

# Boundary conditions at spatial infinity for fields in Casimir calculations

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## Content

1. Introduction
2. Complex frequencies for unbounded external regions with radiation condition.
3. Scattering states, spectral density.
4. Relation between phase shift method and approach using frequency eq.
5. Surface waves along a dielectric infinite cylinder.
6. Conclusion.

# I. Introduction

The starting point of all the Casimir calculations is the spectral sum (or the spectral integral):

$$E_0 = -\frac{1}{2} \sum_n \omega_n$$

Both bounded and unbounded configuration manifolds are considered.

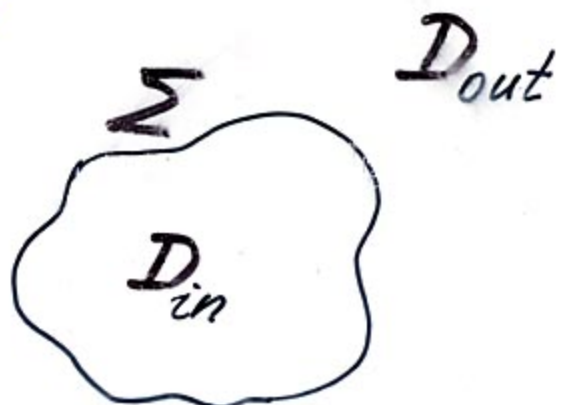
## Differential equation

$$\left( -c^2(\vec{x}) \Delta + \dots \right) \psi_n(\vec{x}) = \omega_n^2 \psi_n(\vec{x})$$

⊕

## Boundary conditions

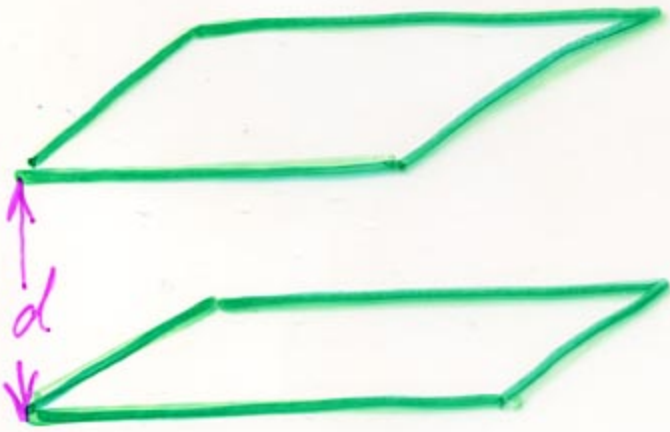
- 1)  $\hat{B}_1 \psi_n(\vec{x}) = 0, \vec{x} \in \Sigma$
- 2)  $\hat{B}_2 \psi_n(\vec{x}) = 0, |\vec{x}| \rightarrow \infty$



When the Green's function technique is used the natural modes  $\{\psi_n(\vec{x})\}$  are also considered:  $E_0 = \int T_{00}(\vec{x}) d^3\vec{x}$

$$T_0(\vec{x}) \sim \partial \partial G(\vec{x}, \vec{x}'), \vec{x} = \vec{x}'; \quad G(\vec{x}, \vec{x}') \sim \sum \psi_n(\vec{x}) \psi_n(\vec{x}')$$

Casimir (1948)



$$E_0 = \frac{1}{2} \sum_n \omega_n$$

$$\sin kd = 0$$

$$\omega_n = \frac{n\pi}{d}$$

Boyer (1968)  
perfectly conducting sphere



(inside) + (outside)

dielectric ball

Milton, deRaad, Schwinger  
(cylinder; perfectly conducting  
and dielectric)



(inside) + (outside)

## 2. Open systems (quasi-normal modes) $\rightarrow$ non compact $M$ (4)

Nontrivial dynamics is located in a compact region however it is not restricted by boundaries.

nontrivial dynamics (black hole)

emission of energy

Such systems are open because the energy can be emitted to the infinity.

### Boundary conditions at infinity:

i) Sommerfeld radiation cond. (classical math. phys.) or Gamov cond. (QM);

