

# EFFECTIVE FIELD THEORIES FOR HOT AND DENSE MATTER (I)

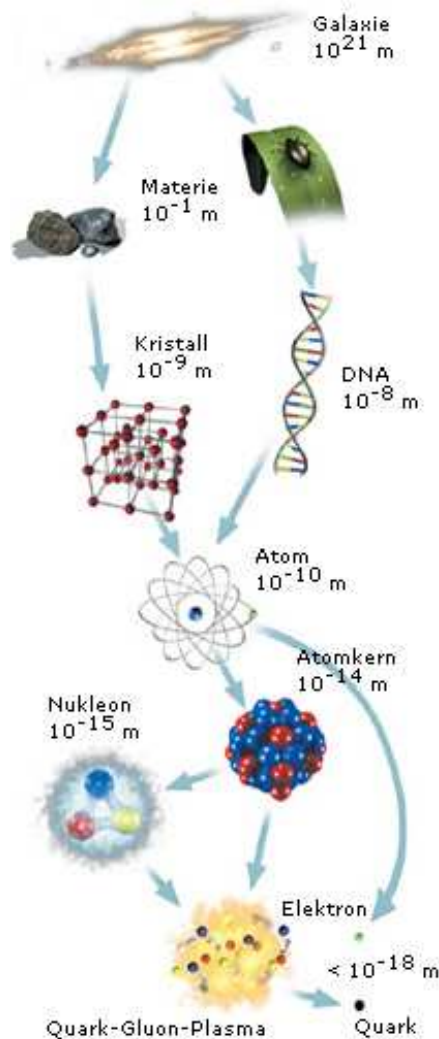
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- **Introduction:** Many-particle Systems and Quantum Field Theory
- **Partition function for QCD:** Lattice Simulations vs. Resonance Gas
- **Bound states and Mott effect, Color superconductivity**
  - Heavy Quarkonia - Schrödinger Equation
  - Chiral quark model - Color superconductivity
  - Pions, Kaons, D-mesons - Chiral Quark Model
- **Application 1:**  $J/\psi$  suppression in Heavy-Ion Collisions
- **Application 2:** Quark Matter in Compact Stars
- **Summary / Outlook to Lecture II**

# MANY PARTICLE SYSTEMS & QUANTUM FIELD THEORY



Elements

Bound states

System

humans, animals

couples, groups, parties

society

molecules, crystals

(bio)polymers

animals, plants

atoms

molecules, clusters, crystals

solids, liquids, ...

ions, electrons

atoms

plasmas

nucleons, mesons

nuclei

nuclear matter

quarks, anti-quarks

nucleons, mesons

quark matter

Highly Compressed Matter  $\Leftrightarrow$  **Pauli Principle**

$$\text{Partition function: } Z = \text{Tr} \left\{ e^{-\beta(H - \mu_i Q_i)} \right\}$$

# PARTITION FUNCTION FOR QUANTUM CHROMODYNAMICS (QCD)

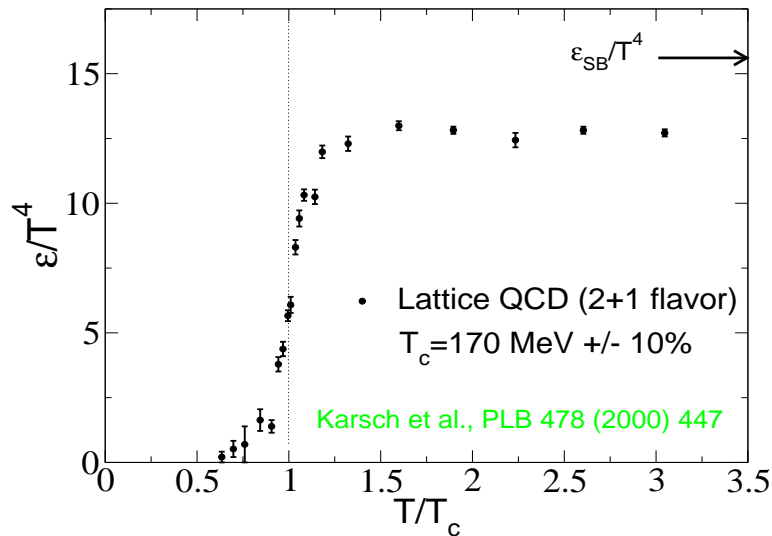
- Partition function as a Path Integral (imaginary time  $\tau = i t, 0 \leq \tau \leq \beta = 1/T$ )  $\Rightarrow$  PS I

$$Z[T, V, \mu] = \int \mathcal{D}\bar{\psi}\mathcal{D}\psi\mathcal{D}A \exp \left\{ - \int_0^\beta d\tau \int_V d^3x \mathcal{L}_{QCD}(\psi, \bar{\psi}, A) \right\}$$

- QCD Lagrangian, non-Abelian gluon field strength:  $F_{\mu\nu}^a(A) = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + g f^{abc}[A_\mu^b, A_\nu^c]$

$$\mathcal{L}_{QCD}(\psi, \bar{\psi}, A) = \bar{\psi}[i\gamma^\mu(\partial_\mu - igA_\mu) - m - \gamma^0\mu]\psi - \frac{1}{4}F_{\mu\nu}^a(A)F^{a,\mu\nu}(A)$$

- Numerical evaluation: Lattice gauge theory simulations (Bielefeld group)



- Equation of state:  $\epsilon(T) = -\partial \ln Z[T, V, \mu] / \partial \beta$

- Phase transition at  $T_c = 170$  MeV

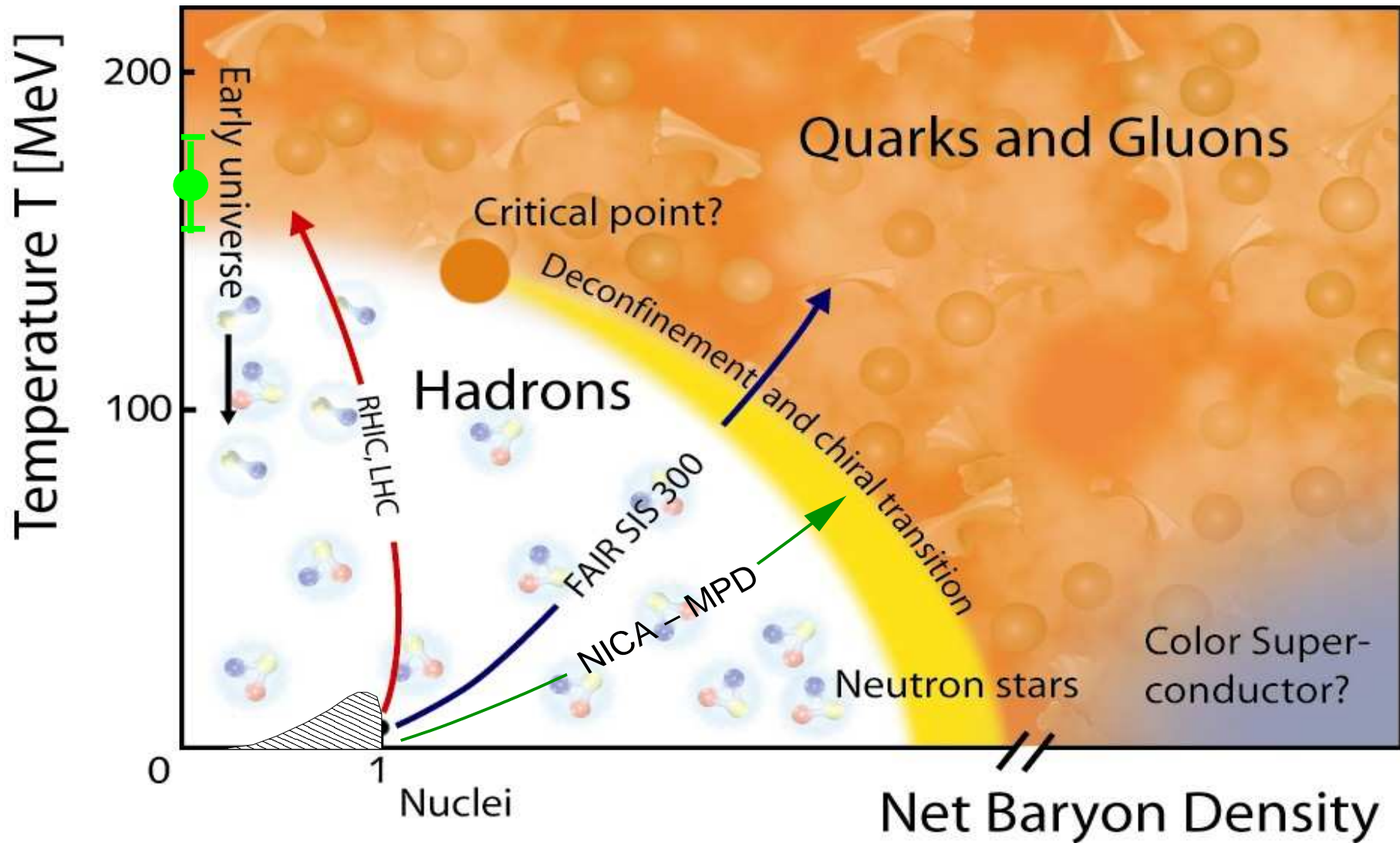
- **Problem:** Interpretation ?

$$\epsilon/T^4 = \frac{\pi^2}{30} N_\pi \sim 1 \quad (\text{ideal pion gas})$$

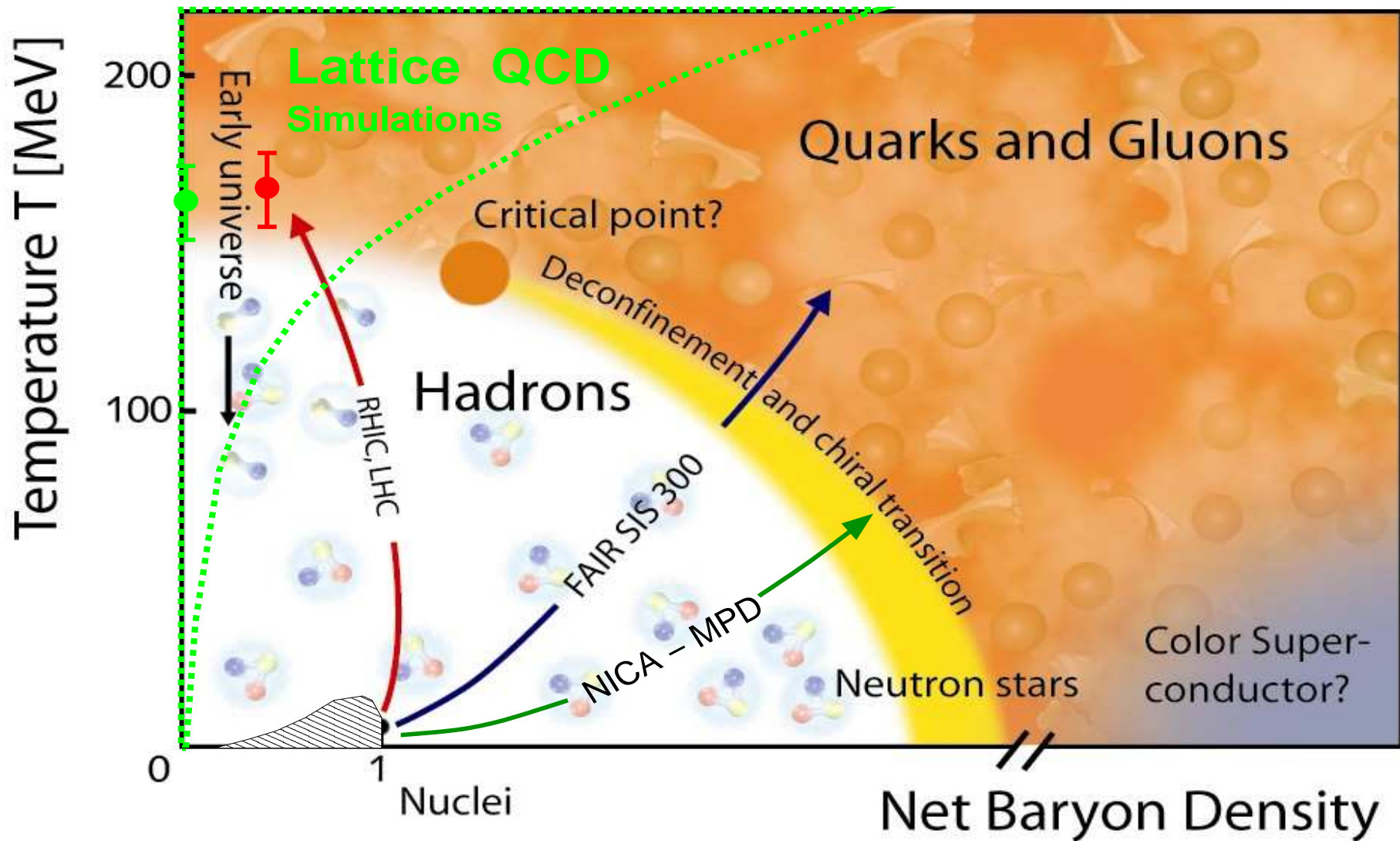
$$\epsilon/T^4 = \frac{\pi^2}{30} (N_G + \frac{7}{8} N_Q) \sim 15.6 \quad (\text{quarks and gluons})$$

