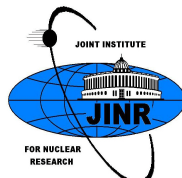


# Statistical Model for the QCD Phase Diagram

## - II -

J. Cleymans  
University of Cape Town, South Africa

Helmholtz International Summer School  
28 August - 8 September 2012  
JINR, Dubna



# Outline

Hagedorn temperature

Surprise: No Dependence on the Size of the System.

Strangeness and Heavy Ion Collisions at NICA/FAIR

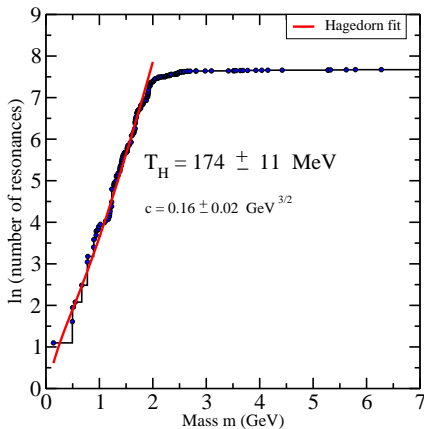
Excluded Volume Corrections

Canonical Corrections

Temperature from Transverse Momenta Spectra - Tsallis?



# THE HAGEDORN TEMPERATURE.



Keep on adding the number of hadronic resonances.

J.C. and Dawit Worku, Mod. Phys. Lett. A26 (2011) 1197; arXiv:  
1103.1463

# HADRONS DO NOT EXIST ABOVE THE HAGEDORN TEMPERATURE.

Thermodynamic quantities like particle density, energy density, pressure ,... all involve a summation over hadron species:

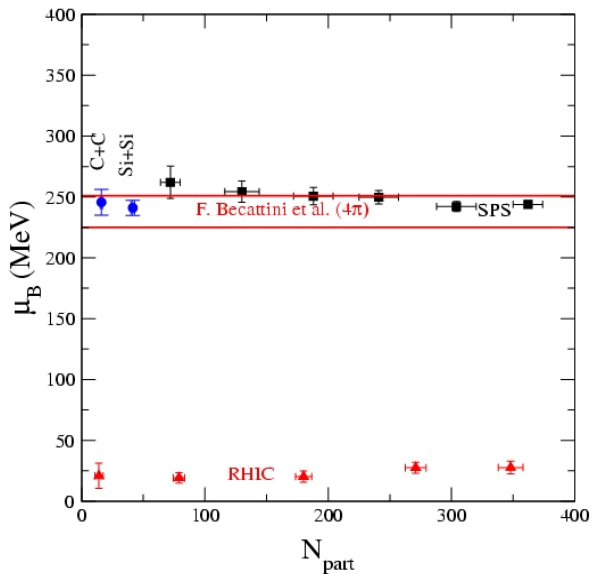
$$\sum_i \exp -\frac{E_i}{T}$$

and the sum becomes (too) large due to the number of resonances.

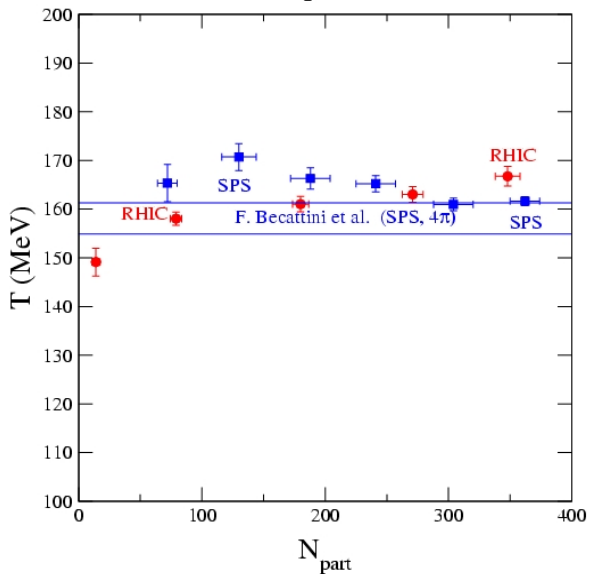


# Size of System.

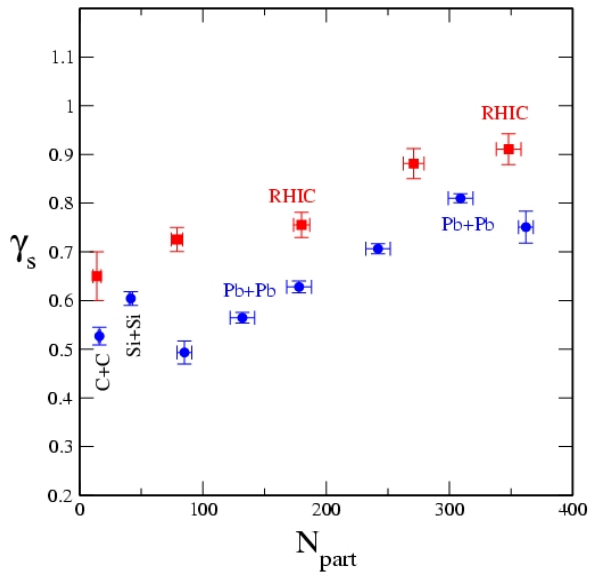
# Centrality Dependence of the Baryon Chemical Potential



