

<b>Title</b>	Outline	Introduction	Nuclear structure input	Theory	Inelastic neutrino-nucleus scattering	Neutrino pair emission	Conclusions
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# THERMAL EFFECTS ON WEAK INTERACTION MEDIATED PROCESSES IN STELLAR ENVIRONMENT

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**Brazil-JINR Forum**

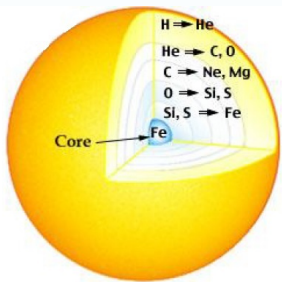
JINR, Dubna, June 15-19, 2015

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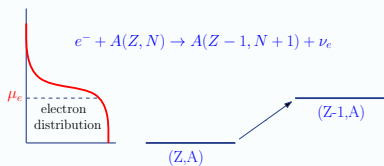
## Outline

- Massive star: pre-collapse stage
- Processes involving neutrino during collapse
- Nuclear structure inputs:
  - Spin-isospin resonances
  - Temperature effects
- Theoretical approaches:
  - Large Scale Shell Model (LSSM) calculations
  - Thermo Field Dynamics  $\Rightarrow$  Thermal QRPA (TQRPA)
- Calculation results:
  - Inelastic neutrino scattering (INNS) off a hot nucleus
  - Neutrino pair emission by a hot nucleus
- Conclusions

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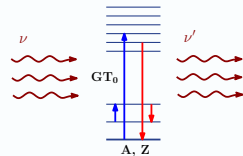
- At the end of its life a massive star ( $M \geq 10M_{\odot}$ ) has the structure similar to that of an onion.
- Just before the core-collapse all reactions mediated by the electromagnetic and strong interactions (**but not weak interaction!**) are in Nuclear Statistical Equilibrium.
- Electrons form a degenerate gas (keep a pressure due to the Pauli principle).
- Until  $M_{\text{core}} < M_{\text{Ch}} = 1.44(2Y_e)^2 M_{\odot}$ , the gravitation is balanced by the pressure of the degenerate relativistic gas of electrons ( $Y_e$  is the number of electrons per one baryon in the star).
- The equilibrium is unstable since
  1. The silicon burning increases the iron core of the star.
  2. The electron captures by protons and nuclei (at  $\rho \gtrsim 10^9 \text{g/cm}^3$ ) decrease the pressure of the degenerate electronic gas.
- When the iron core mass  $M_{\text{core}}$  exceeds  $M_{\text{Ch}}$  it collapses during  $\sim 1 \text{ s}$ .



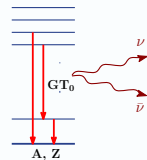
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- Electron capture on nuclei is the main neutrino source during collapse.
- For  $E_\nu < 20 \text{ MeV}$  the Gamow-Teller transitions ( $\text{GT}_0 : \Delta J^\pi = 1^+, \sigma t_0$  operator) dominate neutral-current neutrino-nucleus reactions.
- **Reactions involving neutrinos in collapsing star**
  - $\nu_e + (A, Z) \leftrightarrow \nu_e + (A, Z) -$  neutrino trapping
  - $(A, Z)^* + \nu_e \leftrightarrow (A, Z)^* + \nu'_e -$  neutrino thermalizing
  - $\nu_e + e^\mp \leftrightarrow \nu_e + e^\mp$  (inelastic process) – neutrino thermalizing
  - $(A, Z)^* \rightarrow (A, Z) + \nu_e + \bar{\nu}_e$
- At densities  $\rho \lesssim 10^{11} \text{ g/cm}^3$  the low-energy neutrinos can leave the star unhindered carrying away energy. This is a very efficient cooling mechanism which keeps the entropy of the matter low. As a consequence heavy nuclei survive during the collapse.
- At densities  $\rho \approx 4 \cdot 10^{11} \text{ g/cm}^3$  neutrinos start to get trapped in the core due to elastic scattering on nuclei (diffusion).
- At densities  $\rho \gtrsim 10^{12} \text{ g/cm}^3$  neutrino inelastic scattering off electrons (mainly) and nuclei become important. Neutrino thermalization takes place.