$\Rightarrow$  Lombardo (DM 3, 7)

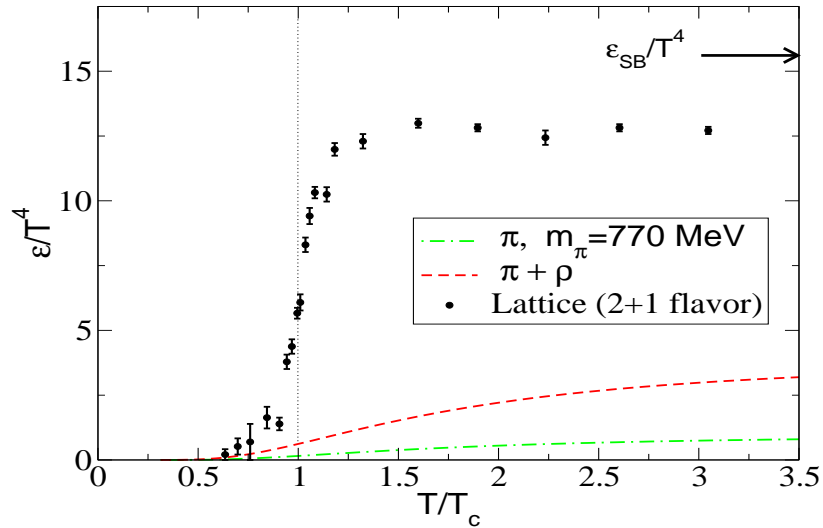
# PHASE DIAGRAM OF QCD: LATTICE SIMULATIONS



# PHASE DIAGRAM OF QCD: LATTICE SIMULATIONS



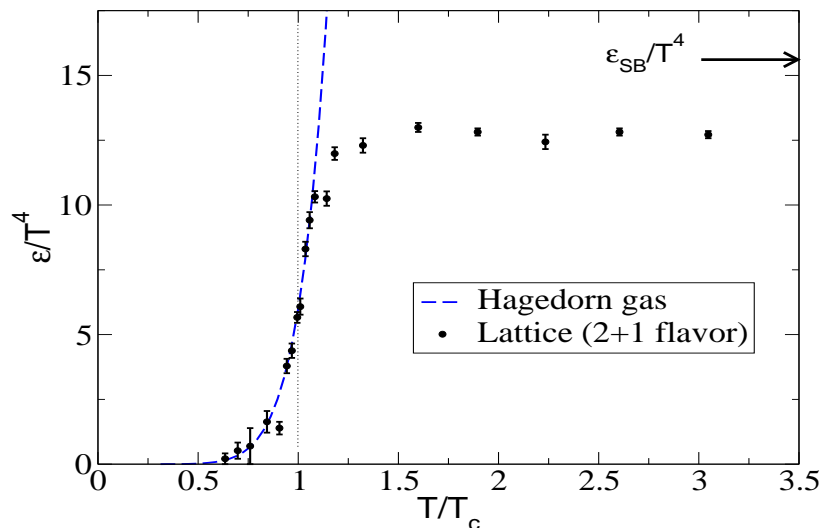
# LATTICE QCD EoS VS. RESONANCE GAS



Ideal hadron gas mixture ...

$$\varepsilon(T) = \sum_{i=\pi,\rho,\dots} g_i \int \frac{d^3p}{(2\pi)^3} \frac{\sqrt{p^2 + m_i^2}}{\exp(\sqrt{p^2 + m_i^2}/T) + \delta_i}$$

missing degrees of freedom below and above  $T_c$



Resonance gas ...

Karsch, Redlich, Tawfik, Eur.Phys.J. C29, 549 (2003)

$$\varepsilon(T) = \sum_{i=\pi,\rho,\dots} \varepsilon_i(T) + \sum_{r=M,B} g_r \int dm \rho(m) \int \frac{d^3p}{(2\pi)^3} \frac{\sqrt{p^2 + m^2}}{\exp(\sqrt{p^2 + m^2}/T) + \delta_r}$$

$\rho(m) \sim m^\beta \exp(m/T_H)$  ... Hagedorn Massenspektrum

too many degrees of freedom above  $T_c$

# LATTICE QCD EoS AND MOTT-HAGEDORN GAS

$$\varepsilon_R(T, \{\mu_j\}) = \sum_{i=\pi, K, \dots} \varepsilon_i(T, \{\mu_i\}) + \sum_{r=M, B} g_r \int_{m_r} dm \int ds \rho(m) A(s, m; T) \int \frac{d^3 p}{(2\pi)^3} \frac{\sqrt{p^2 + s}}{\exp\left(\frac{\sqrt{p^2 + s} - \mu_r}{T}\right) + \delta_r}$$

Hagedorn mass spectrum:  $\rho(m)$

Spectral function for heavy resonances:

$$A(s, m; T) = N_s \frac{m \Gamma(T)}{(s - m^2)^2 + m^2 \Gamma^2(T)}$$

Ansatz with **Mott effect** at  $T = T_H = 180$  MeV:

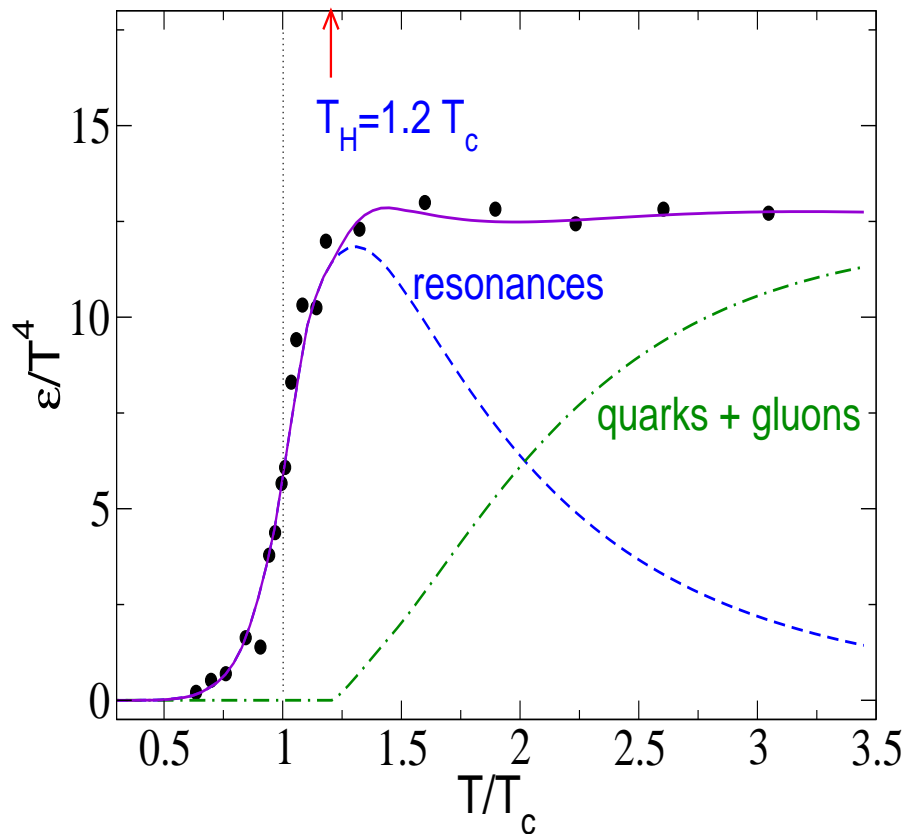
$$\Gamma(T) = B \Theta(T - T_H) \left(\frac{m}{T_H}\right)^{2.5} \left(\frac{T}{T_H}\right)^6 \exp\left(\frac{m}{T_H}\right)$$

No width below  $T_H$ : Hagedorn resonance gas  
Apparent phase transition at  $T_c \sim 150$  MeV

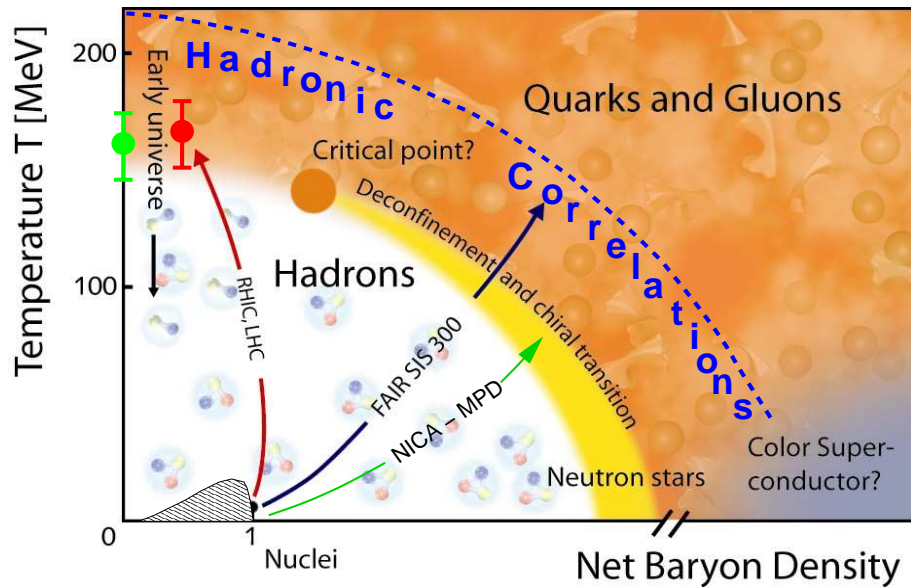
Blaschke & Bugaev, *Fizika* B13, 491 (2004)

*Prog. Part. Nucl. Phys.* 53, 197 (2004)

Blaschke & Yudichev, in preparation



# HADRONIC CORRELATIONS ABOVE $T_c$ : LATTICE QCD



Hadron correlators  $G_H \Rightarrow$  spectral densities  $\rho_H(\omega, T)$

$$G_H(\tau, T) = \int_0^\infty d\omega \rho_H(\omega, T) \frac{\cosh(\omega(\tau - T/2))}{\sinh(\omega/2T)}$$