# Centrality Dependence of the Chemical Freeze-out Temperature







# Strangeness and Heavy Ion Collisions Implications for NICA/FAIR.

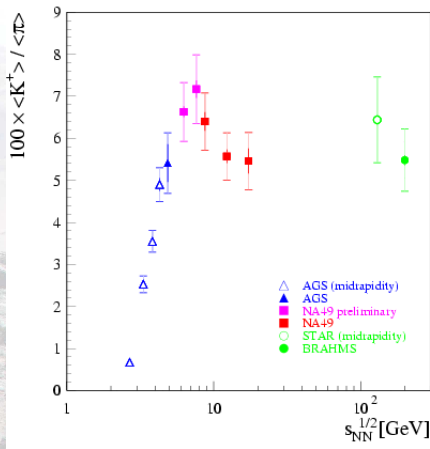
The NA49 Collaboration has performed a series of measurements of Pb-Pb collisions at 20, 30, 40, 80 and 158 AGeV beam energies . When these results are combined with measurements at lower beam energies from the AGS they reveal an unusually sharp variation with beam energy in the  $\Lambda / \langle \pi \rangle$ , with  $\langle \pi \rangle \equiv 3/2(\pi^+ + \pi^-)$ , and  $K^+ / \pi^+$  ratios.

Such a strong variation with energy does not occur in pp collisions and therefore indicates a major difference in heavy-ion collisions. This transition has been referred as the “horn”.



# The Elephant in the Room

Friese  
Dinkelaker  
Blume  
Speltz



Difficult to avoid, Hard to Model

→ But no unambiguous corroborating evidence

## Strangeness in Heavy Ion Collisions vs Strangeness in pp - collisions

Use the Wroblewski factor

$$\lambda_s = \frac{2 \langle s\bar{s} \rangle}{\langle u\bar{u} \rangle + \langle d\bar{d} \rangle}$$

This is determined by the number of **newly** created quark – anti-quark pairs and **before** strong decays, i.e. before  $\rho$ 's and  $\Delta$ 's decay.

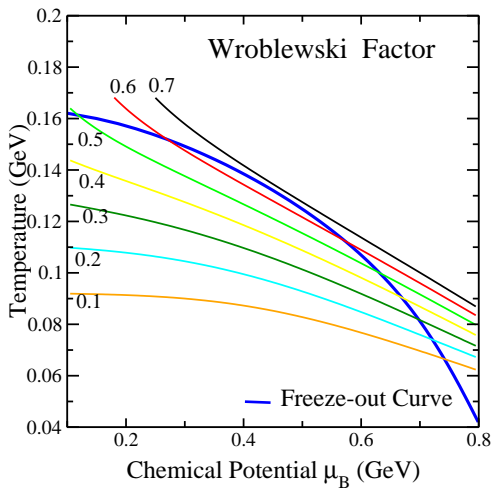
Limiting values :

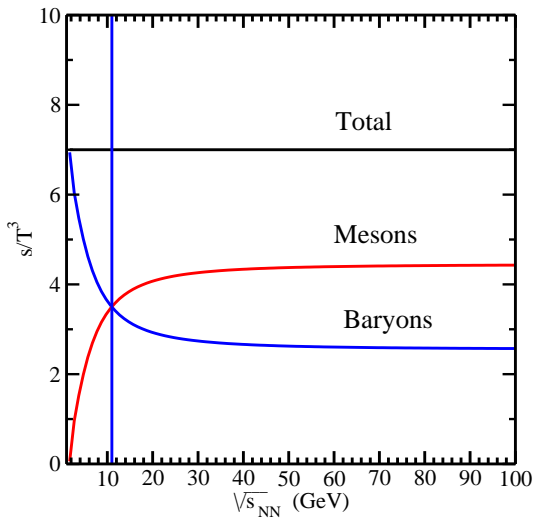
$\lambda_s = 1$  all quark pairs are equally abundant, SU(3) symmetry.

$\lambda_s = 0$  no strange quark pairs.



# Maxima in particle ratios : $K^+/\pi^+$



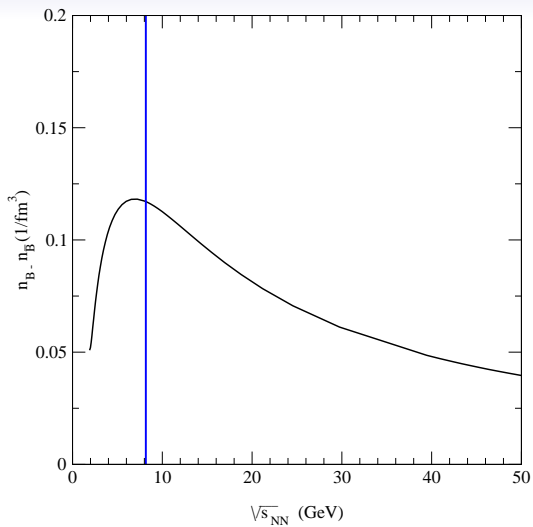


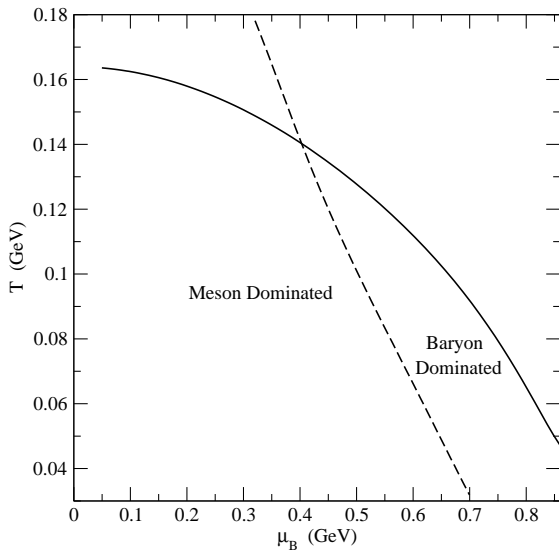
# J.C., H. Oeschler, K. Redlich, S. Wheaton, Phys. Lett. B615 (2005) 50-54