Neutrino inelastic scattering

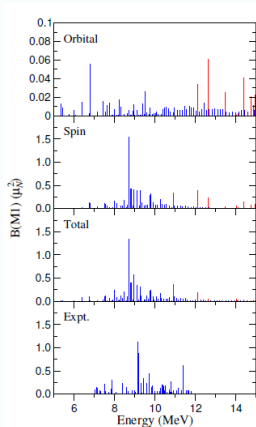


Neutral-current  $\beta$ -decay



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To calculate the  $\nu$ - $A$  cross sections one needs to know the nuclear response to  $\sigma t_0$  operator or, in other words, the distributions of the  $GT_0$  strength over a nuclear spectrum. The position and structure of the corresponding  $GT_0$  resonance is most important.

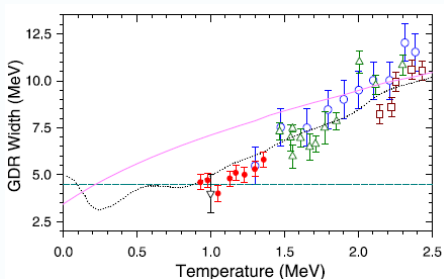


$M1$  strength distribution in  $^{52}\text{Cr}$ .

Shell-model - upper panels; Experiment – bottom panel. Spin response  $\Rightarrow GT_0$

For temperatures  $T = 1.0 \div 2.0$  MeV the mean excitation energy for iron-group nuclei is  $\langle E \rangle = 10 \div 30$  MeV.

Experimental information as well as theoretical predictions on nuclear resonance characteristics in highly excited nuclei are poor. Only giant  $E1$  resonance in hot heavy nuclei was explored experimentally. Most of calculations for GT resonances were done in the thermal RPA approach and Shell-Model Monte Carlo (SMMC) method.



Experimental data on the width of giant  $E1$  resonance as a function of temperature  $T$  in  $^{120}\text{Sn}$  collected from different experiments.

The green dashed line: the width at  $T = 0$ . Continuous pink line: theory (the thermal shape fluctuation model)

**Conclusions: the centroid is shifted downward, the width increases.**

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## Large Scale Shell Model (LSSM) (K. Langanke, G. Martínez-Pinedo et al.)

Calculations were performed for  $s-d$  and  $p-f$  nuclei with  $A \lesssim 65$ .

The spin-isospin strength distributions in low-lying part of nuclear spectra are well described. Thermal effects are taken into account by state-by-state evaluation of the reaction rate and summing over Boltzmann-weighted, individually determined strengths for the various nuclear states. Moreover, some additional simplifications were introduced as well (e.g. Axel-Brink hypothesis).

### Calculation of inelastic neutrino-nucleus cross section

$$\sigma_{\nu A}(E_{\nu}, T) = \sigma_{\nu A}^d(E_{\nu}, T) + \sigma_{\nu A}^{up}(E_{\nu}, T)$$

$$\sigma_{\nu A}^d(E_{\nu}, T) = \frac{G_F^2}{\pi W} \sum_{E_i < E_f} (E_{\nu} + E_i - E_f)^2 |\langle f | \sigma t_0 | i \rangle|^2 \exp(-\frac{E_i}{T})$$

$$\sigma_{\nu A}^{up}(E_{\nu}, T) = \frac{G_F^2}{\pi W} \sum_{E_i > E_f} (E_{\nu} + E_i - E_f)^2 |\langle f | \sigma t_0 | i \rangle|^2 \exp(-\frac{E_i}{T}).$$

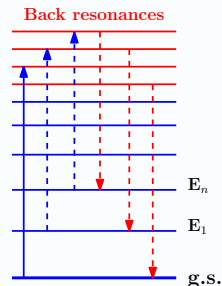
$$W = \sum_i \exp(-E_i/T).$$

If, in accordance with Axel-Brink hypothesis, one assumes

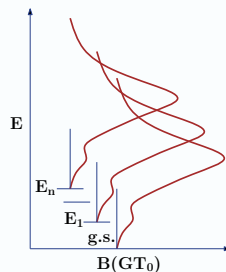
$\langle f | \sigma t_0 | i \rangle = \langle f | \sigma t_0 | g.s. \rangle$  then the value of  $\sigma_{\nu A}^d(E_{\nu})$  is independent of  $T$ .