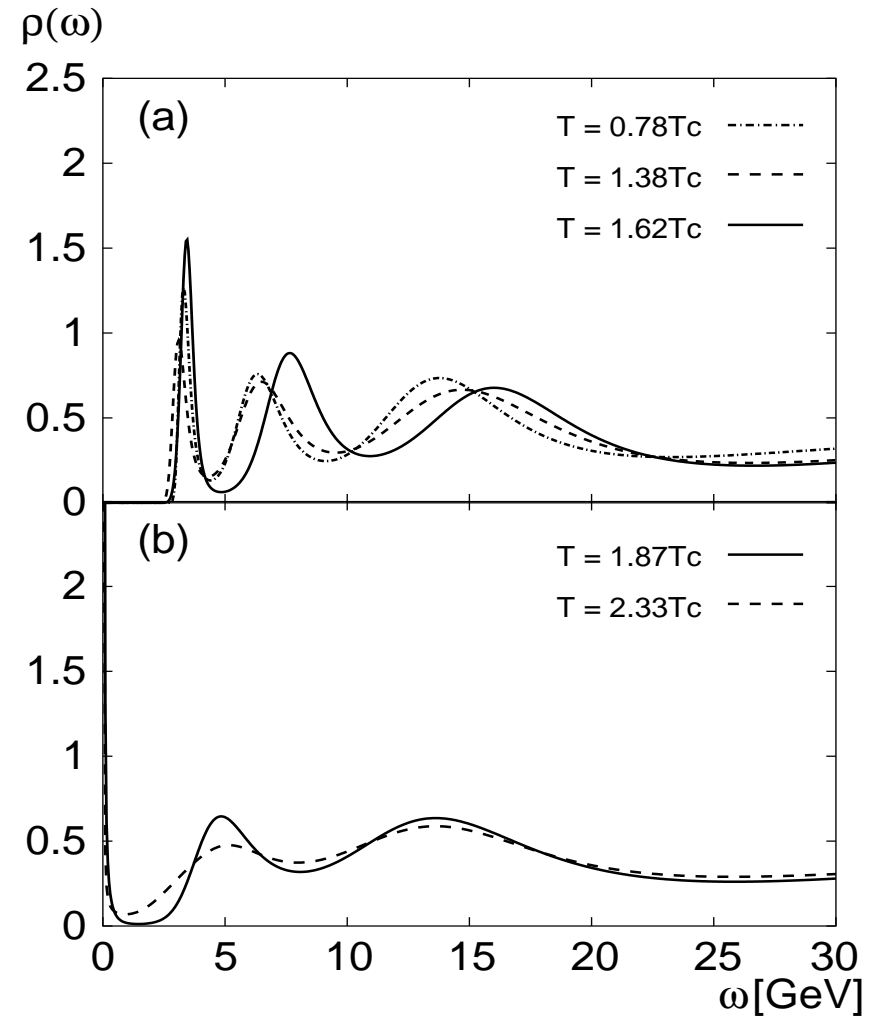
Maximum entropy method

Karsch et al. PLB 530 (2002) 147

Result:

Correlations persist above  $T_c$  !

Karsch et al. NPA 715 (2003)

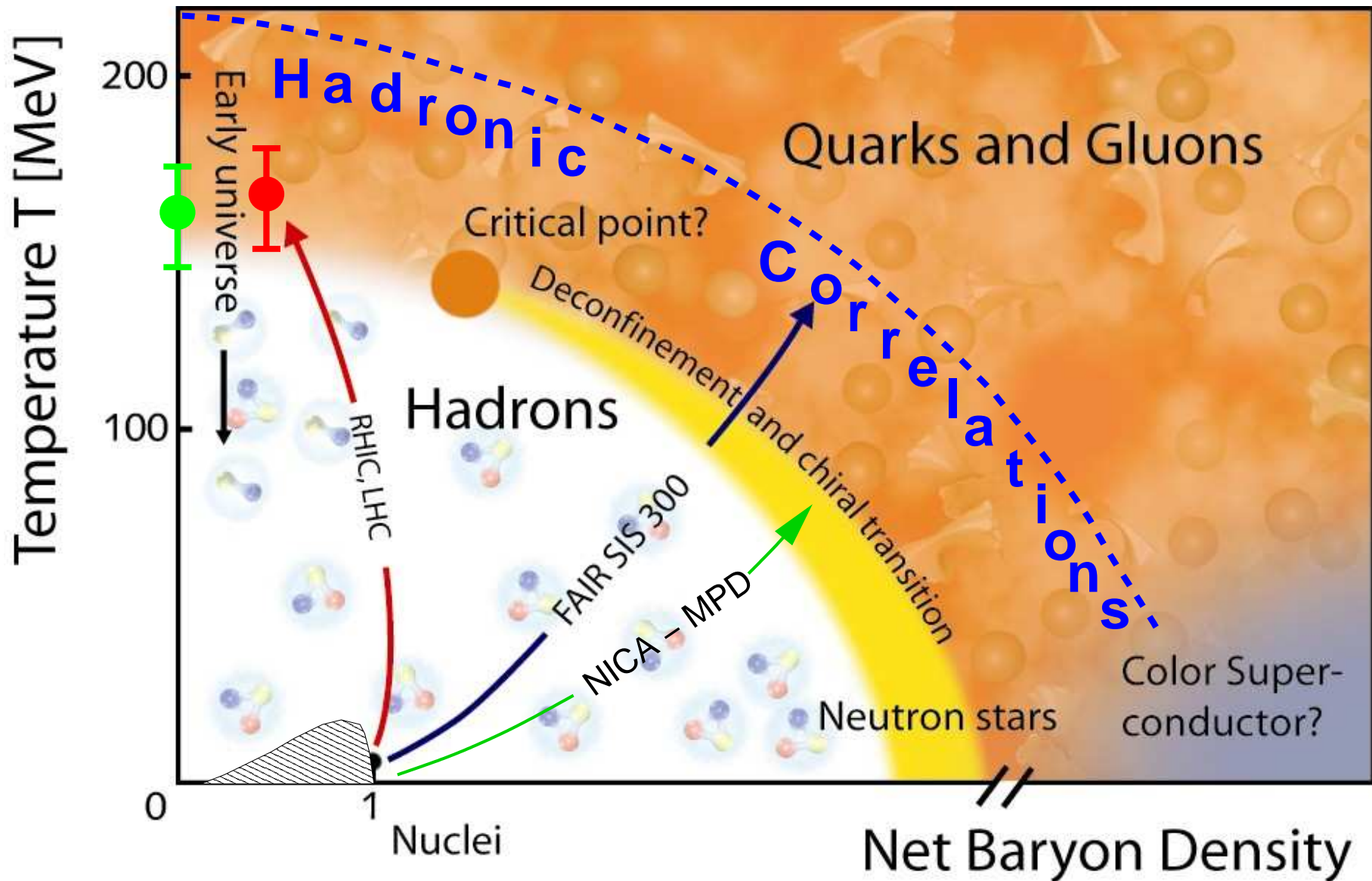


$J/\psi$  and  $\eta_c$  survive up to  $T \sim 1.6T_c$

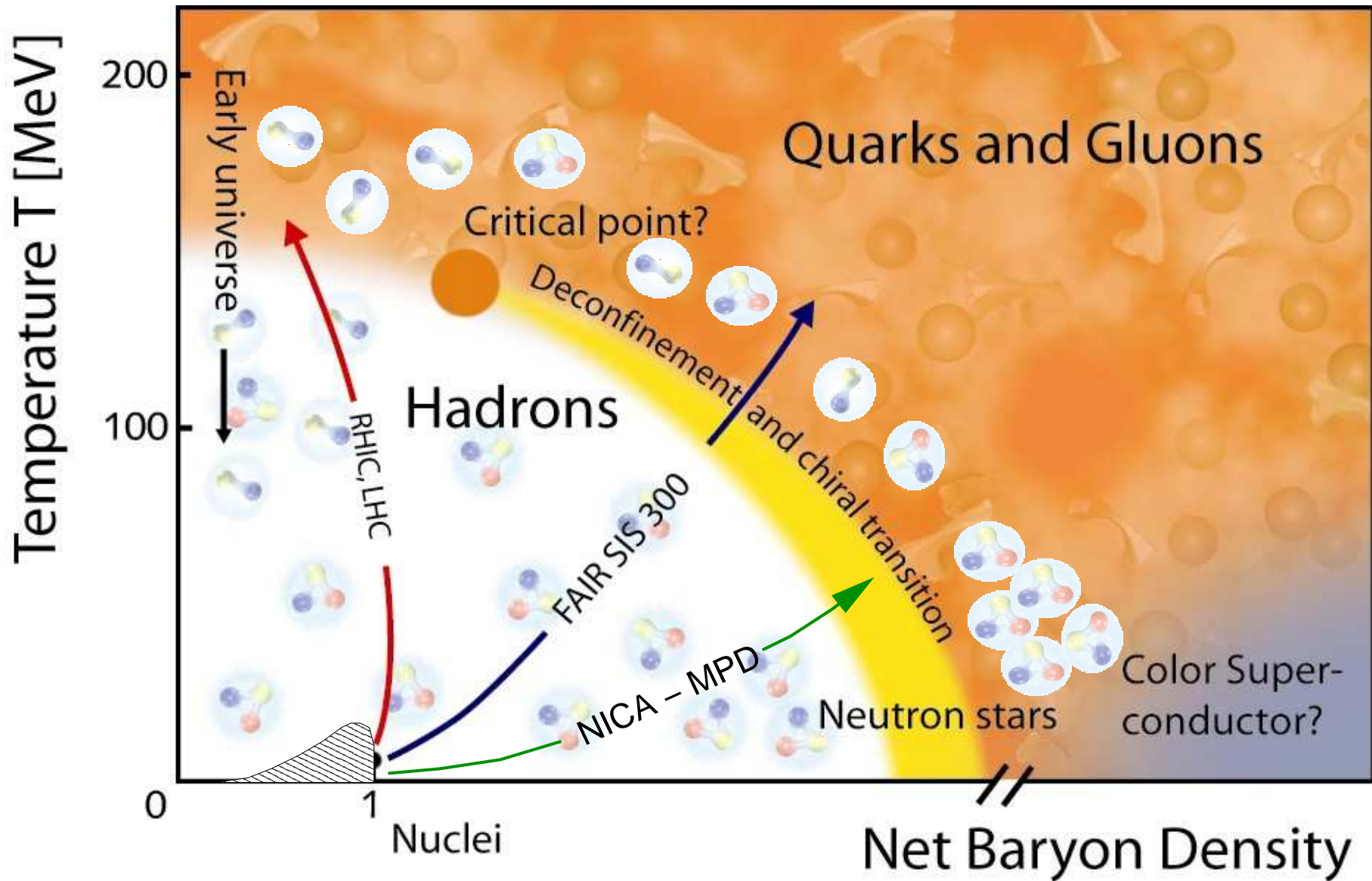
Asakawa, Hatsuda; PRL 92 (2004) 012001



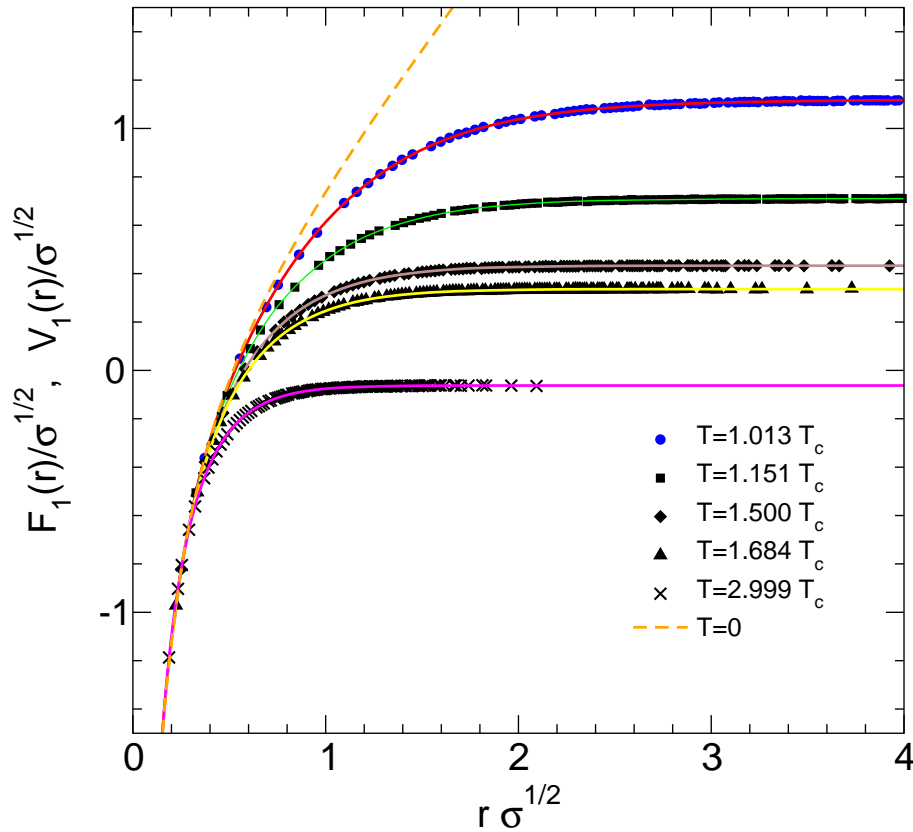
# HADRONIC CORRELATIONS IN THE PHASE DIAGRAM OF QCD