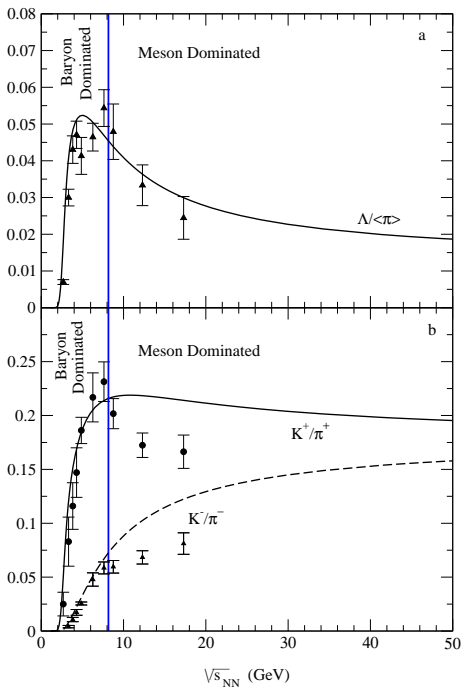
In the statistical model a rapid change is expected as the hadronic gas undergoes a transition from a baryon-dominated to a meson-dominated gas. The transition occurs at a temperature  $T = 151$  MeV and baryon chemical potential  $\mu_B = 327$  MeV corresponding to an incident energy of  $\sqrt{s_{NN}} = 11$  GeV.

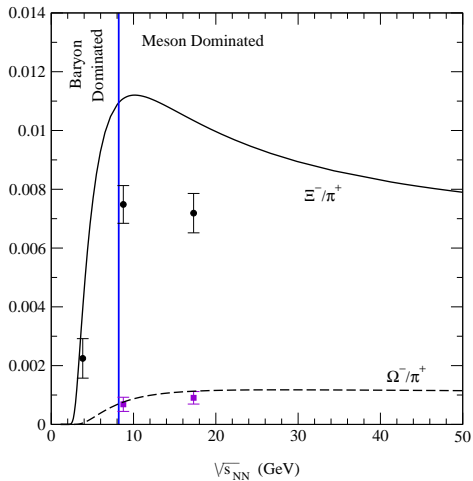










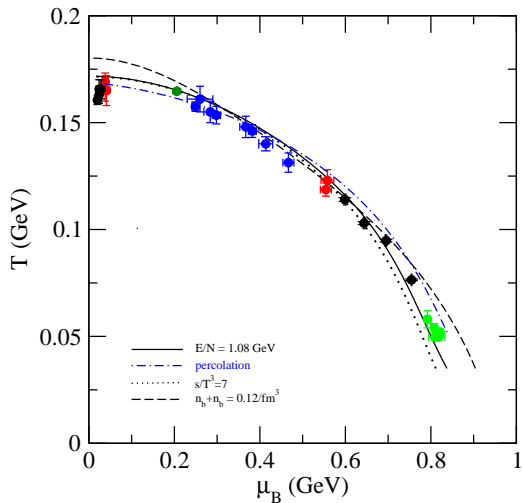


## Maxima in Particle Ratios predicted by the Thermal Model.

Ratio	Maximum at $\sqrt{s_{NN}}$ (GeV)	Maximum Value
$\Lambda / \langle \pi \rangle$	5.1	0.052
$\Xi^- / \pi^+$	10.2	0.011
$K^+ / \pi^+$	10.8	0.22
$\Omega^- / \pi^+$	27	0.0012

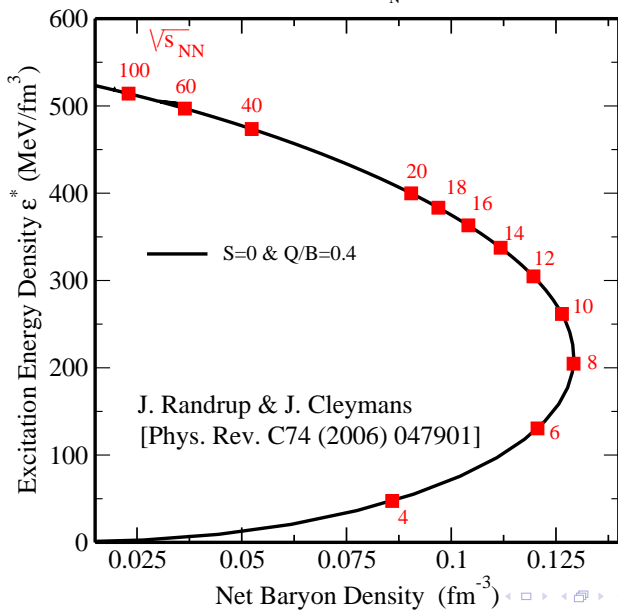
J. C., H. Oeschler, K. Redlich and S. Wheaton, Physics Letters B615 (2005) 50-54.