Spectrum  $(k)$  is **discrete** but **Complex**

$$\lim_{r \rightarrow \infty} r^{\frac{d-1}{2}} U(r) = \text{const}, \quad \lim_{r \rightarrow \infty} r^{\frac{d-1}{2}} \left( \frac{\partial U}{\partial r} - ikU \right) = 0$$

uniqueness of solutions, Hamiltonian is non hermitian

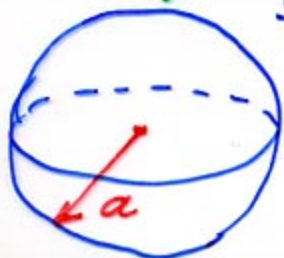
ii) scattering conditions

$$U(r) \sim e^{ikr} + e^{-ikr}$$

spectrum  $(k)$  is **positive** but **continuous**

$$U(r) \notin L_2(\mathbb{R})$$

**Example:** Maxwell eqs. for perfectly conducting sphere with radiation conditions at  $\infty$



$$TE \cdot \begin{cases} j_e(a\omega) = 0, r < a, \\ h_e^{(1)}(a\omega) = 0, r > a; \end{cases}$$

$$TM \cdot \begin{cases} \frac{d}{dr} [r j_e^{(1)}(a\omega r)] \Big|_{r=a} = 0, r < a, \\ \frac{d}{dr} [r h_e^{(1)}(a\omega r)] \Big|_{r=a} = 0, r > a; \end{cases}$$

There are **two** separate spectral problems <sup>(5)</sup> for  $z < a$  and for  $z > a$ . At  $r = a$  we have **boundary** conditions instead of **matching** conditions.

$$h_{\ell}^{(1)}(z) = e^{+iz} \left[ P_{\ell}\left(\frac{1}{z}\right) + Q_{\ell}\left(\frac{1}{z}\right) \right],$$

with  $P_{\ell}$  and  $Q_{\ell}$  being polynomials.

Hence these frequency eqs. for a given  $\ell$  have **a finite number of complex roots** ( $z = a\omega/c$ ):

$$\textcircled{\ell=1} \quad h_1^{(1)}(z) = -\frac{1}{z} e^{iz} \left( 1 + \frac{i}{z} \right) = 0 \quad (\text{TE-modes})$$

$$\left( z h_1^{(1)}(z) \right)' = -\frac{i}{z^2} e^{iz} (z^2 + iz - 1) = 0 \quad (\text{TM-modes})$$

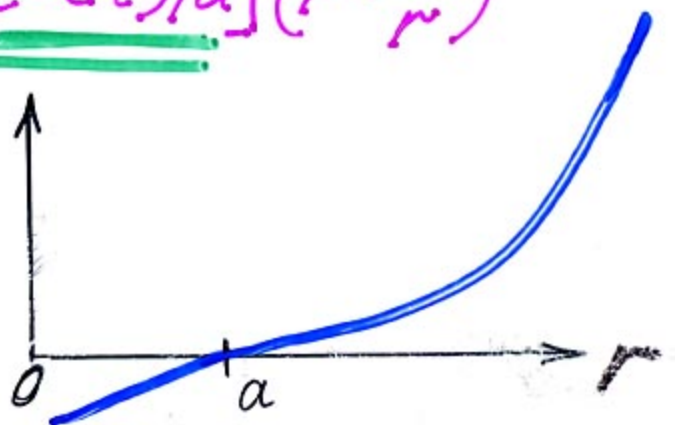
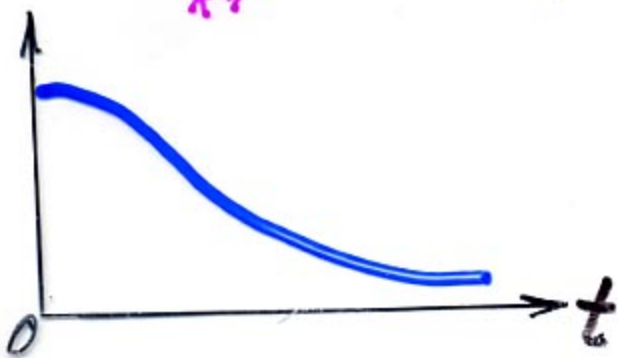
Eigenfrequencies are

$$\frac{\omega}{c} = -\frac{i}{a} \quad (\text{TE})$$

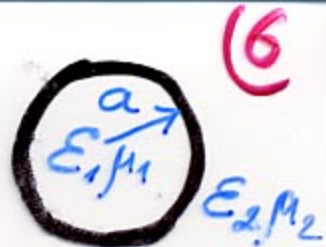
$$\frac{\omega}{c} = -\frac{1}{2a} (i \pm \sqrt{3}) \quad (\text{TM})$$

The respective **quasi-mode** is

$$e^{-i\omega t} f_{k1}(z) = -iC \frac{a}{r} \exp\left[\frac{(z-ct)}{a}\right] \left(1 - \frac{a}{r}\right)$$