# HADRONIC CORRELATIONS IN THE PHASE DIAGRAM OF QCD



# HEAVY QUARK POTENTIAL FROM LATTICE QCD



Blaschke, Kaczmarek, Laermann, Yudichev,  
EPJC 43, 81 (2005); [hep-ph/0505053]

Color-singlet free energy  $F_1$  in quenched QCD

$$\langle \text{Tr}[L(0)L^\dagger(r)] \rangle = \exp[-F_1(r)/T]$$

Long- and short- range parts

$$F_1(r, T) = F_{1,\text{long}}(r, T) + V_{1,\text{short}}(r)e^{-(\mu(T)r)^2}$$

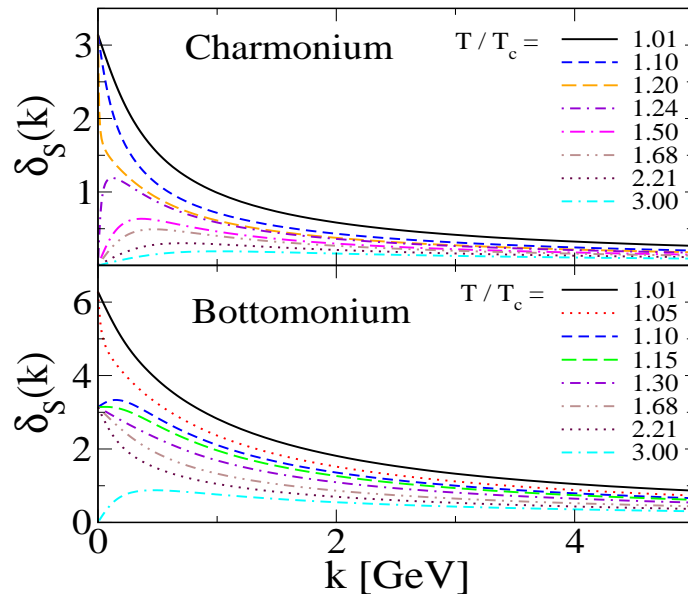
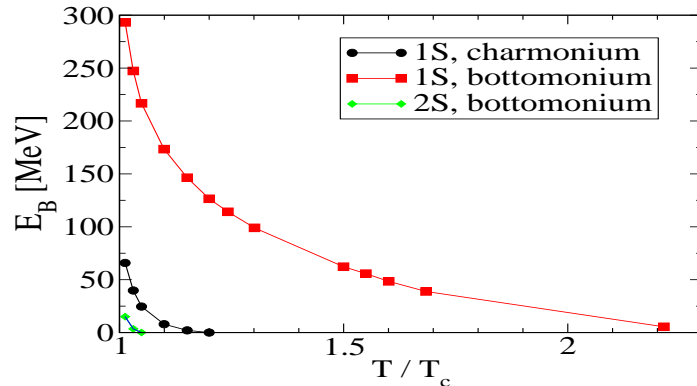
$F_{1,\text{long}}(r, T)$  = 'screened' confinement pot.

$$V_{1,\text{short}}(r) = -\frac{4\alpha(r)}{3r}, \quad \alpha(r) = \text{running coupl.} \quad (1)$$

Quarkonium ( $Q\bar{Q}$ )	1S	1P <sub>1</sub>	2S
Charmonium ( $c\bar{c}$ )	J/ψ(3097)	χ <sub>c1</sub> (3510)	ψ'(3686)
Bottomonium ( $b\bar{b}$ )	Υ(9460)	χ <sub>b1</sub> (9892)	Υ'(10023)

⇒ Wong (DM 2, 5); Lombardo (DM 3, 7)

# SCHROEDINGER EQN: BOUND & SCATTERING STATES



Quarkonia **bound states** at finite  $T$ :

$$[-\nabla^2/m_Q + V_{\text{eff}}(r, T)]\psi(r, T) = E_B(T)\psi(r, T)$$

Binding energy vanishes  $E_B(T_{\text{Mott}}) = 0$ : **Mott effect**

**Scattering states:**

$$\frac{d\delta_S(k, r, T)}{dr} = -\frac{m_Q V_{\text{eff}}}{k} \sin(kr + \delta_S(k, r, T))$$

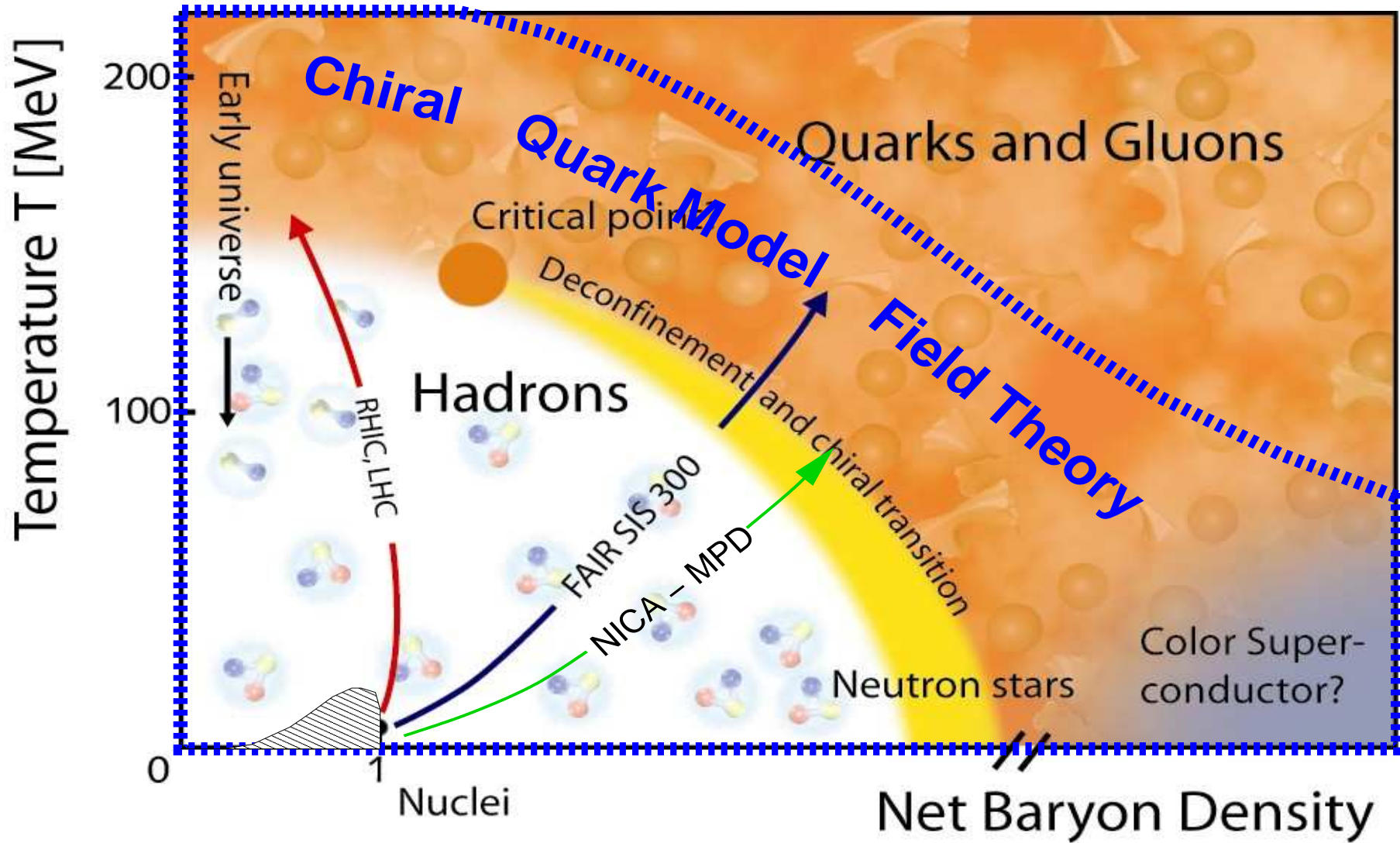
**Levinson theorem:**

Phase shift at threshold jumps by  $\pi$  when bound state  $\rightarrow$  resonance at  $T = T_{\text{Mott}}$

Blaschke, Kaczmarek, Laermann, Yudichev  
EPJC 43, 81 (2005); [hep-ph/0505053]

$\Rightarrow$  Wong (DM 2, 5)

# PHASE DIAGRAM OF QCD: CHIRAL MODEL FIELD THEORIES



# CHIRAL MODEL FIELD THEORY FOR QUARK MATTER

- Partition function as a Path Integral (imaginary time  $\tau = i t$ )

$$Z[T, V, \mu] = \int \mathcal{D}\bar{\psi} \mathcal{D}\psi \exp \left\{ - \int^{\beta} d\tau \int_V d^3x [\bar{\psi}(i\gamma^\mu \partial_\mu - m - \gamma^0 \mu)\psi - \mathcal{L}_{\text{int}}] \right\}$$

- Current-current interaction (4-Fermion coupling)

$$\mathcal{L}_{\text{int}} = \sum_{M=\pi,\sigma,\dots} G_M (\bar{\psi} \Gamma_M \psi)^2 + \sum_D G_D (\bar{\psi}^C \Gamma_D \psi)^2$$

- Bosonization (Hubbard-Stratonovich Transformation)

$$Z[T, V, \mu] = \int \mathcal{D}M_M \mathcal{D}\Delta_D^\dagger \mathcal{D}\Delta_D \exp \left\{ - \sum_M \frac{M_M^2}{4G_M} - \sum_D \frac{|\Delta_D|^2}{4G_D} + \frac{1}{2} \text{Tr} \ln S^{-1}[\{M_M\}, \{\Delta_D\}] \right\}$$

- Collective (stochastic) fields: Mesons ( $M_M$ ) and Diquarks ( $\Delta_D$ )
- Systematic evaluation: **Mean fields** + **Fluctuations**
  - Mean-field approximation: **order parameters** for phase transitions (gap equations)
  - Lowest order fluctuations: **hadronic correlations** (bound & scattering states)
  - Higher order fluctuations: hadron-hadron **interactions**

## NJL MODEL FOR NEUTRAL 3-FLAVOR QUARK MATTER

Thermodynamic Potential  $\Omega(T, \mu) = -T \ln Z[T, \mu]$

$$\Omega(T, \mu) = \frac{\phi_u^2 + \phi_d^2 + \phi_s^2}{8G_S} + \frac{|\Delta_{ud}|^2 + |\Delta_{us}|^2 + |\Delta_{ds}|^2}{4G_D} - T \sum_n \int \frac{d^3p}{(2\pi)^3} \frac{1}{2} \text{Tr} \ln \left( \frac{1}{T} S^{-1}(i\omega_n, \vec{p}) \right) + \Omega_e - \Omega_0.$$

Inverse Nambu – Gorkov Propagator  $S^{-1}(i\omega_n, \vec{p}) = \begin{bmatrix} \gamma_\mu p^\mu - M(\vec{p}) + \mu\gamma^0 & \hat{\Delta}(\vec{p}) \\ \hat{\Delta}^\dagger(\vec{p}) & \gamma_\mu p^\mu - M(\vec{p}) - \mu\gamma^0 \end{bmatrix},$

$$\hat{\Delta}(\vec{p}) = i\gamma_5 \epsilon_{\alpha\beta\gamma} \epsilon_{ijk} \Delta_{k\gamma} g(\vec{p}) \quad ; \quad \Delta_{k\gamma} = 2G_D \langle \bar{q}_{i\alpha} i\gamma_5 \epsilon_{\alpha\beta\gamma} \epsilon_{ijk} g(\vec{q}) q_{j\beta}^C \rangle.$$

Fermion Determinant (Tr ln D = ln det D):  $\ln \det[\beta S^{-1}(i\omega_n, \vec{p})] = 2 \sum_{a=1}^{18} \ln \{ \beta^2 [\omega_n^2 + \lambda_a(\vec{p})^2] \} .$