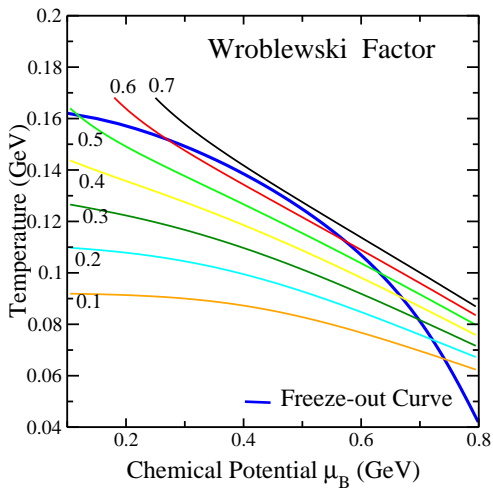


## Hadronic Freeze-Out

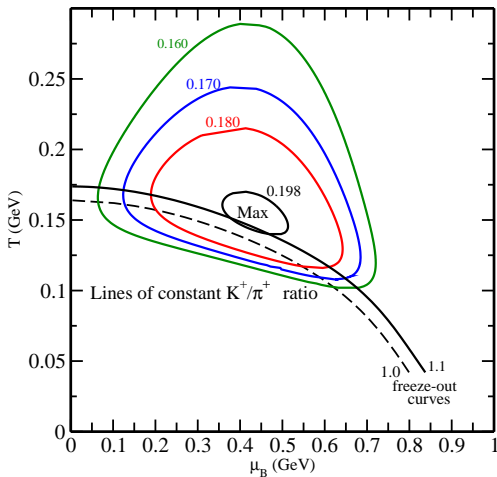
$$\varepsilon_* = \varepsilon - m_N \rho$$

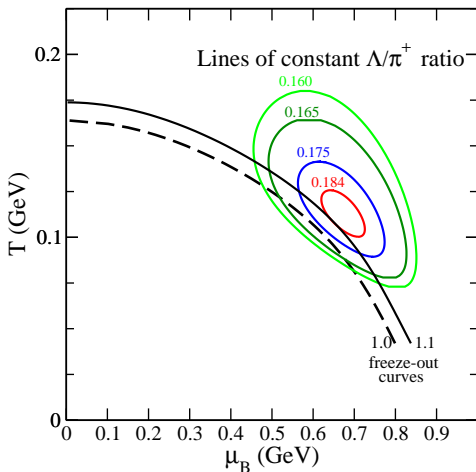


# Maxima in particle ratios : $K^+/\pi^+$





Maxima in particle ratios :  $K^+/\pi^+$ 

Maxima in particle ratios :  $K^+/\pi^+$ 

GOOD NEWS FOR NICA.



## Main goals of the project

1a) Heavy ion colliding beams  $^{197}\text{Au}^{79+} \times ^{197}\text{Au}^{79+}$  at

$$\sqrt{s_{\text{NN}}} = 4 \div 11 \text{ GeV} \text{ (} 1 \div 4.5 \text{ GeV/u ion kinetic energy )}$$

at

$$L_{\text{average}} = 10^{27} \text{ cm}^{-2} \cdot \text{s}^{-1} \text{ (at } \sqrt{s_{\text{NN}}} = 9 \text{ GeV)}$$

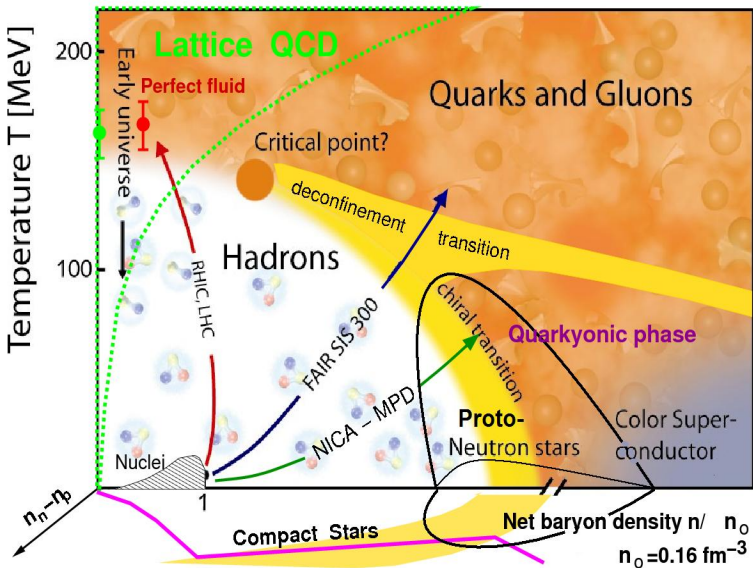
1b) Light-Heavy ion colliding beams of the same energy range and luminosity

2) Polarized beams of protons and deuterons:

$$p\uparrow p\uparrow \sqrt{s_{\text{NN}}} = 12 \div 25 \text{ GeV} \text{ (} 5 \div 12.6 \text{ GeV kinetic energy )}$$

$$d\uparrow d\uparrow \sqrt{s_{\text{NN}}} = 4 \div 13.8 \text{ GeV} \text{ (} 2 \div 5.9 \text{ GeV/u ion kinetic energy)}$$





GOOD LUCK NICA.



## Excluded Volume Corrections.



Why do we need them?

The pressure at zero temperature for a single degree of freedom is given by

$$P = \int_0^\mu \frac{d^3 p}{(2\pi)^3} \frac{p^2}{3E}$$

For massless particles, or at very high chemical potential (high density)

$$\begin{aligned} P &= \int_0^\mu p^2 dp \frac{4\pi}{(2\pi)^3} \\ &= \frac{1}{(24\pi)^2} \mu^4 \end{aligned}$$

which leads to:

$$P(\text{quarks}) = 2 \times 2 \times 3 \times \frac{1}{(2\pi)^4} \left(\frac{\mu}{3}\right)^4 - B$$

and

$$P(\text{nucleons}) = 2 \times 2 \times \frac{1}{(2\pi)^4} (\mu)^4$$





i.e

$$P(\text{quarks}) < P(\text{nucleons})$$

and the system reverts back to the nucleon phase at very high densities.