# A compact ball



Matching conditions at  $r=a$

$$C_1 \frac{1}{\sqrt{\epsilon_1 \mu_1}} j_l'(k_1 a) = C_2 \frac{1}{\sqrt{\epsilon_2 \mu_2}} h_l^{(1)}(k_2 a),$$

$$C_1 \frac{1}{\mu_1} j_l'(k_1 a) = C_2 \frac{1}{\mu_2} h_l^{(1)}(k_2 a),$$

$$k_i = \epsilon_i \mu_i \frac{\omega^2}{c^2}, i=1,2.$$

∴ Ricatti-Bessel functions

Frequency equations

$$\Delta_l^{TE}(a, \omega) = \sqrt{\epsilon_1 \mu_2} j_l'(k_1 a) h_l^{(1)}(k_2 a) - \sqrt{\epsilon_2 \mu_1} j_l'(k_2 a) h_l^{(1)}(k_1 a) = 0$$

$$\Delta_l^{TM}(a, \omega) = \sqrt{\epsilon_2 \mu_1} j_l'(k_1 a) h_l^{(1)}(k_2 a) - \sqrt{\epsilon_1 \mu_2} j_l'(k_2 a) h_l^{(1)}(k_1 a) = 0$$

Discrete complex valued roots

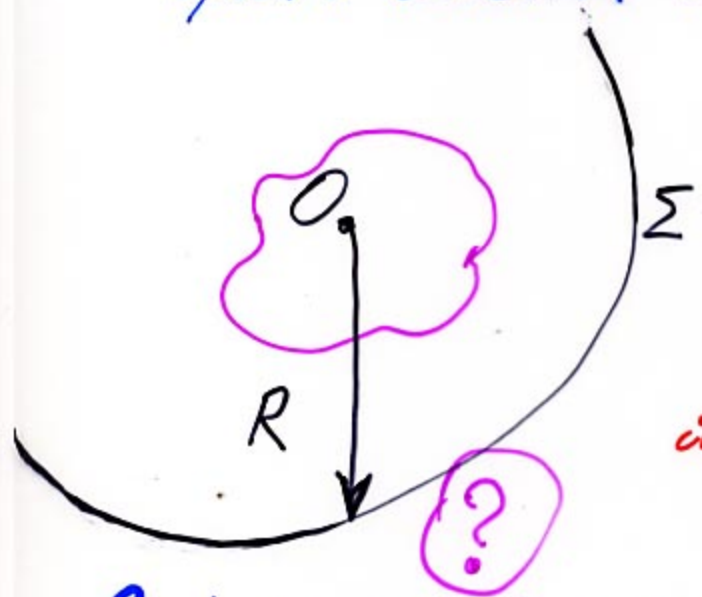
(quasi-normal modes  
Debye, 1908 PhD Thesis)

These modes cannot be used for expanding the field and introducing the Fock representation (they are not complete in a standard formulation)

In order to get the spectral zeta function or the heat kernel one needs to define, in a correct way, summation or integration over the spectrum.

How to do this for open systems?

a) Let us put our system in a large box or sphere with  $R \rightarrow \infty$



$$\hat{B}u|_{\Sigma} = 0$$

countable real spectrum of  $k$  depending on  $R$  and, in principle, on oper.  $\hat{B}$ .

b) Let us address the rigorous scattering theory (complex plane of  $k$ ) and use the spectral density defined here

Some preliminary consideration

Free scalar field  $\varphi(\vec{x}, t)$

$$\hat{H} = \frac{1}{2} \int d^3x (\dot{\varphi}^2 + (\nabla\varphi)^2 + m^2\varphi^2) = \frac{1}{2} \int d^3k \omega(\vec{k})$$

$$E_0 = \langle 0 | \hat{H} | 0 \rangle = \frac{1}{2} \int d^3k \omega(\vec{k}) \leftarrow [a^{\dagger}a + aa^{\dagger}]$$

$$\varphi(\vec{x}, t) = \int d^3k [\psi_k(x) a(\vec{k}) + \psi_k^*(x) a^{\dagger}(\vec{k})]$$

First integration over  $d^3x$  and after that  $\langle 0 | \dots | 0 \rangle$  scattering states

In the case of continuous spectrum

$$\omega^2(\vec{k}) = k^2 + m^2, \quad 0 \leq k < \infty$$

we have

$$E_0 = 2\pi \int_0^\infty k dk (k^2 + m^2).$$

Thus, the information on the potential in the problem under study is lost

What is the reason?

let us do first

$$\langle 0 | \dots | 0 \rangle$$

and after that we evaluate the integral over  $d^3\vec{x}$ :



(9)

$$E_0 = \frac{1}{2} \int d^3k \omega(k) \int d^3x \underbrace{\psi_k^*(x) \psi_k(x)}_{\rho(k)} \sim \delta^{(3)}(\vec{k} - \vec{k}) = \delta^{(3)}(0)$$

$$= \frac{1}{2} \int d^3k \omega(k) \rho(k), \quad \text{if } \psi_k(x) \sim e^{ikx}$$

then  $\rho(k) = \int d^3x \cdot 1 = \text{Vol} \Rightarrow \infty$

How to calculate  $\rho(k)$ ?