### Limitations of LSSM:

- The approach cannot be used for massive neutron-rich nuclei with  $A > 65$ .
- The Axel-Brink hypothesis is used to calculate  $\sigma_{\nu A}^d(E_{\nu})$ .
- First-forbidden transitions cannot be calculated directly (additional simplifications are introduced).
- Detailed balance principle is violated  $S(T, -E) \neq S(T, E) \exp(-\frac{E}{T})$



Axel-Brink hypothesis



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## Basics of Thermo Field Dynamics

- Thermal vacuum  $|0(T)\rangle$  :

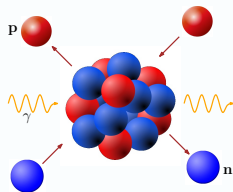
$$\langle 0(T)|A|0(T)\rangle = \sum_i \frac{e^{-E_i/T}}{W} \langle i|A|i\rangle = \langle\langle A\rangle\rangle$$

- Thermal Hamiltonian

$$\mathcal{H} = H(a^\dagger, a) - \widetilde{H}(\widetilde{a}^\dagger, \widetilde{a}), \quad \mathcal{H}|0(T)\rangle = 0.$$

- Thermal state condition:

$$A|0(T)\rangle = \exp\left(-\frac{\mathcal{H}}{2T}\right) \widetilde{A}^\dagger |0(T)\rangle$$



## Thermal quasiparticle RPA

- The QPM Hamiltonian:  $H = H_{WS} + H_{BCS} + H_{ph}$ ;
- Thermal quasiparticles:  $\mathcal{H}_{WS+BCS} \approx \sum_j \varepsilon_j (\beta_j^\dagger \beta_j - \widetilde{\beta}_j^\dagger \widetilde{\beta}_j)$ ;
- Thermal phonons:  $\mathcal{H} \approx \sum_k \omega_k (Q_k^\dagger Q_k - \widetilde{Q}_k^\dagger \widetilde{Q}_k)$ .

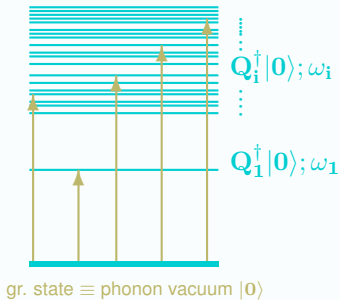
## Finite temperature strength distributions

- $|0(T)\rangle \rightarrow Q_k^\dagger |0(T)\rangle$  – excitation process,  $|0(T)\rangle \rightarrow \widetilde{Q}_k^\dagger |0(T)\rangle$  – de-excitation process;
- Transition strengths  $\Phi_k = |\langle Q_k | \sigma t_0 | 0(T)\rangle|^2$  and  $\widetilde{\Phi}_k = |\langle \widetilde{Q}_k | \sigma t_0 | 0(T)\rangle|^2$  obey the detailed balance principle  $\widetilde{\Phi}_k = \exp(-\omega_k/T) \Phi_k$ .

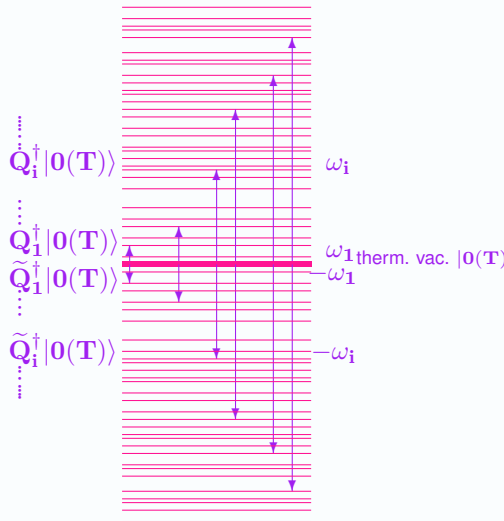




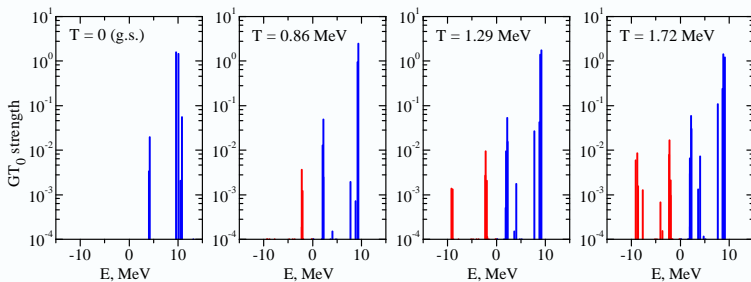
## QRPA ( $T = 0$ )