Nucleon Phase -> Quark Phase -> Nucleon Phase

Excluded volume corrections prevent this from happening. This has been implemented in all the thermal model codes.



Relation between grand canonical and canonical ensembles:

$$Z_{GC}(T, V, \mu) = \sum_{N=0}^{\infty} e^{\frac{\mu N}{T}} Z_C(T, V, N)$$

Relation between grand canonical and pressure ensembles:

$$Z_p(T, P, \mu) = \int_0^{\infty} dV e^{\frac{PV}{T}} Z_{GC}(T, V, \mu)$$



## Excluded Volume Corrections.

$$\begin{aligned}
 Z &= \exp \left\{ V \int \frac{d^3 p}{(2\pi)^3} e^{-\frac{E}{T} + \frac{\mu}{T}} \right\} \\
 &= \sum_{N=0}^{\infty} \frac{V^N}{N!} e^{\mu N/T} \left[ \int \frac{d^3 p}{(2\pi)^3} e^{-\frac{E}{T}} \right]^N
 \end{aligned}$$

with excluded volume corrections

$$\begin{aligned}
 Z \rightarrow \sum_{N=0}^{\infty} \frac{(V - V_0 N)^N}{N!} e^{\mu N/T} \\
 \left[ \int \frac{d^3 p}{(2\pi)^3} e^{-\frac{E}{T}} \right]^N \theta(V - V_0 N)
 \end{aligned}$$



## Excluded Volume Corrections.

It is more convenient to consider these corrections in the pressure ensemble:

$$Z_p \equiv \int_0^\infty dV e^{-PV/T} \sum_{N=0}^\infty \frac{V^N}{N!} e^{\mu N/T} \left[ \int \frac{d^3p}{(2\pi)^3} e^{-\frac{E}{T}} \right]^N$$

$$Z_p \rightarrow \sum_{N=0}^\infty \int_0^\infty dV e^{-PV/T} \frac{(V - V_0 N)^N}{N!} e^{\mu N/T} \left[ \int \frac{d^3p}{(2\pi)^3} e^{-\frac{E}{T}} \right]^N \theta(V - V_0 N)$$

introduce  $x \equiv V - V_0 N$ .



## Excluded Volume Corrections.

$$Z_p = \sum_{N=0}^{\infty} \int_0^{\infty} dx e^{-Px/T} \frac{x^N}{N!} e^{-PV_0 N/T} e^{\mu N/T} \left[ \int \frac{d^3 p}{(2\pi)^3} e^{-\frac{E}{T}} \right]^N$$

a new variable  $\bar{\mu} \equiv \mu - PV_0$



## Excluded Volume Corrections.

$$Z_p \rightarrow \sum_{N=0}^{\infty} \int_0^{\infty} dx e^{-Px/T} \frac{x^N}{N!} e^{\bar{\mu}N/T} \left[ \int \frac{d^3p}{(2\pi)^3} e^{-\frac{E}{T}} \right]^N$$

which is the original partition function with the  $\mu$  replacement

$$\bar{\mu} = \mu - P V_0$$

D.H. Rischke, M.I. Gorenstein, H. Stöcker, W. Greiner, ZfP C51, 485 (1991). J. C., M.I. Gorenstein, J. Stålnacke and E. Suhonen P. S. 48 277-280 (1993).



## Excluded Volume Corrections.

The particle number density now becomes:

$$\begin{aligned}
 n &= \frac{T}{V} \frac{\partial}{\partial \mu} \ln Z \\
 &= \frac{T}{V} \frac{\partial \bar{\mu}}{\partial \mu} \frac{\partial}{\partial \bar{\mu}} \ln Z \\
 &= \frac{\partial \bar{\mu}}{\partial \mu} n_0 \\
 &= [1 - V_0 n] n_0
 \end{aligned}$$

$$n = \frac{n_0}{1 + V_0 n_0}$$

Effects Cancel Out in Ratios.

J.C., K. Redlich, H. Satz, E. Suhonen, ZfP C33, 151, (1986)

D.H. Rischke, M.I. Gorenstein, H. Stöcker, W. Greiner, ZfP C51, 485 (1991).



# Canonical Corrections.





## Exact Strangeness Conservation.

For a small system at low temperatures ( $T \approx 50$  MeV), e.g. at GSI canonical corrections are necessary.

Instead of

$$N_K \approx \exp -M_K/T$$

one gets

$$N_K \approx \exp -2M_K/T$$

Extra suppression is due to strangeness conservation and lack of a large heat bath. This correction disappears quickly at higher energies and is already small at AGS energies.