a) "Physical" consideration (large sphere)

$$\psi_\ell(k, r) \underset{r \rightarrow \infty}{\sim} \frac{1}{r} \sin(kr + \tilde{\delta}_\ell(k) + \varphi_0)$$

*depends on B*

*phase shift  
(depends on the pot.)*

$$\hat{B} \psi_\ell(k, r) \Big|_{r=R} = 0$$

$$kR + \tilde{\delta}_\ell(k) + \varphi_0 = n\pi$$

$$\frac{dn}{dk} = \frac{1}{\pi} \frac{d}{dk} \tilde{\delta}_\ell(k) + R, \quad \frac{dn_0}{dk} = R \rightarrow \infty$$

$$n(k) \equiv \rho(k)$$

$$\rho(k) - \rho_0(k) = \frac{1}{\pi} \frac{d}{dk} \tilde{\delta}_\ell(k)$$

b) "Mathematical" consideration

Different solutions of the radial Schrödinger equation:

$$\left( -\frac{d^2}{dr^2} + \frac{\ell(\ell+1)}{r^2} + V(r) \right) \psi_k(r) = E \psi_k(r)$$

i) regular solution  $\psi(k, 0) = 0, \psi'(0, k) = 1$

ii) physical solution

$$\psi_k(r) = f(k) \varphi(k, r)$$

iii) Jost solutions  $f_l(k, r)$

$$\lim_{r \rightarrow \infty} e^{ikr} f_l(k, r) = i^l$$

$$\lim_{r \rightarrow \infty} f_l(k, r) = w_l(kr) \text{ free solution}$$

$$w_l(kr) \sim i^l e^{-ikr}, \quad r \rightarrow \infty$$

$$w_l(z) = -i z^{-l} h_l^{(2)}(z) = -i \left(\frac{1}{2} \pi z\right)^{1/2} h_l^{(2)}(z) \\ = (-1)^l w_l(-z)^*$$

iv) 'Principal value' solutions (standing waves)

All these solutions form a complete set of functions in  $L_2(\mathbb{R})$ .

The function of spectral shift

$$\bar{\rho}(k) = \rho(k) - \rho_0(k) = \text{Tr}[G - G_0]$$

$$G = (H - E + i\epsilon)^{-1}, \quad G_0 = (H_0 - E + i\epsilon)^{-1} \quad E = k^2$$

$$\text{Tr} G_0 = \int_{\mathbb{R}^3} d^3k \frac{\psi_{k_0}^*(r) \psi_{k_0}(r)}{k^2 - E + i\epsilon} \xrightarrow{\epsilon \rightarrow 0} \int_{k_0} \psi_{k_0}^*(r) \psi_{k_0}(r) \frac{dn}{k_0} = \rho_0(k)$$
$$\frac{1}{x + i\epsilon} = \mathcal{P} \frac{1}{x} - i\pi \delta(x)$$

In the same way we have

$$\text{Tr} G_E = \rho(k), \quad k^2 = E$$

# Rigorous scattering theory (11)

$H$  is a complete Hamiltonian

$$H = H_0 + V, \quad H|E\rangle = E|E\rangle,$$

where  $H_0$  is free Hamiltonian

$$H_0 = \frac{p^2}{2m}, \quad H_0|E_0\rangle = E_0|E_0\rangle$$

$R(z)$  and  $R_0(z)$  are the relevant **resolvent** operators

$$R(z) = (H - z)^{-1}, \quad R_0(z) = (H_0 - z)^{-1}$$

Two-body time delay operator

$$q(E) = -i S^\dagger(E) \frac{d}{dE} S(E)$$

Important **spectral property**

$$2 \operatorname{Im} T_2 [R(E+i0) - R_0(E+i0)] = \operatorname{tr} [q(E)]$$

$$\hat{I} = \int dE' |E'\rangle \langle E'| = \int dE_0' |E_0'\rangle \langle E_0'|$$

$$R(z) = \int dE' \frac{|E'\rangle \langle E'|}{E' - z}, \quad R_0(z) = \int dE_0' \frac{|E_0'\rangle \langle E_0'|}{E_0' - z}$$

$$\frac{1}{x-i0} = \mathcal{P} \frac{1}{x} + i\pi \delta(x)$$

$$\begin{aligned} 2 \operatorname{Im} T_n R(E+i0) &= 2 \operatorname{Im} \int d^3x \int dE' \frac{|\langle x|E\rangle \langle E'|x\rangle}{E' - E - i0} \\ &= 2\pi \int \langle x|E\rangle \langle E|x\rangle = 2\pi \int \psi_E^*(x) \psi_E(x) d^3x. \end{aligned}$$