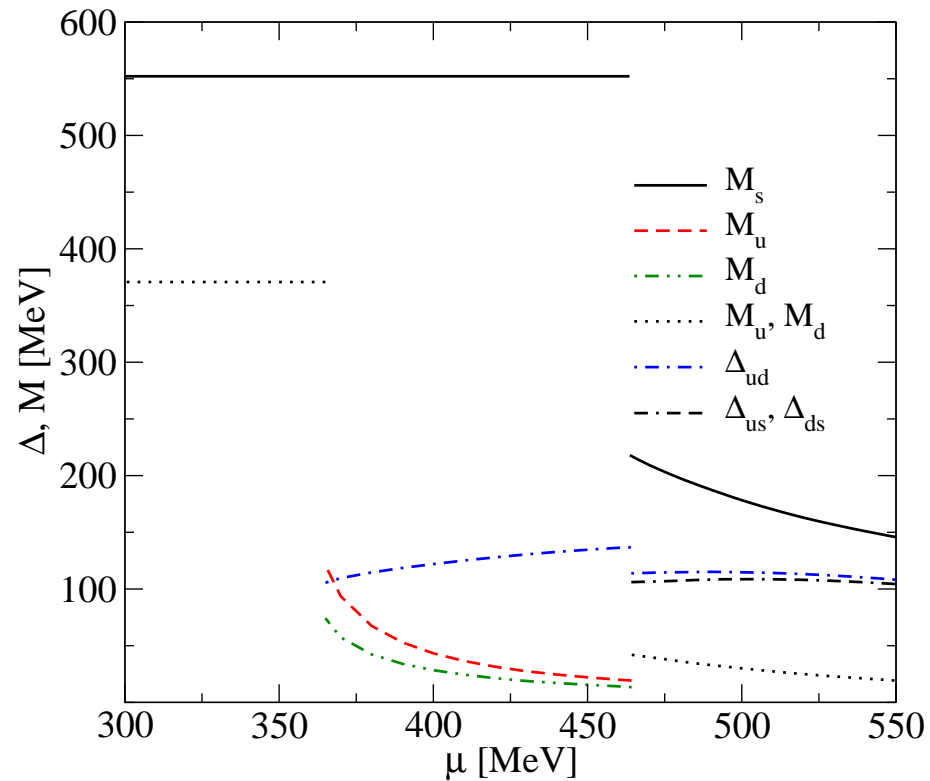
Result for the thermodynamic Potential (Meanfield approximation)

$$\Omega(T, \mu) = \frac{\phi_u^2 + \phi_d^2 + \phi_s^2}{8G_S} + \frac{|\Delta_{ud}|^2 + |\Delta_{us}|^2 + |\Delta_{ds}|^2}{4G_D} - \int \frac{d^3p}{(2\pi)^3} \sum_{a=1}^{18} \left[ \lambda_a + 2T \ln \left( 1 + e^{-\lambda_a/T} \right) \right] + \Omega_e - \Omega_0.$$

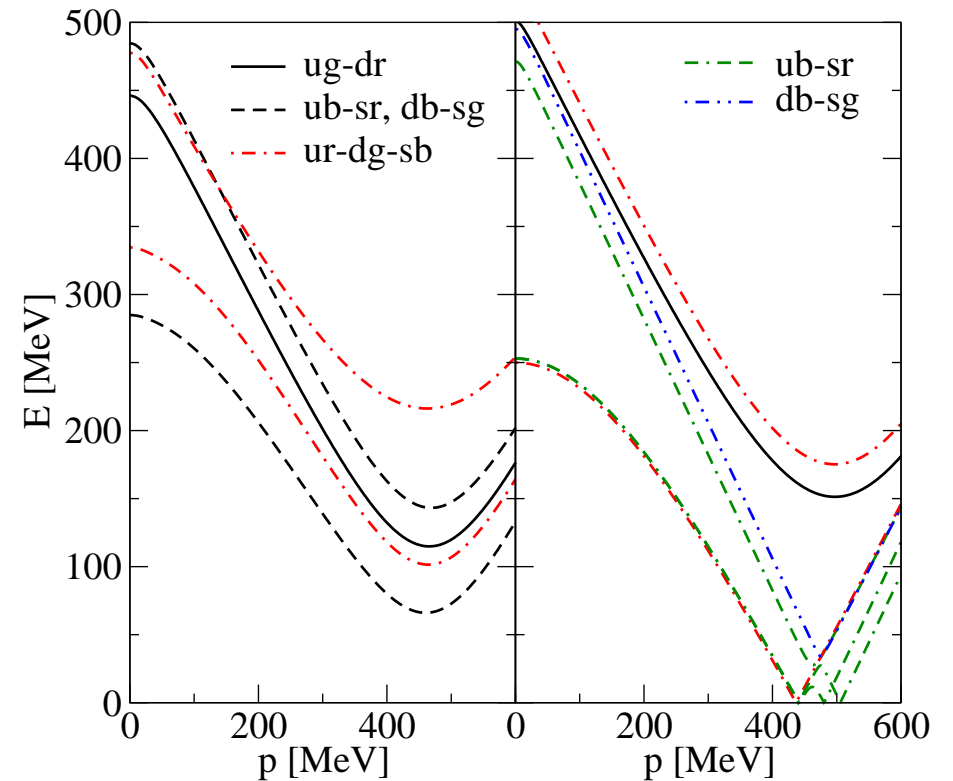
Color and electric charge neutrality constraints:  $n_Q = n_8 = n_3 = 0, n_i = -\partial\Omega/\partial\mu_i = 0,$   
Equations of state:  $P = -\Omega,$  etc.

## ORDER PARAMETERS: MASSES AND DIQUARK GAPS

Masses ( $M$ ) and Diquark gaps ( $\Delta$ ) as a function of the chemical potential at  $T = 0$

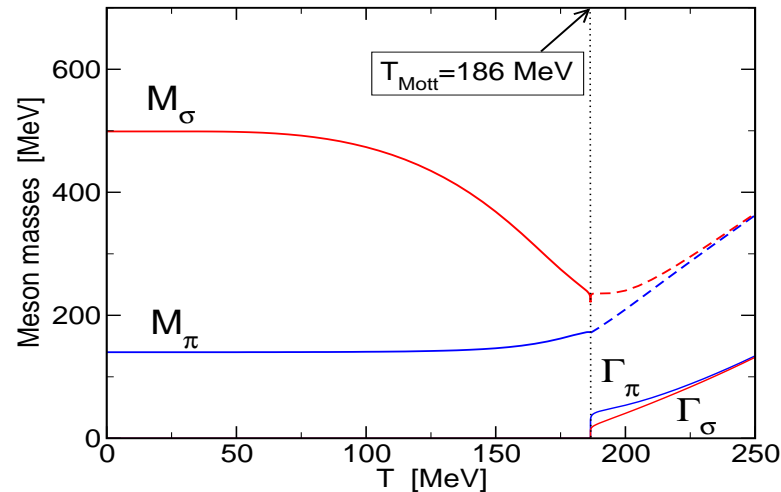


Left: Gap in excitation spectrum ( $T = 0$ )  
Right: 'Gapless' excitations ( $T = 60$  MeV)

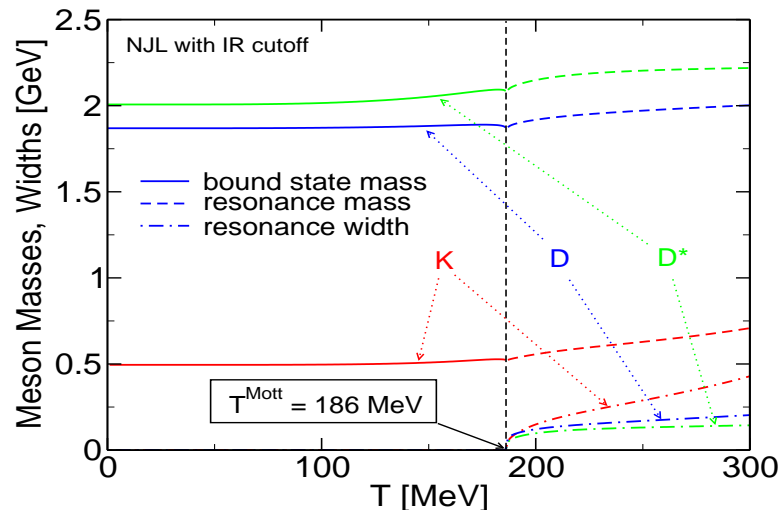




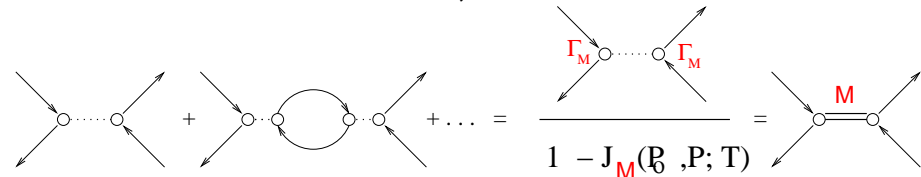
# MOTT EFFECT: NJL MODEL PRIMER



⇒ Zhuang (DM 12, 17)



RPA-type resummation of quark-antiquark scattering in the mesonic channel  $M$ ,



defines Meson propagator

$$D_M(P_0, P; T) \sim [1 - J_M(P_0, P; T)]^{-1},$$

by the complex polarization function  $J_M$   
→ Breit-Wigner type spectral function

$$\begin{aligned} \mathcal{A}_M(P_0, P; T) &= \frac{1}{\pi} \text{Im} D_M(P_0, P; T) \\ &\sim \frac{1}{\pi} \frac{\Gamma_M(T) M_M(T)}{(s - M_M^2(T))^2 + \Gamma_M^2(T) M_M^2(T)} \end{aligned}$$

For  $T < T_{\text{Mott}}$ :  $\Gamma \rightarrow 0$ , i.e. bound state

$$\mathcal{A}_M(P_0, P; T) = \delta(s - M_M^2(T))$$

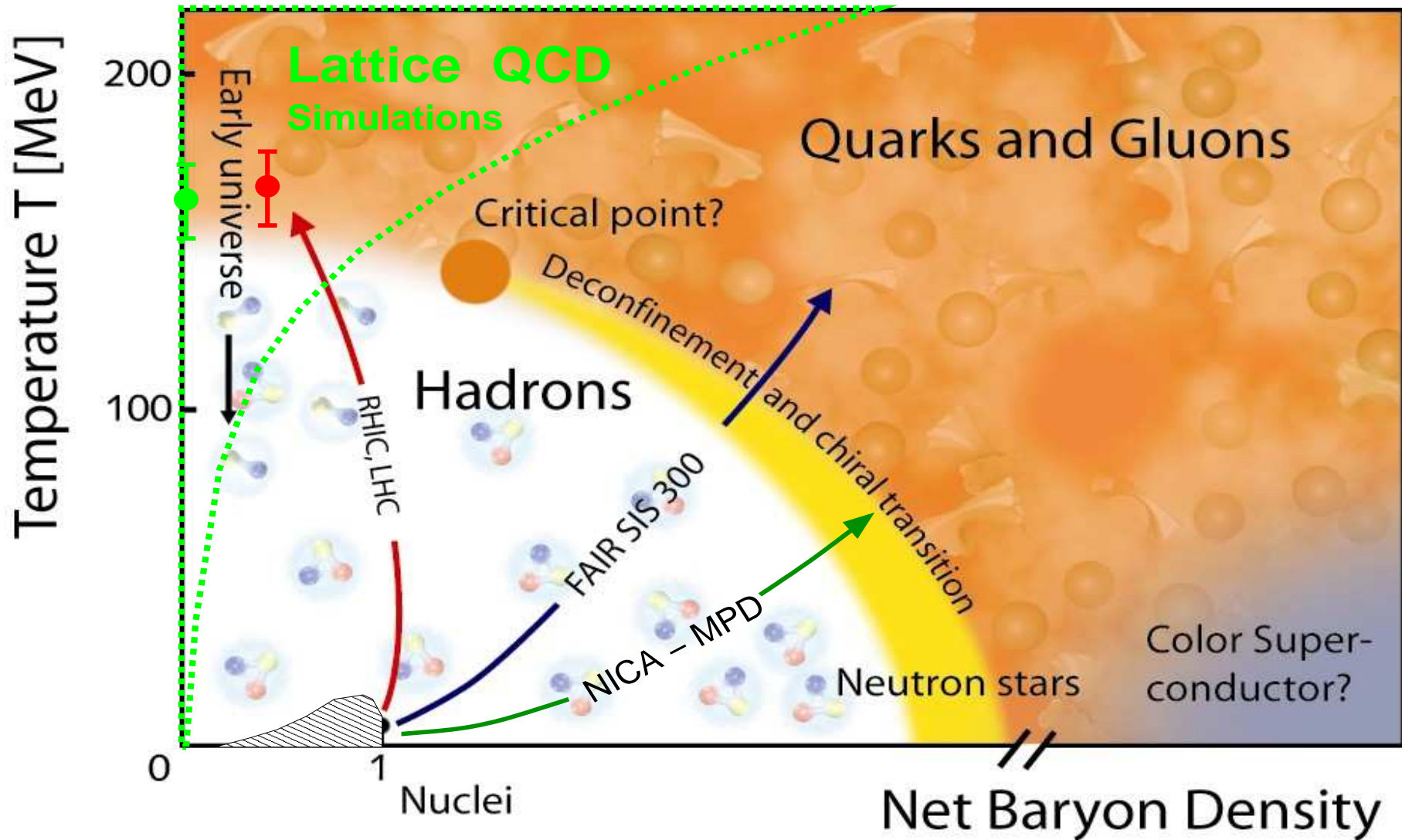
Light meson sector:

Blaschke, Burau, Volkov, Yudichev: EPJA 11 (2001) 319

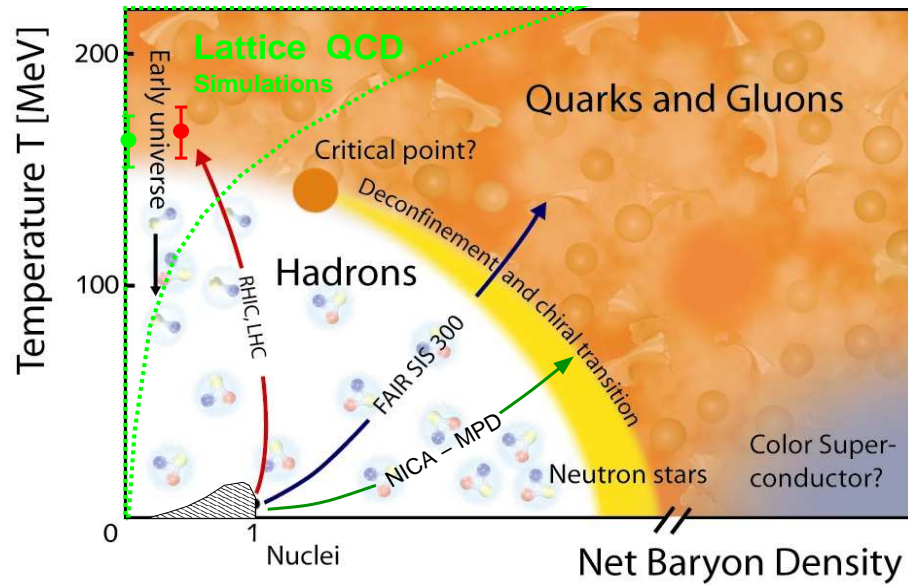
Charm meson sector:

Blaschke, Burau, Kalinovsky, Yudichev,  
Prog. Theor. Phys. Suppl. 149 (2003) 182

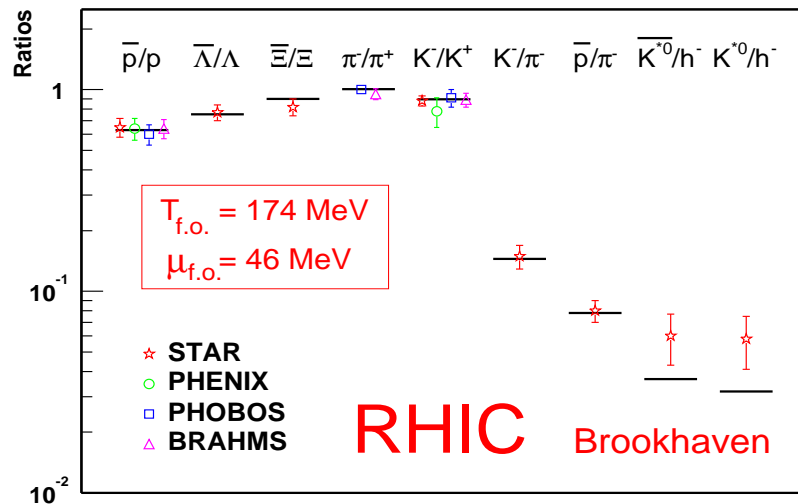
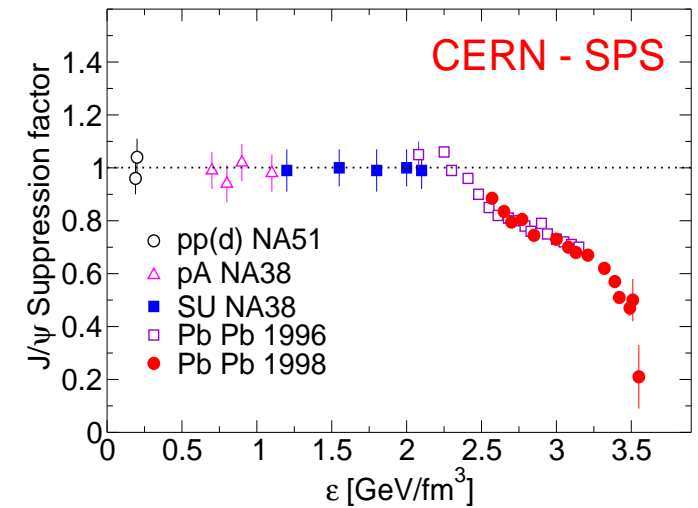
# PHASE DIAGRAM OF QCD: HEAVY-ION COLLISIONS



# PHASEDIAGRAM OF QCD: LATTICE VS. HEAVY-ION COLLISIONS



QGP Signal: Anomalous  $J/\psi$  suppression



Statistical model describes composition of hadron yields in Heavy-Ion Collisions with few freeze-out parameters.

$$\ln Z[T, V, \{\mu\}] = \pm V \sum_i \frac{g_i}{2\pi^2} \int_0^\infty dp p^2 \ln[1 \pm \lambda_i \exp(-\beta \epsilon_i(p))]$$

$$\lambda_i(T, \{\mu\}) = \exp[\beta(\mu_B B_i + \mu_S S_i + \mu_Q Q_i)]$$

Braun-Munzinger, Redlich, Stachel, in *QGP III* (2003)

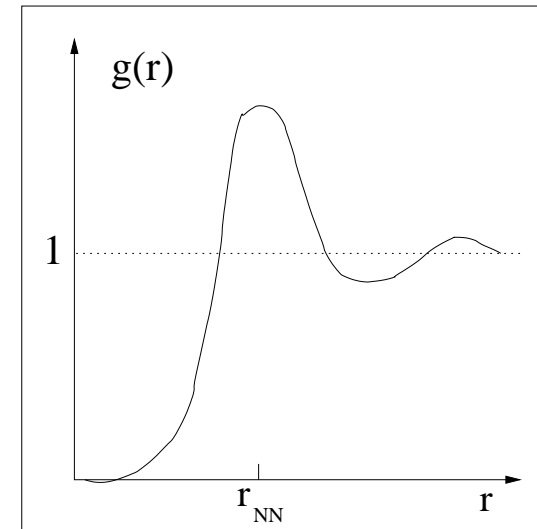
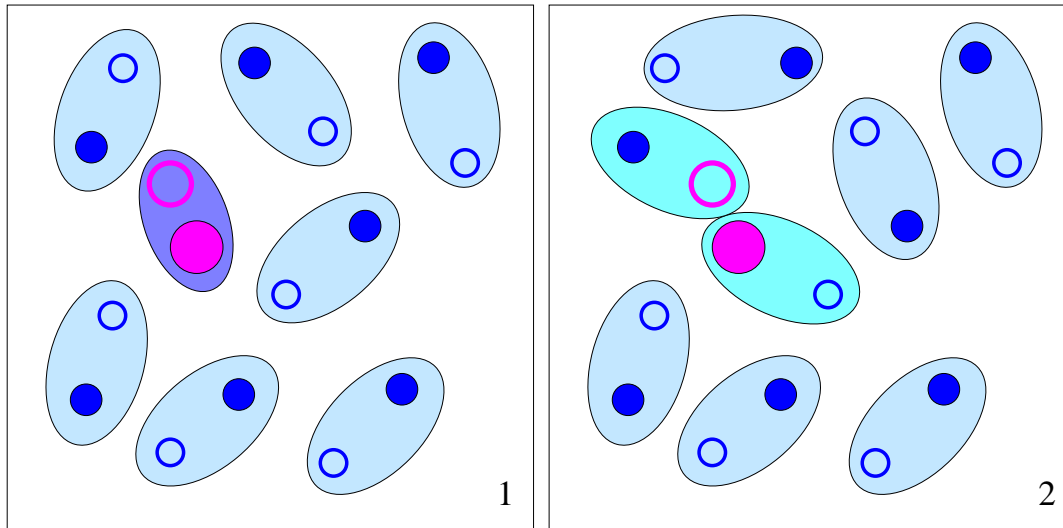
⇒ Cleymans (DM 16,19)

# A SNAPSHOT OF THE SQGP

**The Picture:** String-flip (Rearrangement)



Pair correlation

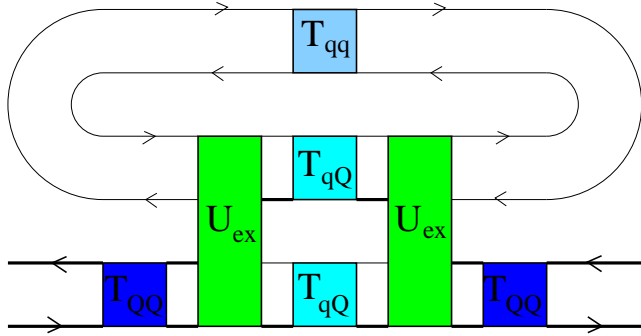


Horowitz et al. PRD (1985), D.B. et al. PLB (1985),  
Röpke, Blaschke, Schulz, PRD (1986)

Thoma, Quark Matter '05;  
[hep-ph/0509154]

- Strong correlations present: hadronic spectral functions above  $T_c$  (lattice QCD)
- Finite width due to rearrangement collisions (higher order correlations)
- Liquid-like pair correlation function (nearest neighbor peak)

# QUANTUM KINETIC APPROACH TO $J/\psi$ BREAKUP



Inverse lifetime for Charmonium states

$$\tau^{-1}(p) = \Gamma(p) = \Sigma^>(p) \mp \Sigma^<(p)$$

$$\Sigma^>(p, \omega) = \int_{p'} \int_{p_1} \int_{p_2} (2\pi)^4 \delta_{p,p';p_1,p_2} |\mathcal{M}|^2 G_{\pi}^<(p') G_{D_1}^>(p_1) G_{D_2}^>(p_2)$$

$$G_h^>(p) = [1 \pm f_h(p)] A_h(p) \text{ and } G_h^<(p) = f_h(p) A_h(p)$$

$$\tau^{-1}(p) = \int \frac{d^3 \mathbf{p}'}{(2\pi)^3} \int ds' f_{\pi}(\mathbf{p}', s') A_{\pi}(s') v_{\text{rel}} \sigma^*(s)$$