## Exact Strangeness Conservation.

$$Z = \text{Tr} e^{-\frac{H}{T} + \frac{\mu N}{T}}$$

Insert a Kronecker delta in the trace:

$$\begin{aligned} & \sum_i n_i(S=1) + 2 \sum_j n_j(S=2) + 3 \sum_k n_k(S=3) = \\ & \sum_i \bar{n}_i(S=-1) + 2 \sum_j \bar{n}_j(S=-2) + 3 \sum_k \bar{n}_k(S=-3) \end{aligned}$$

and rewrite it as

$$\begin{aligned} & \delta \left( \sum_i n_i(S=1) + \dots, \sum_i \bar{n}_i(S=-1) + \dots \right) \\ & = \frac{1}{2\pi} \int_0^{2\pi} d\phi \\ & \exp \left( i\phi \sum_i n_i(S=1) + \dots - i\phi \sum_i \bar{n}_i(S=-1) \right) \end{aligned}$$



## Exact Strangeness Conservation.

$$\begin{aligned}
 Z &= \frac{1}{2\pi} \int_0^{2\pi} d\phi \exp \left\{ Z_1 e^{i\phi} + Z_{-1} e^{-i\phi} \right\} \\
 &= \frac{1}{2\pi} \int_0^{2\pi} d\phi \exp \left\{ \sqrt{Z_1 Z_{-1}} \left[ \sqrt{\frac{Z_1}{Z_{-1}}} e^{i\phi} + \sqrt{\frac{Z_{-1}}{Z_1}} e^{-i\phi} \right] \right\}
 \end{aligned}$$

$Z_1$ : sum of all particles with strangeness 1, e.g.  $K^+$   
 $Z_{-1}$ : sum of all particles with strangeness -1, e.g.  $\Lambda$



## Exact Strangeness Conservation.

Use

$$\exp \left\{ \frac{x}{2} \left( t + \frac{1}{t} \right) \right\} = \sum_{n=-\infty}^{\infty} I_n(x) t^n$$

to obtain

$$Z = \frac{1}{2\pi} \int_0^{2\pi} e^{ip\phi} \sum_{p=-\infty}^{\infty} I_p(x_1) y_1^p$$

where

$$y_1 = \sqrt{\frac{Z_1}{Z_{-1}}} \quad x_1 = 2\sqrt{Z_1 Z_{-1}}$$

$$Z = I_0(x_1)$$



# Exact Strangeness Conservation.

In more detail, e.g. the multiplicity of  $K^+$

$$N_{K^+} = \frac{T}{Z} \frac{\partial I_0(x_1)}{\partial \mu_{K^+}} \Big|_{\mu_{K^+}=0}$$

Use

$$\frac{d}{dz} I_0(z) = I_1(z)$$



## Exact Strangeness Conservation.

$$\begin{aligned}
 N_{K^+} &= \frac{T}{Z} \frac{\partial}{\partial \mu_{K^+}} l_0(x_1) \\
 &= \frac{T}{l_0(x_1)} l_1(x_1) \frac{\partial x_1}{\partial \mu_{K^+}} \\
 &= \frac{T}{l_0(x_1)} l_1(x_1) \frac{\partial 2\sqrt{Z_1 Z_{-1}}}{\partial \mu_{K^+}} \\
 &= \frac{l_1(x_1)}{l_0(x_1)} \sqrt{\frac{Z_{-1}}{Z_1}} N_{K^+}^0
 \end{aligned}$$

where  $N_{K^+}^0$  refers to the "unmodified" kaon multiplicity.



## Exact Strangeness Conservation.

In the small volume limit this becomes

$$\lim_{z \rightarrow 0} I_0(z) = 1$$

and

$$\lim_{z \rightarrow 0} I_1(z) = \frac{Z}{2}$$

$$\lim_{V \rightarrow 0} = N_{K^+}^0 Z_{-1}$$

$$\lim = N_{K^+}^0 Z_{-1}$$

$$= N_{K^+}^0 \left[ N_{K^-}^0 + N_{\Lambda}^0 + \dots \right]$$

i.e., the particle multiplicity is

- proportional to  $V^2$ , and not  $V^1$ .
- proportional to  $\exp(-2m_K/T)$  or to  $\exp(-(m_K + m_{\Lambda})/T)$  and not simply  $\exp(-m_K/T)$ , i.e. there is additional suppression of strange particles.

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# Exact Strangeness Conservation

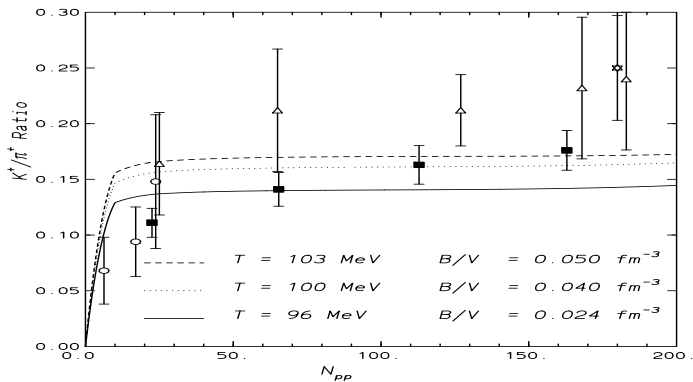


Figure 1



**CANONICAL CORRECTIONS**  
**are**  
**IRRELEVANT.**  
Except at very low energies.



## Transverse Momentum Distribution

**STAR** collaboration, B.I. Abelev et al.

arXiv:nucl-ex/0607033; Phys. Rev. C75, 064901 (2007)

**PHENIX** collaboration, A. Adare et al.

Phys. Rev. **C83**, 064903 (2011)

**ALICE** collaboration, K. Aamodt et al.

arXiv:1101.4110 [hep-ex]

**CMS** collaboration, V. Khachatryan et al.

arXiv: 1102.4282 [hep-ex]

**ATLAS** collaboration, G. Aad et al.

New J. Phys. **13** (2011) 053033.

All use the Tsallis distribution for  $p - p$  collisions.