$$2\pi \int d^3x [\psi_E^*(x) \psi_E(x) - \psi_{0E}^*(x) \psi_{0E}(x)] = \text{tr } q(E) \quad (12)$$

$$\text{tr } q(E) = 2 \sum_l (2l+1) \frac{d}{dE} \delta_l(E)$$

$$S(E) = e^{2i \sum_l \delta_l(E)}$$

The function of the **spectral shift**  
(Russian terminology)

$$\Delta \rho(E) \equiv \rho(E) - \rho_0(E) = \frac{1}{\pi} \sum_l (2l+1) \frac{d}{dE} \delta_l(E)$$

in terms of Green functions

$$\Delta \rho(E) = \frac{1}{\pi} \text{Im} \int d^3x [G(x, x; E+i0) - G_0(x, x; E+i0)]$$

$$E \rightarrow k \quad (E^2 \sim k^2)$$

Contour rotation ( $\omega \rightarrow i\omega$ )

$$S(E) = \frac{F(-k)}{F(k)}$$

$$\Delta \rho_l(k) = \frac{1}{2\pi i} [\ln F_l(-k) - \ln F_l(k)]$$

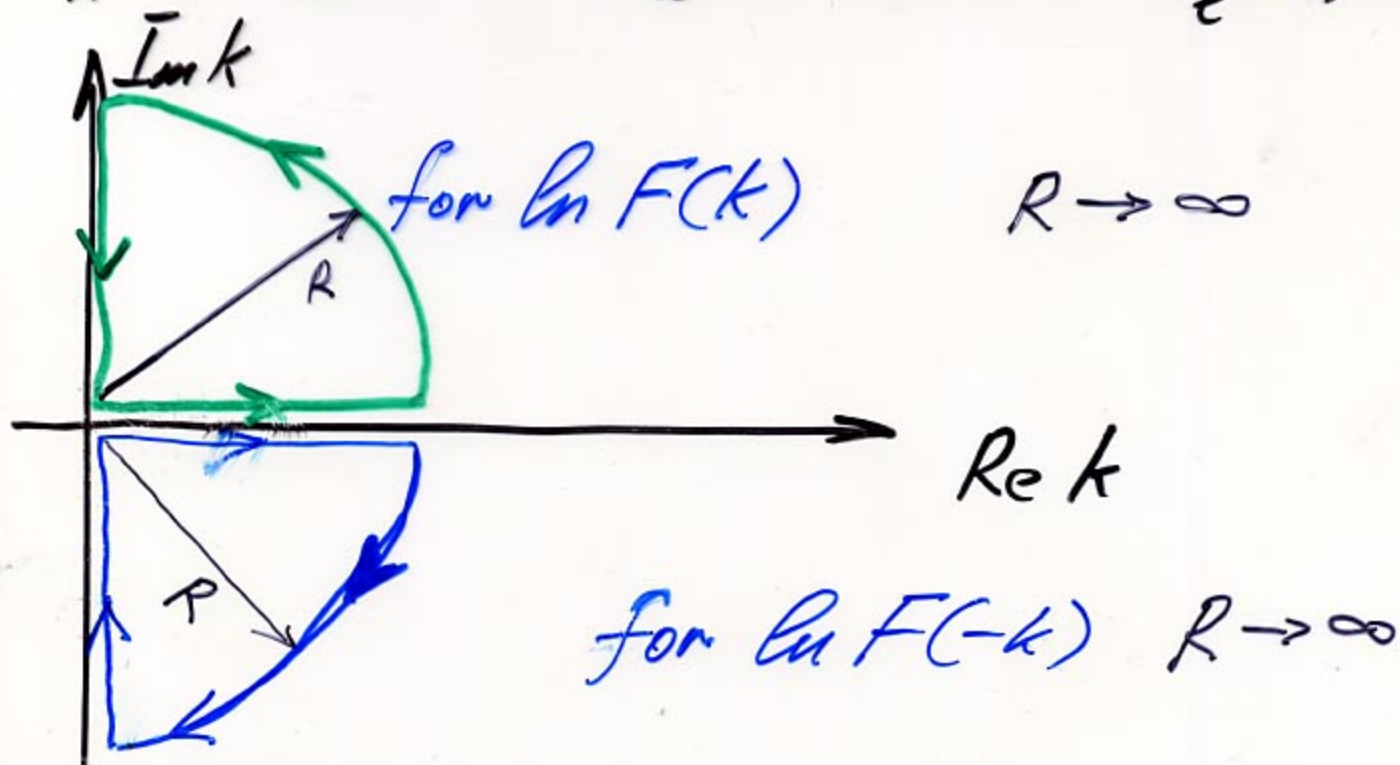
$F(k)$  is the Jost function

It is holomorphic

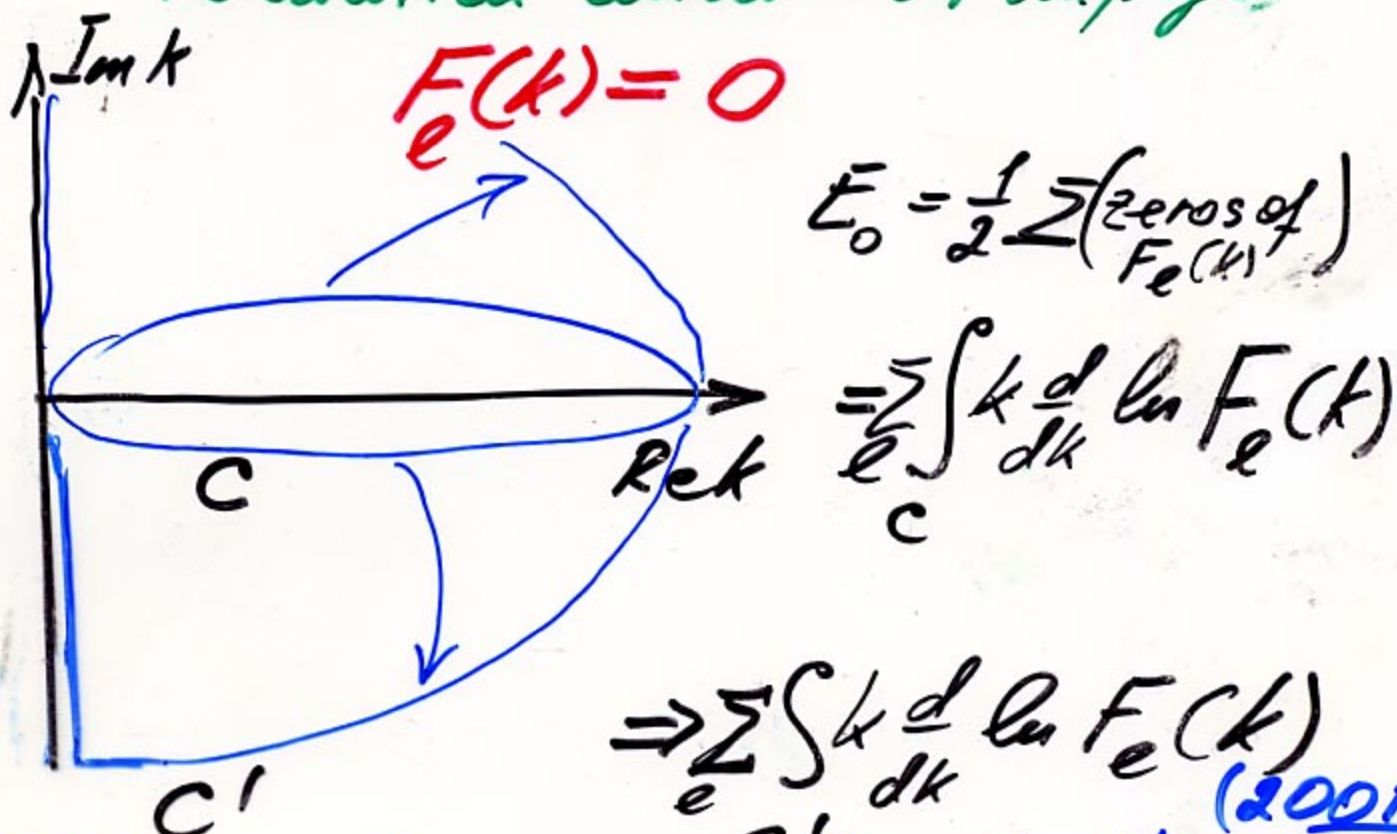
for  $\text{Im } k > 0$

# Scalar nonrelativistic scattering

$$\psi_k(r) = \frac{1}{2} i k^{-1} e^{-i} \left\{ F_e(k) e^{i k r} - (-1)^l F_e(-k) e^{-i k r} \right\}$$

