_{\nu\alpha} \partial_\mu \xi^\alpha - \partial_\alpha g_{\mu\nu} \xi^\alpha = \\ &= -\nabla_\mu \xi_\nu - \nabla_\nu \xi_\mu \end{aligned}$$

$\xi^\alpha$  are the parameters of the coordinates transformation,  
 $\xi^\alpha = \xi^\alpha(x) \quad (\alpha = 1, 2, \dots, d)$ .

## Generating functional of the Green functions

$$\mathcal{Z}(J, \phi^*, g) = \int d\phi \exp \left\{ \frac{i}{\hbar} \left[ S_{ext}(\phi, \phi^*, g) + J_A \phi^A \right] \right\}$$

$$S_{ext}(\phi, \phi^*, g) = S \left( \phi, \phi^* + \frac{\delta \Psi(\phi, g)}{\delta \phi}, g \right)$$

$$(S, S) = 0, \quad S(\phi, \phi^*, g)|_{\phi^*=0} = S_0(A, g)$$

$$(S_{ext}, S_{ext}) = 0.$$



## General covariance

We assume the general covariance of  $S = S(\phi, \phi^*, g)$ , under arbitrary local transformations of coordinates  $x^\mu \rightarrow x^\mu + \xi^\mu(x)$ .

$$\delta_g S(\phi, \phi^*, g) = \frac{\delta S}{\delta \phi^A} \delta_g \phi^A + \delta_g \phi^*_A \frac{\delta S}{\delta \phi^*_A} + \frac{\delta S}{\delta g_{\mu\nu}} \delta_g g_{\mu\nu} = 0$$

Let us choose the gauge fixing functional  $\Psi = \Psi(\phi, g)$  in a covariant form

$$\delta_g \Psi = 0,$$

then the quantum action  $S_{ext} = S_{ext}(\phi, \phi^*, g)$  obeys the general covariance too

$$\delta_g S_{ext} = 0.$$

## General covariance of generating functionals

$$\delta_g(J_A\phi^A) = (\delta_g J_A)\phi^A + J_A(\delta_g\phi^A) = 0,$$

$$\delta_g\mathcal{Z}(J, \phi^*, g) = 0$$

$$\delta_g\Gamma(\phi, \phi^*, g) = 0.$$

## General covariance

The next step is to prove the general covariance for renormalized generating functionals.

$$\Gamma = S_{ext} + \bar{\Gamma}^{(1)} = S_{ext} + \hbar [\bar{\Gamma}_{div}^{(1)} + \bar{\Gamma}_{fin}^{(1)}] + O(\hbar^2),$$

$\bar{\Gamma}_{div}^{(1)}$  and  $\bar{\Gamma}_{fin}^{(1)}$  denote the divergent and finite parts of the one-loop approximation for  $\Gamma$ .

$$S_{ext} \rightarrow S_{ext1} = S_{ext} - \hbar \bar{\Gamma}_{div}^{(1)}.$$

$$\delta_g [\bar{\Gamma}_{div}^{(1)} + \bar{\Gamma}_{fin}^{(1)}] = 0 \quad \rightarrow \quad \delta_g \bar{\Gamma}_{div}^{(1)} = 0, \quad \delta_g \bar{\Gamma}_{fin}^{(1)} = 0$$

The one-loop renormalized action  $S_{ext1}$  (i.e., classical action, renormalized at the one-loop level) is covariant

$$\delta_g S_{ext1} = 0.$$

Constructing the generating functional of one-loop renormalized Green functions  $\mathcal{Z}_1(J, \phi^*, g)$ , with the action  $S_{ext1} = S_{ext1}(\phi, \phi^*, g)$ , we arrive at the relation

$$\delta_g \mathcal{Z}_1 = 0, \quad \delta_g \Gamma_1 = 0.$$

The generating functional of vertex functions  $\Gamma_1 = \Gamma_1(\phi, \phi^*, g)$  which is finite in the one-loop approximation, can be presented in the form

$$\Gamma_1 = S_{ext} + \hbar \bar{\Gamma}_{fin}^{(1)} + \hbar^2 [\bar{\Gamma}_{1,div}^{(2)} + \bar{\Gamma}_{1,fin}^{(2)}] + O(\hbar^3).$$

This functional contains a divergent part  $\bar{\Gamma}_{1,div}^{(2)}$  and defines renormalization of the action  $S_{ext}$  in the two-loop approximation

$$S_{ext} \rightarrow S_{ext2} = S_{ext1} - \hbar^2 \bar{\Gamma}_{1,div}^{(2)}.$$

$$\delta_g \bar{\Gamma}_{1,div}^{(2)} = 0, \quad \delta_g \bar{\Gamma}_{1,fin}^{(2)} = 0 \quad \delta_g S_{ext2} = 0.$$