# Tsallis Distribution

## Possible generalization of Boltzmann-Gibbs statistics

Constantino Tsallis  
Rio de Janeiro, CBPF  
J. Stat. Phys. 52 (1988) 479-487

Citations: 1 389  
However:  
Citations in HEP: 403





MINISTÉRIO DA CIÊNCIA E TECNOLOGIA



**CBPF**

**CENTRO BRASILEIRO DE PESQUISAS FÍSICAS**

**Notas de Física**

CBPF-NF-062/87

POSSIBLE GENERALIZATION OF BOLTZMANN-GIBBS  
STATISTICS

by

Constantino TSALLIS

RIO DE JANEIRO  
1987



Multifractal concepts and structures are quickly acquiring importance in many active areas (e.g., non-linear dynamical systems, growth models, commensurate/incommensurate structures). This is due to their utility as well as to their elegance. Within this framework, the quantity which is normally scaled is  $p_i^q$ , where  $p_i$  is the probability associated to an event and  $q$  any real number [1]. We shall use this quantity to generalize the standard expression of the entropy  $S$  in information theory, namely  $S = -k \sum_{i=1}^W p_i \ln p_i$ , where  $W \in \mathbb{N}$  is the total number of possible (microscopic) configurations and  $\{p_i\}$  the associated probabilities. We postulate for the entropy

$$S_q \equiv k \frac{1 - \sum_{i=1}^W p_i^q}{q-1} \quad (q \in \mathbb{R}) \quad (1)$$

where  $k$  is a conventional positive constant and  $\sum_{i=1}^W p_i = 1$ . We immediately verify that

$$S_1 \equiv \lim_{q \rightarrow 1} S_q = k \lim_{q \rightarrow 1} \frac{1 - \sum_{i=1}^W p_i e^{(q-1) \ln p_i}}{q-1} = -k \sum_{i=1}^W p_i \ln p_i \quad (1')$$

where we have used the replica-trick type of expansion. We illustrate definition (1) in Fig. 1.  $S_q$  may be rewritten as follows:

$$S_q = \frac{k}{q-1} \sum_{i=1}^W p_i (1 - p_i^{q-1}) \quad (2)$$









# Transverse Momentum Distribution

STAR, PHENIX, ALICE, CMS, ATLAS use:

$$\frac{d^2N}{dp_t dy} = p_t \times \frac{dN}{dy} \frac{(n-1)(n-2)}{nC(nC + m_0(n-2))} \left(1 + \frac{m_t - m_0}{nC}\right)^{-n}$$

Direct connection with Tsallis distribution.



In the Tsallis distribution the total number of particles is given by:

$$N = gV \int \frac{d^3p}{(2\pi)^3} \left[ 1 + (q-1) \frac{E - \mu}{T} \right]^{q/(1-q)},$$

The corresponding momentum distribution is given by

$$E \frac{dN}{d^3p} = gVE \frac{1}{(2\pi)^3} \left[ 1 + (q-1) \frac{E - \mu}{T} \right]^{q/(1-q)},$$

which, in terms of the rapidity and transverse mass variables, becomes (for  $\mu = 0$ )

$$\left. \frac{d^2N}{dp_t dy} \right|_{y=0} = gV \frac{p_t m_t}{(2\pi)^2} \left[ 1 + (q-1) \frac{m_t}{T} \right]^{q/(1-q)},$$

J.C. and D. Worku, arXiv:1106.3405[hep-ph]



Rewrite the Tsallis distribution using

$$[1 + (q - 1)x]^{1/(1-q)} = \exp\left(\frac{1}{1-q} \ln[1 + (q - 1)x]\right),$$

and consider the limit  $q \rightarrow 1$

$$\begin{aligned} \lim_{q \rightarrow 1} [1 + (q - 1)x]^{1/(1-q)} &= \exp \frac{1}{(1 - q)}(q - 1)x \\ &= \exp(-x), \end{aligned} \quad (1)$$

The Tsallis distribution reduces to the Boltzmann distribution in the limit where  $q \rightarrow 1$

$$\begin{aligned} \lim_{q \rightarrow 1} \frac{d^2 N}{dp_t dy} &= \\ gV \frac{p_t m_t \cosh y}{(2\pi)^2} \exp\left(-\frac{m_t \cosh y - \mu}{T}\right). \end{aligned} \quad (2)$$

In all cases  $q$  is close to one, typically between 1.05 and 1.2.



## Comparison of Tsallis with STAR, ALICE, CMS distributions

$$\frac{d^2N}{dp_t dy} = gV \frac{p_t m_t}{(2\pi)^2} \left[ 1 + (q-1) \frac{m_t}{T} \right]^{q/(1-q)}, \quad (3)$$

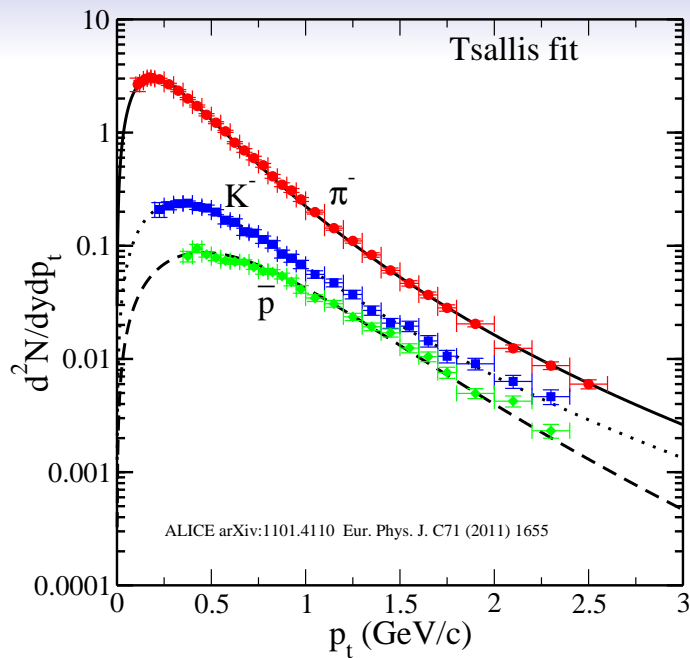
$$\frac{d^2N}{dp_t dy} = p_t \times \frac{dN}{dy} \frac{(n-1)(n-2)}{nC(nC + m_0(n-2))} \left[ 1 + \frac{m_t - m_0}{nC} \right]^{-n} \quad (4)$$