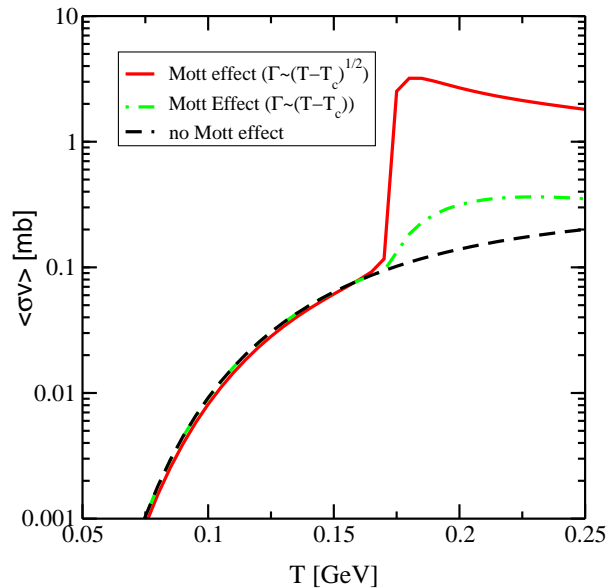
In-medium breakup cross section

$$\sigma^*(s) = \int ds_1 ds_2 A_{D_1}(s_1) A_{D_2}(s_2) \sigma(s; s_1, s_2)$$

Medium effects in **spectral functions**  $A_h$  and  $\sigma(s; s_1, s_2)$

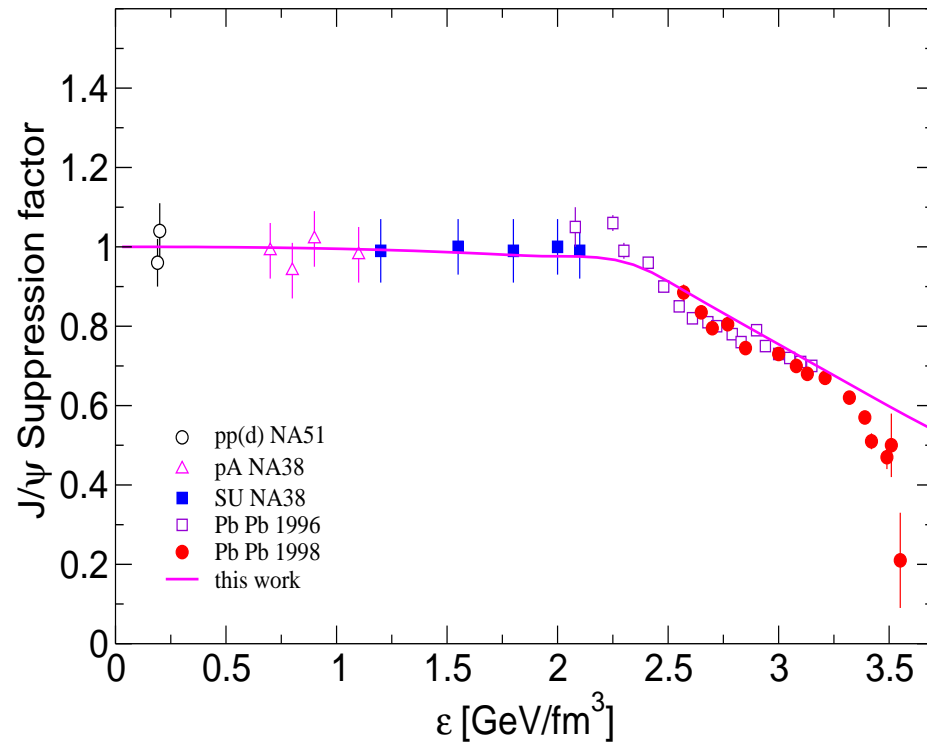
$$A_h(s) = \frac{1}{\pi} \frac{\Gamma_h(T) M_h(T)}{(s - M_h^2(T))^2 + \Gamma_h^2(T) M_h^2(T)} \longrightarrow \delta(s - M_h^2)$$

resonance  $\leftarrow$  **Mott-effect**  $\leftarrow$  bound state



Blaschke et al., Heavy Ion Phys. 18 (2003) 49

# “ANOMALOUS” $J/\psi$ SUPPRESSION IN MOTT-HAGEDORN GAS



Survival probability for  $J/\psi$

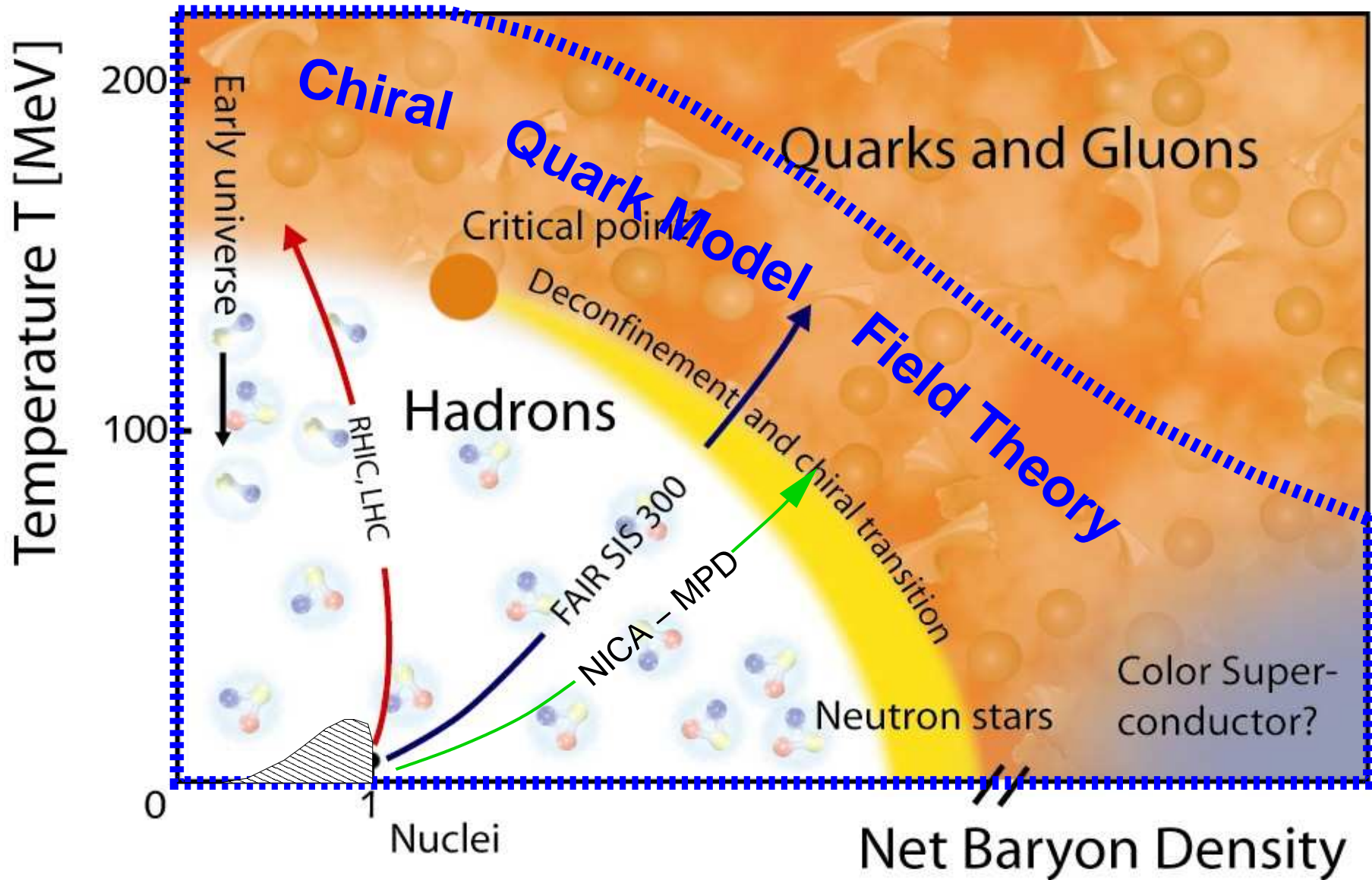
$$S(E_T)/S_N(E_T) = \exp \left[ - \int_{t_0}^{t_f} dt \tau^{-1}(n(t)) \right]$$

Threshold: Mott effect for hadrons

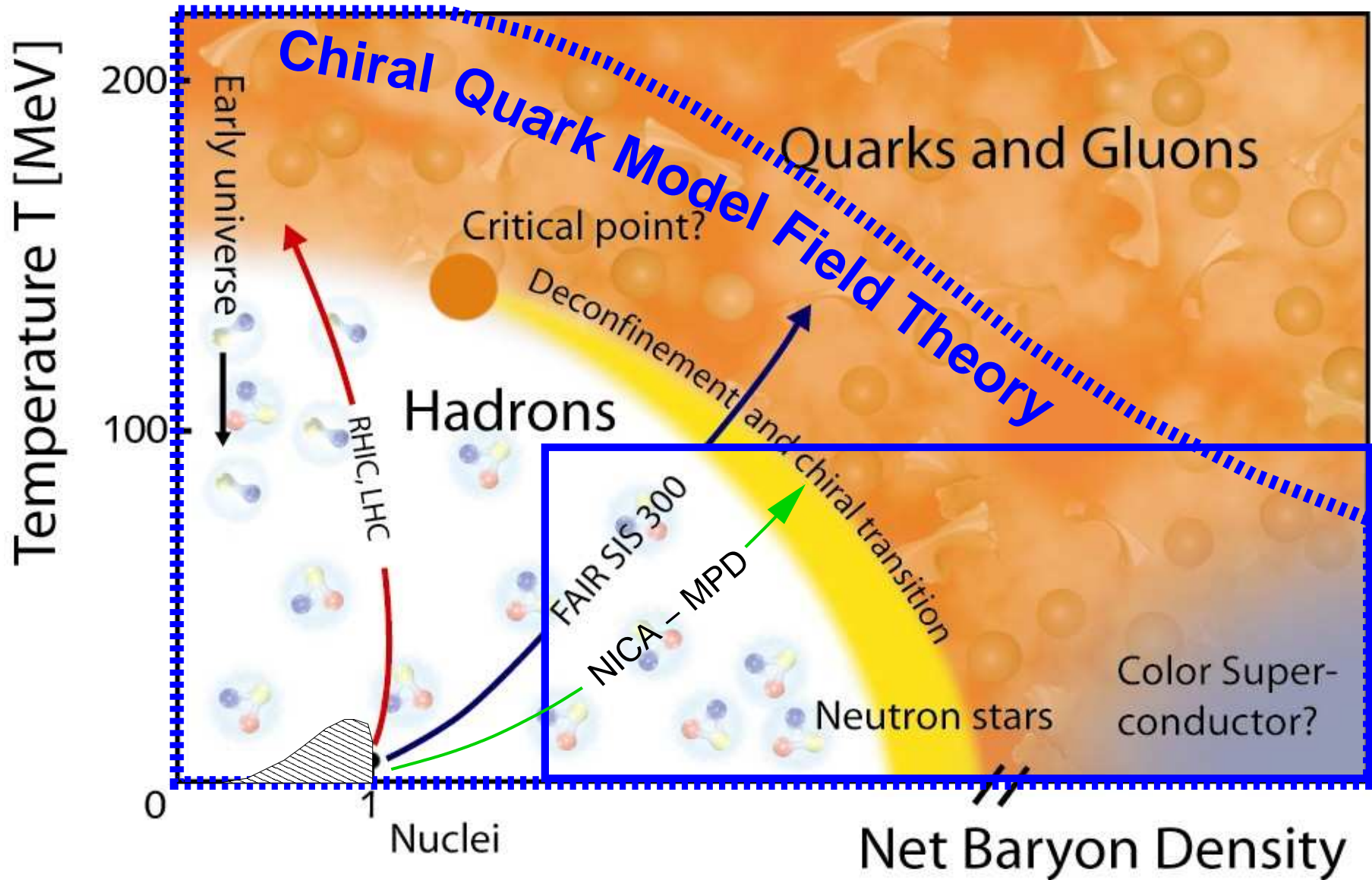
**Blaschke and Bugaev, Prog. Part. Nucl. Phys. 53 (2004) 197**