## TQRPA ( $T \neq 0$ )

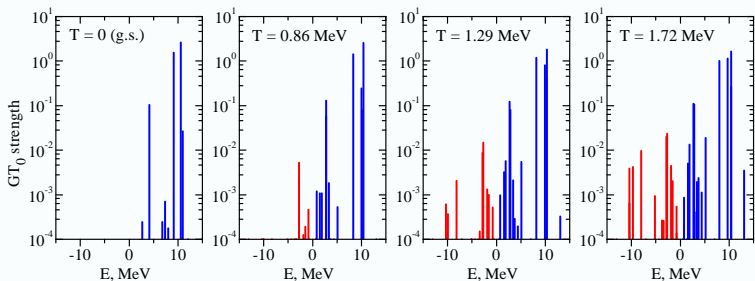


## GT<sub>0</sub> strength distributions in <sup>56</sup>Fe at different temperatures:



$T = 0.86$  MeV (1 GK) corresponds to the condition of a presupernova model for a  $15M_{\odot}$  star;  $T = 1.29$  MeV (1.5 GK) - relates to neutrino trapping,  $T = 1.72$  MeV (2 GK) - to neutrino thermalization.

## GT<sub>0</sub> strength distributions in <sup>82</sup>Ge at different temperatures:

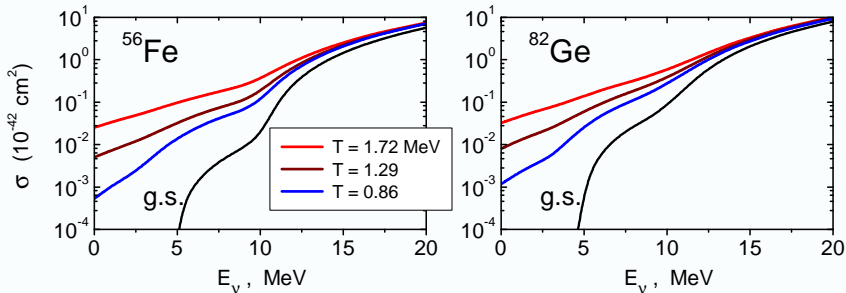


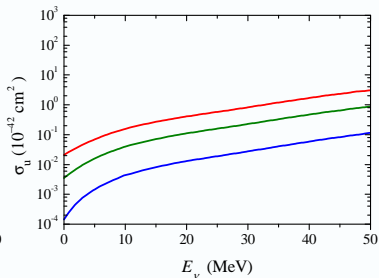
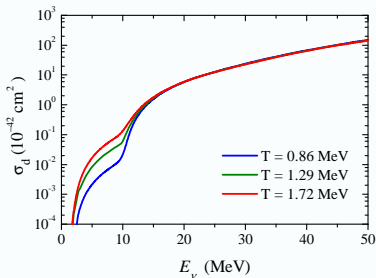
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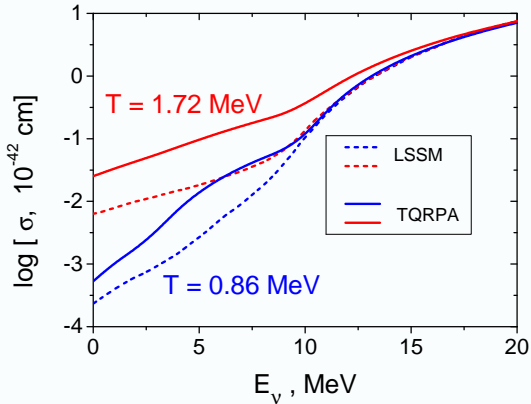
$$\begin{aligned}\sigma_{\nu A}(E_\nu, T) &= \sigma_{\nu A}^d(E_\nu, T) + \sigma_{\nu A}^{up}(E_\nu, T) \\ &= \frac{G_F^2}{\pi} \left\{ \sum_k (E_\nu - \omega_k)^2 \Phi_k + \sum_k (E_\nu + \omega_k)^2 \tilde{\Phi}_k \right\}\end{aligned}$$

- $\Phi_k = |\langle Q_k | \sigma t_0 | 0(T) \rangle|^2$  and  $E'_\nu = E_\nu - \omega_k$  for down-scattering;
- $\tilde{\Phi}_k = |\langle \tilde{Q}_k | \sigma t_0 | 0(T) \rangle|^2$  and  $E'_\nu = E_\nu + \omega_k$  for up-scattering.