Applying the induction method we arrive at the following results:

**a)** The full renormalized action,

$$S_{extR} = S_{ext} - \sum_{n=1}^{\infty} \hbar^n \bar{\Gamma}_{n-1,div}^{(n)},$$

which is local in each finite order in  $\hbar$ , obeys the general covariance

$$\delta_g S_{extR} = 0;$$

**b)** The renormalized generating functional of vertex functions

$$\Gamma_R = S_{ext} + \sum_{n=1}^{\infty} \hbar^n \bar{\Gamma}_{n-1,fin}^{(n)},$$

which is finite in each finite order in  $\hbar$ , is covariant

$$\delta_g \Gamma_R = 0.$$

Let us investigate the problem of general covariant renormalizability for general gauge theories in the presence of an external gravitational field, when one uses non-covariant gauge fixing functional  $\Psi = \Psi(\phi, g)$ ,

$$\delta_g \Psi \neq 0.$$

Non-covariance of  $S_{ext}$  can be described in the form of anticanonical infinitesimal transformation with the odd generating functional

$$X(\phi, \phi^*, g) = \phi_A^* \phi^A + \delta_g \Psi(\phi, g),$$

$$\Phi^A = \frac{\delta X(\phi', \phi^*, g)}{\delta \phi_A^*} = \Phi^{A'}, \quad \phi_A^{*'} = \frac{\delta X(\phi', \phi^*, g)}{\delta \phi_A'} = \phi_A^* + \frac{\delta \delta_g \Psi}{\delta \phi^A},$$

$$\delta_g S_{ext} = \frac{\delta \delta_g \Psi}{\delta \phi^A} \frac{\delta S_{ext}}{\delta \phi_A^*} = (\delta_g \Psi, S_{ext}).$$

$$\begin{aligned} \delta_g \mathcal{Z} &= \frac{i}{\hbar} J_A \frac{\delta}{\delta \phi_A^*} \delta_g \Psi \left( \frac{\hbar}{i} \frac{\delta}{\delta J}, g \right) \mathcal{Z}, \\ \delta_g \Gamma &= (\langle \langle \delta_g \Psi \rangle \rangle, \Gamma), \\ \langle \langle \delta_g \Psi \rangle \rangle &= \delta_g \Psi \left( \phi + i\hbar(\Gamma'')^{-1} \frac{\delta_l}{\delta \phi}, g \right), \\ \Gamma''_{AB} &= \frac{\delta_l}{\delta \phi^A} \frac{\delta}{\delta \phi^B} \Gamma. \end{aligned}$$

## Non-covariant gauges

These results can be immediately reproduced in the renormalized theory. The corresponding variation of renormalized action  $\delta_g S_{extR}$  can be presented in the form

$$\delta_g S_{extR} = (\delta_g \Psi_R, S_{extR})$$

of the anticanonical transformation with local generating functional  $X = \phi_A^* \phi^A + \delta_g \Psi_R$ ,

$$\delta_g \Psi_R(\phi, \phi^*, g) = \delta_g \Psi(\phi, g) - \sum_{n=1}^{\infty} \hbar^n \delta_g \Psi_{n-1, div}^{(n)}(\phi, \phi^*, g),$$

while the variation of renormalized vertex generating functional  $\delta_g \Gamma_R$  has the form

$$\delta_g \Gamma_R = (\langle\langle \delta_g \Psi_R \rangle\rangle_R, \Gamma_R),$$

which corresponds to finite anticanonical transformation with generating function

$$X = \phi_A^* \phi^A + \langle\langle \delta_g \Psi_R \rangle\rangle_R, \quad \langle\langle \delta_g \Psi_R \rangle\rangle_R = \delta_g \Psi(\phi, g) + \sum_{n=1}^{\infty} \hbar^n \delta_g \Psi_{n-1, fin}^{(n)}.$$



The interpretation of these relations is that the theory with external gravitational field may have non-covariance in the renormalized effective action, but it comes only from the possible non-covariance of the arguments. Therefore, the violation of the general coordinate symmetry which can occur because of the non-covariant gauge-fixing can be always included into the arguments.

It is proved that for general gauge theories in covariant gauges

- the full renormalized action,  $S_{extR}$  being local in each finite order in  $\hbar$ , obeys the general covariance and
- the renormalized generating functional of vertex functions  $\Gamma_R$  which is finite in each finite order in  $\hbar$ , is covariant.
- It is proved that in non-covariant gauges the gauge dependence of the full renormalized action,  $S_{extR}$ , and the renormalized generating functional of vertex functions  $\Gamma_R$  is described in terms of anticanonical transformations of variables of configuration space.

**Thank you!**