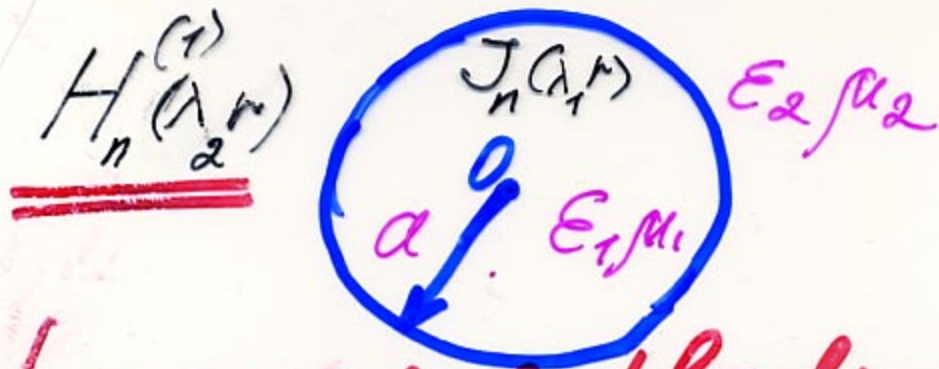


Formal prescription  
radiation condition implies:



5. The importance of specifying the BC at spatial infinity on physical basis

A compact circular infinite cylinder



Let us consider first radiation condition when  $r \rightarrow \infty$

$$f(r) = \begin{cases} J_n(\lambda_1 r), & r < a, \\ H_n^{(1)}(\lambda_2 r), & r > a. \end{cases}$$

Frequency eq. (Bordag, Pirozhenko; Milton, Inés Caver; Peldiez)

$$\lambda_1^2 \lambda_2^2 \Delta_n^{TE}(\lambda_1 a, \lambda_2 a) \cdot \Delta_n^{TM}(\lambda_1 a, \lambda_2 a) - n^2 \omega^2 k_2^2 (\epsilon_1 \mu_1 - \epsilon_2 \mu_2)^2 [J_n(\lambda_1 a) H_n^{(1)}(\lambda_2 a)]^2 = 0$$

where

$$\Delta_n^{TE}(\lambda_1 a, \lambda_2 a) = a \mu_1 \lambda_2 J_n'(\lambda_1 a) H_n^{(1)}(\lambda_2 a) - a \mu_2 \lambda_1 J_n(\lambda_1 a) H_n^{(1)'}(\lambda_2 a) = 0$$

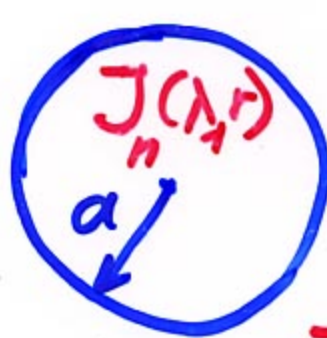
$$\Delta_n^{TM}(\lambda_1 a, \lambda_2 a) =$$

$$= a \epsilon_1 \lambda_2 J_n'(\lambda_1 a) H_n^{(1)}(\lambda_2 a) - a \epsilon_2 \lambda_1 J_n(\lambda_1 a) H_n^{(1)'}(\lambda_2 a)$$

$$\lambda_i^2 = k_i^2 - k_z^2, \quad k_i^2 = \epsilon_i \mu_i \frac{\omega^2}{c^2}, \quad i=1,2,$$

$$n=0, \pm 1, \pm 2, \dots$$

## Surface wave conditions (dielectric waveguide)


 $f(r) \sim e^{-\bar{\lambda}_2 r}$   
 $f(r) = C K_n(\bar{\lambda}_2 r)$

$$\bar{\lambda}_2^2 \equiv -\lambda_2^2 = k_2^2 - \mu_2 \epsilon_2 \frac{\omega^2}{c^2}$$

$$\bar{\lambda}_2^2 > 0$$

### TE-modes

$r \geq a$

$B_z = J_0(\lambda_1 r),$ $B_r = -\frac{ik_z}{\lambda_1} J_2(\lambda_1 r),$ $E_\varphi = \frac{i\omega}{c\lambda_1} J_1(\lambda_1 r)$	$r \leq a$	$B_z = C K_0(\bar{\lambda}_2 r)$ $B_r = \frac{ik_z C}{\bar{\lambda}_2} K_1(\bar{\lambda}_2 r)$ $E_\varphi = -\frac{i\omega C}{c\bar{\lambda}_2} K_1(\bar{\lambda}_2 r)$
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# Matching conditions ( $r=a$ ) (16)