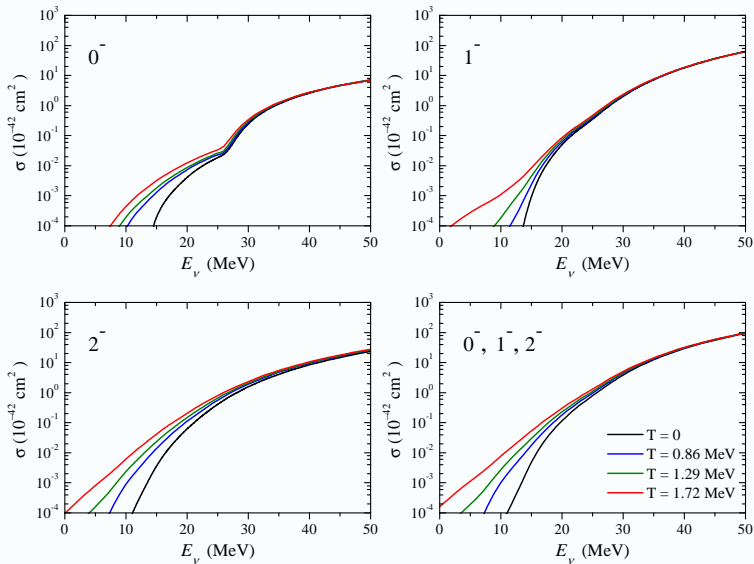




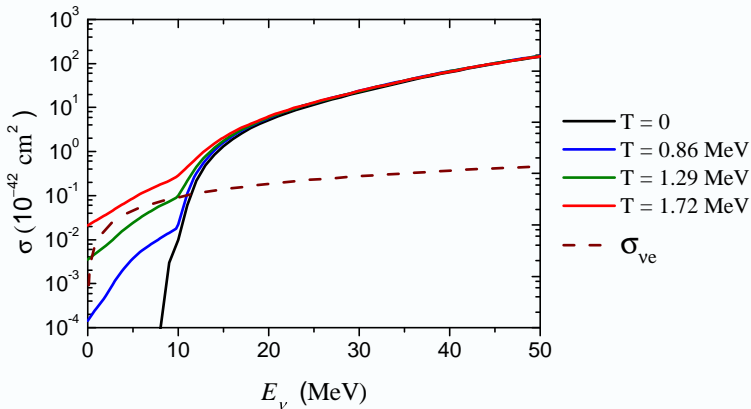
The down-scattering  $\sigma_{\nu A}^d(E_\nu, T)$  (left panel) and the up-scattering  $\sigma_{\nu A}^{up}(E_\nu, T)$  (right panel) parts of the INNS cross section for  $^{54}\text{Fe}$  at different  $T$ .



Comparison of the TFD-QRPA cross-sections for  $\nu + {}^{56}\text{Fe} \rightarrow \nu' + {}^{56}\text{Fe}$  with those obtained within the LSSM calculations (K. Langanke, G. Martínez-Pinedo et al, Nucl. Phys. A 747 (2005) 87).



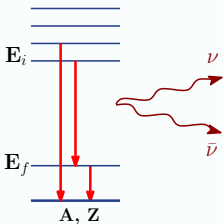
Contributions of first-forbidden transitions to the INNS cross section for  $^{54}\text{Fe}$  at different  $T$



The INNS cross section at different  $T$  in comparison with the neutrino-electron inelastic scattering cross section  $\sigma_{\nu e}(E_\nu) \approx \frac{G_F m_e}{\pi} E_\nu$



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Decay probability:

$$\begin{aligned}\lambda_{if} &= 3 \frac{G_F^2 g_A^2}{60\pi^2 \hbar^7 c^6} (E_i - E_f)^5 B(GT_0)_{if} \\ &= 3\lambda_0 (E_i - E_f)^5 B(GT_0)_{if}, \quad \lambda_0 \approx 1.72 \text{ s}^{-1} \text{ MeV}^{-5}\end{aligned}$$

Total decay rate:  $\Lambda = \sum_{if} \lambda_{if} g_i$ , where  $g_i \sim \exp(-E_i/T)$ .