In progress: full kinetics with gain processes (D-fusion), HIC simulation

# PHASE DIAGRAM OF DEGENERATE QUARK MATTER

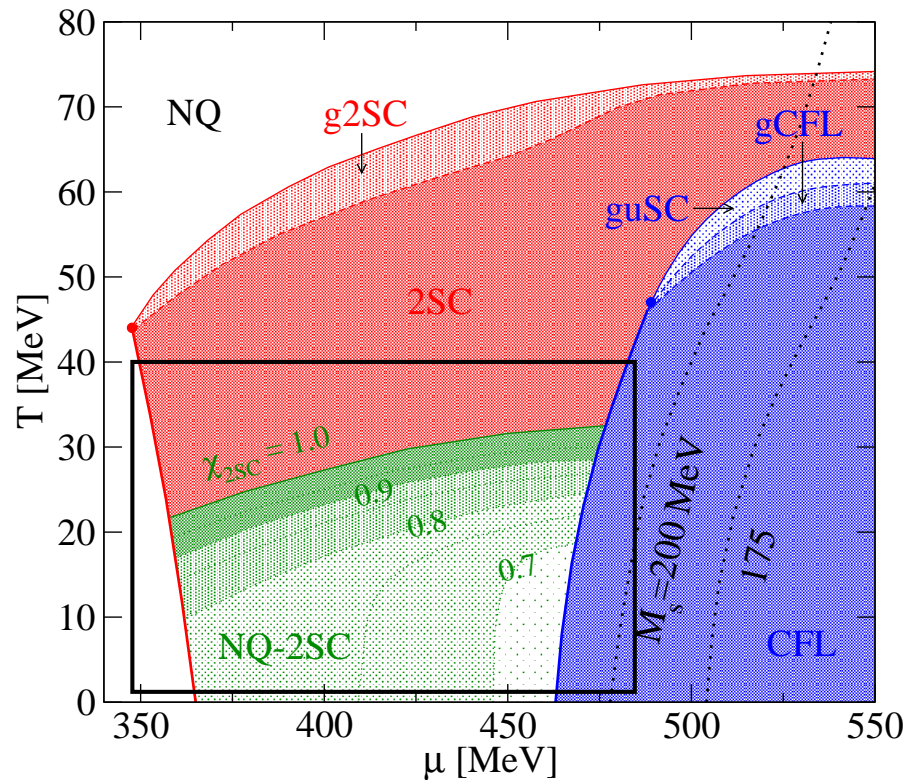


# PHASE DIAGRAM OF DEGENERATE QUARK MATTER





# QUARK MATTER IN COMPACT STARS



Rüster et al: PRD 72 (2005) 034004

Blaschke et al: PRD 72 (2005) 065020

Abuki, Kunihiro: NPA 768 (2006) 118

The phases are characterized by 3 gaps:

- **NQ:**  $\Delta_{ud} = \Delta_{us} = \Delta_{ds} = 0$ ;
- **NQ-2SC:**  $\Delta_{ud} \neq 0, \Delta_{us} = \Delta_{ds} = 0, 0 \leq \chi_{2SC} \leq 1$ ;
- **2SC:**  $\Delta_{ud} \neq 0, \Delta_{us} = \Delta_{ds} = 0$ ;
- **uSC:**  $\Delta_{ud} \neq 0, \Delta_{us} \neq 0, \Delta_{ds} = 0$ ;
- **CFL:**  $\Delta_{ud} \neq 0, \Delta_{ds} \neq 0, \Delta_{us} \neq 0$ ;

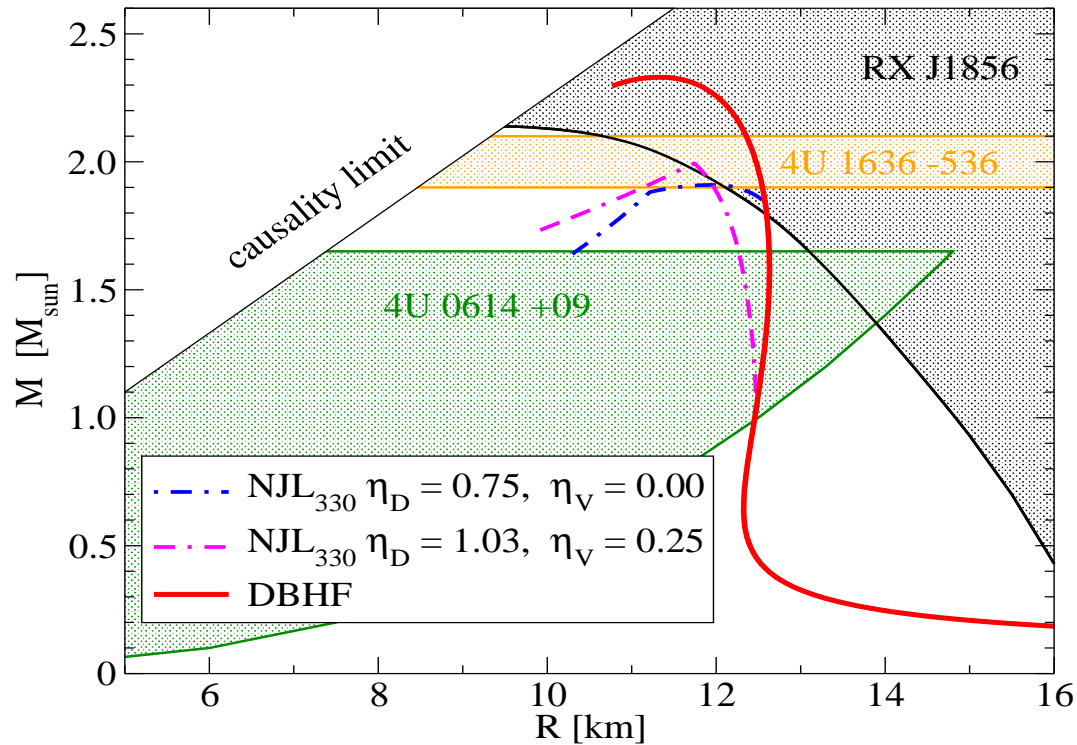
**Result:**

- Gapless phases only at high T,
- CFL only at high chemical potential,
- At  $T \leq 25-30$  MeV: mixed NQ-2SC phase,
- Critical point  $(T_c, \mu_c) = (48 \text{ MeV}, 353 \text{ MeV})$ ,
- Strong coupling,  $\eta = 1$ , changes?.

⇒ Zhuang (DM 12, 17)

# QUARK MATTER IN COMPACT STARS: MASS-RADIUS CONSTRAINT

Solve TOV Eqn. → Hybrid stars fulfill constraint!



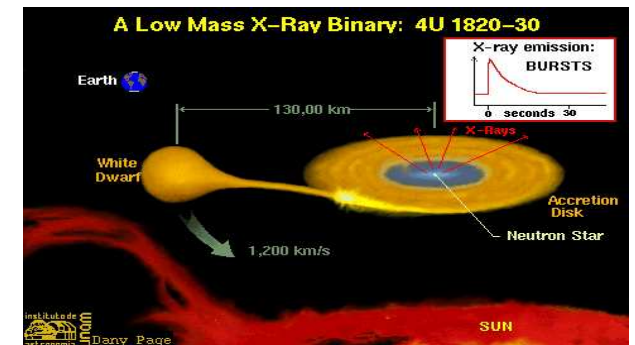
Klähn et al: Constraints on the high-density EoS ...  
 PRC 74 (2006); [nucl-th/0602038], [astro-ph/0606524]

⇒ Popov (Ast 1); Lattimer (Ast 3, 4)

- Isolated Neutron star RX J1856:  
 M-R constraint from thermal emission

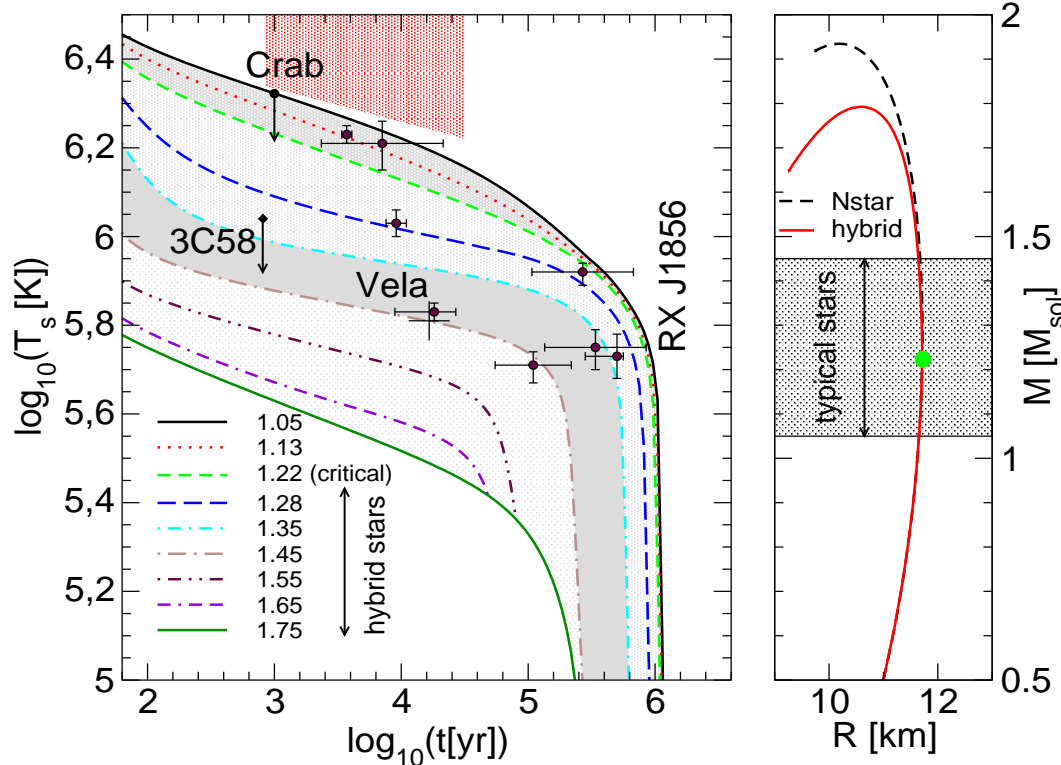


- Low-mass X-ray binary 4U 1636:  
 Mass constraint from ISCO obs.



# QUARK MATTER IN COMPACT STARS: COOLING CONSTRAINT

## Quark matter in compact stars: color superconducting

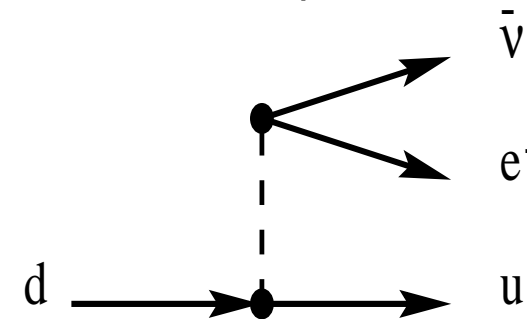


Popov et al: Neutron star cooling constraints ...  
 PRC 74, 025803 (2006); [nucl-th/0512098]

- Neutrinos carry energy off the star, Cooling evolution (schematic) by

$$\frac{dT(t)}{dt} = - \frac{\epsilon_\gamma + \sum_{j=Urca,\dots} \epsilon_\nu^j}{\sum_{i=q,e,\gamma,\dots} c_V^i}$$

- Most efficient process: Urca



- Exponential suppression by pairing gaps!  $\Delta \sim 10 \dots 100$  keV

- $\Rightarrow$  Lattimer (Ast 4)
- $\Rightarrow$  Popov (Ast 7)
- $\Rightarrow$  Kolomeitsev (Ast 5, 6)
- $\Rightarrow$  Grigorian (Ast 8, 11)

## SUMMARY

- Mott-Hagedorn model as alternative interpretation of Lattice data
- Microscopic formulation of the hadronic Mott effect within a chiral quark model
- Mesonic (hadronic) correlations important for  $T > T_c$
- Step-like enhancement of threshold processes due to Mott effect
- Reaction kinetics for strong correlations in plasmas applicable @ SPS and RHIC
- Prospects for LHC: Plasma diagnostics with bottomonium

## LECTURE II: NJL MODEL AND ITS RELATIVES

- Polyakov-loop Nambu–Jona-Lasinio (NJL) model
- Nonlocal NJL models
- Schwinger-Dyson Equation approach at finite  $T, \mu$
- Walecka model - towards a unified model of quark-hadron matter