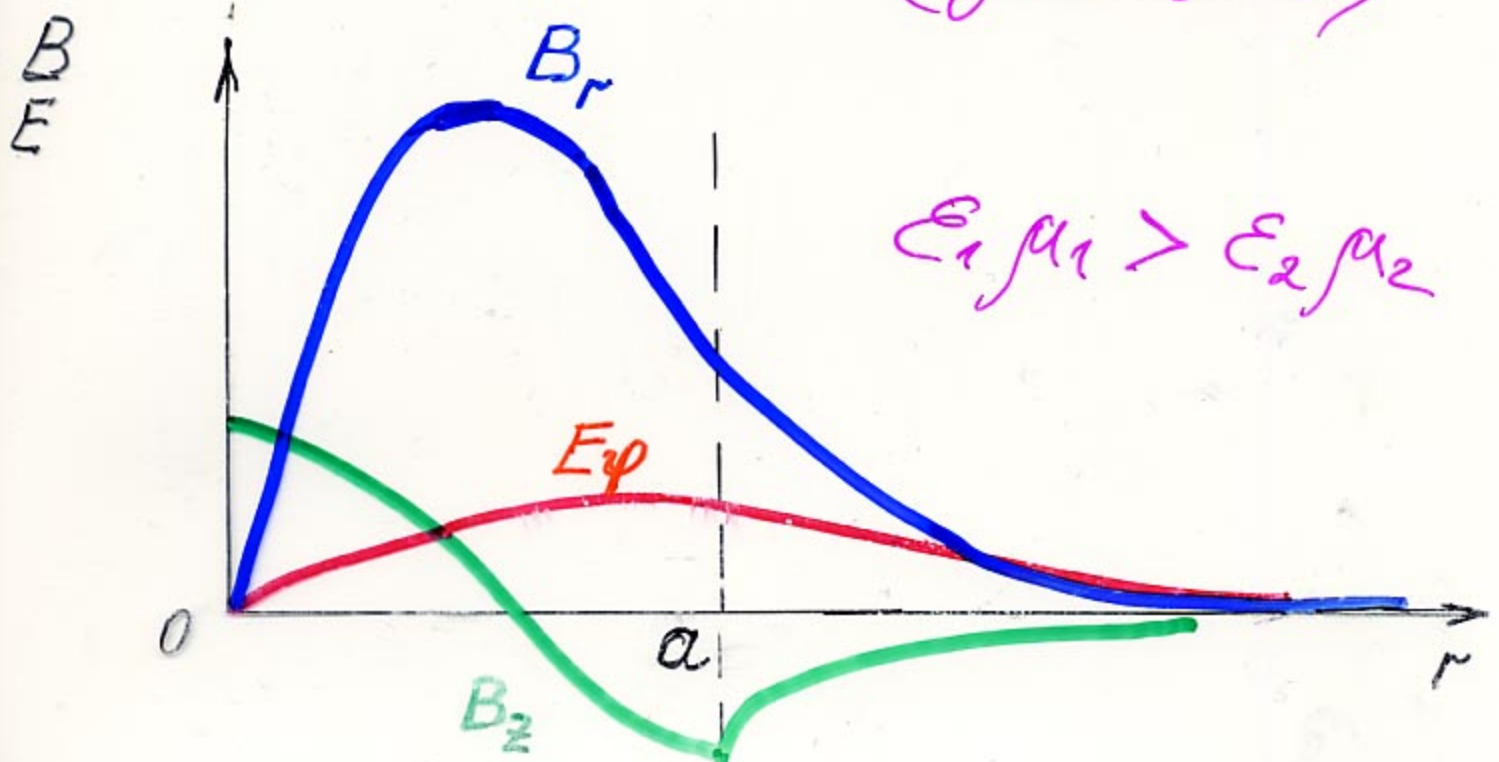
$$\begin{cases} C K_0(\bar{\lambda}_2 a) = J_0(\lambda_1 a), \\ -\frac{C}{\bar{\lambda}_2} K_1(\bar{\lambda}_2 a) = \frac{1}{\lambda_1} J_1(\lambda_1 a). \end{cases} \quad n=0$$

## Frequency equations

$$\frac{J_2(\lambda_1 a)}{\lambda_1 J_0(\lambda_1 a)} + \frac{K_2(\bar{\lambda}_2 a)}{\bar{\lambda}_2 K_0(\bar{\lambda}_2 a)} = 0 \quad (\text{TE-modes})$$

$$\frac{J_2(\lambda_1 a)}{\lambda_1 J_0(\lambda_1 a)} + \frac{\epsilon_2}{\epsilon_1} \frac{K_2(\bar{\lambda}_2 a)}{\bar{\lambda}_2 K_0(\bar{\lambda}_2 a)} = 0 \quad (\text{TM-modes})$$

(Jackson)





## 6. Conclusions

(17)

1. All the results obtained in Casimir calculations for open systems by making use of the radiation conditions are valid and there is no need to rederive them a new.
2. The boundary conditions imposed on field at  $r \rightarrow \infty$  should be chosen proceeding from the physical content of the problem under study.