Partial decay rates within the TQRPA approach

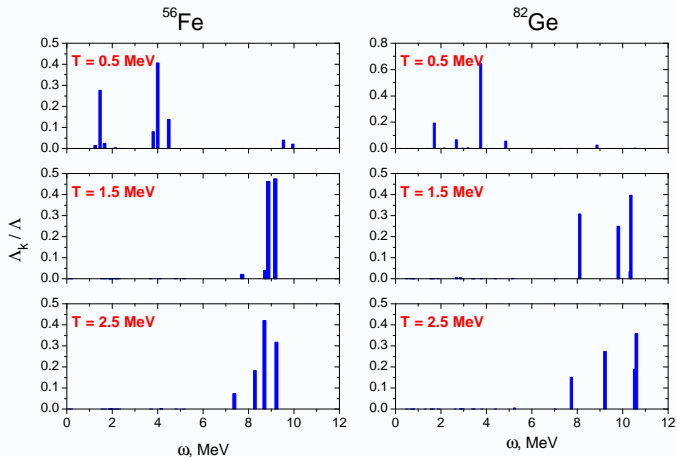
$$\Lambda_k = 3\lambda_0 \omega_k^5 \tilde{\Phi}_k \quad \text{where} \quad \tilde{\Phi}_k = |\langle 0(T) | \sigma t_0 | \tilde{Q}_k^\dagger \rangle|^2, \quad \omega_k = E_\nu + E_{\bar{\nu}}.$$

Total decay rate:

$$\Lambda = \sum_k \Lambda_k.$$

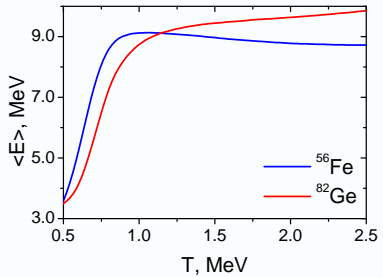
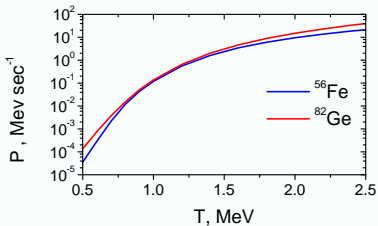
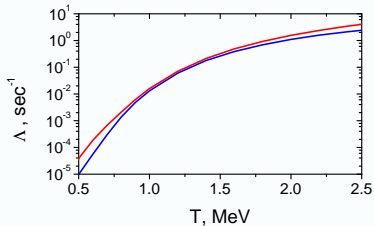
Energy emission rate:

$$P = \sum_k \omega_k \Lambda_k.$$



Relative contribution to  $\nu\bar{\nu}$ -decay rate of different ranges of hot nuclear spectrum. Calculations are performed within the TQRPA approach for  $^{56}\text{Fe}$  and  $^{82}\text{Ge}$

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The mean energy of  $\nu\bar{\nu}$ -pairs:  $\langle E \rangle = \frac{P}{\Lambda}$

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- The novel approach to study thermal effects on neutrino-nucleus reactions in supernova environment was presented. The approach is based on QRPA extended to finite temperatures within Thermo Field Dynamics formalism.
- In contrast to the LSSM calculations our method does not rely on the Brink hypothesis and it can be applied to massive neutron-rich nuclei. Moreover, the corresponding calculations are much less time consuming.
- Our calculations confirm results based on the LSSM calculations (by K. Langanke, G. Martínez-Pinedo et al.) about the thermal enhancement of the low-energy neutrino-nucleus cross sections.
- To improve the predictive power of the approach we are working to combine our TFD-based method with self-consistent QRPA calculations based on more realistic effective interactions (e.g. the Skyrme ones).

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*Credits: J. Wambach, V. Ponomarev.*

**THANK YOU FOR ATTENTION !**