$$n \rightarrow \frac{q}{q-1}$$

$$nC \rightarrow \frac{T}{q-1} \frac{m_t - m_0}{m_t}$$

Only a factor of  $m_T$  differs!





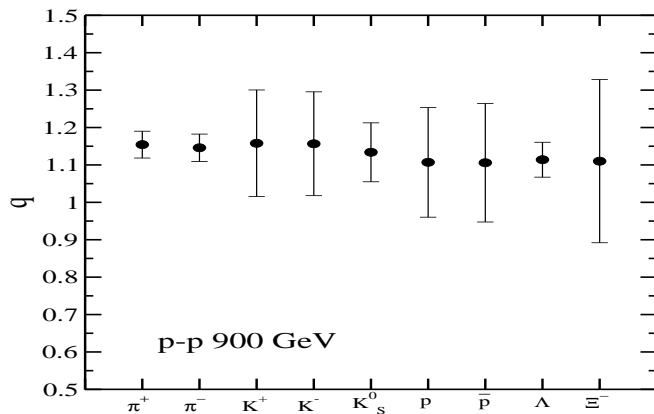
$p - p$ 900 GeV		
Particle	$q$	$T$
$\pi^+$	$1.154 \pm 0.036$	$0.0682 \pm 0.0026$
$\pi^-$	$1.146 \pm 0.036$	$0.0704 \pm 0.0027$
$K^+$	$1.158 \pm 0.142$	$0.0690 \pm 0.0223$
$K^-$	$1.157 \pm 0.139$	$0.0681 \pm 0.0217$
$K_S^0$	$1.134 \pm 0.079$	$0.0923 \pm 0.0139$
$p$	$1.107 \pm 0.147$	$0.0730 \pm 0.0425$
$\bar{p}$	$1.106 \pm 0.158$	$0.0764 \pm 0.0464$
$\Lambda$	$1.114 \pm 0.047$	$0.0698 \pm 0.0148$
$\Xi^-$	$1.110 \pm 0.218$	$0.0440 \pm 0.0752$

**Table:** Fitted values of the  $T$  and  $q$  parameters measured in  $p - p$  collisions by the ALICE and CMS collaborations using the Tsallis form for the momentum distribution.



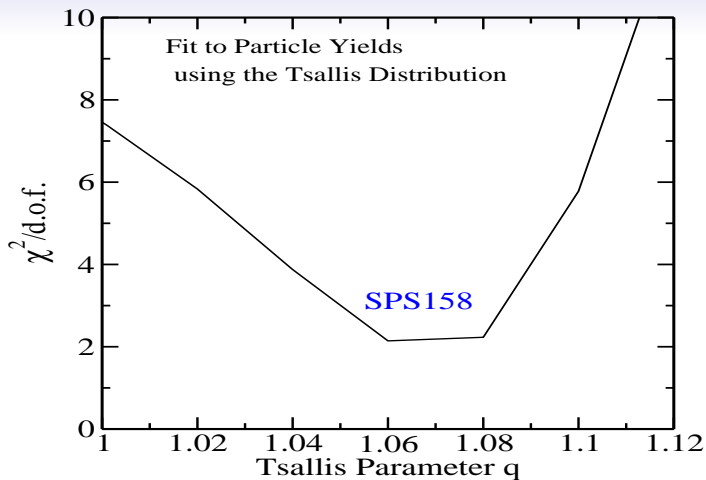
$p - p$ 900 GeV		
Particle	$T$ Tsallis vs $C$ ALICE (MeV)	$q$
$\pi^+$	70 (126)	1.147
$K^+$	70 (160)	1.156
$p$	73 (196)	1.110



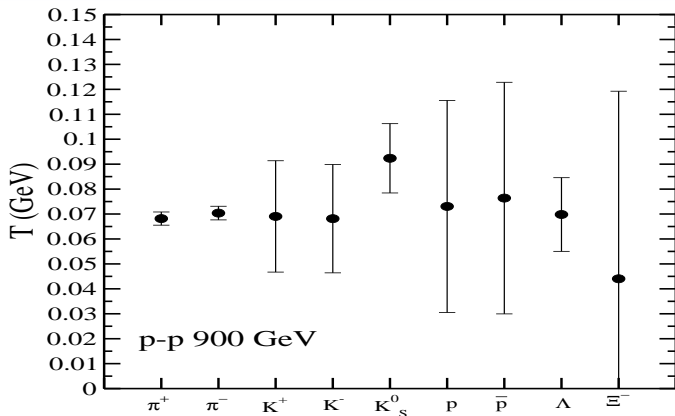


Values of the Tsallis parameter  $q$  for different species of hadrons.





J. C., G. Hamar, P. Levai, S. Wheaton  
Journal of Physics **G 36** (2009) 064018.



Values of the Tsallis temperature  $T$  for different species of hadrons.

J.C. and D. Worku e-Print: arXiv:1110.5526 [hep-ph]